

# Schwartz Forms on Unitary Spaces & the Weil Representation

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August 19, 2021



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This thesis is submitted to the Faculty of Graduate Studies of The University of Manitoba in partial fulfilment of the requirements of the degree of

MASTER OF SCIENCE

Department of Mathematics

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Winnipeg

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## Abstract

Stephen Kudla has conjectured a relationship between the Fourier coefficients of Eisenstein series, and the arithmetic heights of certain special cycles. Luis Garcia and Siddarth Sankaran confirmed the conjecture for certain Shimura varieties of type  $U(p, q)$ , arising as the quotient of a symmetric space by a group action, when  $q=1$ . An essential step in their argument relies on establishing that a specific form is a highest weight vector of a particular weight, for the Weil representation. In an effort to extend the results of Garcia and Sankaran, we show that the aforementioned forms are highest weight vectors of the expected weight, under the action of the Weil representation, in various cases when  $q>1$ . In particular, we show that this result holds for all cases when  $q=2$ . We prove this result by using an inductive argument, which depends on a technical result about immersed submanifolds, and various results about splitting the action of the Weil representation on tensor products. The base cases are intractable to carry out by hand, and thus the final section of the thesis contains Sage code which was written to carry out the computations of the base cases.

## Acknowledgments

I would like to thank my supervisor Dr. Siddarth Sankaran for being an excellent mentor during the course of my M.Sc. and for patiently pointing out the many hare-brained errors I made along the way. I would also like to thank Dr. Narad Rampersad at the University of Winnipeg for his assistance in helping me to get a beta version of a Sage package running, which was essential to the early development of the code that is central to this thesis.

I'm also thankful for the comments and suggestions of the members of my defense committee.

Finally I would like to thank all of my friends, family, and educators, who have supported me on this journey either directly, or indirectly.

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## 0.1 Introduction

In the paper [4], Garcia and Sankaran obtain an explicit formula for the local Archimedean height of a special cycle of a certain Shimura variety of type  $U(p, 1)$ , in terms of a Fourier coefficient of a special derivative of an Eisenstein series. Their result supports a more general conjectured identity of Kudla, known as the *arithmetic Siegel-Weil formula*.

An important object in Kudla's investigations is the *Kudla-Millson form*  $\varphi_{KM} \in S(V) \otimes \mathcal{A}^{q,q}(\mathbb{D})$  where  $S(V)$  is the *Schwartz space* of (rapidly decreasing) functions on  $V$  for a  $(p+q)$ -dimensional  $\mathbb{C}$ -vector space  $V$  with Hermitian form of signature  $(p, q)$  (we call this a  $(p, q)$ -space for short),  $\mathbb{D}(V)$  is diffeomorphic to the symmetric space  $G/K$  where  $G = U(p, q)$ , and  $K \cong U(p) \times U(q)$  is a maximal compact subgroup of  $G$ , and  $\mathcal{A}^{q,q}(\mathbb{D}(V))$  is the space of complex differential forms of type  $(q, q)$  on  $\mathbb{D}(V)$ .

A key step in the argument of [4] involves the use of Quillen's theory of *superconnections*, and the construction of forms  $\varphi(\mathbf{v}), \nu_r(\mathbf{v}) \in S(V^{\oplus r}) \otimes \Omega^*(\mathbb{D}(V))$ , generalizing the classical Chern-Weil theory, and when  $r = 1$ , the degree  $2q$  component of  $\varphi(\nu)_{[2q]}$  is  $\varphi_{KM}$ . The aforementioned Shimura variety of type  $U(p, q)$  is realized as a quotient  $\Gamma \backslash \mathbb{D}(V)$  by the action of a certain arithmetic group  $\Gamma$ .

The investigation of the forms  $\nu_r$  is carried out by studying their behavior under the Weil representation for  $U(r, r)$ . In particular, in [4] it's proved that the form  $\nu_r$  generates an irreducible subrepresentation of the Weil representation, when  $q = 1$ .

In this thesis, we will show that the form  $\nu_r$  generates an irreducible subrepresentation for various cases when  $q > 1$ , including  $(p, q) = (p, 2)$  for *any*  $p$ . In fact, we also manage to prove an inductive step which shows that for any fixed  $q$ , and a  $p$  satisfying a particular bound in terms of  $q$ , if  $\nu_r \in S(V^r) \otimes \Omega^*(\mathbb{D})$  is a *highest weight vector* for all  $(p+q)$ -dimensional vector spaces with a Hermitian form of signature  $(p, q)$ , then the analogously constructed form  $\nu'_r \in S(V'^r) \otimes \Omega^*(\mathbb{D}(V'))$  is highest weight vector for all  $(p'+q)$ -spaces where  $p' \geq p$ . Unfortunately the base cases remain un-established in general, but we include an algorithm written in Sage which determines any of these cases "by hand".

In chapter one, we recall some of the basic theory of complex manifolds, and Hermitian vector bundles. We also reconstruct much Quillen's seminal paper [8] on the theory of superconnections.

In the second chapter, we recall the basic theory of Lie groups, Lie algebras, and their representations. Important facts about the theory of highest weight vectors will be stated, and relevant explicit computations will be made along the way. This will culminate in explicit computations for the action of the Weil representation  $\omega : \mathfrak{k}_{ss} \rightarrow S(V^r)$ , where  $\mathfrak{k}_{ss}$  is the *semi-simplification* of the complexified Lie algebra  $\text{Lie}(U(p) \times U(q))$ .

In the third chapter, we will describe the manifold  $\mathbb{D}$ , and reproduce the construction of the form  $\nu_r \in S(V^r) \otimes \Omega^*(\mathbb{D})$  of [4], by exploiting Quillen's theory of superconnections for a particular vector bundle over  $\mathbb{D}$ .

The Weil representation can be made realized as  $\omega : \mathfrak{k}_{ss} \rightarrow S(V^r) \otimes \Omega^*(\mathbb{D})$ , just by acting on the  $S(V^r)$  factor. We then establish a series of inductive results for the form(s)  $\nu_r$ , and together with the code laid out in the fourth chapter, establish the aforementioned results for  $\nu_r$ .



# Chapter 1

## Geometric Background

### 1.1 Hermitian Geometry

As our main interest is involves constructing differential forms on the complex manifold  $\mathbb{D}(V)$  through a generalization of connections, for this first chapter, we review the basic theory of real and complex manifolds, smooth and holomorphic vector bundles, connections, and introduce Quillen's theory of *superconnections* [8]. Much of this section closely follows the development as written in [10], with some minor adaptations to our specific interests.

#### Vector Bundles

Let  $K$  be  $\mathbb{R}$  or  $\mathbb{C}$ , and let  $M$  be an  $S$ -manifold, by which we mean either a smooth or holomorphic manifold with a sheaf  $S = \mathcal{A}$  of smooth or  $S = \mathcal{O}$  holomorphic functions.

We will speak of  $S$ -morphisms, by which we either mean smooth or holomorphic maps, depending on the context.

Recall that an  $S$ -vector bundle is a tuple  $(E, V, M, \pi)$  where  $E$  and  $M$  are  $S$ -manifolds,  $V$  is an  $r$ -dimensional  $K$ -vector space and  $\pi$  is an  $S$ -morphism such that

1.  $\pi : E \rightarrow M$  is a surjective  $S$ -map,
2.  $\forall p \in M$ , the fiber  $E_p := \pi^{-1}(p)$  is a  $K$ -vector space, and
3.  $\forall p \in M$  there exists an open set  $U \subseteq M$  containing  $p$  and a homeomorphism  $\psi : \pi^{-1}(U) \rightarrow U \times K^r$  where  $\psi(E_p) \subseteq \{p\} \times K^r$ , and for the projection

$$\begin{aligned} \text{proj} : \{p\} \times K^r &\rightarrow K^r \\ (p, k) &\mapsto k \end{aligned}$$

the map  $\psi^p = \text{proj} \circ \psi : E_p \rightarrow K^r$  is a  $K$ -linear isomorphism.

The pair  $(U, \psi)$  is called a *local trivialization*. Throughout this section we will adopt the convention of writing intersections of indexed open sets (say  $U_\alpha$  and  $U_\beta$ ) as a multi-indexed open set, i.e.  $U_{\alpha\beta} := U_\alpha \cap U_\beta$ . Any two

trivializations  $(U_\alpha, \psi_\alpha)$  and  $(U_\beta, \psi_\beta)$  determine a map

$$\psi_\alpha \circ \psi_\beta^{-1} : U_{\alpha\beta} \times K^r \rightarrow U_{\alpha\beta} \times K^r,$$

and thus a map

$$\begin{aligned} g_{\alpha\beta} &: U_{\alpha\beta} \rightarrow \text{GL}(r, K) \\ p &\mapsto \psi_\alpha^p \circ (\psi_\beta^p)^{-1} \end{aligned}$$

called a *transition function*.

Given a pair of vector bundles  $\pi_E : E \rightarrow M$  and  $\pi_F : F \rightarrow M$ , an  $\mathcal{S}$ -morphism of vector bundles is a map  $f : E \rightarrow F$  such that  $\pi_E = \pi_F \circ f$ , and the map on each fiber  $f : E_p \rightarrow F_{\pi_F \circ f(p)}$  is a  $K$ -linear morphism. We say that  $f$  is an  $\mathcal{S}$ -bundle *isomorphism* if  $f$  is an  $\mathcal{S}$ -isomorphism and the induced map on the fibers is a  $K$ -linear isomorphism.

Given an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$ , we define the *pullback bundle*

$$f^*E := \{(p, e) \in N \times E : \pi(e) = f(p)\},$$

on  $N$ . We give  $f^*E$  the subspace topology of  $N \times E$ , with the projection map  $f^*\pi(p, e) = p$ , and we equip each fiber  $(f^*E)_p$  with the linear structure induced from  $E_p$ . That is, for  $u, v \in E_p$  and  $\alpha, \beta \in K$

$$\alpha(p, u) + \beta(p, v) = (p, \alpha u + \beta v).$$

Thus, we see that  $(p, v) \mapsto v$  gives us a  $K$ -linear isomorphism  $(f^*E)_p \cong E_{f(p)}$ .

For any  $p \in N$ , let  $(U, \psi)$  be a trivialization of  $E \rightarrow M$  such that  $f(p) \in U$ . Thus  $f^{-1}(U)$  is an open set of  $N$  containing  $p$ , and the map

$$\begin{aligned} f^{-1}(U) \times K^r &\rightarrow (f^*\pi)^{-1}(f^{-1}(U)) \\ (p, v) &\mapsto (p, \psi^{-1}(f(p), v)) \end{aligned}$$

is an  $\mathcal{S}$ -isomorphism, and thus it provides us with a local trivialization of  $f^*E \rightarrow N$ .

From an  $\mathcal{S}$ -bundle  $E \rightarrow M$  we can also construct the bundle  $\text{End}(E) = \bigcup_{p \in M} \text{End}(E_p)$  with the projection map  $\pi(A) = p$  for  $A \in \text{End}(E_p)$ . For a local trivialization  $(U, \psi)$  of  $E \rightarrow M$ , the isomorphisms  $\psi^p : E_p \rightarrow K^r$  induce isomorphisms  $\psi_{\text{End}}^p : \text{End}(E_p) \rightarrow \text{End}(K^r) \cong K^{r^2}$ . Then the map  $\psi_{\text{End}} : \pi^{-1}(U) \rightarrow U \times K^{r^2}$  sending  $A \in \pi^{-1}(U)$  with  $A \in \text{End}(E_p)$  to  $(p, \psi_{\text{End}}^p(A))$  is an isomorphism. Thus  $(U, \psi_{\text{End}}^p)$  gives us a local trivialization for  $\text{End}(E) \rightarrow M$ . Given an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$ , we define a map  $f^*(\text{End}(E)) \rightarrow \text{End}(f^*E)$  by sending  $(p, A) \in f^*(\text{End}(E))$  to the operator that acts on  $(p, v) \in f^*E$  by  $(p, A) \cdot (p, v) = (p, Av)$ . (Noting that by definition  $A \in \text{End}(E_p)$  and  $v \in E_p$ , so this is in fact defined). This map determines an  $\mathcal{S}$ -morphism  $f^*(\text{End}(E)) \cong \text{End}(f^*E)$ .

We can extend the usual trace of a linear operator to a map  $\text{tr} : \text{End}(E) \rightarrow K$  where for  $A \in (\text{End}(E))_p = \text{End}(E_p)$  we define  $\text{tr}(A)$  to be the trace of  $A$ . Since the usual trace is preserved under  $K$ -linear isomorphisms of vector spaces, the isomorphisms of the fibers  $(f^*\text{End}(E))_p \cong \text{End}(f^*)_p$  implies that the trace commutes with the pullback.



Any open set  $U \subseteq M$  determines an  $\mathcal{S}$ -manifold, and thus we define the *restriction*  $E|_U$  of  $E$  to  $U$  to be the vector bundle  $\iota^*E \rightarrow U$ , where  $\iota : U \hookrightarrow M$  is the standard inclusion map.

For any open set  $U \subseteq M$  an  $\mathcal{S}$ -section is an  $\mathcal{S}$ -map  $s : U \rightarrow E$  such that  $\pi \circ s = \text{Id}_U$ . We let  $\mathcal{S}(M, E)$  be the space of all  $\mathcal{S}$ -sections on  $M$ . For each open set  $U \subseteq M$  we define  $\mathcal{S}(U, E) := \mathcal{S}(U, E|_U)$ .

Suppose that for an open set  $U \subseteq M$ , there exists a collection  $e = \{e_1, \dots, e_r\} \subseteq \mathcal{S}(U, E)$  of  $\mathcal{S}$ -sections such that for each  $p \in U$ , the set  $e = \{e_1(p), \dots, e_r(p)\}$  is a basis for the fiber  $E_p$ . Then we call  $e = \{e_1, \dots, e_r\}$  a *local frame* for  $E$ .

Suppose  $h = \{h_1, \dots, h_r\}$  is another frame over  $U$ . For each  $p \in U$  there exists a change-of-basis  $e \rightarrow h$ . That is, there is some (invertible) matrix  $g(p)$  such that in terms of  $e$ , for each  $1 \leq k \leq r$ , we have  $h_k(p) = \sum_{j=1}^r g_{j,k}(p)e_j(p)$ . Note that  $h_k(p)$  is just the  $k$ th entry of the matrix of columns  $[e_1(p), \dots, e_r(p)]$  multiplied by  $g(p)$  on the right, and thus we write  $h = eg$ . When we want to specify the coordinates of a section  $\xi$  in a frame  $e$ , we'll write  $\xi(e) = \sum_{j=1}^r \xi_j(e)e_j(p)$ .

Thus, writing  $\xi(e)$  as a column vector

$$\xi(e) = \begin{pmatrix} \xi_1(e) \\ \dots \\ \xi_r(e) \end{pmatrix},$$

we must have

$$\xi(h) = \xi(eg) = g^{-1}\xi(e). \quad (1.1)$$

That is,  $g\xi(eg) = \xi(e)$ . Conversely, if one defines a section in terms of frames for the open sets of some cover of  $M$ , such that the section obeys the transformation property above, then it defines a global section.

Given an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$ , a vector bundle  $\pi : E \rightarrow M$  and a frame  $\{e_1, \dots, e_r\}$  for some open set  $U \subseteq M$ , the isomorphism  $(f^*E)_p \cong E_{f(p)}$  on the fibers implies that  $\{f^*e_1, \dots, f^*e_r\}$  is a local frame for  $f^*E \rightarrow N$  over  $f^{-1}(U)$ .

Having an assignment for each of these opens sets, we define a sheaf  $\mathcal{S}_M(E)$  with  $M$  complex or real, where for each open set  $U \subseteq M$  we set  $\mathcal{S}_M(E)(U) := \mathcal{S}(U, E)$ . In fact, for the structure sheaf  $\mathcal{S}_M$ , the sheaf  $\mathcal{S}(E)$  is a sheaf of  $\mathcal{S}_M$ -modules.

Recall that the *stalk*  $\mathcal{S}_{M,p}$  is a  $K$ -algebra, defined to be the limit  $\lim_{p \in U \subseteq M} \mathcal{S}_M(U)$  over all open sets containing  $p \in M$ . A *derivation* is a  $K$ -linear map  $D : \mathcal{S}_{M,p} \rightarrow K$  such that, for all  $f, g \in \mathcal{S}_{M,p}$

$$D(fg) = D(f)g(p) + f(p)D(g).$$

We define the *tangent space*  $T_p(M)$  to be the  $K$ -vector space of derivations on  $\mathcal{S}_{M,p}$ . For an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$  and an open set  $U \subseteq M$ , we define a  $K$ -algebra morphism  $f^* : \mathcal{S}_M(U) \rightarrow \mathcal{S}_N(f^{-1}(U))$  by  $f^*(h) = h \circ f$ , which descends to a  $K$ -algebra morphism of  $\mathcal{S}_{N,f(p)} \rightarrow \mathcal{S}_{M,p}$ . The map  $df_p : T_p(N) \rightarrow T_p(M)$  defined by  $df_p(D_p) = D_p \circ f^*$  is known as the *Jacobian*, *push-forward*, or *differential* of  $f$ .

For example, for the Euclidean  $\mathcal{S}$ -manifold  $K^n$ , for any  $p \in K^n$ , the vector space  $T_p(K^n)$  has a basis provided by the coordinate derivatives  $\frac{\partial}{\partial x_1}|_p, \dots, \frac{\partial}{\partial x_n}|_p$ .

Define  $T(M) := \bigcup_{p \in M} T_p(M)$ , and  $\pi : T(M) \rightarrow M$  where for  $v \in T_p(M)$ ,  $\pi(v) = p$ . We will show that  $\pi : T(M) \rightarrow M$  is a vector bundle, which we call the *tangent bundle*.

Let  $\{(U_\alpha, \phi_\alpha)\}$  be an atlas for  $M$ . The map  $\phi_\alpha : U_\alpha \rightarrow K^n$  is an  $\mathcal{S}$ -isomorphism onto its image, and thus for any  $p \in U_\alpha$ , the induced map  $(d\phi_\alpha)_p : T_p(M) \rightarrow T_{\phi_\alpha(p)}(K^n)$  is an isomorphism. Now, for any  $v \in \pi^{-1}(U_\alpha)$ , where  $v \in T_p(M)$  and  $p \in U_\alpha$ , we have  $d\phi_{\alpha,p}(\vec{v}) \in T_{\phi_\alpha(p)}(K^n)$ , where we write the coefficients

$$d\phi_{\alpha,p}(v) = \sum_{j=1}^n \xi_j \frac{\partial}{\partial x_j} |_{\phi_\alpha(p)}.$$

Define the maps

$$\psi_\alpha : \pi^{-1}(U_\alpha) \rightarrow U_\alpha \times K^n \quad (1.2)$$

$$v \mapsto (p, \xi_1(p), \dots, \xi_n(p)) \quad (1.3)$$

and

$$\psi_\alpha^p : T_p M \xrightarrow{\psi_\alpha} \{p\} \times K^n \xrightarrow{\text{proj.}} K^n.$$

The fact that  $\psi_\alpha^p$  is a  $K$ -linear isomorphism follows from the fact that  $d\phi_{\alpha,p}$  is a  $K$ -linear isomorphism. Furthermore, for any  $\alpha$  and  $\beta$ , we identify the vector spaces  $T_{\phi_\alpha(p)}(K^n)$  and  $T_{\phi_\beta(p)}(K^n)$  with  $K^n$ , and thus the  $K$ -linear isomorphism  $(d\phi_\alpha)_p \circ (d\phi_\beta)_p^{-1} : T_{\phi_\beta(p)}(K^n) \rightarrow T_{\phi_\alpha(p)}(K^n)$ , determines an  $\mathcal{S}$ -isomorphism  $K^n \rightarrow K^n$ , which implies that  $\psi_\alpha \circ \psi_\beta^{-1} : U_{\alpha\beta} \times K^n \rightarrow U_{\alpha\beta} \times K^n$  is an  $\mathcal{S}$ -isomorphism. Since the  $U_\alpha$  cover  $M$ , if we can choose a topology on  $T(M)$  such that the  $\psi_\alpha$  are homeomorphisms, we could conclude that  $T(M)$  is an  $\mathcal{S}$ -manifold, and thus  $\pi : T(M) \rightarrow M$  is an  $\mathcal{S}$ -vector bundle. To this end, we decree that  $U \subseteq T(M)$  is open iff  $\psi_\alpha(U \cap \pi^{-1}(U_\alpha))$  is open in  $U_\alpha \times K^n$  for all  $\alpha$ . Thus, since the maps  $\psi_\alpha$  are bijections, for each  $\alpha$ , we have that  $\psi_\alpha(\pi^{-1}(U_\alpha) \cap \pi^{-1}(U_\alpha)) = \psi_\alpha(\pi^{-1}(U_\alpha)) = U_\alpha \times K^n$  is open in  $U_\alpha \times K^n$ . Therefore  $\{(U_\alpha, \psi_\alpha)\}$  is an atlas for  $T(M)$ , as well as a collection of local trivializations for  $\pi : T(M) \rightarrow M$ .

## Complex Structures

Just as the complex numbers have a richer structure than the reals, both complex manifolds and vector spaces have a richer structure than their real counterparts. Together, this translates into the tangent bundles (hence differential forms) of complex manifolds possessing extra structure. We will investigate such structures in this section.

**Definition 1.1.1.** A *complex structure* on an  $\mathbb{R}$ -vector space  $V$ , is a linear map  $J : V \rightarrow V$  such that  $J^2 = -I$ .

For an arbitrary  $\mathbb{C}$ -vector space  $V$ , a vector  $v \in V$  and  $\alpha + \beta i = z \in \mathbb{C}$  we have  $zv = (\alpha + \beta i)v = \alpha v + \beta(iv)$ . That is, for a  $\mathbb{C}$ -basis  $\{v_1, \dots, v_n\}$  of  $V$ , the set  $\{v_1, iv_1, \dots, v_n, iv_n\}$  is an  $\mathbb{R}$ -basis for  $V$ . Furthermore, the map sending  $v_j \mapsto iv_j$  and  $iv_j \mapsto -v_j$  is a complex structure on  $V$ .

For the Euclidean vector space  $\mathbb{C}^n$ , and any vector  $(z_1, \dots, z_n) \in \mathbb{C}^n$ , we write  $x_j = \text{Re}(z_j)$  and  $y_j = \text{Im}(z_j)$  for each  $1 \leq j \leq n$ . If we identify the point  $(z_1, \dots, z_n) \in \mathbb{C}^n$  with  $(x_1, y_1, \dots, x_n, y_n) \in \mathbb{R}^{2n}$ , then multiplication by  $i$  in  $\mathbb{C}^n$  induces the *standard complex structure*  $J : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$  given by

$$J(x_1, y_1, \dots, x_n, y_n) = (-y_1, x_1, \dots, y_n, -x_n).$$

**Definition 1.1.2.** Let  $X$  be a complex manifold, with an atlas  $\{(U_\alpha, \phi_\alpha)\}$ . We let  $X_0 = X$  as sets, and equip it with the same topology. For each chart  $(U_\alpha, \phi_\alpha)$  we define

$$\begin{aligned} \tilde{\phi}_\alpha : U_\alpha &\rightarrow \mathbb{R}^{2n} \\ x &\mapsto (\operatorname{Re}(\phi_1(x)), \operatorname{Im}(\phi_1(x)), \dots, \operatorname{Re}(\phi_n(x)), \operatorname{Im}(\phi_n(x))), \end{aligned}$$

Since  $\phi_\alpha$  is holomorphic, the map  $\tilde{\phi}_\alpha$  is smooth. Thus  $\{(U_\alpha, \tilde{\phi}_\alpha)\}$  is a smooth atlas for  $X_0$ , making  $X_0$  into a smooth manifold which we refer to as the *underlying smooth manifold* of  $X$ .

The example of inducing a complex structure on  $\mathbb{R}^{2n}$  from  $\mathbb{C}^n$  can be applied in a consistent (and canonical) manner to tangent space of holomorphic manifolds. This is the content of *Example 3.2* on page 28 of [10], but we formalize it here as a proposition.

**Proposition 1.1.3.** *For a complex manifold  $X$ , the underlying real vector space of  $T_x(X)$  is isomorphic to the vector space  $T_x(X_0)$ , and  $T_x(X)$  induces a canonical complex structure on  $T_x(X_0)$ .*

*Proof.* For any complex manifold  $X$  and  $x \in X$ , we define the  $\mathbb{R}$ -linear map  $\eta_{X,x} : T_x X_0 \rightarrow T_x X$  such that for all  $f \in \mathcal{O}_{X,x}$  in real and complex components  $f = u + iv$ , and  $D \in T_x X_0$ , we define  $\eta_{X,x}(D)f = D(u) + iD(v)$ . Note that since  $f \in \mathcal{O}_{X,x}$  is holomorphic,  $u$  and  $v$  are smooth, hence  $u, v \in \mathcal{A}_{X_0,x}$ , so the map is defined.

Our goal is to prove that  $\eta_{X,x}$  is an isomorphism. First, we will demonstrate this for  $\eta = \eta_{\mathbb{C}^n,p} : T_p(\mathbb{C}^n) \rightarrow T_p(\mathbb{C}^n)$ . Then writing the coordinates of  $(z_1, \dots, z_n) \in \mathbb{C}^n$ , as  $z_j = x_j + iy_j$  the collections of partial coordinate derivatives

$$\left\{ \left. \frac{\partial}{\partial x_j} \right|_p, \left. \frac{\partial}{\partial y_j} \right|_p : 1 \leq j \leq n \right\}, \left\{ \left. \frac{\partial}{\partial z_j} \right|_p : 1 \leq j \leq n \right\}.$$

are respective  $\mathbb{R}$  and  $\mathbb{C}$  bases for  $T_p \mathbb{C}_0^n$  and  $T_p \mathbb{C}^n$ , and thus  $\left\{ \left. \frac{\partial}{\partial z_j} \right|_p, i \left. \frac{\partial}{\partial z_j} \right|_p : 1 \leq j \leq n \right\}$  is an  $\mathbb{R}$ -basis for  $T_p \mathbb{C}^n$ .

For any  $f = u + iv \in \mathcal{O}_{\mathbb{C}^n,p}$ , and recalling that the Cauchy-Riemann equations state that  $\frac{\partial u}{\partial x_j} = \frac{\partial v}{\partial y_j}$  and  $\frac{\partial u}{\partial y_j} = -\frac{\partial v}{\partial x_j}$  for each  $j$ , we find

$$\begin{aligned} \eta \left( \left. \frac{\partial}{\partial x_j} \right|_p \right) f &= \left. \frac{\partial u}{\partial x_j} \right|_p + i \left. \frac{\partial v}{\partial x_j} \right|_p = \frac{1}{2} \left( \left. \frac{\partial u}{\partial x_j} \right|_p + i \left. \frac{\partial v}{\partial x_j} \right|_p + \left. \frac{\partial u}{\partial x_j} \right|_p + i \left. \frac{\partial v}{\partial x_j} \right|_p \right) \\ &= \left( \left. \frac{\partial u}{\partial x_j} \right|_p + i \left. \frac{\partial v}{\partial x_j} \right|_p + \left. \frac{\partial v}{\partial y_j} \right|_p - i \left. \frac{\partial u}{\partial y_j} \right|_p \right) \\ &= \frac{1}{2} \left( \left. \frac{\partial}{\partial x_j} \right|_p - i \left. \frac{\partial}{\partial y_j} \right|_p \right) (u + iv) \\ &= \left. \frac{\partial f}{\partial z_j} \right|_p \end{aligned}$$

Similarly,

$$\begin{aligned}
\eta \left( \frac{\partial}{\partial y_j} \Big|_p \right) f &= \frac{1}{2} \left( \frac{\partial u}{\partial y_j} \Big|_p + i \frac{\partial v}{\partial y_j} \Big|_p + \frac{\partial u}{\partial y_j} \Big|_p + i \frac{\partial v}{\partial y_j} \Big|_p \right) = \frac{1}{2} \left( \frac{\partial u}{\partial y_j} \Big|_p + i \frac{\partial v}{\partial y_j} \Big|_p - \frac{\partial v}{\partial x_j} \Big|_p + i \frac{\partial u}{\partial x_j} \Big|_p \right) \\
&= i \cdot \frac{1}{2} \left( -i \frac{\partial u}{\partial y_j} \Big|_p + \frac{\partial v}{\partial y_j} \Big|_p + i \frac{\partial v}{\partial x_j} \Big|_p + \frac{\partial u}{\partial x_j} \Big|_p \right) \\
&= i \cdot \frac{1}{2} \left( \frac{\partial}{\partial x_j} \Big|_p - i \frac{\partial}{\partial y_j} \Big|_p \right) (u + iv) \\
&= i \frac{\partial f}{\partial z_j} \Big|_p.
\end{aligned}$$

Therefore  $\eta$  is surjective, and since  $T_p \mathbb{C}_0^n$  and  $T_p \mathbb{C}^n$  have the same *real* dimension,  $\eta$  is an isomorphism.

Returning to the general case, any holomorphic map  $f : X \rightarrow Y$  of holomorphic manifolds defines a smooth map  $\tilde{f} : X_0 \rightarrow Y_0$ . For any  $x \in X$ , the  $\mathbb{R}$ -linear map between the underlying real vector spaces of  $T_x(X)$  and  $T_{f(x)}Y$  induced by  $(df)_x$  is simply the real Jacobian  $(d\tilde{f})_x$ . Thus, for any  $g = u + iv \in \mathcal{O}_{X,x}$  and  $D \in T_x X_0$ , we compute

$$\eta_{Y,f(x)} \left( (d\tilde{f})_x \right) g = (d\tilde{f})_x Du + i(d\tilde{f})_x Dv = (d\tilde{f})_x (Du + iDv) = (d\tilde{f})_x \eta_{X,x}(D)g,$$

and thus the diagram

$$\begin{array}{ccc}
T_x X_0 & \xrightarrow{\eta_{X,x}} & T_x X \\
\downarrow (d\tilde{f})_x & & \downarrow (df)_x \\
T_{f(x)} Y_0 & \xrightarrow{\eta_{Y,f(x)}} & T_{f(x)} Y
\end{array}$$

is commutative<sup>1</sup>. In particular, for any chart  $(U_\alpha, \phi_\alpha)$  of  $X$ ,

$$\begin{array}{ccc}
T_x X_0 & \xrightarrow{\eta_{X,x}} & T_x X \\
\downarrow (d\tilde{\phi}_\alpha)_x & & \downarrow (d\phi_\alpha)_x \\
T_{\phi_\alpha(x)} \mathbb{C}_0^n & \xrightarrow{\eta_{Y,\phi_\alpha(x)}} & T_{\phi_\alpha(x)} \mathbb{C}^n
\end{array}$$

is commutative, and since  $d\tilde{\phi}_\alpha$  and  $\eta_{\mathbb{R}^{2n},\phi_\alpha(x)}$  are isomorphisms, so must be  $\eta_{X,x}$ .

Therefore, the complex structure on  $T_x X$  induces a *canonical* complex structure on  $T_x X_0$  via  $\eta_{X,x}$ . □

**Definition 1.1.4.** For a real manifold  $M$  of dimension  $2n$ , we call a vector bundle isomorphism

$$J : TM \rightarrow TM,$$

such that  $J^2 = -\text{Id}_{TM}$ , an *almost complex structure*. In other words, for all  $p \in M$ , the map  $J_p : T_p M \rightarrow T_p M$  is a complex structure. In this case, we call the pair  $(M, J)$  an *almost complex manifold*.

<sup>1</sup>The composition of maps in either direction agree

**Theorem 1.1.5.** *Every complex manifold  $X$  induces an almost complex structure on its underlying real manifold  $X_0$ .*

*Proof.* The previous proposition demonstrates that for each  $x \in X$ , the complex structure on  $T_x X$  induces a canonical complex structure on  $T_x X_0$ . Thus one need only check that these structures vary smoothly. The proof can be found in *Proposition 3.4* on page 30 of [10].  $\square$

Recall that for an arbitrary  $K$ -vector space  $V$ , the tensor algebra  $T(V) = \bigoplus_{k=0}^{\infty} V^{\otimes k}$ , and the ideal  $I = \langle v \otimes v \rangle$ , we define  $\bigwedge V := T(V)/I$ . The image of  $v_1 \otimes \dots \otimes v_k$  in the quotient is denoted by  $v_1 \wedge \dots \wedge v_k$ . We set  $\bigwedge^0 V := K$ , and for  $1 \leq k \leq \dim V$ , we define  $\bigwedge^k V := \text{span}_K \{v_1 \wedge \dots \wedge v_k \mid v_1, \dots, v_k \in V\}$ .

For a smooth (real) manifold  $M$ , we define the *cotangent bundle*  $T^*M \rightarrow M$  to be the vector bundle whose fiber at  $p \in M$  is the vector space dual  $(T_p M)^*$  of  $T_p M$ . Writing  $n = \dim_S M$ , we define the *exterior algebra bundles*  $\bigwedge^* T M \rightarrow M$  and  $\bigwedge^* T^* M \rightarrow M$ , where for  $p \in M$  the respective fibers are

$$\bigwedge^* T_p M := \bigoplus_{k=0}^n \bigwedge^k T_p M, \quad \text{and} \quad \bigwedge^* T_p^* M := \bigoplus_{k=0}^n \bigwedge^k T_p^* M.$$

**Definition 1.1.6.** For any open set  $U \subseteq M$ , for  $1 \leq k \leq n$  we define the  $C^\infty$  *differential forms of degree  $k$*  to be

$$\mathcal{A}^k(U) = \mathcal{A}\left(U, \bigwedge^k T^* M\right).$$

Thus the exterior derivative defines a map  $d : \mathcal{A}^k(U) \rightarrow \mathcal{A}^{k+1}(U)$ .

## Complexification

In this section we combine some of the results of the previous section in order to define the *complex differential forms* on a holomorphic manifold  $X$ .

**Definition 1.1.7.** Given a (left) vector space  $V$ , we define the *complexification* to be the (right)  $\mathbb{C}$ -vector space  $V_{\mathbb{C}} := V \otimes_{\mathbb{R}} \mathbb{C}$ .

Given a complex structure  $J$  on a vector space  $V$ , one can extend the action of  $J$  to  $V_{\mathbb{C}}$  by  $J(v \otimes z) = J(v) \otimes z$ . As the relation  $J^2 = -I$  still holds, we may decompose  $V_{\mathbb{C}}$  into its respective  $+i$  and  $-i$  eigenspaces,  $V^{(1,0)}$  and  $V^{(0,1)}$ . The subspaces  $V^{(1,0)}, V^{(0,1)} \subseteq V_{\mathbb{C}}$  give rise to natural inclusions  $\bigwedge^* V^{(1,0)}, \bigwedge^* V^{(0,1)} \subseteq \bigwedge^* V_{\mathbb{C}}$ .

We define

$$\bigwedge^{k,l} V := \text{span}_{\mathbb{C}} \left\{ u \wedge w : u \in \bigwedge^k V^{(1,0)}, w \in \bigwedge^l V^{(0,1)} \right\}.$$

**Definition 1.1.8.** For a real manifold  $M$ , we define the space of *complex valued differential forms of total degree  $r$*  to be

$$\mathcal{A}^r(M)_{\mathbb{C}} := \mathcal{A}\left(M, \bigwedge^r T^* M_{\mathbb{C}}\right).$$

Again, the exterior derivative can be extended to a map  $d : \mathcal{A}^r(M)_{\mathbb{C}} \rightarrow \mathcal{A}^{r+1}(M)_{\mathbb{C}}$ .

If  $(M, J)$  is an almost complex manifold, then complexifying the fibers, we obtain a complex-vector bundle

$T(M)_{\mathbb{C}} \rightarrow M$ , and the operator  $J$  extends to a complex-linear morphism of  $T(M)_{\mathbb{C}}$  with eigenvalues  $\pm i$ , where we write the bundles of the respective eigenspaces  $T(M)^{1,0}$  and  $T(M)^{0,1}$ .

By taking the wedges fiber-wise, we also obtain the vector bundle  $\bigwedge^{k,l} T^*M$  where the fiber over  $x \in M$  is  $\bigwedge^{k,l} T_x^*M$ .

We denote

$$\mathcal{A}^{k,l}(M) := \mathcal{A}\left(M, \bigwedge^{k,l} T^*M\right).$$

Thus we obtain a decomposition  $\mathcal{A}^r(M)_{\mathbb{C}} = \bigoplus_{k+l=r} \mathcal{A}^{k,l}(M)$ .

The exterior derivative can be extended again (see page 33 of [10]) to a map

$$d : \mathcal{A}^{k,l}(M) \rightarrow \mathcal{A}^{k+l+1}(M) = \bigoplus_{r+s=k+l+1} \mathcal{A}^{r,s}(M).$$

We define

$$(\partial = \pi_{k+1,l} \circ d) : \mathcal{A}^{k,l} \rightarrow \mathcal{A}^{k+1,l}(M)$$

$$(\bar{\partial} = \pi_{k,l+1} \circ d) : \mathcal{A}^{k,l} \rightarrow \mathcal{A}^{k,l+1}(M),$$

where the  $\pi_{\cdot,\cdot}$  are natural projection maps. By (complex) linearity, these extend to all of  $\mathcal{A}^*(M)_{\mathbb{C}} = \bigoplus_{r=0}^n \mathcal{A}^r(M)_{\mathbb{C}}$ .

We can decompose the above map  $d$  as

$$d = \sum_{r+s=k+l+1} \pi_{r,s} \circ d = \dots + \pi_{k+1,l} \circ d + \pi_{k,l+1} \circ d + \dots = \dots + \partial + \bar{\partial} + \dots$$

If it happens that the other terms in this sum cancel out/are zero, that is  $d = \partial + \bar{\partial}$ , we say that the almost complex structure is *integrable*.

**Theorem 1.1.9.** *Given a complex manifold  $X$ , the induced complex structure is integrable.*

*Proof.* See Page 34 of [10]. □

## Hermitian Geometry

We have already seen that the notion of complex structures on vector spaces gives rise to a similar notion for vector bundles. Often, one is interested in complex vector spaces equipped with a kind of inner product known as a *Hermitian form* or *Hermitian inner product* (to be defined below). Hermitian inner products can also be defined for vector bundles, and we'll see that their existence in the case of holomorphic vector bundles, leads to a rich geometric theory.

**Definition 1.1.10.** A *connection*  $\nabla$  on a vector bundle  $E \rightarrow M$  is a  $\mathbb{C}$ -linear map  $\mathcal{A}(M, E) \rightarrow \mathcal{A}^1(M, E)$ , such that  $\forall \alpha \in \mathcal{A}(M), \forall \omega \in \mathcal{A}(M, E)$

$$\nabla(\alpha\omega) = (d\alpha) \wedge \omega + \alpha \wedge \nabla\omega.$$

Given a frame  $\{e_1, \dots, e_r\}$  over an open set  $U \subseteq M$ , there exist  $\theta_{ij} \in \mathcal{A}^1(U)$  such that

$$\nabla e_j = \sum_{i=1}^r \theta_{ij} e_i,$$

and thus we obtain a matrix  $\theta$  with values in  $\mathcal{A}^1(U)$  whose  $i, j$  entry is  $\theta_{i,j}$ . Now given some section

$$\xi = \sum_{i=1}^r \xi_i e_i \in \mathcal{A}(U, E),$$

$$\begin{aligned} \nabla \xi &= \nabla \left( \sum_{j=1}^r \xi_j e_j \right) = \sum_{j=1}^r ((d\xi_j) \wedge e_j + \xi_j \wedge \nabla e_j) = \sum_{j=1}^r \left( (d\xi_j) \wedge e_j + \xi_j \wedge \sum_{i=1}^r \theta_{ij} e_i \right) \\ &= \sum_{j=1}^r ((d\xi_j) \wedge e_j + \xi_j \wedge \theta e_j) \\ &= \left( \sum_{j=1}^r (d\xi_j) \wedge e_j \right) + \left( \theta \sum_{j=1}^r \xi_j \wedge e_j \right) \\ &= d\xi + \theta \xi \\ &= (d + \theta)\xi. \end{aligned}$$

As  $\theta$  is a matrix of 1-forms, it defines a map  $\mathcal{A}^k(U, E) \rightarrow \mathcal{A}^{k+1}(U, E)$ , and thus we can extend  $\nabla$  to a map

$$(d + \theta) : \mathcal{A}^k(U, E) \rightarrow \mathcal{A}^{k+1}(U, E).$$

In fact, as on page 74 of [10], these local descriptions glue in a consistent fashion so that we can view  $\nabla = d + \theta$  as a map

$$\mathcal{A}^k(M, E) \rightarrow \mathcal{A}^{k+1}(M, E),$$

known as the *covariant derivative*.

If  $M$  is an almost-complex manifold, then Since  $\mathcal{A}^1(M, E) = \mathcal{A}^{1,0}(M, E) \oplus \mathcal{A}^{0,1}(M, E)$ , any connection  $\nabla : \mathcal{A}(M, E) \rightarrow \mathcal{A}^1(M, E)$  can be split into maps

$$\nabla' : \mathcal{A}(M, E) \rightarrow \mathcal{A}^{1,0}(M, E)$$

$$\nabla'' : \mathcal{A}(M, E) \rightarrow \mathcal{A}^{0,1}(M, E)$$

where  $\nabla = \nabla' + \nabla''$ .

Given a pair of  $(n \times n)$  matrices  $A$  and  $B$  valued in  $\mathcal{A}^*(M)$ , we define  $A \wedge B$  (occasionally writing  $AB$  when the context is understood) such that the  $(j, k)$ th entry is given by  $[AB]_{jk} = \sum_{l=1}^n A_{jl} \wedge B_{lk}$ .

**Definition 1.1.11.** Given a connection  $\nabla$  for a vector bundle  $E \rightarrow M$ , with a local description  $\nabla = d + \theta$ , we define the *curvature matrix*  $\Theta$  by  $\Theta := d\theta + \theta \wedge \theta$ .

For  $\xi = (\xi_1, \dots, \xi_r) \in \mathcal{A}(U, E)$ , we recall that  $d(\alpha \wedge \beta) = (-1)^{\deg \alpha} \alpha \wedge d\beta$ , and writing  $d\theta$  for the matrix whose  $i, j$ th entry is  $d\theta_{i,j}$ ,

$$d(\theta \xi_i) = d \sum_{j=1}^r \theta_{i,j} \xi_j = \sum_{j=1}^r ((d\theta_{i,j})\xi_j - \theta_{i,j} d\xi_j) = (d\theta)\xi_i - \theta(d\xi_i).$$

Using this result, we can compute the explicit form

$$\begin{aligned} \nabla^2 \xi &= (d + \theta)^2 \xi = (d^2 + d\theta + \theta d + \theta^2)\xi = d^2 \xi + d(\theta \xi) + \theta d \xi + \theta^2 \xi \\ &= 0 + ((d\theta)\xi - \theta d \xi) + \theta d \xi + \theta^2 \xi \\ &= (d\theta)\xi + \theta^2 \xi \\ &= (d\theta + \theta^2)\xi. \end{aligned}$$

Therefore, locally, the curvature can be expressed as the matrix  $\Theta = (d + \theta)^2 = \nabla \circ \nabla = \nabla^2$ . These local descriptions of  $\Theta$  can also be glued together to obtain a global element  $\Theta \in \mathcal{A}^2(M, \text{End} E)$ . That is, *Proposition 1.9* on page 74 of [10] states that  $\nabla^2 = \Theta$  is an operator  $\mathcal{A}^k(M, E) \rightarrow \mathcal{A}^{k+2}(M, E)$ . Thus we can think of the operator  $\Theta$  as a matrix of 2-forms.

Given an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$ , we know that  $f$  induces a *pullback* map  $f^* : \mathcal{A}^*(M) \rightarrow \mathcal{A}^*(N)$ . Furthermore, given a vector bundle  $E \rightarrow M$ , there exists a *pullback bundle*  $f^*E \rightarrow N$ , and a pair of maps which we also denote by  $f^*$ , where

$$\begin{aligned} f^* &: \mathcal{A}^0(M, E) \rightarrow \mathcal{A}^0(N, f^*E) \\ f^* &: \mathcal{A}^0(M, \text{End}(E)) \rightarrow \mathcal{A}^0(N, \text{End}(f^*E)). \end{aligned}$$

The first of these maps, together with the pullback of differential forms, induces another pullback map  $f^* : \mathcal{A}^*(M, E) \rightarrow \mathcal{A}^*(N, f^*E)$ .

Given that a connection  $\nabla$  on  $E \rightarrow M$  is a map  $\mathcal{A}^0(M, E) \rightarrow \mathcal{A}^1(M, E)$ , one may hope there exists a connection  $f^*\nabla$  on  $f^*E \rightarrow N$ , which is *naturally* induced by  $f$  and  $\nabla$ . A priori, there are many possible choices of connections on  $f^*E \rightarrow N$ , however we would like  $f^*\nabla$  to be compatible with the other notions of pullback. That is, letting  $s : M \rightarrow E$  be a section of  $E \rightarrow M$ , a reasonable guess for dictating how  $f^*\nabla$  should act on  $f^*s$ , is by simply having  $\nabla$  act on  $s$ , *and then* pullback. That is,  $f^*\nabla(f^*s) = f^*(\nabla s)$ . In fact, this request is enough to *uniquely* characterize  $f^*\nabla$ .

**Theorem 1.1.12.** *Let  $\nabla$  be a connection on an  $\mathcal{S}$ -bundle  $E \rightarrow M$  and  $f : N \rightarrow M$  and  $\mathcal{S}$ -morphism. Then*

1. *There exists a unique connection  $f^*\nabla$  on  $f^*E \rightarrow N$  such that, for all sections  $s \in \mathcal{A}^0(M, E)$  we have  $f^*\nabla(f^*s) = f^*(\nabla s)$ ,*
2. *If, given a frame  $\{e_1, \dots, e_r\}$  over an open set  $U \subseteq M$ , the connection has the local description  $\nabla = d_M + \theta$ , then for the frame  $\{f^*e_1, \dots, f^*e_r\}$  over  $f^{-1}(U)$ , the connection  $f^*\nabla$  has local description  $f^*\nabla = d_N + f^*\theta$ , where  $f^*$  is the entry-wise pullback of the matrix of forms  $\theta$ , and*



3. If  $\Theta : \mathcal{A}^k(M, E) \rightarrow \mathcal{A}^{k+2}(M, E)$  is the curvature operator associated to  $\theta$ , then the curvature operator associated to  $f^*\Theta$  is just the entry-wise pullback of  $\Theta$  under  $f$ .

*Proof.* For the proof of existence of 1), and the proofs of 2) and 3), see *Theorem 3.6, page 92* of [10]. We will prove uniqueness of the pullback connection here. Let  $\nabla'$  be another connection satisfying  $\nabla'(f^*s) = f^*(\nabla(s))$ . Given an open set  $U \subset M$  and a frame  $\{e_1, \dots, e_r\}$ , the set  $\{f^*e_1, \dots, f^*e_r\}$  is a frame over  $f^{-1}(U)$ . By our assumption, for  $1 \leq j \leq r$  we find

$$\nabla'(1 \otimes f^*e_j) = \nabla'(f^*(1 \otimes e_j)) = f^*(\nabla(1 \otimes e_j)) = f^*\nabla(f^*(1 \otimes e_j)) = f^*\nabla(1 \otimes f^*e_j).$$

Thus, writing an arbitrary  $\xi \in \mathcal{A}^0(N, f^*E)$  as  $\xi = \sum_{j=1}^r \xi_j \otimes f^*e_j$ , by the definition of a connection

$$\begin{aligned} \nabla'(\xi) &= \nabla' \left( \sum_{j=1}^r \xi_j \otimes f^*e_j \right) \\ &= \sum_{j=1}^r \nabla'(\xi_j \otimes f^*e_j) \\ &= \sum_{j=1}^r d\xi_j \otimes f^*e_j + \xi_j \wedge \nabla'(1 \otimes f^*e_j) \\ &= \sum_{j=1}^r d\xi_j \otimes f^*e_j + \xi_j \wedge f^*\nabla(1 \otimes f^*e_j) \\ &= \sum_{j=1}^r f^*\nabla(\xi_j \otimes f^*e_j) \\ &= f^*\nabla \left( \sum_{j=1}^r \xi_j \otimes e_j \right) \\ &= f^*\nabla(\xi). \end{aligned}$$

As every point of  $N$  is contained in a set of the form  $f^{-1}(U)$  for an open set  $U \subseteq M$  possessing a frame, and the arbitrary choice of  $\xi \in \mathcal{A}^0(N, f^*E)$ , we conclude that  $\nabla' = f^*\nabla$ .  $\square$

**Definition 1.1.13.** Given a  $\mathbb{C}$ -vector space  $W$ , a *Hermitian inner product* is a map  $\langle, \rangle : W \times W \rightarrow \mathbb{C}$  such that, for all  $u, v, w \in W$  and  $\alpha \in \mathbb{C}$ ,

1.  $\langle \alpha(u + v), w \rangle = \alpha \langle u, w \rangle + \alpha \langle v, w \rangle$ ,
2.  $\langle u, \alpha(v + w) \rangle = \bar{\alpha} \langle u, v \rangle + \bar{\alpha} \langle u, w \rangle$ ,
3.  $\langle v, u \rangle = \overline{\langle u, v \rangle}$ ,
4.  $\langle u, u \rangle \geq 0$  with equality iff  $u = 0$ .

**Definition 1.1.14.** Given a smooth, complex vector bundle  $E \rightarrow M$ , a *Hermitian metric* on  $E$  is a choice of Hermitian inner product  $\langle \cdot, \cdot \rangle_p$  on each fiber  $E_p$ , such that for any open set  $U \subseteq M$  and  $\xi, \eta \in \mathcal{A}(U, E)$ , the map

$$\begin{aligned} U &\rightarrow \mathbb{C} \\ p &\mapsto \langle \xi(p), \eta(p) \rangle \end{aligned}$$

is smooth. In this case we say that  $E \rightarrow M$  is a Hermitian vector bundle.

Given a Hermitian vector bundle  $E \rightarrow M$ , we can define an extension of the Hermitian form  $\langle \cdot, \cdot \rangle$  on  $E \rightarrow X_0$  to a map  $\langle \cdot, \cdot \rangle : \mathcal{A}^k(X, E) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^l(X, E) \rightarrow \mathcal{A}^{k+l}(M)$ . First, for all  $\omega \in \wedge^k T_x^*(X_0)$ ,  $\eta \in \wedge^l T_x^*(X_0)$  and  $\xi, \zeta \in E$  we define

$$\langle \omega \otimes \xi, \gamma \otimes \zeta \rangle_p := \omega \wedge \gamma \langle \xi, \zeta \rangle_p.$$

By linearity this extends to all of  $\mathcal{A}^k(M, E) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^l(M, E)$ .

**Definition 1.1.15.** We say that a connection  $\nabla$  is *compatible* with a metric if for any open set  $U \subseteq X$ , and  $\xi, \eta \in \mathcal{A}(U, E)$ ,

$$d \langle \xi, \eta \rangle = \langle \nabla \xi, \eta \rangle + \langle \xi, \nabla \eta \rangle.$$

Suppose now that we have a holomorphic vector bundle  $E \rightarrow X$ . This determines a smooth (complex) vector bundle on the underlying real manifold  $X_0$ . If  $E \rightarrow X_0$  has a Hermitian metric, we call  $E \rightarrow X$  a *Hermitian holomorphic vector bundle*.

**Theorem 1.1.16.** *Given a holomorphic Hermitian vector bundle  $E \rightarrow X$ , there exists a unique connection  $\nabla$  such that*

1.  $\nabla$  is compatible with the metric, and
2. for any open set  $U \subseteq X$  and  $\xi \in \mathcal{A}(U, E)$  we have  $\nabla'' \xi = 0$ .

*Proof.* See page 78 of [10]. □

The above connection is referred to as the *canonical connection* associated to the Hermitian holomorphic vector bundle. Both the canonical connection, and the associated curvature, have a particularly nice local description, which we make use of in the code at the end of this document.

**Proposition 1.1.17.** *Let  $E \rightarrow X$  be a Hermitian holomorphic vector bundle,  $\{e_1, \dots, e_r\}$  be a local frame over an open set  $U \subseteq X$ , and write the canonical connection  $\nabla$  in its local description as  $\nabla = d + \theta$ .*

*Then, for the Hermitian form  $\langle \cdot, \cdot \rangle$ , the matrix  $H_{jk} = \langle e_j, e_k \rangle$ , and the operator  $\Theta \in \mathcal{A}^2(X, \text{End} E)$  determined by the curvature,*

$$\begin{aligned} \theta &= H^{-1} \partial H \\ \Theta &= \bar{\partial} \theta \end{aligned}$$

*Proof.* See page 79 of [10]. □

## 1.2 Super Geometry

In [8], Quillen introduced the notion of *superconnections*, generalizing both the classical notion of connection, and Chern-Weil theory. Superconnections are essential to the constructions of [4] used in later chapters, and thus we recreate the relevant aspects of the theory in this section.

### Super Vector Spaces

A  $K$ -vector space  $V$  with a  $\mathbb{Z}_2$ -grading, that is  $V = V^0 \oplus V^1$ , will be referred to as a *super vector space*. The  $\mathbb{Z}_2$ -grading on  $V$  induces a grading

$$\begin{aligned} (\text{End}V)^0 &= \text{End}(V^0) \oplus \text{End}(V^1) \\ (\text{End}V)^1 &= \text{Hom}(V^0, V^1) \oplus \text{Hom}(V^1, V^0), \end{aligned}$$

making  $\text{End}V$  into a *superalgebra*. We say that an element  $X \in (\text{End}V)^i$  is *homogeneous*, and call it *even* if  $i = 0$ , and *odd* if  $i = 1$ . We introduce a *supercommutator* on  $\text{End}V$ , given by

$$[X, Y] := XY - (-1)^{\deg X \deg Y} YX.$$

Indeed, the supercommutator satisfies an augmented version of the definition of the Lie bracket.

**Definition 1.2.1.** For an arbitrary  $K$ , and a  $\mathbb{Z}_2$ -graded  $K$ -vector space  $\mathfrak{g}$ , a  $K$ -bilinear map  $[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  is said to be a *super Lie bracket* if for all  $X \in \mathfrak{g}^i, Y \in \mathfrak{g}^j, Z \in \mathfrak{g}^k$ ,

1.  $[Y, X] = -(-1)^{\deg X \deg Y} [X, Y]$ ,
2.  $(-1)^{\deg X \deg Z} [X, [Y, Z]] + (-1)^{\deg Y \deg X} [Y, [Z, X]] + (-1)^{\deg Z \deg Y} [Z, [X, Y]]$  (the super Jacobi identity).

Any such pair  $(\mathfrak{g}, [\cdot, \cdot])$  is referred to as a *super Lie algebra*.

**Proposition 1.2.2.** For any  $\mathbb{Z}_2$ -graded (associative)  $K$ -algebra  $\mathfrak{g}$ , the bracket defined by

$$[X, Y] := XY - (-1)^{\deg X \deg Y} YX,$$

is a super Lie bracket, and thus  $(\mathfrak{g}, [\cdot, \cdot])$  is a Lie superalgebra.

We also make note of the fact that for a  $\mathbb{Z}_2$ -graded algebra  $\mathfrak{g}$ , and  $X \in \mathfrak{g}^i, Y \in \mathfrak{g}^j$  we have  $XY, YX \in \mathfrak{g}^{i+j}$ , and therefore  $[X, Y] \in \mathfrak{g}^{i+j}$ . Therefore  $\deg[X, Y] := \deg X + \deg Y = i + j$ . (Where both here and in the following, all arithmetic is done modulo 2.)

In particular, the super commutator on  $\text{End}V$  makes it into a Lie superalgebra.

**Proposition 1.2.3.** The even and odd endomorphisms respectively commute and anti-commute with the involution  $\epsilon(v) = (-1)^{\deg v} v$ .

*Proof.* Suppose  $X \in (\text{End}V)^0$ ,  $Y \in (\text{End}V)^1$ , and let  $v \in V$  be homogeneous. Since  $X$  is even  $\deg v = \deg Xv$ , and thus

$$X\epsilon(v) = X(-1)^{\deg v}v = (-1)^{\deg v}Xv = (-1)^{\deg(Xv)}Xv = \epsilon(Xv).$$

Since  $Y$  is odd,  $\deg Yv = \deg v + 1 \Rightarrow \deg v = \deg(Yv) - 1$ , hence

$$Y\epsilon v = Y(-1)^{\deg v}v = (-1)^{\deg v}Yv = (-1)^{\deg(Yv)-1}Yv = -(-1)^{\deg Yv}Yv = -\epsilon Yv.$$

By the arbitrary choice of  $v \in V$ , the even endomorphisms commute with  $\epsilon$ , while the odd endomorphisms anti-commute.  $\square$

We define the *supertrace* to be

$$\begin{aligned} \text{tr}_s : \text{End}V &\rightarrow \mathbb{C} \\ X &\mapsto \text{tr}(\epsilon X) \end{aligned}$$

The additive property of the supertrace follows from that of the usual trace,

$$\text{tr}_s(X + Y) = \text{tr}(\epsilon(X + Y)) = \text{tr}(\epsilon X + \epsilon Y) = \text{tr}(\epsilon X) + \text{tr}(\epsilon Y) = \text{tr}_s(X) + \text{tr}_s(Y).$$

Let  $Y \in (\text{End}V)^1$ . By properties of  $\text{tr}$  we have  $\text{tr}(\epsilon Y) = \text{tr}(Y\epsilon)$ ; however  $Y$  anti-commutes with  $\epsilon$  and thus

$$\text{tr}_s(Y) = \text{tr}(\epsilon Y) = \text{tr}(Y\epsilon) = \text{tr}(-\epsilon Y) = -\text{tr}(\epsilon Y) = -\text{tr}_s(Y).$$

Therefore  $\text{tr}_s(Y) = 0$  for any odd operator  $Y$ .

If  $X, Y \in (\text{End}V)^1$ , then by anti-commutativity we get

$$\text{tr}_s(XY) = \text{tr}(\epsilon XY) = \text{tr}(-X(\epsilon Y)) = \text{tr}(-(\epsilon Y)X) = -\text{tr}(\epsilon YX) = -\text{tr}_s(YX).$$

If  $\deg X \neq \deg Y$ , then  $XY$  and  $YX$  are both odd, and thus

$$\text{tr}_s(XY) = 0 = \text{tr}_s(YX).$$

Therefore we see that in general that

$$\text{tr}_s XY = (-1)^{(\deg X)(\deg Y)} \text{tr}_s YX.$$

This implies that for any  $X, Y \in \mathfrak{g}$  we find

$$\begin{aligned} \text{tr}_s[X, Y] &= \text{tr}_s(XY) - (-1)^{(\deg X)(\deg Y)} \text{tr}_s(YX) \\ &= (-1)^{\deg X \deg Y} \text{tr}_s(YX) - (-1)^{\deg X \deg Y} \text{tr}_s(YX) \\ &= 0. \end{aligned}$$

## Super Vector Bundles

In this section we will extend the notion of a super vector space, to that of a super vector bundle. We will then upgrade our previous constructions, such as the curvature and connection, to account for the graded structure.

As in the previous section, we will write  $K$  for either  $\mathbb{R}$  or  $\mathbb{C}$ , and we will let  $M$  be an  $\mathcal{S}$ -manifold.

**Definition 1.2.4.** For an  $\mathcal{S}$ -manifold  $M$ , a *super vector bundle* is a vector bundle  $\pi : E \rightarrow M$  with a  $\mathbb{Z}_2$ -grading. Namely, it is the direct sum of vector bundles  $E^0 \rightarrow M$  and  $E^1 \rightarrow M$  called the *even* and *odd* components respectively.

Recall that for an  $\mathcal{S}$ -morphism  $f : N \rightarrow M$ , the pullback bundle  $f^*E \rightarrow N$  is defined by setting  $f^*E = \{(n, e) \in N \times E \mid f(n) = \pi(e)\}$ . By the commutativity of direct sums and pullbacks of vector bundles

$$f^*E = f^*(E^0 \oplus E^1) \cong f^*(E^0) \oplus f^*(E^1),$$

and thus we obtain a natural  $\mathbb{Z}_2$ -grading by setting  $(f^*E)^0 = f^*(E^0)$  and  $(f^*E)^1 = f^*(E^1)$ . Hence the usual pullback of vector bundles applied to a super vector bundle, produces a super vector bundle.

Recall that a section  $s : M \rightarrow E$  defines a section  $f^*s : N \rightarrow f^*E$  called the *pullback*, which is given by  $n \mapsto (n, s \circ f(n))$ . Throughout this section, the notation  $f^*$  and terminology "pullback" will be used for a variety of distinct notions, though each is compatible with the other in a natural way, and the meaning should be clear from context.

In the case of  $\text{End}E \rightarrow M$ , we obtain an isomorphism  $f^*(\text{End}E) \cong \text{End}(f^*E)$ . In particular

$$f^*(\text{End}E) \cong \text{End}(f^*E) \cong \text{End}((f^*E)^0) \oplus \text{End}((f^*E)^1) \cong \text{End}(f^*(E^0)) \oplus \text{End}(f^*(E^1)) \cong f^*(\text{End}E^0) \oplus f^*(\text{End}E^1),$$

giving us the natural  $\mathbb{Z}_2$ -grading  $(f^*(\text{End}E))^0 = f^*(\text{End}E^0)$ ,  $f^*(\text{End}E)^1 = f^*(\text{End}E^1)$ . Therefore, we see that for any homogeneous  $A \in \text{End}E$ , we have  $\deg A = \deg f^*A$ .

Furthermore, given the standard super Lie bracket  $[\cdot, \cdot]$  on  $\text{End}E$ , it follows that  $[(n, A), (n, B)]_* := (n, [A, B])$ , defines a super Lie bracket on  $f^*(\text{End}E)$ , making it into a super vector bundle whose fibers are Lie superalgebras.

The vector space  $\mathcal{A}^*(M)$  of smooth differential forms is  $\mathbb{Z}$ -graded, and the space  $\mathcal{A}^0(M, E)$  of  $\mathcal{S}$ -sections inherits a  $\mathbb{Z}_2$ -grading by  $(\mathcal{A}^0(M, E))^i := \mathcal{A}^0(M, E^i)$ .

As seen above, we have a map

$$f^*[\mathcal{A}^0(M, E)]^i = f^*\mathcal{A}^0(M, E^i) \rightarrow \mathcal{A}^0(N, f^*(E^i)) \cong \mathcal{A}^0(N, (f^*E)^i) = [\mathcal{A}^0(N, f^*E)]^i.$$

Recall that for any smooth map  $f : N \rightarrow M$ , we also denote the pullback on differential forms  $\mathcal{A}^*(M) \rightarrow \mathcal{A}^*(N)$  by  $f^*$ . Since  $\mathcal{A}^*(M)$  is  $\mathbb{Z}$ -graded, we obtain a natural  $\mathbb{Z} \times \mathbb{Z}_2$ -grading on the  $\mathcal{A}^*(M)$ -module

$$\mathcal{A}(M, E) = \mathcal{A}^*(M) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^0(M, E).$$

However we'll only be interested in the total  $\mathbb{Z}_2$ -grading of  $\mathcal{A}^*(M, E)$  where for  $A \in \mathcal{A}^i(M) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^0(M, E^j)$  we set  $\deg A = (i + j) \bmod 2$ .

We also define a map of algebras

$$\begin{aligned} f^* [\mathcal{A}^*(M) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^0(M, E)] &\rightarrow \mathcal{A}^*(N) \otimes_{\mathcal{A}^0(N)} f^* \mathcal{A}^0(M, E) \\ \omega \otimes s &\mapsto f^* \omega \otimes f^* s, \end{aligned}$$

which together with

$$\text{id} \times f^* : \mathcal{A}^*(N) \otimes_{\mathcal{A}^0(N)} f^* \mathcal{A}^0(M, E) \rightarrow \mathcal{A}^*(N) \otimes_{\mathcal{A}^0(N)} \mathcal{A}^0(N, f^* E),$$

induces a map

$$f^* \mathcal{A}(M, E) = f^* [\mathcal{A}^*(M) \otimes_{\mathcal{A}^0(M)} \mathcal{A}^0(M, E)] \rightarrow \mathcal{A}^*(N) \otimes_{\mathcal{A}^0(N)} f^* \mathcal{A}^0(M, E) \rightarrow \mathcal{A}^*(N) \otimes_{\mathcal{A}^0(N)} \mathcal{A}^0(N, f^* E) \cong \mathcal{A}(N, f^* E).$$

Similarly, we consider the algebra

$$\Omega = \mathcal{A}(M, \text{End} E) = \mathcal{A}^*(M) \widehat{\otimes}_{\mathcal{A}^0(M)} \mathcal{A}^0(M, \text{End} E),$$

where  $\widehat{\otimes}$  is the super tensor product given by

$$(\eta \otimes X)(\omega \otimes Y) = (-1)^{(\deg X)(\deg \omega)} (\eta \wedge \omega) \otimes XY.$$

The algebra  $\Omega$  adopts a superalgebra structure from the total  $\mathbb{Z}_2$ -grading, of the  $\mathbb{Z} \times \mathbb{Z}_2$ -grading where

$$\mathcal{A}^i(M) \widehat{\otimes}_{\mathcal{A}^0(M)} \mathcal{A}^0(M, \text{End} E^j) \subseteq \mathcal{A}^{(i+j)}(M, \text{End} E),$$

where arithmetic of indices is take modulo 2. More explicitly, for  $\eta \otimes X \in \Omega$ , where  $X$  is homogeneous, we compute  $\deg(\eta \otimes X) = \deg \eta + \deg X$ .

Therefore the map  $f^* : \mathcal{A}(M, \text{End} E) \rightarrow \mathcal{A}(N, \text{End} f^* E)$  is linear and preserves the grading by virtue of the notions of pullback comprising the map. Finally,

$$f^* [(\eta \otimes X)(\omega \otimes Y)] = f^* [(-1)^{(\deg X) \deg \omega} (\eta \wedge \omega) \otimes XY] = (-1)^{(\deg X) \deg \omega} f^*(\eta \wedge \omega) \otimes f^*(XY) \quad (1.4)$$

$$= (-1)^{(\deg f^* X) \deg f^* \omega} f^* \eta \wedge f^* \omega \otimes (f^* X)(f^* Y) \quad (1.5)$$

$$= (f^* \eta \otimes f^* X)(f^* \omega \otimes f^* Y) \quad (1.6)$$

$$= f^*(\eta \otimes X) f^*(\omega \otimes Y), \quad (1.7)$$

and thus  $f^* : \mathcal{A}(M, \text{End} E) \rightarrow \mathcal{A}(N, \text{End} f^* E)$  is a map of superalgebras.

We obtain a left action  $\Omega \curvearrowright \mathcal{A}(M, E)$  given by

$$(\eta \otimes X) \cdot (\omega \otimes \alpha) = (-1)^{(\deg X)(\deg \omega)} (\eta \wedge \omega) \otimes X\alpha.$$

**Definition 1.2.5.** We say that an operator  $\mathcal{A}(M, E) \rightarrow \mathcal{A}(M, E)$  is  $\mathcal{A}^*(M)$ -linear if for each of its homogeneous components  $T$ , all  $\omega \otimes \alpha \in \mathcal{A}(M, E)$  and  $\eta \in \mathcal{A}^*(M)$  we have

$$T(\eta \wedge (\omega \otimes \alpha)) = (-1)^{\deg T \deg \omega} \eta \wedge T(\omega \otimes \alpha).$$

We now come to *Proposition 1* of [8].

**Proposition 1.2.6.** *The algebra  $\Omega$  can be identified with the algebra of  $\mathcal{A}^*(M)$ -linear operators.*

*Proof.* By the definitions of the actions above, we see that any homogeneous simple tensor in  $\Omega$  is  $\mathcal{A}^*(M)$ -linear, and by linearity we conclude that all of  $\Omega$  is  $\mathcal{A}^*(M)$ -linear.

Conversely, suppose  $T$  is an  $\mathcal{A}^*(M)$ -linear operator on  $\mathcal{A}(M, E)$ . Let  $e = \{e_1, \dots, e_r\}$  be a local frame for some open set  $U \subseteq M$ . For all  $1 \leq i, j \leq r$  there exists  $\omega_{i,j}(e) \in \mathcal{A}^*(U)$  such that

$$T(1 \otimes e_j) = \sum_{i=1}^r \omega_{i,j}(e) \otimes e_i = \sum_{i=1}^r \omega_{i,j}(e) \otimes E_{i,j}(1 \otimes e_j),$$

where  $E_{ij}$  is the matrix with 1 in the  $(i, j)$ th entry and 0 elsewhere, and we've written  $\omega_{i,j}(e)$  to highlight the dependence on the frame  $e$ . For ease of reading we will drop the frame dependent notation.

Since  $T$  and  $1 \otimes e_j$  are homogeneous  $\sum_{i=1}^r \omega_{i,j} \otimes E_{i,j}(1 \otimes e_j)$  must be homogeneous, and thus every term of the sum must have the same degree. Thus, for any choice of  $i$  and  $j$

$$\deg[T(1 \otimes e_j)] = \deg(\omega_{i,j} \otimes E_{i,j} \cdot 1 \otimes e_j) = \deg(\omega_{i,j} \otimes E_{i,j}) + \deg(1 \otimes e_j) = \deg \omega_{i,j} + \deg E_{i,j} + \deg e_j$$

and on the other hand

$$\deg[T(1 \otimes e_j)] = \deg T + \deg(1 \otimes e_j) = \deg T + \deg e_j,$$

therefore

$$\begin{aligned} \deg \omega_{i,j} + \deg E_{i,j} + \deg e_j &= \deg T(1 \otimes e_j) = \deg T + \deg e_j \\ &\Rightarrow \deg \omega_{i,j} + \deg E_{i,j} = \deg T. \end{aligned}$$

Now for any  $\eta \in \mathcal{A}^*(M)$ ,

$$\begin{aligned} \sum_{i=1}^r \sum_{j=1}^r \omega_{i,j} \otimes E_{i,j}(\eta \otimes e_k) &= \sum_{i=1}^r (-1)^{\deg E_{i,j} \deg \eta} \omega_{i,j} \wedge \eta \otimes E_{i,k} e_k \\ &= \sum_{i=1}^r (-1)^{\deg E_{i,j} \deg \eta} (-1)^{\deg \omega_{i,j} \deg \eta} \eta \wedge \omega_{i,j} \otimes e_i \\ &= \eta \wedge \sum_{i=1}^r (-1)^{(\deg \omega_{i,j} + \deg E_{i,j}) \deg \eta} \omega_{i,j} \otimes e_i \\ &= \eta \wedge \sum_{i=1}^r (-1)^{\deg T \deg \eta} \omega_{i,j} \otimes e_i \\ &= (-1)^{\deg T \deg \eta} \sum_{i=1}^r \omega_{i,j} \otimes e_i \\ &= (-1)^{\deg T \deg \eta} T(1 \otimes e_j) \\ &= T(\eta \otimes e_j), \end{aligned}$$

where the last step follows from the  $\mathcal{A}^*(M)$ -linearity of  $T$ . Since this holds for any  $\eta \in \mathcal{A}^*(M)$  and basis vector  $e_j$ , we conclude that for all  $\xi \in \mathcal{A}(M, E)$ , over  $U$  we have

$$T(\xi) = \sum_{i=1}^r \sum_{j=1}^r \omega_{i,j} \otimes E_{i,j}(\xi).$$

Thus there exists an element  $\omega_U = \sum_{j=1}^r \omega_{i,j} \otimes E_{i,j} \in \mathcal{A}(U, \text{End}(E))$  such that over  $U$ , for all global sections  $\xi$ , we have  $T(\xi) = \omega_U(\xi)$ .

We would like to construct an element  $\omega \in \Omega$ , such that  $T(\xi) = \omega(\xi)$  for all global sections  $\xi$ . To this end, consider an open cover  $\{U_\alpha\}$  and choice of  $\omega_{U_\alpha}$  for each  $\alpha$ . (such a cover can always be obtained by starting from an arbitrary cover, by shrinking the open sets until they possess frames on which to apply the processes above.) We define  $\omega$  such that for any open set  $U_\alpha$  of the cover,  $\omega|_{U_\alpha} = \omega_{U_\alpha}$ . For any particular  $\alpha$  and  $\beta$ , and  $\xi \in \mathcal{A}(M, E)$ , over the intersection  $U_{\alpha\beta}$  we find

$$\omega|_{U_\alpha}(\xi) = \omega_{U_\alpha}(\xi) = T(\xi) = \omega_{U_\beta}(\xi) = \omega|_{U_\beta}(\xi).$$

Therefore our definition of  $\omega$  is well-defined on any intersection of any two open sets of the cover, hence it is consistently defined on all of  $M$ . Therefore  $\omega \in \Omega$ .  $\square$

The supertrace on each fiber induces a  $\mathcal{A}^0(M)$ -module map  $\text{tr}_s : \mathcal{A}^0(M, \text{End}E) \rightarrow \mathcal{A}^0(M)$ , which extends to the  $\mathcal{A}(M)$ -module map

$$\begin{aligned} \text{tr}_s : \mathcal{A}(M, \text{End}E) &\rightarrow \mathcal{A}(M), \\ \eta \otimes X &\mapsto \eta \wedge \text{tr}_s X. \end{aligned}$$

Thus writing  $\text{tr}_s$  for the supertrace on either bundle, for any  $\eta \otimes X \in \mathcal{A}(M, \text{End}E)$ ,

$$\text{tr}_s(f^*(\eta \otimes X)) = \text{tr}_s(f^*\eta \otimes f^*X) = f^*\eta \wedge \text{tr}_s(f^*X) = f^*\eta \wedge f^*\text{tr}_s(X) = f^*(\eta \wedge \text{tr}_s X) = f^*(\text{tr}_s(\eta \otimes X)). \quad (1.8)$$

**Definition 1.2.7.** A *superconnection* on a super vector bundle  $E \rightarrow M$  is an operator  $\nabla : \mathcal{A}(M, E) \rightarrow \mathcal{A}(M, E)$  of odd degree such that  $\nabla(\eta \wedge (\omega \otimes \alpha)) = d\eta \wedge \omega \otimes \alpha + (-1)^{\deg \eta} \eta \wedge \nabla(\omega \otimes \alpha)$ , for all  $\eta \in \mathcal{A}(M)$ , and  $\omega \otimes \alpha \in \mathcal{A}(M, E)$ .

Given two superconnections  $\nabla_1, \nabla_2$  and any  $\eta \in \mathcal{A}^*(M), \omega \otimes \alpha \in \mathcal{A}^*(M, E)$  we have

$$\begin{aligned} (\nabla_1 - \nabla_2)(\eta \wedge (\omega \otimes \alpha)) &= \nabla_1(\eta \wedge (\omega \otimes \alpha)) - \nabla_2(\eta \wedge (\omega \otimes \alpha)) \\ &= d\eta \wedge \omega \otimes \alpha + (-1)^{\deg \eta} \eta \wedge \nabla_1(\omega \otimes \alpha) - d\eta \wedge \omega \otimes \alpha + (-1)^{\deg \eta} \eta \wedge \nabla_2(\omega \otimes \alpha) \\ &= (-1)^{\deg \eta} \eta \wedge [\nabla_1 - \nabla_2](\omega \otimes \alpha). \end{aligned}$$

Since both  $\nabla_1$  and  $\nabla_2$  are odd,  $\deg[\nabla_1 - \nabla_2]$  is defined, and by the identification of  $\Omega$  with  $\mathcal{A}^*(M)$ -linear operators on  $\mathcal{A}(M, E)$ ,

$$(\nabla_1 - \nabla_2)(\eta \wedge (\omega \otimes \alpha)) = (-1)^{\deg(\nabla_1 - \nabla_2) \deg \eta} \eta \wedge [\nabla_1 - \nabla_2](\omega \otimes \alpha).$$



All other terms being equal, we're forced to conclude that  $\deg \omega = \deg(\nabla_1 - \nabla_2) \deg \omega$  for any differential form  $\omega$ , and thus  $\deg(\nabla_1 - \nabla_2) = 1$ . Therefore the difference of two superconnections is an odd endomorphism, hence the most general way to write a superconnection is  $\nabla = d + \theta$ , for an odd endomorphism  $\theta \in \mathcal{A}$ .

Since we usually work locally, it's useful to examine the case of the trivial bundle. Furthermore, the main vector bundles of interest later in this thesis are trivial bundles. Thus, supposing  $E \rightarrow M$  is the trivial bundle  $E = M \times V$  for some vector space  $V$ , we have  $A = \mathcal{A}^\bullet \widehat{\otimes}_{\mathcal{A}^0(M)} \text{End}V$ .

Our main concern in later chapters is with connections  $\nabla = d + \theta$  for trivial bundles where

$$\theta = A + L \in \left[ \mathcal{A}^1(M) \widehat{\otimes} (\text{End}V)^0 \right] \oplus \left[ \mathcal{A}^0(M) \widehat{\otimes} (\text{End}V)^1 \right].$$

**Theorem 1.2.8.** *Let  $\nabla$  be a superconnection on a super vector bundle  $E \rightarrow M$ , and let  $f : N \rightarrow M$  be a smooth map. Then  $f^*\nabla = d_N + f^*\theta$  is a superconnection on the pullback bundle  $f^*E \rightarrow N$ , which we call the pullback connection.*

*Proof.* Since pullbacks of both forms and operators preserve their respective degrees, given a superconnection  $\nabla = d_M + \theta$  where  $\theta = \eta \otimes X$  is odd,  $f^*\eta \otimes f^*X = f^*(\eta \otimes X)$  is also odd, and thus we obtain a connection  $f^*\nabla = d_N + f^*\theta$  on  $f^*E \rightarrow N$ .  $\square$

Following the definition of the curvature of a connection, we define the supercurvature of a superconnection  $\nabla$  to be  $\nabla^2$ .

**Lemma 1.2.9.** *The supercurvature  $\nabla^2$  defines an element of  $\Omega$  of even degree.*

*Proof.* For any simple tensor  $\omega \otimes \alpha \in \mathcal{A}(M, E)$ , and  $\eta \in \mathcal{A}^\bullet(M)$  compute

$$\begin{aligned} \nabla^2(\eta \wedge (\omega \otimes \alpha)) &= \nabla (d\eta \wedge \omega \otimes \alpha + (-1)^{\deg \eta} \eta \wedge \nabla(\omega \otimes \alpha)) \\ &= d^2\eta \wedge \omega \otimes \alpha + (-1)^{\deg d\eta} d\eta \wedge \nabla(\omega \otimes \alpha) + (-1)^{\deg \eta} d\eta \wedge \nabla(\omega \otimes \alpha) + (-1)^{\deg \eta} (-1)^{\deg \eta} \nabla^2(\omega \otimes \alpha) \\ &= 0 - (-1)^{\deg \eta} d\eta \wedge \nabla(\omega \otimes \alpha) + (-1)^{\deg \eta} d\eta \wedge \nabla(\omega \otimes \alpha) + \nabla^2(\omega \otimes \alpha) \\ &= \eta \wedge \nabla^2(\omega \otimes \alpha). \end{aligned}$$

By linearity, this extends to all of  $\mathcal{A}(M, E)$ . More specifically, we see that  $\nabla^2$  is an even  $\mathcal{A}^\bullet(M)$ -linear operator, and thus by proposition 1.2.6  $\nabla^2 \in \Omega$ .  $\square$

Note that as an element of  $\mathcal{A}(M, \text{End}E)$ , by eq. (1.7), we have

$$f^*(\nabla^2) = (f^*\nabla)^2. \tag{1.9}$$



## Chapter 2

# Lie Theory Background

### 2.1 Lie Theory

A key component to the results of [4] mentioned in the introduction, is the behavior of special elements of  $S(V^r) \otimes \mathcal{A}^*(\mathbb{D})$  under a certain Lie group representation  $\tilde{\omega} : U(r, r) \rightarrow \text{End}(S(V^{\oplus r}) \otimes \mathcal{A}^*(\mathbb{D}(V)))$  known as the *Weil representation*.

Here  $\mathbb{D} = G/K$  where  $G = U(p, q)$  is a *Lie group*, and  $K = U(p) \times U(q)$  its maximal compact subgroup. The representation above induces a *Lie algebra representation*  $\mathfrak{u}(r, r) \rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D}))$ .

Thus, in order to extend the results of [4] it is imperative that in this chapter we develop the general theory of Lie groups, Lie algebras, their representations, and specifically the theory of the *highest weight vector*. We will then give a concrete description of the action of the representation of  $\mathfrak{u}(r, r)$  induced by the Weil representation, and proof some technical lemmas which will be essential for the proof of the main theorem of the thesis.

Throughout this chapter we will closely follow [2], and most proofs not appearing in this chapter can be found there.

### Lie Groups

**Definition 2.1.1.** A Lie group  $G$  is a both a group and smooth manifold, such that the group operation  $G \times G \rightarrow G$  and the map sending an element to its inverse  $G \rightarrow G$  are smooth.

Let  $F$  be  $\mathbb{R}$  or  $\mathbb{C}$ , and let  $M_n(F)$  be the space of  $n \times n$  matrices, which we identify with  $F^{n^2}$ , giving it the structure of a  $F$ -manifold. As it is polynomial in the coordinates, the determinant  $\det : M_n(F) \rightarrow F$  is continuous. The group  $GL_n(F)$  of invertible matrices is  $\det^{-1}(F - \{0\})$ , and since  $F - \{0\}$  is open, and  $\det$  is continuous,  $GL_n(F)$  is an open subset of  $M_n(F)$ , and thus it inherits the manifold structure of  $M_n(F)$ .

In terms of the matrix coordinates, by using cofactor expansion we can write the Jacobian of the determinant as

$$\begin{aligned} \left[ \frac{\partial}{\partial x_{11}} \det X, \frac{\partial}{\partial x_{1,2}} \det X, \dots, \frac{\partial}{\partial x_{n,n}} \det X \right] &= \left[ \frac{\partial}{\partial x_{11}} \sum_{i=1}^n x_{1i} (-1)^{i+1} M_{1i}, \frac{\partial}{\partial x_{12}} \sum_{i=1}^n x_{1i} (-1)^{i+1} M_{1,i}, \dots, \frac{\partial}{\partial x_{n,n}} \sum_{i=1}^n x_{in} (-1)^{i+n} M_{n,i} \right] \\ &= [M_{11}, -M_{1,2}, \dots, M_{n,n}]. \end{aligned}$$

The Jacobian is zero iff each minor is zero, but then  $\det X = 0$ , which is impossible. Therefore the determinant has a constant rank of 1, hence by the level set theorem  $SL_n(F) = \det^{-1}\{1\}$  is a closed embedded submanifold of codimension 1 in  $GL_n(F)$ . Since multiplication and inversion are also smooth,  $SL_n(F)$  is also a Lie group.

As this is a subset of  $GL_n(F)$ , this leads us to, the concept of a Lie subgroup, but first we define *morphisms*.

**Definition 2.1.2.** A morphism  $\rho : G \rightarrow H$  of Lie groups is a group homomorphism which is smooth.

**Definition 2.1.3.** Given a Lie group  $G$ , we define a (closed) *Lie subgroup* to be a subset which is a closed submanifold of  $G$ , and a subgroup, meanwhile an *immersed subgroup* is the image of an injective Lie group homomorphism  $H \rightarrow G$ .

Many important examples of Lie groups arise as subgroups of  $GL(V)$  for some  $F$ -vector space  $V$ , by defining them as the subgroup of elements preserving some bilinear form  $Q : V \times V \rightarrow F$ .

If  $Q$  is symmetric and positive-definite, and  $V$  is real, the group preserving  $Q$  is the *orthogonal group*

$$O_n(\mathbb{R}^n) = O(n).$$

If  $Q$  is skew-symmetric (that is,  $Q(u, v) = -Q(v, u)$  for all  $u, v \in V$ ), then the group  $\text{Sp}(Q)$  preserving  $Q$  is called the *symplectic group* and only occurs in even dimension if we demand the form is non-degenerate. If it is clear from context that  $V$  has a skew-symmetric form  $Q$ , we will write  $\text{Sp}(V)$  or  $\text{Sp}_{2n}(F)$  as  $F^{2n} \cong V$ .

Let  $V$  be a  $\mathbb{C}$ -vector space of dimension  $p + q$ , and a Hermitian form  $\langle \cdot, \cdot \rangle$  (defined by 1.1.13) such that any maximal positive-definite subspace has dimension  $p$ , and any maximal negative-definite subspace has dimension  $q$ .

We are primarily interested in the *pseudo-unitary group*  $U(p, q)$ , of endomorphisms of  $V$ , preserving the form  $\langle \cdot, \cdot \rangle$ .

By Gram-schmidt orthonormalization, we may choose a basis  $e_1, \dots, e_{p+q}$  such that

$$\langle e_i, e_j \rangle = \begin{cases} \delta_{ij}, & 1 \leq i, j \leq p \\ -\delta_{ij}, & p+1 \leq i, j \leq p+q \\ 0, & \text{otherwise} \end{cases}$$

Define the matrix  $H$  such that  $H_{ij} = \langle e_i, e_j \rangle$ . Now for any two vectors  $u, v \in V$ , we write them in the above basis as

$$u = \sum_{i=1}^{p+q} \alpha_i e_i, \quad v = \sum_{j=1}^{p+q} \beta_j e_j.$$

Now writing  $A^T$  for the transpose of the matrix  $A$

$$\begin{pmatrix} \alpha_1 \\ \dots \\ \alpha_{p+q} \end{pmatrix}^T H \overline{(\beta_1, \dots, \beta_{p+q})} = \sum_{i=1}^{p+q} \alpha_i \sum_{j=1}^{p+q} \langle e_i, e_j \rangle \bar{\beta}_j = \left\langle \sum_{i=1}^{p+q} \alpha_i e_i, \sum_{j=1}^{p+q} \beta_j e_j \right\rangle = \langle u, v \rangle.$$

For  $X \in U(p, q)$  we must have  $\langle Xv, Xw \rangle = \langle v, w \rangle$ , and writing  $\bar{A}$  for the matrix whose  $(i, j)$ th entry is the complex-conjugate of the  $(i, j)$ th entry of  $A$ , we can write this as

$$v^T H \bar{w} = (Xv)^T H \overline{Xw} = v^T X^T H \bar{X} \bar{w}.$$

As this holds for every pair of vectors  $v$ , we must have  $H = X^T H \bar{X}$ . Thus

$$U(p, q) = \{X \in M_{p+q}(\mathbb{C}) \mid H = X^T H \bar{X}\}, \text{ with the special case,} \quad (2.1)$$

$$U(n) := U(n, 0) = \{X \in M_n(\mathbb{C}) \mid X^{-1} = X^*\}, \quad (2.2)$$

where  $X^* = \bar{X}^T$  is the *Hermitian conjugate*, and we simply refer to  $U(n)$  as the *unitary group*. Our focus will mainly be on the Hermitian form defined by  $H = \begin{pmatrix} I_{pp} & 0_{pq} \\ 0_{qp} & -I_{qq} \end{pmatrix}$ , wherefore the condition defining  $U(p, q)$  (in block form) becomes

$$\begin{aligned} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} &= \begin{pmatrix} A^T & C^T \\ B^T & D^T \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} \bar{A} & \bar{B} \\ \bar{C} & \bar{D} \end{pmatrix} \\ &= \begin{pmatrix} A^T & C^T \\ B^T & D^T \end{pmatrix} \begin{pmatrix} \bar{A} & \bar{B} \\ -\bar{C} & -\bar{D} \end{pmatrix} \\ &= \begin{pmatrix} A^T \bar{A} - C^T \bar{C} & A^T \bar{B} - C^T \bar{D} \\ B^T \bar{A} - D^T \bar{C} & B^T \bar{B} - D^T \bar{D} \end{pmatrix}, \end{aligned}$$

providing us with the relations

$$\begin{aligned} I &= A^T \bar{A} - C^T \bar{C}, & A^T \bar{B} &= C^T \bar{D}, \\ I &= B^T \bar{B} - D^T \bar{D}, & B^T \bar{A} &= D^T \bar{C}. \end{aligned}$$

**Proposition 2.1.4.** *The group  $U(p, q)$  is a Lie group.*

*Proof.* We will make use of the *regular level set theorem* (Corollary 5.24 of [7]) to prove this result. Equip the space of  $(p+q) \times (p+q)$  Hermitian matrices  $\text{Herm}_q(\mathbb{C}) := \{X \in M_{p+q}(\mathbb{C}) : X^* = X\}$ , with subspace topology of  $M_{p+q}(\mathbb{C})$ . Since the map

$$\begin{aligned} F : M_{p+q}(\mathbb{C}) &\rightarrow \text{Herm}_{p+q}(\mathbb{C}) \\ X &\mapsto X^T H \bar{X} \end{aligned}$$

is polynomial in its coordinates, it's smooth. For any curve  $\gamma : (-\epsilon, \epsilon) \rightarrow \text{Herm}_{p+q}(\mathbb{C})$ , differentiating  $\gamma(t)^* = \gamma(t)$  simply yields  $\gamma'(0)^* = \gamma'(0)$ , and thus for any  $Y \in \text{Herm}_{p+q}(\mathbb{C})$  we have  $T_Y \text{Herm}_{p+q}(\mathbb{C}) = \text{Herm}_{p+q}(\mathbb{C})$ .

Given  $X \in M_{p+q}(\mathbb{C})$  and  $A \in T_Y \text{Herm}_{p+q}(\mathbb{C}) = \text{Herm}_{p+q}(\mathbb{C})$ , we compute the push-forward

$$dF_X(A) = \frac{d}{dt}(X + tA)^T H \overline{(X + tA)} \Big|_{t=0} = A^T H \bar{X} + X^T H \bar{A}.$$

We need to show that  $dF_X$  is surjective to apply the regular level set theorem. Let  $B \in \text{Herm}_{p+q}(\mathbb{C})$ , and note that

$$H = X^T H \bar{X} \Rightarrow I = H X^T H \bar{X} \Rightarrow \bar{X}^{-1} = H X^T H.$$

Like-wise  $(X^{-1})^T = H \bar{X} H$ , and thus taking  $A = \frac{1}{2} X H B^T$ ,

$$\begin{aligned} F_X(A) &= \left( \frac{1}{2} X H B^T \right)^T H \bar{X} + X^T H \overline{\left( \frac{1}{2} X H B^T \right)} \\ &= \frac{1}{2} B (H X^T H) \bar{X} + \frac{1}{2} X^T (H \bar{X} H) B^* \\ &= \frac{1}{2} (B + B^*) \\ &= \frac{1}{2} (B + B) \\ &= B. \end{aligned}$$

Therefore  $dF_X$  is surjective. By the arbitrary choice of  $X$ , the map  $F$  is regular, and therefore  $U(p, q) = F^{-1}\{H\}$  is a closed embedded submanifold of  $M_{p+q}(\mathbb{C})$ .

The fact that the group operation and inversion are smooth follows from the fact that these operations are rational functions in their coordinates (and defined everywhere).  $\square$

Of particular import for us will be the subgroup

$$\begin{aligned} K &:= \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \in M_{p+q}(\mathbb{C}) : \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \in U(p, q) \right\} \\ &= \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \in M_{p+q}(\mathbb{C}) : H = \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}^T H \overline{\begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix}} \right\}. \end{aligned}$$

By the defining relations of the Lie group,

$$\begin{aligned} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} &= \begin{pmatrix} A^T & 0 \\ 0 & D^T \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} \bar{A} & 0 \\ 0 & \bar{D} \end{pmatrix} \\ &= \begin{pmatrix} A^T & 0 \\ 0 & D^T \end{pmatrix} \begin{pmatrix} \bar{A} & 0 \\ 0 & \bar{D} \end{pmatrix} \\ &= \begin{pmatrix} A^T \bar{A} & 0 \\ 0 & -D^T \bar{D} \end{pmatrix}, \end{aligned}$$

thus  $A^{-1} = A^*$  and  $D^{-1} = D^*$ , so we find that

$$K = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} \in M_{p+q}(\mathbb{C}) : A \in U(p), D \in U(q) \right\} \cong U(p) \times U(q).$$

In fact,  $K$  is a *maximal compact subgroup* of  $U(p, q)$ , a fact which is significant in the general theory of Lie groups, though we will not discuss this here.

## Representations of Lie Groups

As is the case with finite groups, we can learn a lot about Lie groups by studying their *representations*, which are homomorphisms  $\rho : G \rightarrow GL(V)$  for some finite-dimensional  $F$ -vector space  $V$ . Note that if  $\dim_F V = n$ , then  $GL(V) \cong GL_n(F)$ , and so we require that  $\rho$  is a morphism in the sense of Lie groups, that is, it is both a group homomorphism and a smooth map.

In particular, since conjugation is a group morphism, by the smoothness assumptions

$$\begin{aligned} \Psi_g &: G \rightarrow G \\ h &\mapsto ghg^{-1} \end{aligned}$$

is a morphism of Lie groups. Moreover,  $\Psi$  determines a morphism

$$\begin{aligned} \Psi &: G \rightarrow \text{Aut}(G) \\ g &\mapsto \Psi_g \end{aligned}$$

For each  $g \in G$  we will write  $\text{Ad}(g)$  for the differential  $(d\Psi_g)_e : T_e G \rightarrow T_e G$ . Therefore we also obtain a map

$$\begin{aligned} \text{Ad} &: G \rightarrow \text{Aut}(T_e G) \\ g &\mapsto \text{Ad}(g) \end{aligned}$$

Now  $\text{Aut}(T_e G) = GL(T_e G)$  and thus itself a Lie group. Since  $\text{Ad}$  also happens to be smooth, we can also define  $\text{ad}$  to be the differential  $d(\text{Ad}) : T_e G \rightarrow T_I \text{Aut}(T_e G)$ , where  $T_I \text{Aut}(T_e G) = \text{End}(T_e G)$ .

For a morphism  $\rho : G \rightarrow H$  of Lie groups, and any  $g, h \in G$ , we must have  $\Psi_{\rho(g)} \circ \rho(h) = \rho \circ \Psi_g(h)$ . Applying the differentials to this equation, one finds for the vector space morphism  $(d\rho)_e : T_e G \rightarrow T_e H$  we have  $d\rho_e(\text{ad}(X)(Y)) = \text{ad}(d\rho_e(X))(d\rho_e(Y))$  for all  $X, Y \in T_e G$ .

Explicitly, for  $GL_n(F)$  we define  $[\cdot, \cdot] : T_e G \times T_e G \rightarrow T_e G$  by  $[X, Y] = \text{ad}(X)(Y)$ . It follows that this map is bilinear.

By definition, for any  $X \in T_e G$  there is a curve  $\gamma : (-\epsilon, \epsilon) \rightarrow G$  such that  $\gamma(0) = e$ , and  $\gamma'(0) = X$ . We compute

$$\begin{aligned} [X, Y] = \text{ad}(X)(Y) &= \left. \frac{d}{dt} (\text{Ad}(\gamma(t))Y) \right|_{t=0} = \left. \frac{d}{dt} (\gamma(t)Y\gamma(t)^{-1}) \right|_{t=0} \\ &= \left. \gamma'(t)Y\gamma(t) + \gamma(t)Y(-\gamma(t)^{-1}\gamma'(t)\gamma(t)^{-1}) \right|_{t=0} \\ &= XY - YX. \end{aligned}$$

From this computation it also follows  $[\cdot, \cdot]$  is skew-symmetric, and for  $X, Y, Z \in T_e G$ ,

$$[X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0,$$

which we call the *Jacobi identity*.

In the particular case of a representation  $\rho : G \rightarrow GL_n(F)$ , we have  $T_e GL_n(F) = \text{End}(F^n)$ . Therefore, the general study of maps  $\mathfrak{g} \rightarrow \text{End}(F^n)$  for an  $F$ -vector space  $\mathfrak{g}$ , equipped with a skew-symmetric bilinear map  $[\cdot, \cdot]$  satisfying the Jacobi identity, subsumes the study of differentials of Lie group representations, and thus Lie group representations themselves. This is the inspiration for the first definition of the following subsection.

## Lie Algebras

**Definition 2.1.5.** A *Lie algebra* is a vector space  $\mathfrak{g}$  with a skew-symmetric bilinear map  $[\cdot, \cdot] : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathfrak{g}$  satisfying the Jacobi identity. That is, for all  $X, Y, Z \in \mathfrak{g}$

1.  $[X, X] = 0$
2.  $[X, Y] = -[Y, X]$ ,
3.  $[X, [Y, Z]] + [Y, [Z, X]] + [Z, [X, Y]] = 0$ .

If  $\text{char}(F) \neq 2$  then 1) follows from 2).

Given a Lie group  $G$ , we've already seen that the tangent space  $T_e(G)$  at the identity provides us with a Lie algebra. We will occasionally write  $\text{Lie}(G)$  to refer to the Lie algebra  $T_e(G)$  and occasionally we'll write  $\mathfrak{g} := \text{Lie}(G)$ .

The relevant operation for Lie algebras is the bracket, and thus we define a Lie algebra *morphism* of Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$  to be a vector space morphism  $\phi : \mathfrak{g} \rightarrow \mathfrak{h}$  such that

$$\phi([X, Y]_{\mathfrak{g}}) = [\phi(X), \phi(Y)]_{\mathfrak{h}},$$

for all  $X, Y \in \mathfrak{g}$  where  $[\cdot, \cdot]_{\mathfrak{g}}$  is the bracket of  $\mathfrak{g}$ , and  $[\cdot, \cdot]_{\mathfrak{h}}$  is the bracket of  $\mathfrak{h}$ .

As a first example, we've already seen that  $\text{Lie}(GL_n(F)) = \text{End}(F^n)$ , where the Lie bracket is given by  $[X, Y] = XY - YX$ . We will often write this as  $\mathfrak{gl}_n(F)$  for short. Just as many classical examples of Lie groups are given as subgroups of  $GL_n(F)$ , many classic examples of Lie algebras are realized as *subalgebras* of  $\mathfrak{gl}_n$ .

**Definition 2.1.6.** A subspace  $\mathfrak{h}$  of a Lie algebra  $\mathfrak{g}$  is called a Lie subalgebra if  $[\mathfrak{h}, \mathfrak{h}] := \{[X, Y] : X, Y \in \mathfrak{h}\}$  is contained in  $\mathfrak{h}$ .

**Proposition 2.1.7.** The Lie algebra  $\text{Lie}(SL_n(F))$  is  $\mathfrak{sl}_n(F) = \{X \in M_n(F) : \text{tr}(X) = 0\}$ .

*Proof.* Let  $\gamma : (-\epsilon, \epsilon) \rightarrow SL_n(F)$ , be a curve such that  $\gamma(0) = I$ , and write  $X := \gamma'(0)$ . Since  $SL_n(F) \subseteq GL_n(F)$  we must have  $\det \gamma(t) = 1$ . Recall that the absolute value of the determinant of a matrix whose column vectors



are the vectors determining a parallelogram, is the area of the parallelogram. Like-wise, the wedge product of vectors represents the volume spanned by those vectors. Thus, for the standard basis  $\{e_1, \dots, e_n\}$  of  $F^n$ , the determinant condition becomes the requirement  $\gamma(t)(e_1) \wedge \dots \wedge \gamma(t)(e_n) = e_1 \wedge \dots \wedge e_n$ . Thus by the product rule, we have

$$\begin{aligned} 0 &= \left. \frac{d}{dt} \gamma(t)(e_1) \wedge \dots \wedge \gamma(t)(e_n) \right|_{t=0} = \sum_{i=1}^n e_1 \wedge \dots \wedge X(e_i) \wedge \dots \wedge e_n \\ &= \text{tr}(X) e_1 \wedge \dots \wedge e_n, \end{aligned}$$

but this only holds iff  $\text{tr}(X) = 0$ . Therefore the Lie algebra  $\mathfrak{sl}_n(F)$  is the subspace of  $\mathfrak{gl}_n(F)$  of traceless matrices.  $\square$

**Proposition 2.1.8.** *The Lie algebra  $\text{Lie}(U(p, q))$  is*

$$\mathfrak{u}(p, q) = \left\{ \begin{pmatrix} A & B \\ B^* & D \end{pmatrix} : A = -A^*, D = -D^* \right\}.$$

*Proof.* For some curve  $\gamma : (-\epsilon, \epsilon) \rightarrow U(p, q)$ , we must have  $\gamma(t)^T H \overline{\gamma(t)} = H$ , and thus we compute

$$\begin{aligned} 0 &= \left. \frac{\partial}{\partial t} H \right|_{t=0} = \left. \frac{\partial}{\partial t} ((\gamma(t))^T H \overline{\gamma(t)}) \right|_{t=0} \\ &= \left. \left( \frac{\partial}{\partial t} \gamma(t) \right)^T \right|_{t=0} H \gamma(0) + \gamma(0)^T H \left. \frac{\partial}{\partial t} \overline{\gamma(t)} \right|_{t=0} \\ &= X^T H + H \bar{X}. \end{aligned}$$

Writing  $X$  in block-form as  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ , ( $A$  is  $p \times p$ , and  $B$  is  $q \times q$ ), and denoting conjugation by  $\sigma$ , the equation becomes

$$\begin{aligned} 0 &= \begin{pmatrix} A & B \\ C & D \end{pmatrix}^T \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} + \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \sigma \begin{pmatrix} A & B \\ C & D \end{pmatrix} \\ &= \begin{pmatrix} A^T & C^T \\ B^T & D^T \end{pmatrix} \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} + \begin{pmatrix} I & 0 \\ 0 & -I \end{pmatrix} \begin{pmatrix} \bar{A} & \bar{B} \\ \bar{C} & \bar{D} \end{pmatrix} \\ &= \begin{pmatrix} A^T & -C^T \\ B^T & -D^T \end{pmatrix} + \begin{pmatrix} \bar{A} & \bar{B} \\ -\bar{C} & -\bar{D} \end{pmatrix} \\ \Rightarrow \begin{pmatrix} A & B \\ -C & -D \end{pmatrix} &= \begin{pmatrix} -\bar{A}^T & \bar{C}^T \\ -\bar{B}^T & \bar{D}^T \end{pmatrix}. \end{aligned}$$

Thus  $-C = -B^* \Rightarrow C = B^*$ . We conclude that

$$\mathfrak{u}(p, q) = \left\{ \begin{pmatrix} A & B \\ B^* & D \end{pmatrix} : A = -A^*, D = -D^* \right\}.$$

$\square$

In the special case  $H = I$  from eq. (2.2), the Lie algebra

$$\mathfrak{u}(n) := \mathfrak{u}(n, 0) = \{X \in M_n \mathbb{C} \mid X = -X^*\}. \quad (2.3)$$

We will often write  $\mathfrak{g}_0 = \mathfrak{u}(p, q)$  for short. To construct an explicit  $\mathbb{R}$ -basis for  $\mathfrak{g}_0$ , let

$[A]_{jk} = a_{jk}, [B]_{jk} = b_{jk}, [D]_{jk} = d_{jk}$ , and define  $E_{jk}$  be the elementary matrices with non-zero entry  $j, k$ . We decompose an arbitrary matrix as

$$\begin{aligned} \begin{pmatrix} A & B \\ \bar{B}^T & D \end{pmatrix} &= \sum_{1 \leq j, k \leq p} a_{jk} E_{jk} - \bar{a}_{jk} E_{kj} + \sum_{j \leq p, k > p} b_{jk} E_{jk} + \bar{b}_{jk} E_{kj} + \sum_{j, k > p} d_{jk} E_{jk} - \bar{d}_{jk} E_{kj} \\ &= \sum_{i=1}^p 2\text{Im}(a_{jj}) i E_{jj} + \sum_{k=p+1}^{p+q} 2\text{Im}(d_{kk}) i E_{kk} + \sum_{1 \leq j < k \leq p} a_{jk} E_{jk} - \bar{a}_{jk} E_{kj} + \sum_{p+1 \leq j < k \leq p+q} d_{jk} E_{jk} - \bar{d}_{jk} E_{kj} \\ &\quad + \sum_{j \leq p, k > p} b_{jk} E_{jk} + \bar{b}_{jk} E_{kj} \\ &= \sum_{i=1}^p 2\text{Im}(a_{jj}) i E_{jj} + \sum_{k=p+1}^{p+q} 2\text{Im}(d_{kk}) i E_{kk} + \sum_{j \leq p, k > p} \text{Re}(b_{jk})(E_{jk} + E_{kj}) + \sum_{j \leq p, k > p} \text{Im}(b_{jk}) i (E_{jk} - E_{kj}) \\ &\quad + \sum_{1 \leq j < k \leq p} \text{Re}(a_{jk})(E_{jk} - E_{kj}) + \sum_{1 \leq j < k \leq p} \text{Im}(a_{jk}) i (E_{jk} + E_{kj}) + \sum_{1 \leq j < k \leq q} \text{Re}(d_{jk})(E_{jk} - E_{kj}) \\ &\quad + \sum_{1 \leq j < k \leq q} \text{Im}(d_{jk}) i (E_{jk} + E_{kj}). \end{aligned}$$

Therefore, as an  $\mathbb{R}$ -vector space,  $\mathfrak{u}(p, q)$  can be written

$$\text{span}\{i E_{jj} \mid 1 \leq j \leq p+q\} \quad (2.4)$$

$$\oplus \text{span}\{E_{jk} - E_{kj} \mid 1 \leq j < k \leq p\} \oplus \text{span}\{i(E_{jk} + E_{kj}) \mid 1 \leq j < k \leq p\} \quad (2.5)$$

$$\oplus \text{span}\{E_{jk} - E_{kj} \mid p+1 \leq j < k \leq p+q\} \oplus \text{span}\{i(E_{jk} + E_{kj}) \mid p+1 \leq j < k \leq p+q\} \quad (2.6)$$

$$\oplus \text{span}\{E_{jk} + E_{kj} \mid 1 \leq j \leq p, p+1 \leq k \leq p+q\} \oplus \text{span}\{i(E_{jk} - E_{kj}) \mid 1 \leq j \leq p, p+1 \leq k \leq p+q\}. \quad (2.7)$$

Counting the dimensions of these sub-spaces we find

$$\dim_{\mathbb{R}} \mathfrak{u}(p, q) = p + q + 2 \frac{p(p-1)}{2} + 2 \frac{q(q-1)}{2} + 2pq = p^2 + q^2 + 2pq.$$

Since

$$K = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} : A \in U(p), D \in U(q) \right\},$$

we have that

$$\mathfrak{k}_0 := \text{Lie}(K) = \left\{ \begin{pmatrix} A & 0 \\ 0 & D \end{pmatrix} : A = -A^*, D = -D^* \right\}.$$

Thus by reading off the appropriate bases from the above, we have that

$$\mathfrak{k}_0 = \text{span}\{iE_{jj} | 1 \leq j \leq p+q\} \quad (2.8)$$

$$\oplus \text{span}\{E_{jk} - E_{kj} | 1 \leq j < k \leq p\} \oplus \text{span}\{i(E_{jk} + E_{kj}) | 1 \leq j < k \leq p\} \quad (2.9)$$

$$\oplus \text{span}\{E_{jk} - E_{kj} | p+1 \leq j < k \leq p+q\} \oplus \text{span}\{i(E_{jk} + E_{kj}) | p+1 \leq j < k \leq p+q\}. \quad (2.10)$$

Now we define a few useful operations on Lie algebras.

**Definition 2.1.9.** Given two Lie algebra  $\mathfrak{g}_1$  and  $\mathfrak{g}_2$  with respective Lie brackets  $[\cdot, \cdot]_1$  and  $[\cdot, \cdot]_2$ , we define the Lie algebra direct sum by taking the vector space direct sum  $\mathfrak{g} \oplus \mathfrak{h}$ . For  $X_1, Y_1 \in \mathfrak{g}_1$  and  $X_2, Y_2 \in \mathfrak{g}_2$  we define the Lie bracket  $[\cdot, \cdot] : (\mathfrak{g} \oplus \mathfrak{h}) \times (\mathfrak{g} \oplus \mathfrak{h}) \rightarrow \mathfrak{g} \oplus \mathfrak{h}$

$$[X_1 + X_2, Y_1 + Y_2] = [X_1, Y_1]_1 + [X_2, Y_2]_2.$$

## Ideals

In the last section we introduced the idea of Lie subalgebra  $\mathfrak{h}$  of a Lie algebra  $\mathfrak{g}$ , where we require that  $\mathfrak{h}$  is a linear subspace and  $[\mathfrak{h}, \mathfrak{h}] = \{[X, Y] : X, Y \in \mathfrak{h}\} \subseteq \mathfrak{h}$ . If the stronger condition that  $[\mathfrak{h}, \mathfrak{g}] \subseteq \mathfrak{h}$ , is met, we say that  $\mathfrak{h}$  is an *ideal*, and we write  $\mathfrak{h} \triangleleft \mathfrak{g}$ .

For any Lie algebra  $\mathfrak{g}$ , an ideal of central importance is the *commutator*  $\mathfrak{D}\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$ . The next definition will provide a useful tool for understanding the structure of Lie algebras, and in particular, allow us to compute  $\mathfrak{D}\mathfrak{gl}_n$ .

**Definition 2.1.10.** For a Lie algebra  $\mathfrak{g}$  with basis  $\{e_i | 1 \leq i \leq n\}$ , we refer to the coefficients

$$[e_i, e_j] = \sum_{k=1}^n C_{ij}^k e_k,$$

as the *structure constants* of  $\mathfrak{g}$ .

The set  $\{E_{jk} : 1 \leq j, k \leq n\}$  forms a basis for  $\mathfrak{gl}_n(F)$ . Computing

$$[E_{jk}X]_{rs} = \sum_{t=1}^n (E_{jk})_{rt} x_{ts} = (E_{jk})_{rk} x_{ks} = \begin{cases} 0, & r \neq j \\ (E_{jk})_{jk} x_{ks}, & r = j \end{cases}$$

thus  $E_{jk}X = \sum_{s=1}^n x_{ks} E_{js}$ . Similarly

$$[XE_{jk}]_{rs} = \sum_{t=1}^n x_{rt} (E_{jk})_{ts} = x_{rj} (E_{jk})_{js} = \begin{cases} 0, & s \neq k \\ x_{rj} (E_{jk})_{jk}, & s = k \end{cases}$$

so that  $XE_{jk} = \sum_{r=1}^n x_{rj} E_{rk}$ . Now we see that

$$E_{jk}E_{lm} = \sum_{s=1}^n (E_{lm})_{ks} E_{js} = (E_{lm})_{jm} E_{jk} = \delta_{kl} E_{jm}.$$

From this, we see that

$$[E_{jk}, E_{lm}] = \delta_{kl}E_{jm} - \delta_{mj}E_{lk}. \quad (2.11)$$

Thus, comparing this with

$$[E_{jk}, E_{lm}] = \sum_{r,s} C_{jk,lm}^{rs} E_{rs},$$

for  $\mathfrak{gl}_n$ , the structure constants are

$$C_{jk,lm}^{rs} = \begin{cases} \delta_{kl}, & rs = jm \\ -\delta_{jm}, & rs = lk \\ 0, & \text{otherwise} \end{cases} \quad (2.12)$$

Therefore

$$\mathfrak{D}\mathfrak{gl}_n = \text{span} \{ \delta_{kl}E_{jm} - \delta_{mj}E_{lk} \}.$$

We can be more specific by computing that

$$\delta_{kl}E_{jm} - \delta_{mj}E_{lk} = \begin{cases} E_{jj} - E_{kk}, & k = l, m = j \\ E_{jm}, & k = l, m \neq j \\ -E_{lk}, & k \neq l, m = j \\ 0, & \text{otherwise} \end{cases}$$

By the additivity of trace, we conclude that

$$\mathfrak{D}\mathfrak{gl}_n(F) = \{ X \in \mathfrak{gl}_n : \text{tr}(X) = 0 \} = \mathfrak{sl}_n(F). \quad (2.13)$$

As  $\mathfrak{D}\mathfrak{g}$  is a useful ideal for understanding the structure of  $\mathfrak{g}$ , and  $\mathfrak{D}\mathfrak{g}$  is a Lie subalgebra in its own right, we can take the commutator of  $\mathfrak{D}\mathfrak{g}$  itself.

Towards this end, we define two series  $\mathfrak{D}_k\mathfrak{g}$  and  $\mathfrak{D}^k\mathfrak{g}$  of Lie algebras, both with the initial terms  $\mathfrak{D}_1\mathfrak{g} = \mathfrak{D}\mathfrak{g} = \mathfrak{D}^1\mathfrak{g}$ . The *lower central series* is given by  $\mathfrak{D}_k\mathfrak{g} = [\mathfrak{g}, \mathfrak{D}_{k-1}\mathfrak{g}]$ , and the *derived series*  $\mathfrak{D}^k\mathfrak{g} = [\mathfrak{D}^{k-1}\mathfrak{g}, \mathfrak{D}^{k-1}\mathfrak{g}]$ . We will also classify Lie algebras according to their behavior with respect to these series by saying that a Lie algebra  $\mathfrak{g}$  is

1. *Nilpotent*, if some  $k$ , we find  $\mathfrak{D}_k\mathfrak{g} = 0$ ,
2. *Solvable*, if some  $k$ , we find  $\mathfrak{D}^k\mathfrak{g} = 0$ ,
3. *Simple*, if  $\dim \mathfrak{g} > 1$ , and  $\mathfrak{g}$  has no non-trivial ideals, and
4. *Semi-simple*, if  $\mathfrak{g}$  has no non-zero solvable ideals.

The notion of semi-simplicity will be of particular importance to us. As we'll see in the next section, the representation theory of semi-simple Lie algebras enjoys some nice features, and information about general Lie algebras can be lifted from certain related semi-simple Lie algebras.

Given solvable ideals  $\mathfrak{a}$  and  $\mathfrak{b}$  of a Lie algebra  $\mathfrak{g}$ , their sum  $\mathfrak{a} + \mathfrak{b} := \{X + Y : X \in \mathfrak{a}, Y \in \mathfrak{b}\}$  is again a solvable ideal. Thus the sum  $\sum_{\mathfrak{a}} \mathfrak{a}$  over all solvable ideals of  $\mathfrak{g}$  is a maximal solvable ideal which we call the *radical*  $\text{Rad}(\mathfrak{g})$ . As  $\mathfrak{g}/\text{Rad}(\mathfrak{g})$  is semi-simple, we write it as  $\mathfrak{g}_{ss}$  and refer to it as the *semi-simplification* of  $\mathfrak{g}$ .

## Representations of Lie algebras

We have already seen that given a pair of Lie groups  $G$  and  $H$ , with respective Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$ , we can differentiate a morphism  $\rho : G \rightarrow H$  to obtain a linear map  $d\rho : \mathfrak{g} \rightarrow \mathfrak{h}$  which preserves the Lie bracket, and hence, is a morphism of Lie algebras. Thus, given a representation  $\rho : G \rightarrow GL(V)$  for some vector space  $V$ , we obtain a morphism  $d\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$ . In general, we refer to any map of Lie algebras  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$  as a *representation*.

As is the case with the representation theory of groups, much of the structure of a Lie algebra can be captured by understanding fundamental objects known as *irreducible representations*. If  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  is a representation of  $\mathfrak{g}$ , and for some subspace  $W \subset V$  we have that  $\rho(X)W \subseteq W, \forall X \in \mathfrak{g}$ , then we say that  $W$  is an *invariant subspace*. Notice that in this case  $\rho$  also determines a representation  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(W)$ .

**Definition 2.1.11.** A representation  $\rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V)$  of a Lie algebra  $\mathfrak{g}$  is said to be *irreducible* if the only invariant subspaces of  $V$  and the 0-vector space, and  $V$ .

In the case of representations of groups, one way to build representations from irreducible ones is the use of tensor products. Given a pair of group representations  $\rho_1 : G \rightarrow GL(V_1)$  and  $\rho_2 : G \rightarrow GL(V_2)$ , we can define the *tensor product representation*  $\rho_1 \otimes \rho_2 : G \rightarrow GL(V_1 \otimes V_2)$  such that for all  $g \in G, v_1 \in V_1$ , and  $v_2 \in V_2$ , we have

$$\rho_1 \otimes \rho_2(g) \cdot (v_1 \otimes v_2) = (\rho_1(g) \cdot v_1) \otimes (\rho_2(g) \cdot v_2),$$

and then extend to the rest of  $V_1 \otimes V_2$  by linearity.

If  $G$  above is a Lie group, then the representation  $d(\rho_1 \otimes \rho_2)$  of  $\mathfrak{g}$  is obtained taking the differential of  $\rho_1 \otimes \rho_2$ , and by *Proposition 4.19* on page 110 of [5], we may treat a tensor product of functions as a product of functions with respect to differentiation, and apply a kind of Leibniz formula. Thus, in general, we see that the correct definition for the tensor product of Lie algebras is the following.

**Definition 2.1.12.** Given a Lie algebra  $\mathfrak{g}$ , and two representations  $\rho_1 : \mathfrak{g} \rightarrow \mathfrak{gl}(V_1)$  and  $\rho_2 : \mathfrak{g} \rightarrow \mathfrak{gl}(V_2)$ , we define their *tensor product*  $(\rho_1 \otimes \rho_2) : \mathfrak{g} \rightarrow \mathfrak{gl}(V_1 \otimes V_2)$  such that for  $X \in \mathfrak{g}$  and  $v_1 \otimes v_2 \in V_1 \otimes V_2$

$$(\rho_1 \otimes \rho_2)(X)(v_1 \otimes v_2) = \rho_1(X)v_1 \otimes v_2 + v_1 \otimes \rho_2(X)v_2.$$

As we'll see below, the theory also becomes considerably easier for the case of semi-simple Lie algebras. While many common examples Lie algebra, such as  $\mathfrak{gl}(\mathbb{C})$  (see below), fail to be semi-simple, this isn't always a great loss, as exemplified by *Proposition 9.17* of [2]

**Theorem 2.1.13.** *Let  $\mathfrak{g}$  be a complex Lie algebra, and  $\mathfrak{g}_{ss} = \mathfrak{g}/\text{Rad}(\mathfrak{g})$ . Every irreducible representation of  $\mathfrak{g}$  is of the form  $\rho_0 \otimes \rho : \mathfrak{g} \rightarrow \mathfrak{gl}(V_0 \otimes L)$ , where  $\rho_0 : \mathfrak{g}_{ss} \rightarrow \mathfrak{gl}(V_0)$  is irreducible, and  $\dim_{\mathbb{C}} L = 1$ .*

For the remainder of this section, we will assume all our Lie algebras are semi-simple.

For an arbitrary Lie algebra  $\mathfrak{g}$ , one begins by finding a maximal Abelian subalgebra  $\mathfrak{h}$  for which the restriction of the adjoint representation  $\text{ad} : \mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{g})$  acts diagonally. We call any such subalgebra  $\mathfrak{h}$  a *Cartan subalgebra*. Given this choice of  $\mathfrak{h}$ , the action decomposes  $\mathfrak{g}$  into subspaces on which  $\mathfrak{h}$  acts by a functional  $\alpha \in \mathfrak{h}^*$ . That is, there exists a set  $\Lambda \subseteq \mathfrak{h}^*$  such that for each  $\alpha \in \Lambda$ , we have spaces

$$\mathfrak{g}_{\alpha} = \{X \in \mathfrak{g} | \forall H \in \mathfrak{h}, \text{ad}(H)(X) = \alpha(H) \cdot X\} \neq \{0\},$$

where  $\mathfrak{g} = \mathfrak{h} \oplus \left(\bigoplus_{\alpha \in \Lambda} \mathfrak{g}_{\alpha}\right)$ . The functionals are called *roots*, and we denote the set of all roots by  $\Delta$ . The  $\mathfrak{g}_{\alpha}$  are *root spaces*, and their elements are called *root vectors*.

Each of the root spaces  $\mathfrak{g}_{\alpha}$  are 1-dimensional, and  $\Delta$  generates a lattice  $\Lambda_{\Delta} \subseteq \mathfrak{h}^*$  having rank equal to  $\dim \mathfrak{h}$ .

More generally, any representation  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$  will decompose into spaces  $V = \bigoplus_{\alpha} V_{\alpha}$  where  $\mathfrak{h}$  acts diagonally, where  $V_{\alpha} = \{v \in V | \forall H \in \mathfrak{h}, Hv = \alpha(H) \cdot v\}$ .

At this point, one must make a choice of hyperplane containing half the roots. This can be described more formally as choosing a linear functional  $\ell : \Lambda_{\Delta} \otimes \mathbb{R} \rightarrow \mathbb{R}$ , and extend it to a functional  $\ell : \mathfrak{h}^* \rightarrow \mathbb{C}$  which is irrational with respect to the lattice  $\Lambda_{\Delta}$ . We then define the positive and negative roots respectively

$$\Delta^+ = \{\alpha \in \Delta | \ell(\alpha) > 0\}, \quad \Delta^- = \{\alpha \in \Delta | \ell(\alpha) < 0\}.$$

**Definition 2.1.14.** For a representation  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$ , with Cartan subalgebra  $\mathfrak{h} \subseteq \mathfrak{g}$ , a functional  $\lambda \in \mathfrak{h}^*$  and positive roots  $\Delta^+$ , a non-zero vector  $v \in V$  is said to be of weight  $\lambda \in \mathfrak{h}^*$  such that  $Hv = \lambda(H)v$ . We say that  $v$  is a *highest weight vector* if it has weight  $\lambda$ , and is in the Kernel of  $\mathfrak{g}_{\alpha}$  for each  $\alpha \in \Delta^+$ .

The main point of the preceding definition is the following result.

**Theorem 2.1.15.** *For any representation  $\mathfrak{g} \rightarrow \mathfrak{gl}(V)$  of a semisimple complex Lie algebra  $\mathfrak{g}$ , the subspace  $W = \{Y \cdot v \in V | Y \in \mathfrak{g}_{\beta}, \beta \in \Delta^-\} \subseteq V$  is an irreducible subrepresentation.*

### Computation of the Semi-simple part of $\mathfrak{gl}_n(\mathbb{C})$

For the main theorems of this thesis, we will be interested in a representation of a certain Lie subalgebra of  $\mathfrak{sl}_n(\mathbb{C})$ , and hence  $\mathfrak{gl}_n(\mathbb{C})$ . Thus, throughout the rest of this section we reproduce a number of well known results about the structure and representation theory of  $\mathfrak{gl}_n(\mathbb{C})$  and  $\mathfrak{sl}_n(\mathbb{C})$ , which will then restrict to results on the Lie subalgebra of

our main interest, to be described later.

In this section, we define a symmetric bilinear form on arbitrary Lie algebras that has particular importance for representation theory, known as the *Killing form*.

**Proposition 2.1.16.** *For a Lie algebra  $\mathfrak{g}$  over a field  $F$ , the map*

$$B : \mathfrak{g} \times \mathfrak{g} \rightarrow F$$

$$(X, Y) \mapsto \text{tr}(\text{ad}(X) \circ \text{ad}(Y))$$

*is symmetric and bilinear.*

*Proof.* The symmetry follows from the commutative property of trace, and the bilinearity follows from the linearity of trace, and the bilinearity of the Lie bracket.  $\square$

From the bilinearity of  $B$ , it's enough to determine its action on a basis  $\{e_i\}_{i=1}^n$  of  $\mathfrak{g}$ . Recalling the definition of the structure coefficients from the previous subsections, we can compute

$$\text{ad}(e_i) \circ \text{ad}(e_j)(e_k) = [e_i, [e_j, e_k]] = \left[ e_i, \sum_{l=1}^n C_{jk}^l e_l \right] = \sum_{l=1}^n \sum_{m=1}^n C_{il}^m C_{jk}^l e_m.$$

Using this, we can compute a concrete description for the Killing form of  $\mathfrak{gl}_n(\mathbb{C})$  here, from which the Killing form for  $\mathfrak{sl}_n(\mathbb{C})$  will follow as an easy corollary.

Let  $X, Y \in \mathfrak{gl}_n(\mathbb{C})$ , then by the bi-linearity, and the computations of the Lie bracket eq. (2.11)

$$B(X, Y) = B\left(\sum_{j,k} x_{jk} E_{jk}, \sum_{l,m} y_{lm} E_{lm}\right) = \sum_{j,k} \sum_{l,m} x_{jk} y_{lm} B(E_{jk}, E_{lm}) = \sum_{j,k} \sum_{l,m} x_{jk} y_{lm} \sum_{r,s} \sum_{t,u} C_{jk,tu}^{rs} C_{lm,rs}^{tu}.$$

Now by eq. (2.12)

$$C_{lm,rs}^{tu} = \begin{cases} \delta_{mr}, & tu = ls \\ -\delta_{ls}, & tu = rm \\ 0, & \text{otherwise} \end{cases}$$

and therefore

$$B(X, Y) = \sum_{j,k} \sum_{l,m} x_{jk} y_{lm} \sum_{r,s} \left( C_{jk,ls}^{rs} \delta_{mr} - C_{jk,rm}^{rs} \delta_{ls} \right).$$

Again by eq. (2.12)

$$C_{jk,ls}^{rs} = \begin{cases} \delta_{kl}, & rs = js \\ -\delta_{js}, & rs = lk \\ 0, & \text{otherwise} \end{cases}$$

$$C_{jk,rm}^{rs} = \begin{cases} \delta_{kr}, & rs = jm \\ -\delta_{jm}, & rs = rk \\ 0, & \text{otherwise} \end{cases}$$

Thus the above becomes

$$\begin{aligned}
B(X, Y) &= \sum_{j,k} \sum_{l,m} x_{jk} y_{lm} \left( \sum_{s=1}^n \delta_{kl} \delta_{mj} - \delta_{jk} \delta_{ml} - \delta_{kj} \delta_{lm} + \sum_{r=1}^n \delta_{jm} \delta_{lk} \right) \\
&= \sum_{j,k} \sum_{l,m} (x_{jk} y_{lm} n \delta_{kl} \delta_{mr} + x_{jk} y_{lm} n \delta_{jm} \delta_{lk} - x_{jk} y_{lm} \delta_{jk} \delta_{ml} - x_{jk} y_{lm} \delta_{kj} \delta_{lm}) \\
&= n \sum_{j=1}^n \sum_{k=1}^n x_{jk} y_{kj} + n \sum_{j=1}^n \sum_{k=1}^n x_{jk} y_{kj} - \sum_{j=1}^n \sum_{l=1}^n x_{jj} y_{ll} - \sum_{j=1}^n \sum_{l=1}^n x_{jj} y_{ll} \\
&= 2n \sum_{j=1}^n [XY]_{jj} - 2 \sum_{j=1}^n x_{jj} \text{tr}(Y) \\
&= 2n \text{tr}(XY) - 2 \text{tr}(X) \text{tr}(Y).
\end{aligned}$$

Recall that  $\mathfrak{sl}_n(\mathbb{C})$  is the subalgebra of  $\mathfrak{gl}_n(\mathbb{C})$  of traceless matrices, and therefore  $B_{\mathfrak{sl}_n(F)}(X, Y) = 2n \text{tr}(XY)$ .

In order to compute  $\text{Rad}(\mathfrak{gl}_n(\mathbb{C}))$  we will make use of *Proposition C.22* of [2], which states that

**Proposition 2.1.17.** *For any complex Lie algebra  $\text{Rad}(\mathfrak{g}) = (\mathfrak{D}\mathfrak{g})^\perp$  with respect to the Killing form.*

We computed the killing form for  $\mathfrak{gl}_n(F)$  in the previous section to be  $B(X, Y) = n \text{tr}(XY) - \text{tr}(X) \text{tr}(Y)$ . So we let  $Y \in \mathfrak{gl}_n(\mathbb{C})$ , and demand that it's Killing product with each basis vector of  $\mathfrak{D}\mathfrak{gl}_n(\mathbb{C})$  be zero.

For  $j \neq k$ , we see that

$$\begin{aligned}
0 &= n \text{tr}(E_{jk} Y) - \text{tr}(E_{jk}) \text{tr}(Y) = n \text{tr} \left( \sum_{i=1}^n [E_{jk} Y]_{ii} \right) - 0 \\
&= n \sum_{i=1}^n \sum_{l=1}^n (E_{jk})_{il} y_{li} \\
&= n \sum_{i=1}^n (E_{jk})_{ik} y_{ki} \\
&= n (E_{jk})_{jk} y_{kj} \\
&= n y_{kj}.
\end{aligned}$$



Therefore  $y_{kj} = 0$ , and by arbitrary selection of  $j \neq k$ , all off-diagonal terms of  $Y$  must be zero. Meanwhile

$$\begin{aligned}
0 &= \text{tr}((E_{jj} - E_{kk})Y) - \text{tr}(E_{jj} - E_{jj})\text{tr}(Y) = \text{tr}(E_{jj}Y) - \text{tr}(E_{kk}Y) - 0 \\
&= n \sum_{i=1}^n (E_{jj}Y)_{ii} - n \sum_{i=1}^n (E_{kk}Y)_{ii} \\
&= n \sum_{i=1}^n \sum_{l=1}^n (E_{jj})_{il} y_{li} - n \sum_{i=1}^n \sum_{l=1}^n (E_{kk})_{il} y_{li} \\
&= n \sum_{i=1}^n (E_{jj})_{ij} y_{ji} - n \sum_{i=1}^n (E_{kk})_{ik} y_{ki} \\
&= n(E_{jj})_{jj} y_{jj} - n(E_{kk})_{kk} y_{kk} \\
&= n(y_{jj} - y_{kk}).
\end{aligned}$$

Thus  $y_{jj} = y_{kk}$ , and by arbitrary selection of  $j$  and  $k$ , we conclude that  $Y = \lambda I$  for some  $\lambda \in \mathbb{C}$ .

Altogether we conclude

$$\text{Rad}(\mathfrak{gl}_n(\mathbb{C})) = (\mathfrak{D}\mathfrak{gl}_n(\mathbb{C}))^\perp = \{\lambda I : \lambda \in \mathbb{C}\}.$$

Now, if we consider  $(\mathfrak{gl}_n(\mathbb{C}))_{ss} = \mathfrak{gl}_n(\mathbb{C})/\text{Rad}(\mathfrak{gl}_n(\mathbb{C}))$ , we have that

$$X + \text{Rad}(\mathfrak{gl}_n(\mathbb{C})) = Y + \text{Rad}(\mathfrak{gl}_n(\mathbb{C})) \iff X - Y \in \text{Rad}(\mathfrak{gl}_n(\mathbb{C})) \iff X - Y = \lambda I, \text{ for some } \lambda \in \mathbb{C}.$$

Thus two matrices represent the same equivalence class iff their diagonals differ by a constant. In particular  $X \sim X - \frac{\text{tr}(X)}{n}I$ , where

$$\text{tr}\left(X - \frac{\text{tr}(X)}{n}I\right) = \text{tr}X - \frac{\text{tr}(X)}{n}\text{tr}(I) = \text{tr}(X) - \text{tr}(X) = 0.$$

Therefore each class of  $\mathfrak{gl}_n\mathbb{C}/\text{Rad}(\mathfrak{gl}_n\mathbb{C})$  can be represented by a matrix of zero trace.

Furthermore, we've seen that two matrices  $X$  and  $Y$  represent the same class iff  $Y = X + \lambda I$ . If we require that  $\text{tr}(Y) = 0$ , then

$$0 = \text{tr}(Y) = \text{tr}(X + \lambda I) = \text{tr}(X) - \lambda \text{tr}(I) = \text{tr}(X) - \frac{\lambda}{n} \Rightarrow \text{tr}(X) = \frac{\lambda}{n}.$$

In other words, each equivalence class can *uniquely* be represented by a traceless matrix, and therefore we identify

$$(\mathfrak{gl}_n(\mathbb{C}))_{ss} = \mathfrak{gl}_n(\mathbb{C})/\text{Rad}(\mathfrak{gl}_n(\mathbb{C})) \cong \{X \in \mathfrak{gl}_n(\mathbb{C}) : \text{tr}(X) = 0\}.$$

In this way, we see that  $(\mathfrak{gl}_n\mathbb{C})_{ss}$  is naturally identified with a Lie subalgebra of  $\mathfrak{gl}_n$ . In particular  $(\mathfrak{gl}_n(\mathbb{C}))_{ss} \cong \mathfrak{sl}_n(\mathbb{C})$ . Thus as a consequence, we see that  $\mathfrak{sl}_n(\mathbb{C})$  is a semi-simple Lie algebra.

### The Cartan Subalgebra of $\mathfrak{sl}_n(\mathbb{C})$ .

In this subsection, we will only work with the (semi-simple) Lie algebra  $\mathfrak{g} := \mathfrak{sl}_n\mathbb{C} \cong (\mathfrak{gl}_n(\mathbb{C}))_{ss}$ . First, we will need to choose a Cartan subalgebra of  $\mathfrak{sl}_n(\mathbb{C})$ . In the case of  $\mathfrak{g} = (\mathfrak{gl}_n(\mathbb{C}))_{ss}$ , we define  $\mathfrak{h}$  to be the subalgebra of diagonal

matrices. First we observe that

$$[E_{ii}, E_{jj}] = \delta_{ij}E_{ij} - \delta_{ji}E_{ji} = \begin{cases} E_{ii} - E_{ii} = 0, & i = j \\ 0, & \text{otherwise.} \end{cases}$$

Therefore

$$[E_{ii} - E_{jj}, E_{kk} - E_{ll}] = [E_{ii}, E_{kk}] - [E_{jj}, E_{kk}] - [E_{ii}, E_{kk}] + [E_{jj}, E_{ll}] = 0,$$

and thus we see that  $\mathfrak{h}$  is Abelian.

Now we examine the action  $\text{ad} : \mathfrak{sl}_n(\mathbb{C}) \rightarrow \mathfrak{gl}(\mathfrak{g})$  restricted to  $\mathfrak{h}$ . First, since  $H = \text{span}\{E_{ii} - E_{jj}\}$ , then letting  $e_i \in \mathfrak{h}^*$  where

$$e_i(E_{jk}) = \begin{cases} 1, & i = j = k \\ 0, & \text{otherwise} \end{cases}$$

Fixing a particular  $j$ , we obtain a basis  $\{e_i - e_j : 1 \leq i \leq n, i \neq j\}$  for  $\mathfrak{h}^*$ .

In the case of  $\mathfrak{sl}_n = \mathfrak{sl}_2$ , we have  $\mathfrak{h} = \text{span}\left\{\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}\right\}$ . For  $X = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathfrak{g}_{e_1 - e_2}$ , and  $H = \begin{pmatrix} h & 0 \\ 0 & -h \end{pmatrix} \in \mathfrak{h}$ , we must have

$$[(e_1 - e_2)(H)](X) = \text{ad}(H)(X) = \left[ \begin{pmatrix} h & 0 \\ 0 & -h \end{pmatrix}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \right] = \begin{pmatrix} ha & hb \\ -hc & -hd \end{pmatrix} - \begin{pmatrix} ha & -hb \\ hc & -hc \end{pmatrix} = \begin{pmatrix} 0 & 2hb \\ -2hc & 0 \end{pmatrix}.$$

Meanwhile,  $(e_1 - e_2)H(X) = (h - (-h))X = 2hX$  and thus

$$2h \begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} 0 & 2hb \\ -2hc & 0 \end{pmatrix}.$$

Since  $h \neq 0$ , we know  $2hc = -2hc \Rightarrow c = 0$ . Therefore

$$\mathfrak{g}_{e_1 - e_2} = \text{span}\left\{\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}\right\}.$$

Now we consider the case where  $n \geq 3$ .

Suppose  $X \in \mathfrak{g}_{e_l - e_m}$ . There must be at least one non-zero entry, say  $x_{ij}$ , and compute

$$[H, X]_{ij} = [HX]_{ij} - [XH]_{ij} = \sum_{k=1}^n h_{ik}x_{kj} - \sum_{k=1}^n x_{ik}h_{kj} = h_{ii}x_{ij} - x_{ij}h_{jj} = (h_{ii} - h_{jj})x_{ij},$$

and  $[H, X]_{ij} = [(e_l - e_m)(H)X]_{ij} = (h_{ll} - h_{mm})x_{ij}$ . Therefore  $(h_{ii} - h_{jj})x_{ij} = (h_{ll} - h_{mm})x_{ij}$ . Since  $x_{ij} \neq 0$ , we have  $h_{ii} - h_{jj} = h_{ll} - h_{mm}$ .

If  $i = j$ , we find  $h_{ll} = h_{mm}$ . However  $n$  is at least 3, and thus we can freely choose the values of both variables, and thus the equality won't hold in general. Therefore  $x_{ii} = 0$  for all  $i$ , and so we now assume  $i \neq j$ .

We have that  $0 = \text{tr}(H) = \sum_{k=1}^n h_k k = \sum_{k \in \{i,j,l,m\}} h_{kk} + \sum_{i \notin \{i,j,l,m\}} h_{kk}$ . Since  $i \neq j$ , the first sum has at least 2 terms, thus we can freely set all the terms in the second sum to 0. Therefore we have a system of linear equations with some variables  $h_{ii}, h_{jj}, h_{ll}$ , and  $h_{mm}$ , which may not be distinct, and 2 equations  $h_{ii} - h_{jj} = h_{ll} - h_{mm}$  and  $\sum_{k \in \{i,j,k,l\}} h_{kk}$ .

If there really are 3 or 4 distinct variables, that is 3 or 4 of the  $i, j, l, m$  are distinct, then there aren't enough constraints. That is, we can freely choose them to violate the prescribed equations. There can't be only one variable because  $i \neq j$ , thus there are exactly two variables.

It can't be that  $j = l$  and  $j = m$ , because  $m \neq l$ .

If  $j = l$ , then  $h_{ii} - h_{jj} = -h_{ii} + h_{jj}$  so  $h_{ii} = h_{jj}$  and again, this won't hold for arbitrary  $H$ , therefore  $j = m$  and thus  $i = l$ . In other words, all the  $x_{ij}$  are zero, except possibly  $x_{lm}$ , so  $X = x_{lm} E_{lm}$ .

We can also see that any such choice of  $x_{lm}$  will suffice, and therefore  $\mathfrak{g}_{e_l - e_m} = \text{span}\{E_{lm}\}$ .

By the argument above, we've determined that no other off-diagonal term be added to  $\mathfrak{h}$  while remaining Abelian, and therefore  $\mathfrak{h}$  is a maximal Abelian subalgebra, making it a Cartan subalgebra.

Since the functionals  $e_i - e_j$  are a basis for the dual  $\mathfrak{h}^*$ , we obtain the decomposition

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{e_i - e_j} \mathfrak{g}_{e_i - e_j},$$

where  $\mathfrak{g}_{e_i - e_j} = \text{span}\{E_{ij}\}$ .

Usually, the set of *positive* roots is taken to be  $\{e_i - e_j | 1 \leq i < j \leq n\}$ . However, our main interest will be in a particular subalgebra  $\mathfrak{k}$  of  $\mathfrak{sl}_{2r}(\mathbb{C})$ , to be defined in the next section. The subsets  $\Delta^+ = \{e_i - e_j | 1 \leq i < j \leq r\}$  and  $\Delta^- = \{-e_i + e_j | r+1 \leq i < j \leq 2r\}$ , are positive and negative roots of  $\mathfrak{k}$  respectively, and we'll write  $\Delta := \Delta^+ \cup \Delta^-$ .

Thus the root spaces are spanned by

$$\mathfrak{g}_{e_i - e_j} = \text{span}_{\mathbb{C}}\{E_{ij}\}, \quad 1 \leq i \leq r, i < j \leq 2r \quad (2.14)$$

$$\mathfrak{g}_{-e_i + e_j} = \text{span}_{\mathbb{C}}\{E_{ji}\}, \quad r+1 \leq i < j \leq 2r \quad (2.15)$$

## 2.2 The Weil Representation

Our ultimate goal is to investigate the behavior of certain vectors under the action of the *Weil representation*. The Weil representation originated in physics, but was generalized to the realm locally compact Abelian groups by André Weil. This allowed for a representation theoretic interpretation of theta functions, and provided a powerful tool for the study of modular forms of half-integral weight.

We will only outline the theory here, the details for the general case can be found in [9]. Let  $G$  be a locally compact Abelian group, and define its *Pontryagin dual*  $\hat{G} = \text{Hom}_{cts}(G, \mathbb{C}^1)$ . The *symplectic group*  $Sp(G \times \hat{G})^1$  is a certain special subgroup of  $\text{Aut}(G \times \hat{G})$ . Any finite dimensional  $\mathbb{R}$ -vector space  $\mathbb{W}_1$  is isomorphic (as a vector space) to  $\mathbb{R}^n$ , and thus the underlying group  $G$  of  $\mathbb{W}_1$  is isomorphic (as a group) to  $\mathbb{R}^n$ . As  $\widehat{\mathbb{R}^n} \cong \mathbb{R}^n$  as a group,  $\hat{G} \cong \widehat{\mathbb{R}^n}$  and can be realized as the underlying group of the linear dual space  $(\mathbb{R}^n)^* \cong \mathbb{W}_1^*$ . The natural pairing  $G \times \hat{G} \rightarrow \mathbb{C}$  induces a form on  $\mathbb{W} = \mathbb{W}_1 \oplus \mathbb{W}_1^*$ , which can be described by a matrix

$$J = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}.$$

In this case  $Sp(G \times \hat{G})$  is actually the Lie group  $Sp(\mathbb{W})$  of matrices preserving the form  $J$ .

Recall that a *covering* of a topological space  $X$  is a space  $Y$  and a continuous surjection  $p : Y \rightarrow X$  such that for all  $x \in X$  there exists an open set  $U \subseteq X$  containing  $x$ , where  $p^{-1}(U)$  is a union of disjoint open sets of  $Y$ , each of which are homeomorphic to  $U$  under  $p$ . A cover  $p : Y \rightarrow X$  is said to be a *double cover* if the fiber  $p^{-1}(\{x\})$  contains 2 elements for all  $x \in X$ . A *covering group* of a topological group  $G$  is a covering  $p : H \rightarrow G$  such that  $p$  is also a group homomorphism.

The *metaplectic group*  $Mp(G \times \hat{G})$  is constructed as a double cover of  $Sp(G \times \hat{G})$ , and the Weil representation is a homomorphism  $\omega_\psi : Mp(G \times \hat{G}) \rightarrow \text{End}(S(G))$ , depending on a choice of  $\psi \in \text{Hom}(Z, \mathbb{C}^1)$ , where  $Z$  is the center of  $Sp(G \times \hat{G})$ , and  $S(G)$  is the space of *Schwartz-Bruhat* functions. When  $G$  is the underlying group of  $\mathbb{W}_1$  as above, the space  $S(G)$  is the *Schwartz space*  $S(\mathbb{W}_1)$ . Given an identification  $\mathbb{W}_1 \cong \mathbb{R}^n$ , with coordinates  $(x_1, \dots, x_n) \in \mathbb{R}^n$ , we can describe the Schwartz space as the collection of smooth  $f : \mathbb{R}^n \rightarrow \mathbb{C}$  such that for all  $\alpha = \{\alpha_1, \dots, \alpha_n\}$ ,

$$\beta = \{\beta_1, \dots, \beta_n\} \in \mathbb{N}_0^n,$$

$$\sup_{x \in \mathbb{R}^n} \left| x^\alpha \frac{\partial f(x)}{\partial x^\beta} \right| < \infty,$$

in multi-index notation.

To describe the setting relevant to our purposes, consider the  $(p+q)$ -dimensional Hermitian space  $(V, \langle \cdot, \cdot \rangle_V)$  of previous sections, and a  $2r$ -dimensional  $\mathbb{C}$ -vector space  $W$ , with a skew-Hermitian form  $\langle \cdot, \cdot \rangle_W$  acting on a basis

$\{\tilde{w}_s | 1 \leq s \leq 2r\}$  by

$$\langle w_s, w_t \rangle = \begin{cases} i, & 1 \leq s = t \leq r \\ -i, & r+1 \leq s = t \leq 2r \\ 0, & \text{otherwise} \end{cases}.$$

The vector space  $\mathbb{W} := V \otimes_{\mathbb{C}} W$  considered as a  $2(p+q)(2r)$ -dimensional  $\mathbb{R}$ -vector space, has a symplectic form

$$\langle \langle v \otimes w, \tilde{v} \otimes \tilde{w} \rangle \rangle = \text{Re} \left( \langle v, \tilde{v} \rangle_V \langle w, \tilde{w} \rangle_W \right).$$

We choose a maximal isotropic subspace  $\mathbb{W}_1$  of  $\mathbb{W}$ , and focus on the Weil representation  $Mp(\mathbb{W}) = Mp(G \times \hat{G})$ . In [6], a splitting  $U(V) \times U(W) \rightarrow Mp(\mathbb{W})$  is provided, and thus by composition the Weil representation describes

<sup>1</sup>This is commonly written as  $Sp(G)$ , but this notation will become confusing in our context

and action of  $U(V) \times U(W)$  on  $S(V^r)$ . The  $U(V)$  factor acts on  $\Phi \in S(W)$  by  $g \cdot \Phi(x) = \Phi(g^{-1}x)$ , but the action of  $U(W)$  is more complicated.

Let  $H_r(\mathbb{C})$  be the collection of  $r \times r$  Hermitian matrices, and

$$m(a) := \begin{pmatrix} a & 0 \\ 0 & (a^*)^{-1} \end{pmatrix}, a \in GL_r(\mathbb{C}), \quad n(b) := \begin{pmatrix} 1 & b \\ 0 & 1 \end{pmatrix}, b \in H_r(\mathbb{C}), \quad w_r = \begin{pmatrix} 0 & -I_r \\ I_r & 0 \end{pmatrix}$$

By an analogous argument to the proof of *Proposition 2.2* on page 8 of [1],  $U(W)$  is generated by elements of the form  $m(a)$ ,  $n(b)$ , and  $w_r$ , and hence it suffices to describe the action of the Weil representation for these elements.

Define  $\psi : \mathbb{R} \rightarrow \mathbb{C}^1$  by  $\psi(x) = e^{2\pi i x}$ , let  $\chi$  be a character of  $\mathbb{C}^\times$  such that  $\chi|_{\mathbb{R}^\times} = \text{sgn}(\cdot)^{p+q}$ , and for any  $\mathbf{v} = (v_1, \dots, v_r) \in V^r$ , set  $T(\mathbf{v}) = [(1/2) \langle v_i, v_j \rangle_V]$ . Then explicit formulas for the action of  $\omega = \omega_{\psi, \chi}$  on  $\Phi \in S(W)$  are given by

$$\begin{aligned} \omega(m(a))\Phi(\mathbf{v}) &= |\det a|^{\frac{p+q}{2}} \Phi(\mathbf{v} \cdot a) \chi(\det a) \\ \omega(n(b))\Phi(\mathbf{v}) &= \psi(\text{tr}(bT(\mathbf{v})))\Phi(\mathbf{v}) \\ \omega(w_r) &= \gamma_{V^r} \hat{\Phi}(\mathbf{v}) \end{aligned}$$

where  $\gamma_{V^r}$  is a special constant associated to the representation known as the *Weil index*, and  $\hat{\Phi}$  is the Fourier transform of  $\Phi$ .

As mentioned in the section on Lie algebras, we can study representations of  $U(W)$  by studying the induced representation  $d\omega$  on its Lie algebra  $\mathfrak{u}(W)$ , which we will also just write as  $\omega$ . Since the study of Lie algebra representations is easier for (and can be recovered from) the case of compact, complex, and semi-simple Lie groups, we will consider the Lie algebra  $\mathfrak{k}_0$  of the maximal compact subgroup  $U(r) \times U(r)$  of  $U(r, r)$ , and the complexification  $\mathfrak{g} = \mathfrak{g}_0 \otimes_{\mathbb{R}} \mathbb{C}$ , of  $\mathfrak{g}_0 = \text{Lie}(U(r, r))$ , where we set  $\mathfrak{k} := \mathfrak{k}_0 \otimes_{\mathbb{R}} \mathbb{C}$ .

In the semi-simplification  $\mathfrak{g}_{ss}$  of  $\mathfrak{g}$ , we define  $\mathfrak{k}_{ss} = \mathfrak{k} \cap \mathfrak{g}_{ss} \cong (\mathfrak{k}_0)_{ss} \otimes_{\mathbb{R}} \mathbb{C}$ . In fact, in this section we'll realize an explicit isomorphism  $\mathfrak{g} \cong \mathfrak{gl}_{2r}(\mathbb{C})$ , and thus  $\mathfrak{g}_{ss} \cong \mathfrak{sl}_{2r}(\mathbb{C})$ . Thus by realizing  $\mathfrak{k}_{ss}$  as a Lie subalgebra of  $\mathfrak{sl}_{2r}(\mathbb{C})$ , we can apply the theory of the highest weight vector outlined in the Lie algebra section.

In [3], the action of the Lie algebra  $\mathfrak{g}$  via the Weil representation is described by expressing  $\mathfrak{g}$  as isomorphic to a quotient of  $(\text{Sym}_{\mathbb{R}}^2 W) \otimes_{\mathbb{R}} \mathbb{C}$ , which we also make explicit in this section. Since our focus will be on  $\mathfrak{k}_{ss}$ , we will then restrict to the corresponding subspace.

### The Skew-Hermitian space $W$

Let  $W$  be a complex vector space of dimension  $2r$  with a basis  $\{\vec{w}_a, \vec{w}_u | 1 \leq a \leq r, r+1 \leq u \leq 2r\}$ , and a skew-Hermitian inner product defined by  $\langle \vec{w}_a, \vec{w}_a \rangle = i$ ,  $\langle \vec{w}_u, \vec{w}_u \rangle = -i$ , and  $\langle \vec{w}_s, \vec{w}_t \rangle = 0$  for  $s \neq t$ .

Letting  $G = U(W)$  and  $\mathfrak{g}_0 = \mathfrak{u}(W)$ , the defining equation for the Lie group  $G$  becomes

$$\begin{pmatrix} iI_p & 0 \\ 0 & -iI_q \end{pmatrix} = X^T \begin{pmatrix} iI_p & 0 \\ 0 & -iI_q \end{pmatrix} \bar{X}.$$

Thus dividing both sides of the equation by  $i$ , we see  $G = U(r, r)$  and  $\mathfrak{g}_0 = \mathfrak{u}(r, r)$ .

Recall that for a  $K$ -vector space  $U$ , the tensor algebra  $T(U)$ , and the ideal  $I = \langle x \otimes y - y \otimes x \rangle$  of  $T(U)$ , we define  $\text{Sym}^\bullet := T(U)/I$ , where we will write  $x \circ y$  for the image of  $x \otimes y \in T(U)$  in the quotient  $T(U)/I$ . We set  $\text{Sym}^0 U = K$ , and for  $1 \leq k \leq \dim U$  we define  $\text{Sym}^k(U) := \text{span}_K \{u_1 \circ \dots \circ u_k \mid u_1, \dots, u_k \in U\}$ .

We define a map

$$\begin{aligned} \phi : \text{Sym}_{\mathbb{R}}^2 W &\rightarrow \text{End}(W) \\ \phi(\vec{u} \circ \vec{v})(\vec{w}) &= \langle \vec{w}, \vec{u} \rangle \vec{v} + \langle \vec{w}, \vec{v} \rangle \vec{u} \end{aligned}$$

Below we will derive the explicit action of the map, and as a result we will be able to see that the image is  $\mathfrak{g}_0$ .

Since  $\{\vec{w}_s, i\vec{w}_t : 1 \leq s, t \leq 2r\}$  is an  $\mathbb{R}$ -basis for  $W$ , the set  $\{\vec{w}_s \circ \vec{w}_t, (i\vec{w}_s) \circ \vec{w}_t, \vec{w}_s \circ (i\vec{w}_t), (i\vec{w}_s) \circ (i\vec{w}_t) : 1 \leq s, t \leq 2r\}$  is a basis for  $\text{Sym}_{\mathbb{R}}^2 W$ . Furthermore

$$\begin{aligned} \phi(\vec{u} \circ i\vec{v})\vec{w} &= \langle \vec{w}, \vec{u} \rangle i\vec{v} + \langle \vec{w}, i\vec{v} \rangle \vec{u} = \langle \vec{w}, -i\vec{u} \rangle \vec{v} + \langle \vec{w}, \vec{v} \rangle (-i\vec{u}) = -(\langle \vec{w}, i\vec{u} \rangle \vec{v} + \langle \vec{w}, \vec{v} \rangle i\vec{u}) = -\phi(i\vec{u} \circ \vec{v})\vec{w} \\ \phi(i\vec{u} \circ i\vec{v})\vec{w} &= \langle \vec{w}, i\vec{u} \rangle i\vec{v} + \langle \vec{w}, i\vec{v} \rangle \vec{u} = \langle \vec{w}, \vec{u} \rangle (-i^2)\vec{v} + \langle \vec{w}, \vec{v} \rangle (-i^2)\vec{u} = \langle \vec{w}, \vec{u} \rangle \vec{v} + \langle \vec{w}, \vec{v} \rangle \vec{u} = \phi(\vec{u} \circ \vec{v})\vec{w}. \end{aligned}$$

Thus the image of  $\phi$  is spanned by  $\{\phi(\vec{w}_s \circ \vec{w}_t), \phi(i\vec{w}_s \circ \vec{w}_t)\}$ , and thus it is sufficient to check the action of these elements on the basis vectors of  $W$ .

We compute

$$\phi(\vec{w}_s \circ \vec{w}_t)\vec{w}_m = \langle \vec{w}_m, \vec{w}_s \rangle \vec{w}_t + \langle \vec{w}_m, \vec{w}_t \rangle \vec{w}_s = \begin{cases} \langle \vec{w}_s, \vec{w}_s \rangle \vec{w}_t, & m = s \neq t \\ \langle \vec{w}_t, \vec{w}_t \rangle \vec{w}_s, & m = t \neq s \\ 2 \langle \vec{w}_s, \vec{w}_s \rangle \vec{w}_s, & m = s = t, \\ 0, & \text{otherwise} \end{cases}$$

Letting  $E_{st} \in M_{2r}(\mathbb{C})$  be the matrix with 1 in the  $s, t$  position,

$$\phi(\vec{w}_s \circ \vec{w}_t) = (\langle \vec{w}_s, \vec{w}_s \rangle E_{ts} + \langle \vec{w}_t, \vec{w}_t \rangle E_{st}) \in \mathfrak{g}_0, \quad (2.16)$$

which we can see by simply reading off the basis eqs. (2.4) to (2.7) from section 2.1.

Likewise, we compute

$$\begin{aligned} \phi(i\vec{w}_s \circ \vec{w}_t)\vec{w}_m &= \langle \vec{w}_m, i\vec{w}_s \rangle \vec{w}_t + \langle \vec{w}_m, \vec{w}_t \rangle i\vec{w}_s = -i \langle \vec{w}_m, \vec{w}_s \rangle \vec{w}_t + \langle \vec{w}_m, \vec{w}_t \rangle i\vec{w}_s \\ &= \begin{cases} -i \langle \vec{w}_s, \vec{w}_s \rangle \vec{w}_t, & m = s \neq t \\ i \langle \vec{w}_t, \vec{w}_t \rangle \vec{w}_s, & m = t \neq s \\ 0, & m = s = t \\ 0, & \text{otherwise} \end{cases} \\ &= i(-\langle \vec{w}_s, \vec{w}_s \rangle E_{ts} + \langle \vec{w}_t, \vec{w}_t \rangle E_{st}). \end{aligned}$$

Therefore, again by comparing with the basis eqs. (2.4) to (2.7),

$$\phi(i\vec{w}_s \circ \vec{w}_t) = i(-\langle \vec{w}_s, \vec{w}_s \rangle E_{ts} + \langle \vec{w}_t, \vec{w}_t \rangle E_{st}) \in \mathfrak{g}_0.$$

**Theorem 2.2.1.** *The map  $\phi : \text{Sym}_{\mathbb{R}}^2 W \rightarrow \mathfrak{g}_0$  is surjective.*

*Proof.* By comparing eq. (2.16) and eq. (3.1) to the basis eqs. (2.4) to (2.5) in section 2.1, we see that the map is surjective.  $\square$

Furthermore,

$$\{\phi(\vec{w}_s \circ \vec{w}_t) | 1 \leq s \leq t \leq 2r\} \cup \{\phi(i\vec{w}_s \circ \vec{w}_t) | 1 \leq s < t \leq 2r\}$$

is a basis for  $\mathfrak{g}_0$ .

Taking the complexifications  $W_{\mathbb{C}} = W \otimes_{\mathbb{R}} \mathbb{C}$  and  $\mathfrak{g} = \mathfrak{g}_0 \otimes_{\mathbb{R}} \mathbb{C}$ , by the right-exactness of  $\otimes$ , the induced map  $(\text{Sym}_{\mathbb{R}}^2 W) \otimes_{\mathbb{R}} \mathbb{C} \rightarrow \mathfrak{g}$  induced by  $\phi$  is still surjective.

Now

$$\{\phi(\vec{w}_s \circ \vec{w}_t) \otimes 1 | 1 \leq s \leq t \leq 2r\} \cup \{\phi(i\vec{w}_s \circ \vec{w}_t) \otimes i | 1 \leq s < t \leq 2r\},$$

is a  $\mathbb{C}$ -basis for  $\mathfrak{g}$ , and thus so is

$$\left\{ \frac{1}{2} (\phi(\vec{w}_s \circ \vec{w}_t) \otimes 1 + \phi(i\vec{w}_s \circ \vec{w}_t) \otimes i) | 1 \leq s \leq t \leq 2r \right\} \cup \left\{ \frac{1}{2} (\phi(\vec{w}_s \circ \vec{w}_t) \otimes 1 - \phi(i\vec{w}_s \circ \vec{w}_t) \otimes i) | r+1 \leq s \leq t \leq 2r \right\}.$$

From the matrix expressions above, one can read off that  $\phi(\vec{w}_t \circ \vec{w}_s) = \phi(\vec{w}_s \circ \vec{w}_t)$  and  $\phi(i\vec{w}_t \circ \vec{w}_s) = -\phi(i\vec{w}_s \circ \vec{w}_t)$ , and therefore

$$\left\{ \frac{1}{2} (\phi(\vec{w}_s \circ \vec{w}_t) \otimes 1 + \phi(i\vec{w}_s \circ \vec{w}_t) \otimes i) | 1 \leq s, t \leq 2r \right\},$$

is a  $\mathbb{C}$ -basis for  $\mathfrak{g}$ .

**Theorem 2.2.2.** *Let  $H = \begin{pmatrix} I_r & 0 \\ 0 & -I_r \end{pmatrix}$  and  $\theta(X) = HX^*H$ . Then the map*

$$\begin{aligned} \eta : \mathfrak{gl}_{2r}(\mathbb{C}) &\rightarrow \mathfrak{g} \\ X &\mapsto \frac{1}{2} [(X - \theta(X)) \otimes 1 - i(X + \theta(X)) \otimes i] \end{aligned}$$

*is an isomorphism of (complex) Lie algebras.*

*Proof.* Since  $\theta$  is linear, so is  $\eta$ .

Suppose  $\eta(X) = 0$ , then  $X = \pm\theta(X) \Rightarrow \theta(X) = 0 \Rightarrow X = 0$ , so the map is injective.

$$\eta(E_{jk}) = \begin{cases} \frac{1}{2} ((E_{jk} - E_{kj}) \otimes 1 - i(E_{jk} + E_{kj}) \otimes i), & 1 \leq j, k \leq r, \text{ or } r+1 \leq j, k \leq 2r \\ \frac{1}{2} ((E_{jk} + E_{kj}) \otimes 1 - i(E_{jk} - E_{kj}) \otimes i), & 1 \leq j \leq r < k \leq 2r, \text{ or } 1 \leq k \leq r < j \leq 2r \end{cases}$$

and thus by comparison with the basis eqs. (2.4) to (2.7) from section 2.1, the map is surjective.

Finally, for any  $X, Y \in \mathfrak{gl}_{2r}\mathbb{C}$

$$\begin{aligned}
& [\eta(X), \eta(Y)] \\
&= \left[ \frac{1}{2} ((X - \theta(X)) \otimes 1 - i(X + \theta(X)) \otimes i), \frac{1}{2} ((Y - \theta(Y)) \otimes 1 - i(Y + \theta(Y)) \otimes i) \right] \\
&= \frac{1}{4} ([X - \theta(X), Y - \theta(Y)] \otimes 1 + [X - \theta(X), -i(Y + \theta(Y))] \otimes i) \\
&\quad + \frac{1}{4} ([-i(X + \theta(X)), Y - \theta(Y)] \otimes i + [-i(X + \theta(X)), -i(Y + \theta(Y))] \otimes (-1)) \\
&= \frac{1}{4} (([X, Y] - [X, \theta(Y)] - [\theta(X), Y] + [\theta(X), \theta(Y)] + [X, Y] + [X, \theta(Y)] + [\theta(X), Y] + [\theta(X), \theta(Y)]) \otimes 1) \\
&\quad + \frac{1}{4} (i(-[X, Y] - [X, \theta(Y)] + [\theta(X), Y] + [\theta(X), \theta(Y)] - [X, Y] + [X, \theta(Y)] - [\theta(X), Y] + [\theta(X), \theta(Y)]) \otimes i) \\
&= \frac{1}{4} (2([X, Y] + [\theta(X), \theta(Y)]) \otimes 1 + 2i(-[X, Y] + [\theta(X), \theta(Y)]) \otimes i) \\
&= \frac{1}{2} ([X, Y] - \theta([X, Y])) \otimes 1 + i(-[X, Y] - \theta([X, Y])) \otimes i \\
&= \eta([X, Y]).
\end{aligned}$$

Thus  $\eta$  is an isomorphism of Lie algebras. □

Now we will relate certain elements of  $\mathfrak{sl}_{2r}(\mathbb{C})$ , to the roots of  $\mathfrak{k}_{ss}$ . We write  $w_{st} = \phi(\vec{w}_s \circ \vec{w}_t) \otimes 1 + \phi(i\vec{w}_s \circ \vec{w}_t) \otimes i$  as a shorthand, and then comparing with the previous theorem

$$\begin{aligned}
w_{ba} \left( 1 \otimes \frac{-i}{2} \right) &= (i(E_{ab} + E_{ba}) \otimes 1 + i(-iE_{ab} + iE_{ba}) \otimes i) \frac{-i}{2} \\
&= \frac{1}{2} ((E_{ab} - E_{ba}) \otimes 1 - i(E_{ab} + E_{ba}) \otimes i) \\
&= \eta(E_{ab}), \\
w_{uv} \left( 1 \otimes \frac{i}{2} \right) &= (-i(E_{vu} + E_{uv}) \otimes 1 + i(iE_{vu} - iE_{uv}) \otimes i) \frac{i}{2} \\
&= \frac{1}{2} ((E_{vu} - E_{uv}) \otimes 1 - i(E_{uv} + E_{vu}) \otimes i) \\
&= \eta(E_{vu}).
\end{aligned}$$

We now provide a concrete description of the Weil representation, as presented in [3]. For a standard basis  $\{\vec{v}_1, \dots, \vec{v}_{p+q}\}$  of  $V$ , we write the coordinates of each vector in a tuple  $\mathbf{v} = (\vec{u}_1, \dots, \vec{u}_r) \in V^r$  as  $\vec{u}_t = \sum_{\gamma=1}^{p+q} z_{\gamma,t} \vec{v}_\gamma$ . Let  $\bar{t} = t \pmod r$ , and define

$$D_{\gamma,t}^+ := \left( z_{\gamma\bar{t}} + \pi^{-1} \frac{\partial}{\partial \bar{z}_{\gamma\bar{t}}} \right), \quad \text{and} \quad D_{\gamma,t}^- := \left( z_{\gamma\bar{t}} - \pi^{-1} \frac{\partial}{\partial \bar{z}_{\gamma\bar{t}}} \right).$$



The actions of  $w_{ab}$  and  $w_{uv}$  under the Weil representation are described in *Section B.2* of [3] as

$$\begin{aligned}\omega(w_{ba}) &= i\pi \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] + (p-q)\delta_{ab}, \\ \omega(w_{uv}) &= i\pi \left[ \sum_{\alpha=1}^p D_{\alpha,u}^- \overline{D_{\alpha,v}^+} - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] - (p-q)\delta_{vu}.\end{aligned}$$

Thus composing with  $\eta$ , we obtain a description of the Weil representation  $\omega : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(S(V^r))$ , for  $1 \leq a, b \leq r < u, v \leq 2r$

$$\begin{aligned}\omega \circ \eta (E_{ab}) &= \omega \left( w_{ba} \frac{-i}{2} \right) = \omega(w_{ba}) \frac{-i}{2} = \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] + \frac{p-q}{2} \delta_{ab}, \\ \omega \circ \eta (E_{vu}) &= \omega \left( w_{uv} \frac{i}{2} \right) = \omega(w_{uv}) \frac{i}{2} = -\frac{\pi}{2} \left[ \sum_{\alpha=1}^p D_{\alpha,u}^- \overline{D_{\alpha,v}^+} - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] - \frac{p-q}{2} \delta_{vu}.\end{aligned}$$

We will mainly be working with the representation  $\omega \circ \eta : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(S(V^r))$  and the restriction to  $(\mathfrak{gl}_{2r}(\mathbb{C}))_{ss} \cong \mathfrak{sl}_{2r}(\mathbb{C})$ , where we will identify  $\mathfrak{k}$  with its pre-image in  $\mathfrak{sl}_{2r}(\mathbb{C})$  under  $\eta$ . Thus for the remainder of the thesis, we will drop the  $\eta$ , and simply write  $\omega : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(S(V^r))$ .

Note that even though we began with the initial assumption that  $p, q \geq 1$ , the operators  $\omega(E_{ab})$  and  $\omega(E_{vu})$  are still defined for the degenerate case when  $p = 0$  or  $q = 0$ . We will make use of this fact in the next section.

### Propositions for Induction

In this section we will develop some relations between the action of the Weil representation on  $S(V)$ , and the Schwartz space of the subspaces of  $V$ . We will also prove some propositions relating the Weil representation on  $S(V)$  to  $S(V^r)$  for  $r > 1$ . First we establish a result that is useful in the following chapter. Given  $\mathbf{v} = (u_1, \dots, u_r) \in V^r$ , we define

$$\langle \mathbf{v}, \mathbf{v} \rangle := \langle u_1, u_1 \rangle + \dots + \langle u_r, u_r \rangle.$$

**Definition 2.2.3.** If  $V$  is positive-definite with respect to  $\langle, \rangle$ , then we define the *Vacuum vector*  $e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} \in S(V^r)$ .

This is often given as the prototypical example of an element of Schwartz space.

**Lemma 2.2.4.** For a positive-definite vector space  $V$  with Hermitian form  $\langle, \rangle$ , and the Weil representation  $\omega : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow S(V^r)$ , with  $1 \leq a, b \leq r$  and  $r+1 \leq u, v \leq 2r$

$$\begin{aligned}\omega(E_{ab})e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} &= \left(\frac{p}{2}\right) \delta_{ab} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} \\ \omega(E_{vu})e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} &= -\left(\frac{p}{2}\right) \delta_{vu} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle}\end{aligned}$$

*Proof.* Choose a standard basis  $\{v_1, \dots, v_p\}$  for  $V$ , and write the coordinates of  $\mathbf{v} = (u_1, \dots, u_r) \in V^r$  as  $\sum_{\alpha=1}^p z_{\alpha,s} v_\alpha$ , for  $1 \leq s \leq r$ . Then for  $1 \leq \alpha \leq p$  and  $1 \leq s \leq r$

$$\begin{aligned} D_{\gamma,s}^+ e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} &= \left( z_{\gamma,s} + \pi^{-1} \frac{\partial}{\partial \bar{z}_{\gamma,s}} \right) \exp \left( -\pi \sum_{s=1}^p \sum_{\alpha=1}^p |z_{\alpha,s}|^2 \right) \\ &= z_{\gamma,s} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} - z_{\gamma,s} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} \\ &= 0. \end{aligned}$$

Similarly one finds  $\bar{D}_{\gamma,s}^+ e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} = 0$ . Therefore (since  $q = 0$ )

$$\begin{aligned} \omega(E_{ab}) e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} &= \left( \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \bar{D}_{\alpha,a}^- D_{\alpha,b}^+ \right] + \frac{p}{2} \delta_{ab} \right) e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle} \\ &= \frac{p}{2} \delta_{ab} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle}. \end{aligned}$$

The proof that  $\omega(E_{vu}) = -\left(\frac{p}{2}\right) \delta_{vu} e^{-\pi\langle \mathbf{v}, \mathbf{v} \rangle}$  is entirely similar.  $\square$

Given a decomposition  $V = U \oplus U_\perp$ , we will relate the Schwartz space  $\mathcal{S}(U)$  and  $\mathcal{S}(U_\perp)$  to  $\mathcal{S}(V)$ , which will be useful in the next chapter. Suppose  $U \subseteq V$  is a subspace where the restriction  $\langle \cdot, \cdot \rangle|_U$  is a Hermitian form of signature  $(m, n)$ . Choose a standard basis  $\{v_1, \dots, v_m, v_{p+1}, \dots, v_{p+n}\}$  for  $U$ , and extend it to a standard basis

$$\{v_1, \dots, v_m, v_{m+1}, \dots, v_p, v_{p+1}, \dots, v_{p+n}, v_{p+n+1}, \dots, v_{p+q}\},$$

of  $V$ . Then  $\{v_{m+1}, \dots, v_p, v_{p+m+1}, \dots, v_{p+q}\}$  is a standard basis for  $U_\perp$ .

For  $\mathbf{v} = (u_1, \dots, u_r) \in V^r$ , if for  $1 \leq s \leq r$  we write the orthogonal decompositions  $u_s = u'_s + u''_s$ . Thus in the coordinates  $u_s = \sum_{\gamma=1}^{p+q} z_{\gamma,s} v_\gamma$  the decompositions are

$$\begin{aligned} u'_s &= \sum_{\gamma=1}^m z_{\gamma,s} v_\gamma + \sum_{\gamma=p+1}^{p+m} z_{\gamma,s} v_\gamma \\ u''_s &= \sum_{\gamma=m+1}^p z_{\gamma,s} v_\gamma + \sum_{\gamma=p+m+1}^{p+q} z_{\gamma,s} v_\gamma \end{aligned}$$

Thus, in these coordinates, the action of the Weil representation

$$\omega : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(\mathcal{S}(V^r))$$

$$\omega_U : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(\mathcal{S}(U^r))$$

$$\omega_\perp : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(\mathcal{S}(U_\perp^r))$$

in terms of the operators

$$D_{\gamma,s}^\pm = \left( z_{\gamma,1} \pm \pi^{-1} \frac{\partial}{\partial \bar{z}_{\gamma,s}} \right),$$

is given for  $1 \leq a, b \leq r$

$$\begin{aligned}\omega(E_{ab}) &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,b}^-} D_{\mu,a}^+ \right] + \frac{p-q}{2} \delta_{ab}, \\ \omega_U(E_{ab}) &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^m \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+n} \overline{D_{\mu,b}^-} D_{\mu,a}^+ \right] + \frac{m-n}{2} \delta_{ab}, \\ \omega_{\perp}(E_{ab}) &= \frac{\pi}{2} \left[ \sum_{\alpha=m+1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+m+1}^{p+q} \overline{D_{\mu,b}^-} D_{\mu,a}^+ \right] + \frac{(p-m)-(q-n)}{2} \delta_{ab},\end{aligned}$$

and  $r+1 \leq u, v \leq 2r$

$$\begin{aligned}\omega(E_{vu}) &= -\frac{\pi}{2} \left[ \sum_{\alpha=2}^p \overline{D_{\alpha,u}^-} D_{\alpha,v}^+ - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] - \frac{p-q}{2} \delta_{vu} \\ \omega_U(E_{vu}) &= -\frac{\pi}{2} \left[ \sum_{\alpha=1}^m \overline{D_{\alpha,u}^-} D_{\alpha,v}^+ - \sum_{\mu=p+1}^{p+n} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] - \frac{m-n}{2} \delta_{vu} \\ \omega_{\perp}(E_{vu}) &= -\frac{\pi}{2} \left[ \sum_{\alpha=m+1}^p \overline{D_{\alpha,u}^-} D_{\alpha,v}^+ - \sum_{\mu=p+m+1}^{p+q} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] - \frac{(p-m)-(q-n)}{2} \delta_{vu}.\end{aligned}$$

The orthogonal projections  $V \rightarrow U$  and  $V \rightarrow U_{\perp}$  extend to component-wise projections  $\pi_U : V^r \rightarrow U^r$  and  $\pi_{\perp} : V^r \rightarrow U_{\perp}^r$ , and thus we obtain pullbacks

$$\pi_U^* : S(U^r) \rightarrow S(V^r), \quad \pi_{\perp}^* : S(U_{\perp}^r) \rightarrow S(V^r),$$

where for  $\Phi \in S(U^r)$  and  $\Psi \in S(U_{\perp}^r)$ , we have  $\pi_U^*(\Phi) = \Phi \circ \pi_U$  and  $\pi_{\perp}^*(\Psi) = \Psi \circ \pi_{\perp}$ .

**Proposition 2.2.5.** *Suppose  $V = U \oplus U_{\perp}$ , with  $\Phi \in S(U^r)$  and  $\Psi \in S(U_{\perp}^r)$ . Then for  $(\pi_U^*(\Phi)\pi_{\perp}^*(\Psi)) \in S(V^r)$  and  $E_{st} \in \mathfrak{gl}_{2r}(\mathbb{C})$  such that  $1 \leq s, t \leq r$  or  $r+1 \leq s, t \leq 2r$*

$$\omega(E_{st})(\pi_U^*(\Phi)\pi_{\perp}^*(\Psi)) = \pi_U^*(\omega_U(E_{st})\Phi) \cdot \pi_{\perp}^*(\Psi) + \pi_U^*(\Phi) \cdot \pi_{\perp}^*(\omega_{\perp}(E_{st})\Psi).$$

*Proof.* For the coordinates  $\mathbf{v} = (u_1, \dots, u_r) \in V^r$  described preceding the statement of the proposition, we write the orthogonal decompositions  $u_s = u'_s + u''_s \in U \oplus U_{\perp}$  with  $\mathbf{v}' = (u'_1, \dots, u'_r)$  and  $\mathbf{v}'' = (u''_1, \dots, u''_r)$ .

Observe that  $\frac{\partial}{\partial z_{\gamma,s}} \pi_U^* \Phi = 0$  and  $\frac{\partial}{\partial \bar{z}_{\gamma,s}} \pi_U^* \Phi = 0$  for all  $1 \leq s \leq r$  and  $m+1 \leq \gamma \leq p$  or  $p+m+1 \leq \gamma \leq p+q$ . Like-wise

$\frac{\partial}{\partial z_{\gamma,s}} \pi_{\perp}^* \Psi = 0$  and  $\frac{\partial}{\partial \bar{z}_{\gamma,s}} \pi_{\perp}^* \Psi = 0$  for all  $1 \leq s \leq r$  and  $1 \leq \gamma \leq m$  or  $p+1 \leq \gamma \leq p+m$ . Thus, for  $1 \leq a, b \leq r$

$$\begin{aligned} \omega(E_{ab}) (\pi_U^*(\Phi) \pi_{\perp}^*(\Psi))(\mathbf{v}) &= \left( \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] + \frac{p-q}{2} \delta_{ab} \right) (\pi_U^*(\Phi)(\mathbf{v}) \pi_{\perp}^*(\Psi)(\mathbf{v})) \\ &= \left( \left( \frac{\pi}{2} \left[ \sum_{\alpha=1}^m \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+n} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] + \frac{m-n}{2} \delta_{ab} \right) \Phi(\mathbf{v}') \right) \pi_{\perp}^*(\Psi)(\mathbf{v}) \\ &\quad + \pi_U^*(\Phi)(\mathbf{v}) \left( \frac{\pi}{2} \left[ \sum_{\alpha=m+1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+m+1}^{p+q} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] + \frac{(p-m)-(q-n)}{2} \delta_{ab} \right) \Psi(\mathbf{v}'') \\ &= (\omega_U(E_{ab}) \Psi(\mathbf{v}')) \pi_{\perp}^*(\Psi)(\mathbf{v}) + \pi_U^*(\Phi)(\mathbf{v}) (\omega_{\perp}(E_{ab}) \Psi(\mathbf{v}'')) \\ &= \pi_U^*(\omega_U(E_{st}) \Phi)(\mathbf{v}) \cdot \pi_{\perp}^*(\Psi)(\mathbf{v}) + \pi_U^*(\Phi)(\mathbf{v}) \cdot \pi_{\perp}^*(\omega_{\perp}(E_{st}) \Psi)(\mathbf{v}). \end{aligned}$$

The proof that for  $r+1 \leq u, v \leq 2r$

$$\omega(E_{uv}) (\pi_U^*(\Phi) \pi_{\perp}^*(\Psi)) = \pi_U^*(\omega_U(E_{st}) \Phi) \cdot \pi_{\perp}^*(\Psi) + \pi_U^*(\Phi) \cdot \pi_{\perp}^*(\omega_{\perp}(E_{st}) \Psi),$$

is entirely similar.  $\square$

We will also need to make use of some relations of  $\mathfrak{k}_1$  and  $\mathfrak{k}_2$  to  $\mathfrak{k}_r$  for  $r > 2$ . For an  $m$ -tuple  $\mathbf{c} = (c_1, \dots, c_m)$  with  $1 \leq c_1 \leq \dots \leq c_m \leq r$ , we define  $T_{\mathbf{c}} : V^r \rightarrow V^m$  by  $T_{\mathbf{c}}(v_1, \dots, v_r) = (v_{c_1}, \dots, v_{c_m})$ . We also obtain a pullback  $T_{\mathbf{c}}^* : S(V^m) \rightarrow S(V^r)$  by  $T_{\mathbf{c}}^* \Phi = \Phi \circ T_{\mathbf{c}}$ .

Given a standard basis  $v_1, \dots, v_{p+q}$  for  $V$ , we write the coordinates of

$$(u_1, \dots, u_{r-m}) \in V^{r-m}, \quad (u'_1, \dots, u'_m) \in V^m,$$

as  $u_s = \sum_{\gamma=1}^{p+q} z_{\gamma,s} v_{\gamma}$ , and  $u'_t = \sum_{\gamma=1}^{p+q} z'_{\gamma,t} v_{\gamma}$ . Then the operators appearing in the Weil representation can be written as

$$D_{\gamma,s}^{\pm} = \left( z_{\gamma,s} \pm \pi^{-1} \frac{\partial}{\partial \bar{z}_{\gamma,s}} \right), \quad D'_{\gamma,t}{}^{\pm} = \left( z'_{\gamma,t} \pm \pi^{-1} \frac{\partial}{\partial \bar{z}'_{\gamma,t}} \right).$$

The following lemma is a computation that will allow us to relate the action of the Weil representation on  $S(V^r)$  to  $S(V^m)$  with  $m < r$ .

**Lemma 2.2.6.** *Let  $\Phi \in S(V^m)$  and  $\Psi \in S(V^{r-m})$ , with  $m$ -tuples  $\mathbf{c}$  and  $(r-m)$ -tuple  $\mathbf{d}$ , with  $s = c_j \in \mathbf{c}$ . Then in the standard basis*

$$D_{\gamma,s}^{\pm} [(T_{\mathbf{c}}^* \Phi)(T_{\mathbf{d}}^* \Psi)] = \left( T_{\mathbf{c}}^*(D'_{\gamma,j}{}^{\pm} \Phi) \right) (T_{\mathbf{d}}^* \Psi).$$

*Proof.* This follows directly from the definitions, and the fact that  $T_{\mathbf{d}}^* \Psi$  doesn't depend on the vectors in the coordinates in any of the positions in  $\mathbf{c}$ .  $\square$

The next proposition will allow us to determine that certain vectors of  $S(V^r)$  defined in the next chapter, will be eigenvectors for the action of the Cartan subalgebra of  $\mathfrak{k}_r$ . Furthermore, together with several base cases computed by the code presented at the end of thesis, we can determine their precise eigenvalues by induction.

**Proposition 2.2.7.** *Suppose  $\Phi \in S(V)$  and  $\Psi \in S(V^{r-1})$ . For  $1 \leq a \leq r$  and  $r+1 \leq u \leq 2r$ ,  $\mathbf{a} = \{1, \dots, \hat{a}, \dots, r\}$  with  $E_{aa}, E_{uu} \in \mathfrak{k}_r$  and the Weil representation*

$$\begin{aligned}\omega &: \mathfrak{k}_r \rightarrow \text{End}(S(V^r)), \\ \omega_1 &: \mathfrak{k}_1 \rightarrow \text{End}(S(V)), \\ \omega_{r-1} &: \mathfrak{k}_{r-1} \rightarrow \text{End}(S(V^{r-1})),\end{aligned}$$

we have

$$\begin{aligned}\omega(E_{aa}) [(T_a^* \Phi)(T_{\mathbf{a}}^* \Psi)] &= \left( T_a^* \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \Phi \right) (T_{\mathbf{a}} \Psi) \\ \omega(E_{uu}) [(T_s^* \Phi)(T_{\mathbf{a}}^* \Psi)] &= \left( T_a^* \omega_1 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \Phi \right) (T_{\mathbf{a}} \Psi).\end{aligned}$$

*Proof.* Given a standard basis  $v_1, \dots, v_{p+q}$  for  $V$ , we write  $(u'_1, u'_2) \in V^2$ ,  $(u_1, \dots, u_r) \in V^r$  with coordinates  $u'_s = \sum_{\gamma=1}^{p+q} z'_{\gamma,s} v_\gamma$ , and  $u_t = \sum_{\gamma=1}^{p+q} z_{\gamma,t} v_\gamma$ . The action of the Weil representation is expressed as

$$\begin{aligned}\omega(E_{aa}) &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,a}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,a}^- \overline{D_{\mu,a}^+} \right] + \frac{(p-q)}{2}, \\ \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,1}^-} D_{\alpha,1}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,1}^- \overline{D_{\mu,1}^+} \right] + \frac{(p-q)}{2}.\end{aligned}$$

By linearity, it suffices to prove the relation for any of the operators, and by the previous lemma, for example,

$$\overline{D_{\alpha,a}^-} D_{\alpha,a}^+ [(T_a^* \Phi)(T_{\mathbf{a}}^* \Psi)] = \overline{D_{\alpha,a}^-} \left[ (T_a^* (D_{\alpha,1}^+ \Phi)) (T_{\mathbf{a}} \Psi) \right] = (T_a^* (\overline{D_{\alpha,1}^-} D_{\alpha,1}^+ \Phi)) (T_{\mathbf{a}} \Psi).$$

The proof that  $\omega(E_{uu}) [(T_s^* \Phi)(T_{\mathbf{a}}^* \Psi)] = \left( T_a^* \omega_1 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \Phi \right) (T_{\mathbf{a}} \Psi)$ , for  $r+1 \leq u \leq 2r$  with  $E_{uu} \in \mathfrak{k}_r$  is entirely similar.  $\square$

The following proposition will be used in the next chapter, to reduce the determination that certain vectors are killed by the positive roots of  $\mathfrak{k}_r$ , to the demonstration that related vectors are killed by the roots of  $\mathfrak{k}_2$ .

**Proposition 2.2.8.** *Whenever  $1 \leq a \leq b \leq r$  or  $r+1 \leq u \leq v \leq 2r$  for  $\mathbf{c} = (1, \dots, \hat{s}, \dots, \hat{t}, \dots, r)$ ,  $\Phi \in S(V^2)$ ,  $\Psi \in S(V^{r-2})$  and the Weil representation  $\omega : \mathfrak{k}_r \rightarrow \text{End}(S(V^r))$ ,  $\omega_2 : \mathfrak{k}_2 \rightarrow \text{End}(S(V^2))$ , then for  $E_{12}, E_{43} \in \mathfrak{k}_2$  and  $E_{ab}, E_{vu} \in \mathfrak{k}_r$*

$$\begin{aligned}\omega(E_{ab}) [(T_{ab}^* \Phi) (T_{\mathbf{c}}^* \Psi)] &= (T_{ab}^* \omega_2(E_{12}) \Phi) (T_{\mathbf{c}}^* \Psi) \\ \omega(E_{vu}) [(T_{uv}^* \Phi) (T_{\mathbf{c}}^* \Psi)] &= (T_{uv}^* \omega_2(E_{43}) \Phi) (T_{\mathbf{c}}^* \Psi)\end{aligned}$$

*Proof.* For  $1 \leq a < b \leq r$  we can expand the operators

$$\begin{aligned}\omega(E_{ab}) &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,a}^-} D_{\alpha,b}^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,b}^- \overline{D_{\mu,a}^+} \right] \\ \omega(E_{vu}) &= -\frac{\pi}{2} \left[ \sum_{\alpha=1}^p D_{\alpha,u}^- \overline{D_{\alpha,v}^+} - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,v}^-} D_{\mu,u}^+ \right] \\ \omega_2(E_{12}) &= \frac{\pi}{2} \left[ \sum_{\alpha=1}^p \overline{D_{\alpha,1}'^-} D_{\alpha,2}'^+ - \sum_{\mu=p+1}^{p+q} D_{\mu,2}'^- \overline{D_{\mu,1}'^+} \right] \\ \omega_2(E_{43}) &= -\frac{\pi}{2} \left[ \sum_{\alpha=1}^p D_{\alpha,3}'^- \overline{D_{\alpha,4}'^+} - \sum_{\mu=p+1}^{p+q} \overline{D_{\mu,4}'^-} D_{\mu,3}'^+ \right]\end{aligned}$$

By linearity, and lemma 2.2.6 the result follows. □

## Chapter 3

# The Garcia-Sankaran Construction

In this chapter, we consider the manifold  $\mathbb{D}$  of negative-definite  $q$ -dimensional subspace of a  $(p+q)$ -dimensional vector space  $V$ , with a Hermitian form  $\langle, \rangle$  of signature  $(p, q)$ .

Our goal is to construct a specific element  $v_r \in S(V^r) \otimes_{\mathbb{C}} \mathcal{A}^*(\mathbb{D})$  where  $S(V^r)$  is the space of *Schwartz functions* on  $V^r = V^{\oplus r}$ , described in the previous chapter, and  $\mathcal{A}^*(\mathbb{D})$  is the algebra of differential forms on  $\mathbb{D}$ . We will then extend the Weil representation  $\omega : \mathfrak{k}_r \rightarrow \text{End}(S(V^r))$  of the previous chapter, to act on  $\text{End}(S(V^r) \otimes_{\mathbb{C}} \mathcal{A}^*(\mathbb{D}))$ , and show that the degree  $2(qr-1)$  component (that is, the  $(qr-1, qr-1)$ -complex degree component) of  $v_r$  generates an irreducible subrepresentation of the Weil representation.

In the first section, we recount the general set up as it appears in [4], by using Quillen's theory of superconnections as they appear in the first chapter.

We will prove the main results of this thesis in the second section, by relying on the theory of highest weight vectors, and a number of properties proved about the Weil representation from chapter 2.

### 3.1 The Manifold $\mathbb{D}$

Much of the material of this section comes from [4], including the definitions and basic properties of the forms  $\varphi$  and  $\nu$ .

**Definition 3.1.1.** Let  $V$  be a  $(p+q)$ -dimensional  $\mathbb{C}$ -vector space, with Hermitian inner product  $\langle, \rangle$  of signature  $(p, q)$ . Define

$$\mathbb{D}(V) := \{\zeta \subseteq V \mid \zeta \text{ is a } q - \text{dimensional, negative definite subspace of } V\}.$$

Given  $\zeta_0 \in \mathbb{D}$ , by Gram-Schmidt orthonormalization, we may select a basis  $\{v_{p+1}, \dots, v_{p+q}\}$  for  $\zeta_0$  such that

$$\langle v_i, v_j \rangle = \begin{cases} -\delta_{ij}, & p+1 \leq i, j \leq p+q \\ 0, & \text{otherwise} \end{cases}$$

By applying Gram-Schmidt again, we can extend this to a *standard basis*  $\{v_1, \dots, v_{p+q}\}$  of  $V$  such that

$$\langle v_i, v_j \rangle = \begin{cases} \delta_{ij}, & 1 \leq i, j \leq p \\ -\delta_{ij}, & p+1 \leq i, j \leq p+q \\ 0, & \text{otherwise} \end{cases}$$

Now for any other  $\zeta \in \mathbb{D}$ , in the basis  $\{v_i\}$ ,

$$\zeta = \text{span} \left\{ f_1 = \begin{pmatrix} x_{1,1} \\ \dots \\ x_{p,1} \\ y_{1,1} \\ \dots \\ y_{q,1} \end{pmatrix}, \dots, f_q = \begin{pmatrix} x_{1,q} \\ \dots \\ x_{p,q} \\ y_{1,q} \\ \dots \\ y_{q,q} \end{pmatrix} \right\}.$$

We must have  $0 > \langle f_1, f_1 \rangle = (\sum_{i=1}^p |x_{i,1}|^2) - \sum_{j=1}^q |y_{j,1}|^2$ , and therefore not all  $y_{j,1}$  can be zero. Without loss of generality, say  $y_{1,1} \neq 0$ . Thus we may replace the choice of basis by

$$\zeta = \text{span} \left\{ \begin{pmatrix} \frac{x_{1,1}}{y_{1,1}} \\ \dots \\ \frac{x_{p,1}}{y_{1,1}} \\ 1 \\ \dots \\ \frac{y_{q,1}}{y_{1,1}} \end{pmatrix}, \dots, \begin{pmatrix} x_{1,q} \\ \dots \\ x_{p,q} \\ y_{1,q} \\ \dots \\ y_{q,q} \end{pmatrix} \right\}.$$

For  $i \neq 1$ , we may replace  $f_i$  with  $f_i - y_{1,i}f_1$  (note that the vectors will remain linearly independent) to obtain the basis of the form

$$\zeta = \text{span} \left\{ f'_1 = \begin{pmatrix} x'_{1,1} \\ \dots \\ x'_{p,1} \\ 1 \\ y'_{2,1} \\ \dots \\ y'_{q,1} \end{pmatrix}, f'_2 = \begin{pmatrix} x'_{1,2} \\ \dots \\ x'_{p,2} \\ 0 \\ y'_{2,2} \\ \dots \\ y'_{q,2} \end{pmatrix}, \dots, f'_q = \begin{pmatrix} x'_{1,q} \\ \dots \\ x'_{p,q} \\ 0 \\ y'_{2,q} \\ \dots \\ y'_{q,q} \end{pmatrix} \right\}.$$

Now observe that we now require  $\langle f'_2, f'_2 \rangle < 0$ , and thus we may argue that the remaining  $y'_{2,2}, \dots, y'_{q,2}$  cannot all be zero. Thus we may take  $y'_{2,2} \neq 0$  without loss of generality. After dividing  $f'_2$  by  $y'_{2,2}$ , we may repeat this process



of eliminating the  $y'_{\bullet,2}$  terms of the other vectors.

The above argument of dividing by a non-zero term, and eliminating entries may be repeated until one arrives at a basis of the form

$$\zeta = \text{span} \left\{ \begin{pmatrix} f_{1,1} \\ \vdots \\ f_{p,1} \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \dots, \begin{pmatrix} f_{1,q} \\ \vdots \\ f_{p,q} \\ 0 \\ \vdots \\ 1 \end{pmatrix} \right\}.$$

Thus we may identify each  $\zeta \in \mathbb{D}$  with a matrix (in block form)  $\begin{pmatrix} F_\zeta \\ I_q \end{pmatrix}$ , where  $F_\zeta = \begin{pmatrix} f_{1,1} & \cdots & f_{1,q} \\ \vdots & & \vdots \\ f_{p,1} & \cdots & f_{p,q} \end{pmatrix}$ , and  $I_q$  is the  $q \times q$  identity matrix.

Furthermore, this representation is unique, for given any  $p \times q$  matrix  $F$ , the coefficients of any non-trivial linear combination of its columns, are the coefficients of a non-trivial linear combination of the columns of  $\begin{pmatrix} F \\ I_q \end{pmatrix}$ , and thus the resulting combination is a matrix  $\begin{pmatrix} A \\ B \end{pmatrix}$ , for which  $B \neq I_q$ . Therefore we have a bijection between  $\mathbb{D}$  and

$$D := \left\{ A \in M_{pq}(\mathbb{C}) : \text{Col} \begin{pmatrix} A \\ I_q \end{pmatrix} \text{ is negative-definite} \right\}.$$

For  $F \in D$ , writing the column vectors  $\begin{pmatrix} F \\ I_q \end{pmatrix} = [v_1, \dots, v_q]$ , we compute

$$[F^*F - I_q]_{ij} = \left( \sum_{k=1}^p [F^*]_{ik} E_{kj} \right) - \delta_{ij} = \left( \sum_{k=1}^p \bar{F}_{ki} F_{kj} \right) - \delta_{ij} = \langle v_i, v_j \rangle.$$

If the column vectors  $[v_1, \dots, v_q]$  have negative-definite span, then for every  $z^T = (z_1, \dots, z_q) \in (\mathbb{C}^q - \{\vec{0}\})$

$$\begin{aligned}
& 0 > \left\langle \sum_{i=1}^q z_i v_i, \sum_{j=1}^q z_j v_j \right\rangle \\
\iff & 0 > \sum_{i=1}^q \sum_{j=1}^q z_i \bar{z}_j \langle v_i, v_j \rangle \\
\iff & 0 > \sum_{i=1}^q z_i \sum_{j=1}^q (F^* F - I_q)_{ij} \bar{z}_j \\
\iff & 0 > \sum_{i=1}^q (z^T)_i [(F^* F - I_q) \bar{z}]_i \\
\iff & 0 > z^T (F^* F - I_q) \bar{z}, \quad \forall z^T \in (\mathbb{C}^q - \{\vec{0}\})
\end{aligned}$$

and therefore

$$D = \{F \in M_{pq}(\mathbb{C}) : z^T (F^* F - I_q) \bar{z} < 0, \forall z^T \in (\mathbb{C}^q - \{\vec{0}\})\}.$$

Note that, for any  $F \in M_{pq}(\mathbb{C})$ , we have

$$(F^* F - I_q)^* = (F^* F)^* - I_q = F^* F - I_q.$$

Thus  $F^* F - I_q$  is Hermitian. We denote the space of  $q \times q$  complex Hermitian matrices by  $\text{Herm}_q(\mathbb{C})$ , given the subspace topology of  $M_q(\mathbb{C})$ . Since the map

$$\begin{aligned}
h : M_{pq}(\mathbb{C}) &\rightarrow \text{Herm}_q(\mathbb{C}) \\
A &\mapsto A^* A - I_q
\end{aligned}$$

is polynomial in its coordinates, it's continuous.

For any  $B \in \text{Herm}_q(\mathbb{C})$  and  $z \in \mathbb{C}^q$ ,

$$(z^T B \bar{z})^* = z^T B^* \bar{z} = z^T B \bar{z},$$

and since  $z^T B \bar{z}$  is just a complex number, we conclude that  $\overline{z^T B \bar{z}} = z^T B \bar{z}$ , that is  $z^T B \bar{z} \in \mathbb{R}$ . Thus, we can define the set

$$X^- := \{B \in \text{Herm}_q(\mathbb{C}) : z^T B \bar{z} < 0, \forall z \in (\mathbb{C}^q - \{\vec{0}\})\},$$

and note that  $D = h^{-1}(X^-)$ . Since  $h$  is continuous, if we can show that  $X^-$  is open in  $\text{Herm}_q(\mathbb{C})$  it will follow that  $D$  is open in  $M_{pq}(\mathbb{C})$ .

**Theorem 3.1.2.** *The set  $\mathbb{D}$  is a complex manifold of dimension  $pq$ .*

*Proof.* First we will show that the set  $X^-$  is an open subset of  $\text{Herm}_q(\mathbb{C})$ . The map

$$\begin{aligned}
f : \text{Herm}_q(\mathbb{C}) \times \mathbb{C}^q &\rightarrow \mathbb{R} \\
(B, v) &\mapsto v^T B \bar{v}
\end{aligned}$$

is polynomial in its entries, hence continuous. Furthermore, since  $f$  is continuous, and  $\mathbb{C}^1 = \{z \in \mathbb{C} : |z| = 1\}$  is compact,  $\hat{f} : \text{Herm}_q(\mathbb{C}) \rightarrow \mathbb{R}$  defined by  $\hat{f}(B) = \max_{|z|=1} f(B, z)$  is well-defined and continuous. Thus we conclude that  $\hat{f}^{-1}(-\infty, 0) = X^-$  must be open.

Since  $h : M_{pc}(\mathbb{C}) \rightarrow \text{Herm}_q(\mathbb{C})$  is continuous, and  $X^- \subseteq \text{Herm}_q(\mathbb{C})$  is open,  $h^{-1}(X^-) = D \subseteq M_{pq}(\mathbb{C})$  is open. Therefore  $D$  inherits the manifold structure of  $M_{pq}(\mathbb{C})$ . Thus we give  $\mathbb{D}$  the structure of a complex manifold by demanding that the bijection  $\mathbb{D} \rightarrow D$  is a biholomorphism.

Furthermore, choosing some  $\zeta_0 \in \mathbb{D}$  gives us coordinates for  $\mathbb{D}$  by representing  $\zeta \in \mathbb{D}$  by  $E_\zeta$ .  $\square$

### 3.2 The Super Connection and the Special Forms

In this section, we will apply Quillen's superconnections, and the generalized Chern-Weil theory, in order to construct the forms  $\varphi$  and  $\nu$  of [4].

#### Definitions of $\varphi$ and $\nu$

On the manifold  $\mathbb{D}$  of  $q$ -dimensional subspaces of a  $(p, q)$ -vector space  $V$ , we define the *tautological bundle*  $\mathcal{E} \rightarrow \mathbb{D}$  by

$$\mathcal{E} = \{(\zeta, v) \in \mathbb{D} \times V : v \in \zeta\} \subseteq \mathbb{D} \times V$$

which we give the subspace topology induced by  $\mathbb{D} \times V$ , with the projection map

$$\begin{aligned} \pi : \mathcal{E} &\rightarrow \mathbb{D} \\ (\zeta, v) &\mapsto \zeta \end{aligned}$$

The vector space structure on each fiber is simply given by

$$c(\zeta, u) + d(\zeta, v) = (\zeta, cu + dv).$$

We define a Hermitian form  $\langle \cdot, \cdot \rangle_{\mathcal{E}}$  on  $\mathcal{E}$ , such that on the fiber over  $\zeta \in \mathbb{D}(V)$ , we set  $\langle (\zeta, u), (\zeta, v) \rangle_{\mathcal{E}} = -\langle u, v \rangle$ .

We take the negative because  $\langle \cdot, \cdot \rangle$  is negative-definite on  $\zeta \cong \mathcal{E}_\zeta$ , and Hermitian forms on bundles are required to be positive-definite. When the fiber is understood, we will just write  $u$  instead of  $(\zeta, u)$ .

The Hermitian form  $\langle \cdot, \cdot \rangle_{\mathcal{E}}$  determines a canonical Hermitian form  $\langle \cdot, \cdot \rangle_{\mathcal{E}^\vee}$  on the dual bundle  $\mathcal{E}^\vee$ , and a Hermitian form  $\langle \cdot, \cdot \rangle_{\wedge}$  on  $\wedge^* \mathcal{E} = \bigoplus_{k=0}^q \wedge^k \mathcal{E}$  by

$$\langle u_1 \wedge \dots \wedge u_k, v_1 \wedge \dots \wedge v_k \rangle_{\wedge} := \det [\langle u_i, v_j \rangle_{\mathcal{E}}],$$

and  $\wedge^i \mathcal{E} \perp \wedge^j \mathcal{E}$  for  $i \neq j$ .

We also equip  $\wedge^* \mathcal{E}$  with a  $\mathbb{Z}_2$ -grading

$$\left(\wedge^* \mathcal{E}\right)^0 = \bigoplus_{k \text{ even}} \wedge^k \mathcal{E}, \quad \left(\wedge^* \mathcal{E}\right)^1 = \bigoplus_{l \text{ odd}} \wedge^l \mathcal{E}.$$

For  $v \in V$  we define a section  $s_v : \mathbb{D} \rightarrow \mathcal{E}^\vee$  such that, for an open set  $U \subseteq \mathbb{D}$ , a section  $\sigma : U \rightarrow \mathcal{E}$ , and  $\zeta \in \mathbb{D}$

$$s_v(\zeta)\sigma := \langle \sigma(\zeta), v \rangle.$$

Letting  $v_\zeta$  and  $v_\perp$  be the respective projections of  $v$  onto  $\zeta$  and  $\zeta^\perp$ , we find that for a section  $\sigma : \mathbb{D} \rightarrow \mathcal{E}$ ,

$$s_v(\zeta)\sigma = \langle \sigma(\zeta), v \rangle = \langle \sigma(\zeta), v_\zeta \rangle + \langle \sigma(\zeta), v_\perp \rangle = \langle \sigma(\zeta), v_\zeta \rangle = -\langle \sigma(\zeta), v_\zeta \rangle_{\mathcal{E}} = \langle \sigma(\zeta), -v_\zeta \rangle_{\mathcal{E}}.$$

Therefore  $-v_\zeta$  is the vector in  $\mathcal{E}_\zeta$  which represents  $s_v$  on  $\mathcal{E}_\zeta^\vee$ .

We define  $\mathbb{D}_v$  to be the zero-locus of the section  $s_v$ . When  $\langle v, v \rangle > 0$ , the  $\mathbb{D}_v$  are the special cycles relating to the arithmetic Siegel-Weil formula mentioned in the introduction.

More generally, for an  $r$ -tuple of vectors  $\mathbf{v} = (v_1, \dots, v_r) \in V^r$ , we define a section  $s_{\mathbf{v}} = (s_{v_1}, \dots, s_{v_r})$  of  $(\mathcal{E}^r)^\vee$  whose vanishing locus is  $\mathbb{D}_{\mathbf{v}} := \cap_{i=1}^r \mathbb{D}_{v_i}$ .

In order to define  $s_{\mathbf{v}}$ , we will need to define the *Koszul complex*  $K(\mathbf{v})$ . The Koszul complex is a general construction of homological algebra, originally introduced to define a cohomology theory for Lie algebras. We will only define it the particular case relevant to the discussion at hand. The Koszul complex  $K(s_{\mathbf{v}})$  is the sequence  $\bigwedge^{qr} \mathcal{E}^r \rightarrow \dots \rightarrow \bigwedge^1 \mathcal{E}^r \rightarrow \mathcal{O}$  where  $\mathcal{O}$  is the trivial line bundle, and the maps are given by extending the definition of  $s_{\mathbf{v}}$  by setting  $s_{\mathbf{v}}(c) = 0$  for all  $c \in \mathbb{C}$ , and for  $\mathbf{u}_j = (u_{j,1}, \dots, u_{j,r}) \in V^r$

$$s_{\mathbf{v}}(\mathbf{u}_1 \wedge \dots \wedge \mathbf{u}_k) = \sum_{j=1}^k (-1)^{j+1} s_{\mathbf{v}}(\mathbf{u}_j) \mathbf{u}_1 \wedge \dots \wedge \hat{\mathbf{u}}_j \wedge \dots \wedge \mathbf{u}_k,$$

where the hat means that term is omitted.

We define  $s_{\mathbf{v}}^*$  to be the adjoint of  $s_{\mathbf{v}}$ , and thus both  $s_{\mathbf{v}}$  and  $s_{\mathbf{v}}^*$  define odd endomorphisms of  $\bigwedge^\bullet \mathcal{E}^r$  therefore  $\sqrt{2\pi i} (s_{\mathbf{v}} + s_{\mathbf{v}}^*)$  is odd as well.

By theorem 1.1.16 of section 1.1, there exists a canonical connection  $\nabla$  on  $\bigwedge^\bullet \mathcal{E}^r \rightarrow \mathbb{D}$  which is compatible with the metric. We define a superconnection  $\nabla_{\mathbf{v}}$  on  $\bigwedge^\bullet \mathcal{E}^r$  by  $\nabla_{\mathbf{v}} = \nabla + \sqrt{2\pi i} (s_{\mathbf{v}} + s_{\mathbf{v}}^*)$ .

Let  $N \in \text{End}(\bigwedge^\bullet \mathcal{E}^r)$  be the number operator which acts on  $\bigwedge^k \mathcal{E}^r$  by multiplication by  $-k$ .

For a differential form  $\alpha$ , we denote the component of degree  $m$  by  $\alpha_{[m]}$ , and set

$$\varphi^0(\mathbf{v}) := \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( e^{\nabla_{\mathbf{v}}^2} \right)_{[2k]}, \quad (3.1)$$

$$\nu^0(\mathbf{v}) := \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( N e^{\nabla_{\mathbf{v}}^2} \right)_{[2k]}. \quad (3.2)$$

Here  $\text{tr}_s$  is the supertrace defined in section 1.2. Finally, writing  $\langle \mathbf{v}, \mathbf{v} \rangle = \sum_{j=1}^r \langle v_j, v_j \rangle$ , we set

$$\varphi(\mathbf{v}) = e^{-\pi \langle \mathbf{v}, \mathbf{v} \rangle} \varphi^0(\mathbf{v}), \quad (3.3)$$

$$\nu(\mathbf{v}) = e^{-\pi \langle \mathbf{v}, \mathbf{v} \rangle} \nu^0(\mathbf{v}). \quad (3.4)$$

As proved in Lemma 2.4.6 of [4],  $\varphi, \nu \in S(V^r) \otimes \mathcal{A}^*(\mathbb{D})$ . By Proposition 2.4.4 and 2.4.5 of [4], we have the following result

**Proposition 3.2.1.** *Given  $\mathbf{v} = (w_1, \dots, w_r) \in V^r$ ,*

1.  $\varphi(v)_{[k]} = 0$  for  $k < 2qr$ ,
2.  $\varphi(\mathbf{v}) = \varphi(w_1) \wedge \dots \wedge \varphi(w_r)$ ,
3.  $\varphi(\mathbf{v})$  is closed,
4.  $\forall g \in U(p, q)$ , we have  $g^* \varphi(gw_1, \dots, gw_r) = \varphi(w_1, \dots, w_r)$ ,
5.  $v(v)_{[k]} = 0$ , for  $k < 2(q-1)$ ,
6. for  $v_i(\mathbf{v}) = v(v_i) \wedge \varphi(v_1, \dots, \widehat{v}_i, \dots, v_r)$ , we have  $v(\mathbf{v}) = \sum_{i=1}^r v_i(\mathbf{v})$ ,
7.  $\forall g \in U(p, q)$ , we find  $g^* v(gw_1, \dots, gw_r) = v(w_1, \dots, w_r)$ .

Note that in particular, the  $U(p, q)$ -equivariance properties 4) and 7) imply that it's enough to understand the behaviour of  $\varphi$  and  $v$  at any particular  $\zeta \in \mathbb{D}$ . From the definitions 2) and 6), and applying 1) and 5)

$$v_r(\mathbf{v})_{[2(qr-1)]} = v(w_r)_{[2(q-1)]} \wedge \varphi(\mathbf{v})_{[2q(r-1)]} = v(w_r)_{[2(q-1)]} \wedge \varphi(w_1)_{[2q]} \wedge \dots \wedge \varphi(w_{r-1})_{[2q]}.$$

Our goal for the next section is to prove that the degree  $2(qr-1)$  component of  $v_r$  generates an irreducible subrepresentation of the Weil representation. This has already been established when  $q = 1$  in [4]. We will obtain partial results for several small values of  $q$ , by establishing base cases through computation, and applying an inductive argument. The general base case(s) for arbitrary  $q$  remain unknown.

### 3.3 Properties of the Forms

#### The Restriction Property

In this section we will establish some results relating the forms  $\varphi$  and  $v$  defined on  $\mathbb{D}(V)$  to the corresponding forms on  $\mathbb{D}(W)$  for a certain proper subspace  $W$  of  $V$ . This will allow us to apply inductive arguments on the dimension of  $V$ , to obtain various properties of  $\varphi$  and  $v$ .

Note that for  $w \in V$ , the special cycles  $\mathbb{D}_w$  of the previous section are the sets

$$\mathbb{D}_w = \{\zeta \in \mathbb{D} : \zeta \perp w\}.$$

Since  $\dim_{\mathbb{C}} \zeta = q$  for all  $\zeta \in \mathbb{D}$ , and  $q$  is the largest possible dimension of a negative-definite subspace of  $V$ , it must be the case that  $\mathbb{D} = \emptyset$  when  $\langle w, w \rangle < 0$ . If  $w = \vec{0}$ , then  $\mathbb{D}_w = \mathbb{D}$ , and if  $w \neq \vec{0}$  but  $\langle w, w \rangle = 0$ , then  $\mathbb{D}_w = \emptyset$ . Thus we will restrict to the case when  $\langle w, w \rangle > 0$ , whence  $\mathbb{D}_w$  is precisely the set of  $q$ -dimensional negative-definite subspaces

of the  $(p-1+q)$ -dimensional vector space  $w^\perp = (\text{span}_{\mathbb{C}} w)^\perp$ , with respect to the Hermitian form  $\langle \cdot, \cdot \rangle_w := \langle \cdot, \cdot \rangle|_{w^\perp}$ , having signature  $(p-1, q)$ . Therefore the map

$$\begin{aligned} \iota : \mathbb{D}(w^\perp) &\rightarrow \mathbb{D}_w \\ \zeta &\mapsto \zeta \end{aligned}$$

is a bijection. The  $\mathbb{D}_w$  are locally components of the special cycles relating to the conjectured arithmetic Siegel-Weil formula mentioned in the introduction.

In particular, given a standard basis  $\{v_1, \dots, v_{p+q}\}$  of  $V$ , the vectors  $\{v_1, \dots, \hat{v}_n, \dots, v_{p+q}\}$  are a standard basis for  $v_n^\perp$ . As for any  $\zeta \in \mathbb{D}_{v_n}$  we have  $\zeta \perp v_n$ , the matrix corresponding to  $\zeta$  in the standard basis has the form

$$\begin{pmatrix} \zeta_{1,1} & \dots & \zeta_{1,q} \\ \dots & & \dots \\ \zeta_{n-1,q} & \dots & \zeta_{n-1,q} \\ 0 & \dots & 0 \\ \zeta_{n,1} & \dots & \zeta_{n,q} \\ \dots & & \dots \\ \zeta_{p,1} & \dots & \zeta_{p,q} \end{pmatrix}.$$

Using the coordinates for  $\mathbb{D}(v_n^\perp)$  with respect to the standard basis  $\{v_1, \dots, \hat{v}_n, \dots, v_{p+q}\}$  as described in the previous section, the inclusion map  $\iota : \mathbb{D}(v_n^\perp) \hookrightarrow \mathbb{D}$  is given by

$$\iota \begin{pmatrix} \xi_{1,1} & \dots & \xi_{p,q} \\ \dots & & \dots \\ \xi_{p-1,q} & \dots & \xi_{p-1,q} \end{pmatrix} = \begin{pmatrix} \xi_{1,1} & \dots & \xi_{1,q} \\ \dots & & \dots \\ \xi_{n-1,q} & \dots & \xi_{n-1,q} \\ 0 & \dots & 0 \\ \xi_{n,1} & \dots & \xi_{n,q} \\ \dots & & \dots \\ \xi_{p-1,1} & \dots & \xi_{p-1,q} \end{pmatrix}. \quad (3.5)$$

The above culminates in a useful lemma for the following section.

**Lemma 3.3.1.** *Let  $V$  be a  $(p+1, q)$ -vector space with standard basis  $\{v_1, \dots, v_{p+1}\}$ , take  $m \in \mathbb{N}$  such that  $p+1 \geq 2m+1$ , and consider the coordinates for  $\mathbb{D} = \mathbb{D}(V)$  described in section 3.1, with respect to the standard basis.*

*Then for the basis  $\left\{ \frac{\partial}{\partial \zeta_{i,j}} : 1 \leq i \leq p, 1 \leq j \leq q \right\}$  of  $T_{\zeta_0} \mathbb{D}$ , and for each*

$$u = \frac{\partial}{\partial \zeta_{i_1, j_1}} \wedge \dots \wedge \frac{\partial}{\partial \zeta_{i_m, j_m}} \wedge \frac{\partial}{\partial \bar{\zeta}_{k_1, l_1}} \wedge \dots \wedge \frac{\partial}{\partial \bar{\zeta}_{k_m, l_m}} \in \bigwedge^{m,m} T_{\zeta_0} \mathbb{D},$$

*there exists  $w \in V$ , and  $u_w \in \bigwedge^{m,m} T_{\zeta_0} \mathbb{D}(w)$  such that  $\iota_*(u_w) = u$ .*

*Proof.* Suppose that  $p+1 \geq 2m+1 \iff p \geq 2m$ . Since  $u$  is the wedge product of  $2m$  vectors, and  $(p+1) \geq 2m+1 > 2m$ , there must exist some number

$n \in \{1, \dots, p+1\}$  such that for all  $1 \leq s \leq m$ , we have  $i_s, k_s \neq n$ . Choosing the coordinates described in section 3.1 for  $\mathbb{D}(v_n^\perp)$  with respect to  $\{v_1, \dots, \hat{v}_n, \dots, v_{p+q}\}$ , by the description of  $\iota : \mathbb{D}(v_n^\perp) \hookrightarrow \mathbb{D}$  in eq. (3.5), the push-forward  $\iota_* : T_{\zeta_0} \mathbb{D}(v_n^\perp) \rightarrow T_{\zeta_0} \mathbb{D}$  acts by

$$\iota_* \left( \frac{\partial}{\partial \xi_{i,j}} \right) = \begin{cases} \frac{\partial}{\partial \xi_{i,j}}, & i < n \\ \frac{\partial}{\partial \xi_{i+1,j}}, & i \geq n \end{cases}$$

For  $1 \leq p \leq m$ , set

$$i'_k = \begin{cases} i_p, & i_p < n \\ i_p - 1, & i_p \geq n \end{cases}$$

$$k'_p = \begin{cases} k_p, & k_p < n \\ k_p - 1, & k_p \geq n \end{cases}$$

Then

$$u_w := \frac{\partial}{\partial \xi_{i'_1, j_1}} \wedge \dots \wedge \frac{\partial}{\partial \xi_{i'_m, j_m}} \wedge \frac{\partial}{\partial \bar{\xi}_{k'_1, l_1}} \wedge \dots \wedge \frac{\partial}{\partial \bar{\xi}_{k'_m, l_m}} \in \bigwedge^{m,m} T_{\zeta_0} \mathbb{D}(v_n^\perp),$$

where

$$\iota_*(u_w) = \frac{\partial}{\partial \zeta_{i_1, j_1}} \wedge \dots \wedge \frac{\partial}{\partial \zeta_{i_m, j_m}} \wedge \frac{\partial}{\partial \bar{\zeta}_{k_1, l_1}} \wedge \dots \wedge \frac{\partial}{\partial \bar{\zeta}_{k_m, l_m}} = u.$$

□

Returning to the general case of  $w \in V$  with  $\langle w, w \rangle > 0$ , we will write  $\pi : \mathcal{E} \rightarrow \mathbb{D}(V)$  and  $\pi_w : \mathcal{E}_w \rightarrow \mathbb{D}(w^\perp)$  for the tautological bundles. Then,

$$\begin{aligned} \iota^* \mathcal{E} &= \{(\zeta, e) \in \mathbb{D}(w^\perp) \times \mathcal{E} : \iota(\zeta) = \pi(e)\} = \{(\zeta, (\zeta', v)) \in \mathbb{D}(w^\perp) \times (\mathbb{D} \times V) : \zeta = \pi(\zeta', v), v \in \zeta'\} \\ &= \{(\zeta, (\zeta', v)) \in \mathbb{D}(w^\perp) \times (\mathbb{D} \times V) : \zeta = \zeta', v \in \zeta'\} \\ &= \{(\zeta, (\zeta, v)) \in \mathbb{D}(w^\perp) \times (\mathbb{D} \times V) : v \in \zeta\}. \end{aligned}$$

Thus the map  $(\zeta, v) \mapsto (\zeta, (\zeta, v))$  is an isomorphism with the tautological bundle

$\mathcal{E}_w = \{(\zeta, v) \in \mathbb{D}(w^\perp) \times V : v \in \zeta\}$  on  $\mathbb{D}(w^\perp)$ , and so we will take our model of the tautological bundle on  $\mathbb{D}(w^\perp)$  to be  $\iota^* \mathcal{E}$ . When the context is clear, we will just write  $u$  both in place of  $(\zeta, u)$  and  $(\zeta, (\zeta, u))$ .

Note that for the Hermitian form  $\langle \cdot, \cdot \rangle_{\mathcal{E}_w}$  on  $\mathcal{E}_w$  we have

$$\langle u, v \rangle_{\mathcal{E}_w} := -\langle u, v \rangle_w = -\langle u, v \rangle,$$

since  $u, v \in \zeta \subseteq w^\perp$ . Therefore the inner product  $\langle \cdot, \cdot \rangle_*$  on  $\iota^* \mathcal{E}$  representing  $\langle \cdot, \cdot \rangle_{\mathcal{E}_w}$  is

$$\langle u, v \rangle_* := -\langle u, v \rangle = \langle u, v \rangle_{\mathcal{E}_w}.$$

Given an open set  $U \subseteq \mathbb{D}$  and frame  $\{e_1, \dots, e_q\}$  over  $U$ , the set  $\{i^*e_1, \dots, i^*e_q\}$  is a frame over  $i^{-1}(U)$ . The section  $\zeta \mapsto \langle i^*e_j(\zeta), i^*e_k(\zeta) \rangle$  is just the pullback of the section  $\zeta \mapsto \langle e_j(\zeta), e_k(\zeta) \rangle_{\mathcal{E}}$  thus the matrix  $H_*$  whose  $(j, k)$ th entry is  $\langle i^*e_j, i^*e_k \rangle_*$  is just the entry-wise pullback of the matrix  $H$  whose  $(j, k)$ th entry is  $\langle e_j, e_k \rangle$ .

By 1.1.16, over  $U$  the canonical connection compatible with  $\langle, \rangle$  is given by  $\nabla = d_{\mathbb{D}} + \theta$  where  $\theta = H^{-1}\partial H$ , and over  $i^{-1}(U)$ , the canonical connection compatible with  $\langle, \rangle_{\mathcal{E}_w}$  (that is,  $\langle, \rangle_*$ ) can be written as  $\nabla_* = d_* + \theta_*$ , where  $d_*$  is the exterior derivative on  $\mathbb{D}(w^\perp)$ , and  $\theta_* = H_*^{-1}\partial H_*$ . Therefore

$$\nabla_* = d_* + \theta_* = d_* + H_*^{-1}\partial H_* = d_* + (i^*H)^{-1}\partial(i^*H) = i^*(d_{\mathbb{D}} + H^{-1}\partial H) = i^*\nabla.$$

Thus the pullback connection  $i^*\nabla$ , is the canonical connection compatible with  $\langle, \rangle_*$ . Furthermore the Hermitian form on  $\bigwedge^* \mathcal{E}_w \cong \bigwedge^* i^*\mathcal{E} \cong i^* \bigwedge^* \mathcal{E}$  is the pullback of the Hermitian form on  $\bigwedge^* \mathcal{E}$  induced by  $\langle, \rangle_{\mathcal{E}}$ .

Given  $v' \in w^\perp$ , we define a section  $s_{v'} : \mathbb{D}(w^\perp) \rightarrow (i^*\mathcal{E})^\vee$  in the same way we defined  $s_v$  on  $\mathbb{D} \rightarrow \mathcal{E}^\vee$ , that is for a section  $\sigma : \mathbb{D}(w^\perp) \rightarrow (i^*\mathcal{E})^\vee$  and  $\zeta \in \mathbb{D}(w^\perp)$ ,

$$\bar{s}_{v'}(\zeta)\sigma = \langle \sigma(\zeta), v' \rangle_*.$$

We define  $\bar{s}_{v'}$  to be the adjoint of  $s_{v'}$ . Now, given  $v' \in w_\perp$ , we define a superconnection  $\bar{\nabla}_{v'}$  on  $i^*\mathcal{E} \rightarrow \mathbb{D}(w^\perp)$  in the same way we defined  $\nabla_v$  on  $\mathcal{E}$ , namely,

$$\bar{\nabla}_{v'} = i^*\nabla + \sqrt{2\pi i} (s_{v'} + \bar{s}_{v'}^*).$$

For  $v \in V$ , we write  $v_\perp$  for the projection of  $v$  onto  $w^\perp$ . Since for any  $\zeta \in \mathbb{D}(w^\perp)$  the fiber  $(i^*\mathcal{E})_\zeta$  is identified with  $\zeta \subseteq w^\perp$ , given any section  $\sigma : \mathbb{D}(w^\perp) \rightarrow i^*\mathcal{E}$ ,

$$\bar{s}_{v_\perp}(\zeta)\sigma = -\langle \sigma(\zeta), v_\perp \rangle_* = -\langle \sigma(\zeta), v \rangle = s_v(i(\zeta))\sigma = (s_v \circ i)(\zeta)\sigma.$$

Therefore  $\bar{s}_{v_\perp}$  is the pullback section  $i^*s_v = s_v \circ i$ . It follows that the adjoint section with respect to the Koszul complex  $K(v_\perp)$  on  $\mathbb{D}(w^\perp)$  is given by  $\bar{s}_{v_\perp}^* = i^*s_v^*$ . Therefore,

$$\bar{\nabla}_{v_\perp} = i^*\nabla + \sqrt{2\pi i} (s_{v_\perp} + \bar{s}_{v_\perp}^*) = i^*\nabla + \sqrt{2\pi i} (i^*s_v + i^*s_v^*) = i^* \left( \nabla + \sqrt{2\pi i} (s_v + s_v^*) \right) = i^*\nabla_v.$$

By eq. (1.9) of section 1.2, we know  $(i^*\nabla_v)^2 = i^*(\nabla_v)^2$ , and thus by the above

$$\exp(\bar{\nabla}_{v_\perp}^2) = \exp((i^*\nabla_v)^2) = \exp(i^*\nabla_v^2) = i^*\exp(\nabla_v^2).$$

Writing  $\varphi_w^0, \nu_w^0$  for the forms on  $\mathbb{D}(w^\perp)$  corresponding to  $\varphi^0$  and  $\nu^0$ , we obtain

$$\begin{aligned} \varphi_w^0(v_\perp) &= \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( \exp(\bar{\nabla}_{v_\perp}^2) \right)_{[2k]} = \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( i^*\exp(\nabla_v^2) \right)_{[2k]} = i^* \left( \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( \exp(\nabla_v^2) \right)_{[2k]} \right) \\ &= i^*(\varphi^0(v)). \end{aligned}$$



Since the grading on  $\bigwedge^\bullet i^* \mathcal{E} \cong i^* \bigwedge^\bullet \mathcal{E}$  is induced from the grading of  $\bigwedge^\bullet \mathcal{E}$ , the number operator of  $i^* \bigwedge^\bullet \mathcal{E}$  is  $i^* N$ , where  $N$  is the number operator on  $\mathcal{E}$ , and thus

$$\begin{aligned} v_w^0(v_\perp) &= \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( (i^* N) \exp \left( \nabla_{v_\perp}^2 \right) \right)_{[2k]} = \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( (i^* N) i^* \exp \left( \nabla_v^2 \right) \right)_{[2k]} \\ &= i^* \left( \sum_{k \geq 0} \left( \frac{i}{2\pi} \right)^k \text{tr}_s \left( N \exp \left( \nabla_v^2 \right) \right)_{[2k]} \right) \\ &= i^* (v^0(v)). \end{aligned}$$

Therefore, we obtain *Lemma 2.4.2* on Page 17 of [4],

**Proposition 3.3.2.** *Let  $w \in V$  such that  $\langle w, w \rangle > 0$ , and  $\varphi_w, v_w$  be the forms on  $\mathbb{D}(w^\perp)$  defined analogously to  $\varphi$  and  $v$  on  $\mathbb{D}$ . Writing  $V$  as the direct sum  $V = \text{span}\{w\} \oplus w^\perp$ , we write the respective components of  $v \in V$  as  $v = v_w + v_\perp$ . Then, for the natural inclusion  $\iota : \mathbb{D}(w^\perp) \hookrightarrow \mathbb{D}$*

$$\begin{aligned} \iota^*(\varphi(v)) &= e^{-\pi \langle v_w, v_w \rangle} \varphi_w(v_\perp) \\ \iota^*(v(v)) &= e^{-\pi \langle v_w, v_w \rangle} v_w(v_\perp). \end{aligned}$$

*Proof.* This follows from the preceding discussion, and the eq. (3.4).  $\square$

### 3.4 Highest Weight Vectors

This section contains the main theorems of this thesis. We will use the properties of the immersed submanifolds  $\mathbb{D}_w$  for  $\langle w, w \rangle > 0$ , the behaviour under the inclusions  $\iota : \mathbb{D}(w^\perp) \hookrightarrow \mathbb{D}$ , and the technical results of 2.2 to obtain inductive results regarding when the form  $v_r(\mathbf{v})_{[2(q-1)]}$  is a highest weight vector.

Throughout, by a  $(p, q)$ -vector space we will mean a

$(p + q)$ -dimensional  $\mathbb{C}$ -vector space with a Hermitian form of signature  $(p, q)$ . Recall that for a differential form  $\alpha$ , by  $\alpha_{[k]}$  we mean the  $k$ th degree component.

Given such a  $(p, q)$ -vector space  $V$ , the action of the Weil representation  $\omega : \mathfrak{gl}_{2r}(\mathbb{C}) \rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D}))$  can be extended to

$$\begin{aligned} \omega \otimes 1 : \mathfrak{k}_r &\rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D})) \\ \omega \otimes 1 : \mathfrak{k}_r &\rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))). \end{aligned}$$

Since the actions of these extensions are entirely determined by the action on the first factor, we will use the same notation for both as it should be clear from context. We will also drop the tensor notation, and simply use  $\omega$ .

Our ultimate goal is to prove that, writing  $\mathbf{v} = (w_1, \dots, w_r)$ , the form

$$v_r(w_1, \dots, w_r)_{[2(qr-1)]} = v(w_r) \wedge \varphi(w_1) \wedge \dots \wedge \varphi(w_{r-1})_{[2(qr-1)]} \in S(V^r) \otimes \mathcal{A}^*(\mathbb{D}(V)),$$

constructed in the previous section, generates an irreducible representation under the action of the Lie subalgebra  $\mathfrak{k}_r = \mathfrak{k}_{ss}$  of  $\mathfrak{gl}_{2r}(\mathbb{C}) \cong \mathfrak{u}(r, r) \otimes_{\mathbb{R}} \mathbb{C}$ , via the Weil representation. We will apply the theory of highest weight vectors from section 2.1 in order to demonstrate this. Thus we need to demonstrate that  $v_r(\mathbf{v})_{[2(qr-1)]}$  is an eigenvector for the action of the Cartan subalgebra  $\mathfrak{h}_r$  of  $\mathfrak{k}_r$ . Recall from section 2.1 that  $\mathfrak{h}_r$  has a basis  $\{E_{ss} - E_{2r,2r} : 1 \leq s < 2r\}$ . We define the weight  $\mu_r \in \mathfrak{h}_r^*$  by

$$\mu_r(E_{ss} - E_{2r,2r}) = \begin{cases} (p+q-1), & 1 \leq s < r \\ (p+q-2), & s = r \\ -1, & r+1 \leq s < 2r \end{cases} \quad (3.6)$$

In particular, we will show that  $v_r(\mathbf{v})_{[2(qr-1)]}$  has weight  $\mu_r$ .

First, we will use induction on  $p$  in the  $r = 1$  and  $r = 2$  cases, extending some of the results from section 2.2. These results assume certain base cases that are not known in general, but for which we have established several cases by computation from the code displayed at the end of this document.

In order to lift these results to higher values of  $r$ , we will need to rely on the following result.

**Theorem 3.4.1** (Kudla-Millson). *For all  $(p, q)$ -vector spaces and  $r \geq 1 \leq p$  (or  $r = p + 1$  when  $q = 1$ ) the form  $\varphi(w_1) \wedge \dots \wedge \varphi(w_r)_{[2qr]} \in S(V^r) \otimes \mathcal{A}^*(\mathbb{D})$  has weight  $\lambda_r \in \mathfrak{h}_r^*$  given by*

$$\lambda_r(E_{ss} - E_{2r,2r}) = \begin{cases} p+q, & 1 \leq s \leq r \\ 0, & r+1 \leq s < 2r \end{cases}$$

for which it is a highest weight vector.

*Proof.* Page 364 of *Theorem 3.1* of [6]. □

When  $r = 1$ , for the form  $\varphi_{[2q]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D})$ , and the Weil representation  $\omega : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$

$$\omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \varphi(v)_{[2q]} = \left( \frac{p+q}{2} \right) \varphi(v)_{[2q]},$$

$$\omega \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \varphi(v)_{[2q]} = - \left( \frac{p+q}{2} \right) \varphi(v)_{[2q]}.$$

For the first result, we'll also need to extend the action of the pullback

$$1 \otimes i^* : S(V^r) \otimes \mathcal{A}^*(\mathbb{D}) \rightarrow S(V^r) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)),$$

where again we will drop the tensor notation for simplicity.

**Lemma 3.4.2.** *For any  $X \in \mathfrak{k}_r$ , we have  $(1 \otimes i^*) \circ (\omega \otimes 1)(X) = (\omega \otimes 1)(X) \circ (1 \otimes i^*)$ .*

*Proof.* Let  $\Phi(\mathbf{v}) \otimes \gamma \in S(V') \otimes \mathcal{A}^*(\mathbb{D})$  and  $X \in \mathfrak{k}_r$ , then

$$\begin{aligned} (1 \otimes i^*) \circ (\omega \otimes 1)(X) \Phi(\mathbf{v}) \otimes \gamma &= (1 \otimes i^*) [(\omega(X) \Phi(\mathbf{v})) \otimes \gamma] = (\omega(X) \Phi(\mathbf{v})) \otimes i^* \gamma = (\omega \otimes 1)(X) (\Phi(\mathbf{v}) \otimes i^* \gamma) \\ &= (\omega \otimes 1)(X) \circ (1 \otimes i^*) (\Phi(\mathbf{v}) \otimes \gamma). \end{aligned}$$

□

As a first step to showing  $v_r(\mathbf{v})_{[2(qr-1)]} \in S(V') \otimes \mathcal{A}^*(\mathbb{D})$  has weight  $\mu_r$  in general, we will demonstrate (assuming certain base cases) by induction on  $p$ , that  $v_{[2(q-1)]}$  has weight  $\mu_1$ . More specifically, we will investigate the particular action of  $\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \in \mathfrak{gl}_2(\mathbb{C})$  on  $v(v)_{[2(q-1)]}$  under the Weil representation  $\omega : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$ , which will be useful in lifting these results to the cases when  $r > 1$ .

**Proposition 3.4.3.** *Consider some fixed  $q$  such that  $q \geq 1$ , and let  $p \geq 2(q-1)$ . Suppose that for every  $(p, q)$ -vector space  $V$ , the Weil representation  $\omega : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$ , and  $v(v)_{[2(q-1)]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D}(V))$  we find*

$$\begin{aligned} \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} &= \left( \frac{p+q-2}{2} \right) v(v)_{[2(q-1)]}, \\ \omega \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} v(v)_{[2(q-1)]} &= - \left( \frac{p+q-2}{2} \right) v(v)_{[2(q-1)]}. \end{aligned}$$

Then, for all  $(p', q)$ -vector spaces  $V'$  with  $p' \geq p$ , for the form  $v(v)'_{[2(q-1)]} \in S(V') \otimes \mathcal{A}^*(\mathbb{D}(V'))$  and the Weil representation  $\omega' : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V') \otimes \mathcal{A}^*(\mathbb{D}(V')))$ , we have

$$\begin{aligned} \omega' \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)'_{[2(q-1)]} &= \left( \frac{p'+q-2}{2} \right) v(v)'_{[2(q-1)]}, \\ \omega' \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} v(v)'_{[2(q-1)]} &= - \left( \frac{p'+q-2}{2} \right) v(v)'_{[2(q-1)]}. \end{aligned}$$

*Proof.* Supposing that the hypothesis holds, let  $V$  be a  $(p+1, q)$ -vector space, and writing  $\mathbb{D} = \mathbb{D}(V)$ , consider

$v(v)_{[2(q-1)]} \in S(V) \otimes \mathcal{A}^{q-1, q-1}(\mathbb{D})$ . For  $\zeta_0 \in \mathbb{D}$ , if we can show that  $\omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]}$  and

$((p+q-2)/2)v(v)_{[2(q-1)]}$  agree on any basis of  $\bigwedge^{m,m} T_{\zeta_0} \mathbb{D}$ , then by linearity they must be equal.

For a standard basis  $\{v_1, \dots, v_{p+q}\}$  of  $V$ , and the coordinates for  $\mathbb{D}$  described in section 3.1,

$$\left\{ \frac{\partial}{\partial \zeta_{i_1, j_1}} \wedge \dots \wedge \frac{\partial}{\partial \zeta_{i_m, j_m}} \wedge \frac{\partial}{\partial \bar{\zeta}_{k_1, l_1}} \wedge \dots \wedge \frac{\partial}{\partial \bar{\zeta}_{k_m, l_m}} : 1 \leq i_*, k_* \leq p, 1 \leq j_*, l_* \leq q \right\},$$

is a basis for  $\bigwedge^{m,m} T_{\zeta_0} \mathbb{D}$ . By lemma lemma 3.3.1, for any one of the above basis vectors  $u$ , there exists  $w \in V$  where  $\zeta_0 \in \mathbb{D}(w^\perp)$ , and some  $u_w \in \bigwedge^{m,m} T_{\zeta_0} \mathbb{D}(w^\perp)$ , such that  $\iota_*(u_w) = u$ , for the inclusion map  $\iota : \mathbb{D}(w^\perp) \hookrightarrow \mathbb{D}$ .

Write  $\omega : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$ , and  $\omega_w : \mathfrak{k}_1 \rightarrow \text{End}(S(w^\perp) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)))$ , for the Weil representation.

Note that  $w^\perp$  is a  $(p, q)$ -vector space with respect to the restricted Hermitian form  $\langle \cdot, \cdot \rangle|_{w^\perp}$ . Writing  $\langle w \rangle := \text{span}_{\mathbb{C}} w$ , for the orthogonal direct sum  $V = \langle w \rangle \oplus w^\perp$ , we write the respective components of  $v \in V$  as  $v = v_w + v_\perp$ , and the orthogonal projections  $\pi_w : V \rightarrow \langle w \rangle$  and  $\pi_\perp : V \rightarrow w^\perp$ . We can extend the pullbacks

$$\pi_w^* S(\langle w \rangle) \rightarrow S(V) \text{ and } \pi_\perp^* \otimes 1 : S(w^\perp) \rightarrow S(V) \text{ to}$$

$$\pi_w^* \otimes 1 : S(\langle w \rangle) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)) \rightarrow S(V) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))$$

$$\pi_\perp^* \otimes 1 : S(w^\perp) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)) \rightarrow S(V) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))$$

where again, we will drop the tensor notation and simply write  $\pi_w^*$  and  $\pi_\perp^*$  as there's no chance of confusion.

By proposition 3.3.2  $i^* v(v) = e^{-\pi \langle v_w, v_w \rangle} v_w(v_\perp)$ , and note that  $e^{-\pi \langle v_w, v_w \rangle} \in S(\langle w \rangle)$  and  $v_w(v_\perp) \in S(w^\perp) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))$ , where

$$e^{-\pi \langle v_w, v_w \rangle} v_w(v_\perp) = \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) (v) \pi_\perp^* (v_w)(v).$$

$$\text{Therefore } i^* v(v) = \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_\perp^* (v_w)(v).$$

Writing the Weil representation

$$\omega_w : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(\langle w \rangle) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)))$$

$$\omega_\perp : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(w^\perp) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)))$$

by the induction hypothesis, we have

$$\omega_\perp \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v_w(v_\perp)_{[2(q-1)]} = \left( \frac{p+q-2}{2} \right) v_w(v_\perp)_{[2(q-1)]}.$$

The result of proposition 2.2.5 carries over to  $\omega \otimes 1$ ,  $\omega_w \otimes 1$ , and  $\omega_\perp \otimes 1$ , and thus

$$\begin{aligned} \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} u &= \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} i_*(u_w) \\ &= i^* \left( \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} \right) u_w \\ &= \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} (i^* v(v)_{[2(q-1)]}) u_w, \end{aligned}$$

by lemma 3.4.2,

$$\begin{aligned} \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} u &= \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \left( \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_\perp^* (v_w) \right) u_w \\ &= \pi_w^* \left( \omega_w \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} e^{-\pi \langle v_w, v_w \rangle} \right) \pi_\perp^* v_w(v_\perp)_{[2(q-1)]} \\ &\quad + \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_\perp^* \left( \omega_\perp \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v_w(v_\perp)_{[2(q-1)]} \right) u_w, \end{aligned}$$

By proposition 2.2.5,

$$\begin{aligned} \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} u &= \frac{1}{2} \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_{\perp}^* v_w(v_{\perp})_{[2(q-1)]} u_w \\ &+ \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_{\perp}^* \left( \left( \frac{p+q-2}{2} \right) v_w(v_{\perp})_{[2(q-1)]} \right) u_w, \end{aligned}$$

By lemma 2.2.4 and the induction hypothesis,

$$\begin{aligned} \omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} u &= \left( \frac{(p+1)+q-2}{2} \right) \pi_w^* \left( e^{-\pi \langle v_w, v_w \rangle} \right) \pi_{\perp}^* v_w(v_{\perp})_{[2(q-1)]} u_w \\ &= \left( \frac{(p+1)+q-2}{2} \right) i^* v(v)_{[2(q-1)]} u_w \\ &= \left( \frac{(p+1)+q-2}{2} \right) v(v)_{[2(q-1)]} i_* (u_w) \\ &= \left( \frac{(p+1)+q-2}{2} \right) v(v)_{[2(q-1)]} u. \end{aligned}$$

By the arbitrary choice of  $u \in T_{\zeta_0} \mathbb{D}$ , we conclude that  $\omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v_{[2(q-1)]} = \left( \frac{(p+1)+q-2}{2} \right) v_{[2(q-1)]}$ . By the arbitrary choice of  $(p+1, q)$ -vector space  $V$ , and the principle of induction, we conclude that the result holds for all  $(p', q)$ -vector spaces with  $p' \geq p$ .

The proof that  $\omega \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v_{[2(q-1)]} = - \left( \frac{(p+1)+q-2}{2} \right) v_{[2(q-1)]}$  is entirely similar.  $\square$

We now use the results of the  $r = 1$  case, to extend to the case when  $r > 1$ .

**Corollary 3.4.4.** *Let  $V$  be a  $(p, q)$ -vector space, and consider the form  $v_{[2(q-1)]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D})$  and the Weil representation  $\omega_1 : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$ . If*

$$\begin{aligned} \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2(q-1)]} &= \left( \frac{p+q-2}{2} \right) v(v)_{[2(q-1)]}, \\ \omega_1 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} v(v)_{[2(q-1)]} &= - \left( \frac{p+q-2}{2} \right) v(v)_{[2(q-1)]}, \end{aligned}$$

then for all  $r \geq 1$ , the form  $v_r(\mathbf{v})_{[2(qr-1)]} S(V^r) \otimes \mathcal{A}^*(\mathbb{D})$ , has weight  $\mu_r \in \mathfrak{h}_r^*$  (eq. (3.6)) for the Weil representation  $\omega : \mathfrak{k}_r \rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D}))$ .

*Proof.* Let  $\mathbf{v} = (\vec{w}_1, \dots, \vec{w}_r) \in V^r$ , and recall from proposition 3.2.1 that

$$v_r(\mathbf{v})_{[2(qr-1)]} = v(w_r)_{[2(q-1)]} \wedge \varphi(w_1, \dots, w_{r-1})_{[2q(r-1)]} = v(w_r)_{[2(q-1)]} \wedge \varphi(w_1)_{[2q]} \wedge \dots \wedge \varphi(w_{r-1})_{[2q]},$$

where  $v(w_r)_{[2(q-1)]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D})$ , for each  $1 \leq s \leq r-1$  we have  $\varphi(w_s)_{[2q]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D})$ , and  $\varphi(w_1, \dots, w_{r-1})_{[2q(r-1)]} \in S(V^{r-1}) \otimes \mathcal{A}^*(\mathbb{D})$ . Letting  $\mathbf{d} = \{1, \dots, r-1\}$ , for the maps

$$\begin{aligned} T_{\{r\}} &: V^r \rightarrow V \\ T_{\mathbf{d}} &: V^r \rightarrow V^{r-1} \end{aligned}$$

described preceding proposition 2.2.7, we extend the pullbacks to

$$\begin{aligned} T_{\{r\}}^* \otimes 1 &: S(V^r) \otimes \mathcal{A}^*(\mathbb{D}) \rightarrow S(V) \otimes \mathbb{D} \\ T_{\mathbf{d}}^* \otimes 1 &: S(V^r) \otimes \mathcal{A}^*(\mathbb{D}) \rightarrow S(V^{r-1}) \otimes \mathcal{A}^*(\mathbb{D}) \end{aligned}$$

As with previous extensions, we drop the tensor notation. Now we write

$$\begin{aligned} v_r(\mathbf{v})_{[2(qr-1)]} &= v(w_r)_{[2(q-1)]} \wedge \varphi(w_1, \dots, w_{r-1})_{[2q(r-1)]} \\ &= \left( T_{\{r\}}^* v \right) (\mathbf{v})_{[2(q-1)]} \wedge \left( T_{\mathbf{d}}^* \varphi \right) (\mathbf{v})_{[2q(r-1)]} \end{aligned}$$

By proposition 2.2.7, for  $E_{rr} \in \mathfrak{k}_r$

$$\begin{aligned} \omega(E_{rr})v_r(\mathbf{v})_{[2(qr-1)]} &= \omega(E_{rr}) \left( T_{\{r\}}^* v \right) (\mathbf{v})_{[2(q-1)]} \wedge \left( T_{\mathbf{d}}^* \varphi \right) (\mathbf{v})_{[2q(r-1)]} \\ &= \left( T_{\{r\}}^* \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v \right) (\mathbf{v})_{[2(q-1)]} \wedge \left( T_{\mathbf{d}}^* \varphi \right) (\mathbf{v})_{[2q(r-1)]} \\ &= \left( T_{\{r\}}^* \left( \frac{p+q-2}{2} \right) v \right) (\mathbf{v})_{[2(q-1)]} \wedge \left( T_{\mathbf{d}}^* \varphi \right) (\mathbf{v})_{[2q(r-1)]} \\ &= \left( \frac{p+q-2}{2} \right) \left( T_{\{r\}}^* v \right) (\mathbf{v})_{[2(q-1)]} \wedge \left( T_{\mathbf{d}}^* \varphi \right) (\mathbf{v})_{[2q(r-1)]} \\ &= \left( \frac{p+q-2}{2} \right) v_r(\mathbf{v})_{[2(qr-1)]}. \end{aligned}$$

The proof that for  $E_{2r,2r} \in \mathfrak{k}_r$ ,

$$\omega(E_{2r,2r})v_r(\mathbf{v})_{[2(qr-1)]} = - \left( \frac{p+q-2}{2} \right) v_r(\mathbf{v})_{[2(qr-1)]},$$

is entirely similar to the above.

For  $1 \leq s < r$ ,

$$v_r(\mathbf{v})_{[2(qr-1)]} = v(w_r) \wedge \varphi(w_1) \wedge \dots \wedge \varphi(w_{r-1})_{[2(qr-1)]} = \varphi(w_s) \wedge v(w_r) \wedge \varphi(w_1) \wedge \dots \wedge \widehat{\varphi(w_s)} \wedge \dots \varphi(w_{r-1})_{[2(qr-1)]},$$

and since  $\varphi(w_s)_{[2q]} \in S(V) \otimes \mathcal{A}^*(\mathbb{D})$  and

$$v^{r-2}(w_1, \dots, \widehat{w_s}, \dots, w_r) := v(w_r) \wedge \varphi(w_1) \wedge \dots \wedge \widehat{\varphi(w_s)} \wedge \dots \varphi(w_{r-1})_{[2(q(r-1)-1)]} \in S(V^{r-1}) \otimes \mathcal{A}^*(\mathbb{D}),$$

writing  $\mathbf{d} = \{1, \dots, \hat{s}, \dots, r\}$  we have

$$v_r(\mathbf{v})_{[2(qr-1)]} = \left( T_{\{s\}}^* \varphi \right) (\mathbf{v})_{[2q]} \wedge \left( T_{\mathbf{d}}^* v^{r-1} \right) (\mathbf{v})_{[2(q(r-1)-1)]}.$$

Since  $\omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \varphi_{[2q]} = \left(\frac{p+q}{2}\right) \varphi_{[2q]}$ , by Proposition 2.2.7

$$\begin{aligned} \omega(E_{ss})v_r(\mathbf{v})_{[2(qr-1)]} &= \omega(E_{ss}) \left( T_{\{s\}}^* \varphi \right) (\mathbf{v})_{[2q]} \wedge (T_{\mathbf{d}}^* v^{r-1}) (\mathbf{v})_{[2(q(r-1)-1)]} \\ &= \left( T_{\{s\}}^* \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \varphi \right) (\mathbf{v})_{[2q]} \wedge (T_{\mathbf{d}}^* v^{r-1}) (\mathbf{v})_{[2(q(r-1)-1)]} \\ &= \left( T_{\{s\}}^* \frac{(p+q)}{2} \varphi \right) (\mathbf{v})_{[2q]} \wedge (T_{\mathbf{d}}^* v^{r-1}) (\mathbf{v})_{[2(q(r-1)-1)]} \\ &= \frac{(p+q)}{2} \left( T_{\{s\}}^* \varphi \right) (\mathbf{v})_{[2q]} \wedge (T_{\mathbf{d}}^* v^{r-1}) (\mathbf{v})_{[2(q(r-1)-1)]} \\ &= \frac{(p+q)}{2} v_r(\mathbf{v})_{[2(qr-1)]}. \end{aligned}$$

The proof that for  $r+1 \leq s < 2r$

$$\omega(E_{ss})v_r(\mathbf{v})_{[2(qr-1)]} = -\frac{(p+q)}{2} v_r(\mathbf{v})_{[2(qr-1)]},$$

is entirely similar to the above.

As determined in Section 2.1,  $\{E_{ss} - E_{2r,2r} : 1 \leq s < 2r\}$  is a basis for the Cartan subalgebra of  $\mathfrak{k}_r \subseteq \mathfrak{gl}_{2r}(\mathbb{C})$ , and thus combining the computations above, the action of the Cartan subalgebra is given by

$$\begin{aligned} \omega(E_{ss} - E_{2r,2r})v_r(\mathbf{v})_{[2(qr-1)]} &= \begin{cases} (p+q-1)v_r(\mathbf{v})_{[2(qr-1)]}, & 1 \leq s \leq r \\ (p+q-2)v_r(\mathbf{v})_{[2(qr-1)]}, & s = r \\ -v_r(\mathbf{v})_{[2(qr-1)]}, & r+1 \leq s < 2r \end{cases} \\ &= \mu_r(E_{ss} - E_{2r,2r})v_r(\mathbf{v})_{[2(qr-1)]}. \end{aligned}$$

□

Recall from Section 2.1, in order to show a certain vector is of highest weight, we must both demonstrate its weight for the action of the Cartan subalgebra, and show that it is killed by the *positive* root vectors. By eq. (2.14), the positive root vectors for  $\mathfrak{gl}_{2r}(\mathbb{C})$  are

$$\{E_{st} : 1 \leq s \leq r, s < t \leq 2r\} \cup \{E_{ts} : r+1 \leq s < t \leq 2r\}.$$

As we're restricting our attention to  $\mathfrak{k}_r \subseteq \mathfrak{gl}_{2r}(\mathbb{C})$ , we will only focus on *compact* positive roots,

$$\{E_{st} : 1 \leq s < t \leq r\} \cup \{E_{ts} : r+1 \leq s < t \leq 2r\}$$

i.e. those which belong to  $\mathfrak{k}_r$ .

When  $r = 1$ , the Lie algebra  $\mathfrak{k}_1$  has no such roots. In the next theorem we will induct on  $p$  for  $(p, q)$ -vector spaces to show that  $v_2(\mathbf{v})$  is killed by the positive roots.

**Theorem 3.4.5.** *Suppose  $p \geq 4q - 2$ . If for every  $(p, q)$ -vector space  $V$ , the form  $v_2(\mathbf{v})_{[4q-2]} \in S(V^2) \otimes \mathcal{A}^*(\mathbb{D}(V))$  is killed by the positive roots of  $\mathfrak{k}_2$ , then every  $(p', q)$ -vector space  $V'$  with  $p' \geq p$ , the corresponding form  $v'_2(\mathbf{v}) = v'(\bar{w}_2) \wedge \varphi'(\bar{w}_1)$  is killed by the positive roots of  $\mathfrak{k}_2$ .*

*Proof.* Suppose that the hypothesis holds, and let  $V$  be a  $(p+1, q)$ -vector space. Let  $\{v_{p+1}, \dots, v_{p+q}\}$  be a standard basis for  $\zeta_0 \in \mathbb{D}$ , and extend to a standard basis  $\{v_1, \dots, v_{p+1+q}\}$ . Giving  $\mathbb{D} = \mathbb{D}(V)$  the coordinates of section 3.1, let  $u$  be a basis vector of  $\bigwedge^{2q-1, 2q-1} T_{\zeta_0} \mathbb{D}(V)$  as appearing in the statement of lemma 3.3.1. Then by the result of lemma 3.3.1, there exists  $w \in \{v_1, \dots, v_p\}$  where  $\zeta_0 \in \mathbb{D}(w^\perp)$  and  $u_w \in \bigwedge^{2q-1, 2q-1} T_{\zeta_0} \mathbb{D}(w^\perp)$  such that for the inclusion  $\iota : \mathbb{D}(w^\perp) \hookrightarrow \mathbb{D}$ , we have  $\iota_* u_w = u$ . Note that  $w^\perp$  is a  $(p, q)$ -vector space with respect to the restricted Hermitian form  $\langle \cdot, \cdot \rangle|_{w^\perp}$ . By our induction hypothesis, for the forms  $\varphi_w$  and  $v_w$  on  $\mathbb{D}(w^\perp)$ , the Weil representation  $\omega_w : \mathfrak{k}_2 \rightarrow \text{End}(S(V^2) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp)))$  and  $E_{12}, E_{43} \in \mathfrak{k}_2$

$$\omega(E_{12})v_w(u_2)_{[2(q-1)]} \wedge \varphi_w(u_1)_{[2q]} = 0,$$

$$\omega(E_{43})v_w(u_2)_{[2(q-1)]} \wedge \varphi_w(u_1)_{[2q]} = 0.$$

Write  $\langle w \rangle := \text{span}_{\mathbb{C}} w$ . For each entry of  $\mathbf{v} = (u_1, u_2) \in V^2$  we'll write the components of orthogonal decomposition  $V = \langle w \rangle \oplus w^\perp$  as  $u_1 = u'_1 + u''_1$  and  $u_2 = u'_2 + u''_2$ .

By the proposition 3.3.2, for the inclusion  $\iota : \mathbb{D}(w^\perp) \hookrightarrow \mathbb{D}$  we have

$$\begin{aligned} i^* \varphi(u_1)_{[2q]} &= e^{-\pi \langle u'_1, u'_1 \rangle} \varphi_w(u''_1)_{[2q]} \\ i^* v(u_2)_{[2(q-1)]} &= e^{-\pi \langle u'_2, u'_2 \rangle} v_w(u''_2)_{[2(q-1)]}. \end{aligned}$$

We will write

$$\phi_0(u'_1, u'_2) = \exp(-\pi (\langle u'_1, u'_1 \rangle + \langle u'_2, u'_2 \rangle)),$$

which is the vacuum vector for  $S(\langle w \rangle^2)$ .

Thus, for the pullbacks  $\pi_w^* : S(\langle w \rangle^2) \otimes \mathcal{A}^*(\mathbb{D}) \rightarrow S(V^2) \otimes \mathcal{A}^*(\mathbb{D})$  and  $\pi_\perp^* : S((w^\perp)^2) \otimes \mathcal{A}^*(\mathbb{D}) \rightarrow S(V^2) \otimes \mathcal{A}^*(\mathbb{D})$  defined in the proof of proposition 3.4.3, we find

$$\begin{aligned} i^* v_r(\mathbf{v})_{[4q-2]} &= i^* (v(u_2)_{[2(q-1)]} \wedge \varphi(u_1)_{[2q]}) \\ &= i^* v(u_2)_{[2(q-1)]} \wedge i^* \varphi(u_1)_{[2q]} \\ &= e^{-\pi \langle u'_2, u'_2 \rangle} v_w(u''_2)_{[2(q-1)]} \wedge e^{-\pi \langle u'_1, u'_1 \rangle} \varphi_w(u''_1)_{[2q]} \\ &= \phi_0 (v_w(u''_1)_{[2(q-1)]} \wedge \varphi_w(u''_1)_{[2q]}) \\ &= \pi_w^*(\phi_0) \pi_\perp^* ((v_w)_{[2(q-1)]} \wedge (\varphi_w)_{[2q]})(u_1, u_2). \end{aligned}$$

Thus, for the Weil representation

$$\begin{aligned} \omega : \mathfrak{k}_2 &\rightarrow \text{End}(S(V^2) \otimes \mathcal{A}^*(\mathbb{D})) \\ \omega_w : \mathfrak{k}_2 &\rightarrow \text{End}(S(\langle w \rangle^2) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))) \\ \omega_\perp : \mathfrak{k}_2 &\rightarrow \text{End}(S((w^\perp)^2) \otimes \mathcal{A}^*(\mathbb{D}(w^\perp))), \end{aligned}$$



we have

$$\begin{aligned}
& \omega(E_{12})v_r(\mathbf{v})_{[4q-2]}u \\
&= \omega(E_{12})v_r(\mathbf{v})_{[4q-2]}t^*(u) \\
&= t^*(\omega(E_{12})v_r(\mathbf{v})_{[4q-2]})u_w \\
&= \omega(E_{12})t^*(v_r(\mathbf{v})_{[4q-2]})u_w, \quad \text{by lemma 3.4.2} \\
&= \omega(E_{12})\pi_w^*(\phi_0)\pi_\perp^*((v_w)_{[2(q-1)]} \wedge (\varphi_w)_{[2q]})u_w \\
&= \pi_w^*(\omega_w(E_{12})\phi_0)\pi_\perp^*((v_w)_{[2(q-1)]} \wedge (\varphi_w)_{[2q]}) + \pi_w^*(\phi_0)\pi_\perp^*(\omega_\perp(E_{12})(v_w)_{[2(q-1)]} \wedge (\varphi_w)_{[2q]}), \quad \text{by proposition 2.2.5} \\
&= (0 \cdot \pi_\perp^*((v_w)_{[2(q-1)]} \wedge (\varphi_w)_{[2q]}) + \pi_w^*(\phi_0) \cdot 0)u_w, \quad \text{by lemma 2.2.4 and the induction hypothesis} \\
&= 0.
\end{aligned}$$

Therefore we've shown the equality of  $\omega(E_{12})v_2(\mathbf{v})_{[4q-2]}$  and the 0-functional on any one of the basis vectors of  $\bigwedge^{2q-1, 2q-1} T_{\zeta_0} \mathbb{D}$  as described in lemma 3.3.1. By linearity  $\omega(E_{12})v_2(\mathbf{v})_{[4q-2]}$  agrees with 0 on all of  $\bigwedge^{2q-1, 2q-1} T_{\zeta_0} \mathbb{D}$ , and are therefore they are equal.

The proof that  $\omega(E_{43})v_r(\mathbf{v})_{[4q-2]} = 0$ , is entirely similar.  $\square$

**Theorem 3.4.6.** *If  $v_2(\mathbf{v})_{[4q-2]}$  is killed by the positive roots of  $\mathfrak{k}_2$ , then  $v_r(\mathbf{v})_{[2(qr-1)]}$  is killed by the positive roots of  $\mathfrak{k}_r$  for any  $r \geq 2$ .*

*Proof.* Suppose that  $v_2 \in S(V^2) \otimes \mathcal{A}^*(\mathbb{D})$  is killed by the positive roots of  $\mathfrak{k}_2$ . Let  $\mathbf{v} = (w_1, \dots, w_r) \in V^r$ , and  $E_{ar} \in \mathfrak{k}_r$  with  $1 \leq a < r$ . Observe that

$$v_r(\mathbf{v})_{[2(qr-1)]} = v(w_r) \wedge \varphi(w_1) \wedge \dots \wedge \varphi(w_{r-1})_{[2(qr-1)]} = v(w_r) \wedge \varphi(w_a) \wedge \varphi(w_1) \wedge \dots \wedge \widehat{\varphi(w_a)} \wedge \dots \wedge \varphi(w_{r-1})_{[2(qr-1)]}.$$

Now  $v(w_r) \wedge \varphi(w_1)_{[4q-2]} = v_2(\mathbf{v})_{[4q-2]} \in S(V^2) \otimes \mathcal{A}^*(\mathbb{D})$  and  $\varphi^{r-2}(\mathbf{v}) := \varphi(w_1) \wedge \dots \wedge \widehat{\varphi(w_a)} \wedge \dots \wedge \varphi(w_{r-1})_{[2(q(r-1)-1)]} \in S(V^{r-2}) \otimes \mathcal{A}^*(\mathbb{D})$ , where if we let  $\mathbf{d} = \{1, \dots, \hat{a}, \dots, \hat{b}, \dots, r\}$

$$\begin{aligned}
v_r(\mathbf{v})_{[2(qr-1)]} &= v(w_r) \wedge \varphi(w_a) \wedge \varphi(w_1) \wedge \dots \wedge \widehat{\varphi(w_a)} \wedge \dots \wedge \varphi(w_{r-1})_{[2(qr-1)]} \\
&= (T_{\{ab\}}v_2)(\mathbf{v})_{[4q-2]} (T_{\mathbf{d}}^* \varphi^{r-2})(\mathbf{v})_{[2(q(r-1)-1)]}.
\end{aligned}$$

Thus by proposition 2.2.8

$$\begin{aligned}
\omega(E_{ar})v_r(\mathbf{v})_{[2(qr-1)]} &= \omega(E_{ar}) \left[ (T_{\{ab\}}v_2)_{[4q-2]} (T_{\mathbf{d}}^* \varphi^{r-1})(\mathbf{v})_{[2(q(r-1)-1)]} \right] \\
&= \left[ T_{\{ab\}}^* \omega_2(E_{12})v_2 \right] (\mathbf{v})_{[4q-2]} (T_{\mathbf{d}}^* \varphi^{r-2})(\mathbf{v})_{[2(q(r-1)-1)]} \\
&= T_{\{ab\}}^*(0) (T_{\mathbf{d}}^* \varphi^{r-2})(\mathbf{v})_{[2(q(r-1)-1)]} \quad \text{by the hypothesis,} \\
&= 0.
\end{aligned}$$

The proof that  $v_r(\mathbf{v})_{[2(qr-1)]}$  is killed by the other positive root is entirely similar.  $\square$

Finally, we combine the previous results to yield our main theorem.

**Theorem 3.4.7.** *Let  $V$  be a  $(p, q)$ -vector space. The form  $v_r(\mathbf{v})_{[2(qr-1)]}$  is a highest weight vector of weight  $\mu_r \in \mathfrak{h}_r^*$  for the Weil representation  $\omega : \mathfrak{k}_r \rightarrow S(V^r) \otimes \mathcal{A}^*(\mathbb{D}(V))$  for  $(p, q, r)$  in the following cases*

1.  $(p, 1, r)$  for any  $r \leq p + 1$ .
2.  $(p, 2, r)$  for any  $r \leq p$ .
3.  $(p, 3, r)$  for  $1 \leq p \leq 3$  and any  $r \leq p$ .
4.  $(1, 4, 1), (2, 4, 1), (2, 4, 2),$  and  $(3, 4, r)$  for  $r \leq 3$ .

*Proof.* 1. This is proven in [4].

2. Let  $V$  be a  $(p, 2)$ -vector space,  $\{v_{p+1}, \dots, v_{p+q}\}$  a standard basis for some  $\zeta_0 \in \mathbb{D}$ . Extend to a standard basis  $\{v_1, \dots, v_{p+q}\}$  of  $V$ , and equip  $\mathbb{D}$  with the coordinates of section 3.1. Let  $\omega_1 : \mathfrak{gl}_2(\mathbb{C}) \rightarrow \text{End}(S(V) \otimes \mathcal{A}^*(\mathbb{D}))$  be the Weil representation. Using the code in the final chapter, we've established that for  $p = 1$  or  $p = 2$ , evaluating the form  $v(v)_{[2]}|_{\zeta_0}$  at  $\zeta_0$ ,

$$\begin{aligned} \omega_1 \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} v(v)_{[2]}|_{\zeta_0} &= \left(\frac{p}{2}\right) v(v)_{[2]}|_{\zeta_0} \\ \omega_1 \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} v(v)_{[2]}|_{\zeta_0} &= -\left(\frac{p}{2}\right) v(v)_{[2]}|_{\zeta_0} \end{aligned}$$

As  $p \geq 2$ , by corollary 3.4.4, for every  $(p, 2)$ -vector space  $V$ ,  $r \geq 1$ , and the Weil representation

$\omega_r : \mathfrak{k}_r \rightarrow \text{End}(S(V^r) \otimes \mathcal{A}^*(\mathbb{D}))$  the form  $v_r(\mathbf{v})_{[2(2r-1)]}|_{\zeta_0}$  has weight  $\mu_r \in \mathfrak{h}_r^*$ . By proposition 3.2.1 we conclude that  $v_r(\mathbf{v})_{[2(2r-1)]}$  has weight  $\mu_r$ .

We have also established by computation that for each  $(p, 2)$ -vector space with  $p \leq 4q - 2 = 6$ , the form  $v_2(\mathbf{v})_{[6]}|_{\zeta_0}$  is killed by the positive roots of  $\mathfrak{k}_2$  and thus by theorem 3.4.5, for any  $(p, 2)$ -vector space the form  $v_2(\mathbf{v})_{[6]}|_{\zeta_0}$  is killed by the positive roots of  $\mathfrak{k}_2$ . Again by proposition 3.2.1 we're able to conclude  $v_2(\mathbf{v})_{[6]}$  is killed by the positive roots of  $\mathfrak{k}_2$ . Thus it follows from theorem 3.4.6 that for each  $(p, 2)$ -vector space and  $r$ , the form  $v_r(\mathbf{v})_{[2(2r-1)]}$  is killed by the positive roots of  $\mathfrak{k}_r$ .

In conclusion, for any  $(p, 2)$ -vector space, the form  $v_r(\mathbf{v})_{[2(qr-1)]}$  has weight  $\mu_r \in \mathfrak{h}_r^*$  and is killed by the action of its root vectors. Therefore  $v_r(\mathbf{v})_{[2(qr-1)]}$  is a *highest weight vector*, which implies that it generates an irreducible subrepresentation.

3. By direct computation.
4. By direct computation.

□

### 3.5 Computations

In this section we will walk through the computation of the  $(p, q) = (1, 1)$  case "by hand". This can serve both as test that the code does what it promises to do, and also clarify what exactly needs to be computed. We will also display some of the output of the code, and highlight some interesting features there in.

#### The Case $(p, q) = (1, 1)$ by Hand

Let  $V$  be a  $(1, 1)$ -vector space. Given a standard basis  $\{v_1, v_2\}$  for  $V$ , we equip  $\mathbb{D} = \mathbb{D}(V)$  with the coordinates outlined in section 3.1. Under this description, the coordinates of any point  $\zeta \in \mathbb{D} = \mathbb{D}(V)$  is just a  $1 \times 1$  matrix, i.e. a complex number  $\zeta_{1,1}$  such that  $|\zeta_{1,1}|^2 - 1 < 0$ , that is,  $\mathbb{D}$  is (biholomorphic to) the open complex unit disc.

We have that  $\zeta = \text{span} \left\{ \begin{pmatrix} \zeta_{1,1} \\ 1 \end{pmatrix} \right\}$ . Letting  $\mathcal{E} \rightarrow \mathbb{D}$  be the tautological bundle, for each  $\zeta \in \mathbb{D}$  the fiber  $\mathcal{E}_\zeta$  is  $\zeta$ , and thus

we take the *global* frame  $\left\{ e = \begin{pmatrix} \zeta_{1,1} \\ 1 \end{pmatrix} \right\}$ , trivializing  $\mathcal{E} \rightarrow \mathbb{D}$ . Thus we will take  $\{1, e\}$  as a global frame for  $\mathcal{O} \oplus \mathbb{D}$ ,

where  $\mathcal{O} = \mathcal{O}_{\mathbb{D}}$  is the structure sheaf.

From this point on, we will simply write  $\zeta$  for  $\zeta_{1,1}$ , as we will only make reference to the variable, not the space.

The Hermitian form  $\langle \cdot, \cdot \rangle_{\mathcal{E}}$  on  $\mathcal{E}$  is determined entirely by  $h := \langle e, e \rangle_{\mathcal{E}} = -\langle e, e \rangle = 1 - |\zeta|^2$ .

The Hermitian form  $\langle \cdot, \cdot \rangle_{\wedge}$  of the total bundle  $\mathcal{O} \oplus \mathcal{E}$  is thus

$$H = \begin{pmatrix} 1 & 0 \\ 0 & 1 - |\zeta|^2 \end{pmatrix}.$$

For  $v = (z_1, z_2)^T \in V$  the section  $s_v : \mathbb{D} \rightarrow \mathcal{E}^\vee$  acts by

$$s_v(\zeta)(e) = \langle e, v \rangle = \bar{z}_1 \zeta - \bar{z}_2.$$

Thus the matrix  $S$  of the extension of  $s_v$  to the Koszul complex  $\mathcal{E} \xrightarrow{s_v} \mathcal{O}$  is

$$S = \begin{pmatrix} 0 & \bar{z}_1 \zeta - \bar{z}_2 \\ 0 & 0 \end{pmatrix}.$$

To compute the adjoint  $s_v^*$  of  $s_v$ , for  $u \in \mathcal{E}$  and  $w \in \mathcal{O}$  we have

$$\begin{aligned} \langle Su, w \rangle &= \langle u, S^* w \rangle \Rightarrow (Su)^T H \bar{w} = u^T H \overline{S^* w} \\ v^T S^T H \bar{w} &= u^T H \bar{S}^* \bar{w}, \text{ since this holds for any pair of vectors,} \\ \Rightarrow S^T H &= H \bar{S}^* \\ \overline{H^{-1} S^T H} &= S^* \\ \bar{H}^{-1} \bar{S}^T \bar{H} &= S^* \\ H^{-1} S^* H &= S^*. \end{aligned}$$

As shown in the previous section, the matrix of the adjoint  $S^*$  is computed as

$$\begin{aligned} S^* &= H^{-1} S^* H = \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{1-|\zeta|^2} \end{pmatrix} \begin{pmatrix} 0 & 0 \\ z_1 \bar{\zeta} - z_2 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & 1-|\zeta|^2 \end{pmatrix} \\ &= \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{1-|\zeta|^2} \end{pmatrix} \begin{pmatrix} 0 & 0 \\ z_1 \bar{\zeta} - z_2 & 0 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 0 \\ \frac{z_1 \bar{\zeta} - z_2}{1-|\zeta|^2} & 0 \end{pmatrix} \end{aligned}$$

Therefore

$$\begin{aligned} (S + S^*)^2 &= \left( \begin{pmatrix} 0 & \bar{z}_1 \zeta - \bar{z}_2 \\ 0 & 0 \end{pmatrix} + \begin{pmatrix} 0 & 0 \\ \frac{z_1 \bar{\zeta} - z_2}{1-|\zeta|^2} & 0 \end{pmatrix} \right)^2 \\ &= \begin{pmatrix} 0 & \bar{z}_1 \zeta - \bar{z}_2 \\ \frac{z_1 \bar{\zeta} - z_2}{1-|\zeta|^2} & 0 \end{pmatrix} \begin{pmatrix} 0 & \bar{z}_1 \zeta - \bar{z}_2 \\ \frac{z_1 \bar{\zeta} - z_2}{1-|\zeta|^2} & 0 \end{pmatrix} \\ &= \frac{(\bar{z}_1 \zeta - \bar{z}_2)(z_1 \bar{\zeta} - z_2)}{1-|\zeta|^2} \cdot I \end{aligned}$$

Recall that by proposition 1.1.17, the connection  $\nabla$  on  $\mathcal{E}$  can be given a local description  $\nabla = d + \theta$  where

$$\theta = \frac{\partial(1-|\zeta|^2)}{1-|\zeta|^2} = \frac{-\bar{\zeta}}{1-|\zeta|^2} d\zeta.$$

Again by proposition 1.1.17, the curvature can be expressed as the operator

$$\Theta = \bar{\partial} \frac{-\bar{\zeta}}{1-|\zeta|^2} dx = \frac{-(1-|\zeta|^2) - (-\bar{\zeta})(-\zeta)}{(1-|\zeta|^2)^2} d\bar{\zeta} \wedge d\zeta = \frac{d\zeta \wedge d\bar{\zeta}}{(1-|\zeta|^2)^2}.$$

For the superconnection  $\nabla_v = \nabla + i\sqrt{2\pi}(S + S^*)$ , the super curvature is

$$\nabla_v^2 = -2\pi(S^2 + (S^*)^2) + i\sqrt{2\pi}(\nabla(S + S^*) + (S + S^*)\nabla) + \nabla^2.$$

If we split  $\nabla_v^2 = r_0 + r_1 + r_2$  into components where the form degree of  $r_i$  is  $i$ . Thus

$$-2\pi(S^2 + (S^*)^2) + i\sqrt{2\pi}(\nabla(S + S^*) + (S + S^*)\nabla) + \nabla^2,$$

and therefore

$$r_0|_{\zeta=0} = \left( i\sqrt{2\pi} (S + S^*) \right)^2 |_{\zeta=0} = -2\pi \left( \frac{(\bar{z}_1\zeta - \bar{z}_2)(z_1\bar{\zeta} - z_2)}{1 - |\zeta|^2} \right) I_2|_{\zeta=0} = -2\pi |z_2|^2 I_2.$$

Note that the connection for  $\mathcal{O}$  is just  $d$ , so the connection  $\nabla$  on the total bundle  $\mathcal{E} \oplus \mathcal{O}$  is  $\nabla(f \otimes 1) = df \otimes 1$ , and  $\nabla(f \otimes s_v^{-1}) = df \otimes s_v^{-1} + \theta f \otimes s_v^{-1}$ .

$$\begin{aligned} r_1(1 \otimes 1) &= i\sqrt{2\pi} \left[ \nabla s_v(1 \otimes 1) + \nabla s_v^*(1 \otimes 1) + (s_v + s_v^*)\nabla(1 \otimes 1) \right] \\ &= i\sqrt{2\pi} \left[ \nabla(0) + \nabla \left( \frac{z_1\bar{\zeta} - z_2}{1 - |\zeta|^2} \otimes e \right) + (s_v + s_v^*)(0) \right] \\ &= i\sqrt{2\pi} \left[ (d + \theta) \left( \frac{z_1\bar{\zeta} - z_2}{1 - |\zeta|^2} \otimes e \right) \right] \\ &= i\sqrt{2\pi} \left[ \partial \frac{z_1\bar{\zeta} - z_1}{1 - |\zeta|^2} + \bar{\partial} \frac{z_1\bar{\zeta} - z_2}{1 - |\zeta|^2} + \left( \frac{-\bar{\zeta}}{1 - |\zeta|^2} d\zeta \right) \left( \frac{z_1\bar{\zeta} - z_2}{1 - |\zeta|^2} \right) \right] \otimes e \\ &= i\sqrt{2\pi} \left[ -\frac{(z_1\bar{\zeta} - z_2)(-\bar{\zeta})}{(1 - |\zeta|^2)^2} d\zeta + \frac{z_1(1 - |\zeta|^2) - (z_1\bar{\zeta} - z_2)(-\zeta)}{(1 - |\zeta|^2)^2} d\bar{\zeta} + \frac{-\bar{\zeta}(z_1\bar{\zeta} - z_2)}{(1 - |\zeta|^2)^2} d\zeta \right] \otimes e \\ \Rightarrow r_1(1 \otimes 1)|_{\zeta=0} &= \left( i\sqrt{2\pi} z_1 \right) d\bar{\zeta} \otimes e. \end{aligned}$$

$$\begin{aligned} r_1(1 \otimes e) &= i\sqrt{2\pi} \left[ \nabla s_v(1 \otimes e) + \nabla s_v^*(1 \otimes e) + s_v\nabla(1 \otimes e) + s_v^*\nabla(1 \otimes e) \right] \\ &= i\sqrt{2\pi} \left[ \nabla(\bar{z}_1\zeta - \bar{z}_2) \otimes 1 + \nabla(0) + s_v \left( \frac{-\bar{\zeta}}{1 - |\zeta|^2} d\zeta \otimes e \right) + 0 \right] \\ &= i\sqrt{2\pi} \left[ d(\bar{z}_1\zeta - \bar{z}_2) \otimes 1 + s_v \left( \frac{-\bar{\zeta}}{1 - |\zeta|^2} d\zeta \otimes e \right) \right] \\ &= i\sqrt{2\pi} \left[ \bar{z}_1 d\zeta + \frac{-\bar{\zeta}}{1 - |\zeta|^2} (\bar{z}_1\zeta - \bar{z}_2) d\zeta \right] \otimes 1 \\ \Rightarrow r_1(1 \otimes e)|_{\zeta=0} &= \left( i\sqrt{2\pi} \bar{z}_1 \right) d\zeta \otimes 1. \end{aligned}$$

Now, by proposition 1.2.6, the definition of  $\mathcal{A}^*(\mathbb{D})$ -linearity preceding it, and the fact that  $r_1$  had even total degree,

we compute

$$\begin{aligned}
r_1^2(1 \otimes 1)|_{\zeta=0} &= r_1 \left( i\sqrt{2\pi}z_1 d\bar{\zeta} \otimes e \right) \Big|_{\zeta=0} \\
&= \left( i\sqrt{2\pi}z_1 d\bar{\zeta} \right) \wedge r_1(1 \otimes e)|_{\zeta=0} \\
&= \left( i\sqrt{2\pi}z_1 d\bar{\zeta} \right) \wedge \left( i\sqrt{2\pi}\bar{z}_1 d\zeta \otimes 1 \right) \\
&= 2\pi|z_1|^2 d\zeta \wedge d\bar{\zeta} \otimes 1, \\
r_1^2(1 \otimes e)|_{\zeta=0} &= r_1 \left( i\sqrt{2\pi}\bar{z}_1 d\zeta \otimes 1 \right) \Big|_{\zeta=0} \\
&= \left( i\sqrt{2\pi}\bar{z}_1 d\zeta \right) \wedge r_1(1 \otimes 1)|_{\zeta=0} \\
&= \left( i\sqrt{2\pi}\bar{z}_1 d\zeta \right) \wedge \left( i\sqrt{2\pi}z_1 d\bar{\zeta} \otimes e \right) \\
&= -2\pi|z_1|^2 d\zeta \wedge d\bar{\zeta} \otimes e.
\end{aligned}$$

Therefore

$$\frac{1}{2} \text{tr}_s(r_1^2)|_{\zeta=0} = \frac{1}{2} (2\pi|z_1|^2 d\zeta \wedge d\bar{\zeta} - (-2\pi|z_1|^2 d\zeta \wedge d\bar{\zeta})) = 2\pi|z_1|^2 d\zeta \wedge d\bar{\zeta}.$$

Finally, we see that

$$\text{tr}_s(r_2)|_{\zeta=0} = \text{tr}_s \begin{pmatrix} 0 & 0 \\ 0 & \frac{d\zeta \wedge d\bar{\zeta}}{(1-|\zeta|^2)^2} \end{pmatrix} \Big|_{\zeta=0} = -d\zeta \wedge d\bar{\zeta}.$$

We now compute  $\varphi(v)_{[2]}|_{\zeta=0}$  and  $\nu(v)_{[0]}|_{\zeta=0}$  according to their definitions eq. (3.3) and eq. (3.4). Since  $r_0|_{\zeta=0} = -2\pi|z_2|^2 I_2$ , it commutes with  $(r_1 + r_2)|_{\zeta=0}$  and thus

$$e^{\nabla_v^2}|_{\zeta=0} = e^{r_0+r_1+r_2}|_{\zeta=0} = e^{r_0} e^{r_1+r_2}|_{\zeta=0}.$$

Therefore

$$\begin{aligned}
\varphi(v)_{[2]}|_{\zeta=0} &= e^{-\pi(|z_1|^2 - |z_2|^2)} \left( \frac{i}{2\pi} \right) \text{tr}_s \left( e^{\nabla_v^2} \right)_{[2]} \Big|_{\zeta=0} \\
&= e^{-\pi(|z_1|^2 - |z_2|^2)} \left( \frac{i}{2\pi} \right) \text{tr}_s \left( e^{r_0} e^{r_1+r_2} \right)_{[2]} \Big|_{\zeta=0} \\
&= \frac{ie^{-\pi(|z_1|^2 - |z_2|^2)}}{2\pi} e^{-2\pi|z_2|^2} \text{tr}_s \left( I + (r_1 + r_2) + \frac{1}{2}(r_1 + r_2)^2 + \dots \right)_{[2]} \Big|_{\zeta=0} \\
&= \frac{ie^{-\pi(|z_1|^2 + |z_2|^2)}}{2\pi} \text{tr}_s \left( r_2 + \frac{1}{2}r_1^2 \right) \Big|_{\zeta=0} \\
&= \frac{i}{2\pi} e^{-\pi(|z_1|^2 + |z_2|^2)} (-d\zeta \wedge d\bar{\zeta} + 2\pi|z_1|^2 dz \wedge d\bar{\zeta}) \\
&= \frac{ie^{-\pi(|z_1|^2 + |z_2|^2)}}{2\pi} (2\pi|z_1|^2 - 1) d\zeta \wedge d\bar{\zeta}.
\end{aligned}$$

The number operator  $N$  on  $\mathcal{O} \oplus \mathcal{E}$  is given by  $N = \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix}$ , and thus

$$\begin{aligned}
v(v)_{[0]}|_{\zeta=0} &= e^{-\pi(|z_1|^2 - |z_2|^2)} \left( \frac{i}{2\pi} \right)^0 \text{tr}_s \left( N e^{\nabla_v^2} \right)_{[0]} |_{\zeta=0} \\
&= e^{-\pi(|z_1|^2 - |z_2|^2)} \text{tr}_s \left( N e^{r_0} e^{r_1 + r_2} \right)_{[0]} |_{\zeta=0} \\
&= e^{-\pi(|z_1|^2 - |z_2|^2)} \text{tr}_s \left( \begin{pmatrix} 0 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} e^{-2\pi|z_2|^2} & 0 \\ 0 & e^{-2\pi|z_2|^2} \end{pmatrix} (I + (r_1 + r_2) + \dots) \right)_{[0]} |_{\zeta=0} \\
&= e^{-\pi(|z_1|^2 - |z_2|^2)} \text{tr}_s \begin{pmatrix} 0 & 0 \\ 0 & -e^{-2\pi|z_2|^2} \end{pmatrix} \\
&= e^{-\pi(|z_1|^2 + |z_2|^2)}.
\end{aligned}$$

thus  $v(v)_{[0]}|_{\zeta=0}$  is just the vacuum vector, and thus by the computation of lemma 2.2.4, we know it's killed under the action of the Weil representation. That is, it has weight determined by  $\lambda \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = 0 = p + q - 2$ , as expected.

By the proof of corollary 3.4.4 we know that  $v_2(\mathbf{v})_{[2]}|_{\zeta=0} = v(w_2)_{[0]} \wedge \varphi(w_1)|_{\zeta=0}$  has the weight  $\mu_1$  specified in eq. (3.6). Now we will determine the action of the positive compact roots. We write  $\mathbf{v} = (w_1, w_2) \in V^2$  in the standard basis as  $w_1 = z_{1,1}v_1 + z_{2,1}v_2$  and  $w_2 = z_{1,2}v_1 + z_{2,2}v_2$ . Again, from the proof of lemma 2.2.4, we know that

$$\begin{aligned}
\overline{D_{1,2}^+} \exp(-\pi(|z_{1,1}|^2 + |z_{2,1}|^2 + |z_{1,2}|^2 + |z_{2,2}|^2)) &= 0 \\
\overline{D_{2,1}^+} \exp(-\pi(|z_{1,1}|^2 + |z_{2,1}|^2 + |z_{1,2}|^2 + |z_{2,2}|^2)) &= 0.
\end{aligned}$$

Therefore, writing  $\varphi^G = \exp(-\pi(|z_{1,1}|^2 + |z_{2,1}|^2 + |z_{1,2}|^2 + |z_{2,2}|^2))$  for short, we have

$$v_2(w_1, w_2)_{[2]}|_{\zeta=0} = v(w_1)_{[0]} \wedge \varphi(v)_{[2]}|_{\zeta=0} = \varphi^G \cdot \left( \frac{i}{2\pi} \right) (2\pi|z_{1,1}|^2 - 1) d\zeta \wedge d\bar{\zeta},$$

and thus

$$\begin{aligned}
\omega(E_{12})v_2(w_1, w_2)_{[0]}|_{\zeta=0} &= i\pi \left[ \overline{D_{1,1}^-} D_{1,2}^+ - D_{2,2}^- \overline{D_{2,1}^+} \right] \varphi^G \cdot (2\pi|z_{1,1}|^2 - 1) d\zeta \wedge d\bar{\zeta} \\
&= i\pi \left[ \overline{D_{1,1}^-} (2\pi|z_{1,1}|^2 - 1) D_{1,2}^+ \varphi^G - (2\pi|z_{1,1}|^2 - 1) D_{2,2}^- \overline{D_{2,1}^+} \varphi^G \right] d\zeta \wedge d\bar{\zeta} \\
&= i\pi[0 - 0] d\zeta \wedge d\bar{\zeta} \\
&= 0.
\end{aligned}$$

The computation that  $\omega(E_{43})v_2(w_1, w_2)_{[2]}$  is entirely similar. Thus we've seen in this case that  $v_2(\mathbf{v})_{[2q]}$  has weight  $\mu_1$ , and is killed by the positive compact roots of  $\mathfrak{k}_1$ , implying that it is a highest weight vector.

### The Case of $(p, q)$ for $q > 1$ via Sage

As an example of how infeasible it is to carry these computations out by hand for other small  $q$ , for  $(p, q) = (1, 2)$  the inverse matrix  $H^{-1}$  of the matrix  $H$  describing the Hermitian form on  $\mathbb{D}$  is

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{(|\zeta_{1,1}||\zeta_{1,2}|)^2}{\left(\frac{(|\zeta_{1,1}||\zeta_{1,2}|)^2}{|\zeta_{1,1}|^2-1}-|\zeta_{1,2}|^2+1\right)(|\zeta_{1,1}|^2-1)} - \frac{1}{|\zeta_{1,1}|^2-1} & -\frac{|\zeta_{1,2}|^2}{\left(\frac{(|\zeta_{1,1}||\zeta_{1,2}|)^2}{|\zeta_{1,1}|^2-1}-|\zeta_{1,2}|^2+1\right)(|\zeta_{1,1}|^2-1)} & 0 \\ 0 & -\frac{|\zeta_{1,2}|^2}{\left(\frac{(|\zeta_{1,1}||\zeta_{1,2}|)^2}{|\zeta_{1,1}|^2-1}-|\zeta_{1,2}|^2+1\right)(|\zeta_{1,1}|^2-1)} & \frac{1}{\frac{(|\zeta_{1,1}||\zeta_{1,2}|)^2}{|\zeta_{1,1}|^2-1}-|\zeta_{1,2}|^2+1} & 0 \\ 0 & 0 & 0 & -\frac{1}{|\zeta_{1,1}|^2|\zeta_{1,2}|^2-(|\zeta_{1,1}|^2-1)(|\zeta_{1,2}|^2-1)} \end{pmatrix}$$

In order to obtain the curvature, one must compute  $\bar{\partial}(H^{-1}\partial H)$ , which is already quite tedious, let alone the computations of the rest of  $\nabla_v^2 = r_0 + r_1 + r_2$ , and  $\text{tr}_s(Ne^{\nabla_v^2})$ .

We now produce the forms  $v(v)_{[2(q-1)]}|_{\zeta_0}$  in several different cases, as computed from Sage. For the ease of reading, we've set

$$\varphi^G = e^{-\pi \sum_{\gamma=1}^{p+q} |z_\gamma|^2}.$$

The  $(p, q) = (1, 2)$  case,

$$v(v)_{[2]}|_{\zeta_0} = \varphi^G \cdot \left( \frac{(2i\pi|z_1|^2 - i)}{2\pi} d\zeta_{1,1} \wedge d\bar{\zeta}_{1,1} + \frac{(2i\pi|z_1|^2 - i)}{2\pi} d\zeta_{1,2} \wedge d\bar{\zeta}_{1,2} \right).$$



Notice the similarity with the form  $\varphi(v)_{[2]}|_{\zeta_0}$  from the (1, 1) case.

In the (2, 2) case,

$$\begin{aligned} \nu(v)_{[2]}|_{\zeta_0} = & \varphi^G \cdot \left( \frac{(2i\pi|z_1|^2 - i)}{2\pi} d\zeta_{1,1} \wedge d\bar{\zeta}_{1,1} + i z_2 \bar{z}_1 d\zeta_{1,1} \wedge d\bar{\zeta}_{2,1} + i z_1 \bar{z}_2 d\zeta_{1,2} \wedge d\bar{\zeta}_{1,1} + \frac{(2i\pi|z_2|^2 - i)}{2\pi} d\zeta_{1,2} \wedge d\bar{\zeta}_{2,1} \right) \\ & + \varphi^G \cdot \left( \frac{(2i\pi|z_1|^2 - i)}{2\pi} d\zeta_{1,2} \wedge d\bar{\zeta}_{1,2} + i z_2 \bar{z}_1 d\zeta_{1,2} \wedge d\bar{\zeta}_{2,2} + i z_1 \bar{z}_2 d\zeta_{2,2} \wedge d\bar{\zeta}_{1,2} + \frac{(2i\pi|z_2|^2 - i)}{2\pi} d\zeta_{2,2} \wedge d\bar{\zeta}_{2,2} \right), \end{aligned}$$

and compare this with  $\varphi_{[2]}$  of the (2, 1)-case

$$\varphi(v)_{[2]}|_{\zeta_0} = \varphi^G \cdot \left( \frac{(2i\pi|z_1|^2 - i)}{2\pi} d\zeta_{1,1} \wedge d\bar{\zeta}_{1,1} + i z_2 \bar{z}_1 d\zeta_{1,1} \wedge d\bar{\zeta}_{1,2} + i z_1 \bar{z}_2 d\zeta_{1,1} \wedge d\bar{\zeta}_{1,1} + \frac{(2i\pi|z_2|^2 - i)}{2\pi} d\zeta_{1,2} \wedge d\bar{\zeta}_{1,2} \right).$$

The  $(p, q) = (1, 3)$  case,

$$\begin{aligned} \nu(v)_{[4]}|_{\zeta_0} = & \varphi^G \cdot \left( \frac{(2\pi^2|z_1|^4 - 4\pi|z_1|^2 + 1)}{2\pi^2} d\zeta_{1,1} \wedge d\zeta_{1,2} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,2} + \frac{(2\pi^2|z_1|^4 - 4\pi|z_1|^2 + 1)}{2\pi^2} d\zeta_{1,1} \wedge d\zeta_{1,3} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,3} \right) \\ & + \varphi^G \cdot \frac{(2\pi^2|z_1|^4 - 4\pi|z_1|^2 + 1)}{2\pi^2} d\zeta_{1,2} \wedge d\zeta_{1,3} \wedge d\bar{\zeta}_{1,2} \wedge d\bar{\zeta}_{1,3}, \end{aligned}$$

is also very similar to  $\varphi_{[4]}|_{\zeta_0}$  of the (1, 2) case,

$$\varphi_{[4]}|_{\zeta_0} = \varphi^G \cdot \frac{(2\pi^2|z_1|^4 - 4\pi|z_1|^2 + 1)}{2\pi^2} d\zeta_{1,1} \wedge d\zeta_{1,2} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,2}.$$

Finally, for the  $(p, q) = (1, 4)$  case,

$$\begin{aligned} \nu(v)_{[6]}|_{\zeta_0} = & \varphi^G \cdot \frac{(4i\pi^3|z_1|^6 - 18i\pi^2|z_1|^4 + 18i\pi|z_1|^2 - 3i)}{4\pi^3} d\zeta_{1,1} \wedge d\zeta_{1,2} \wedge d\zeta_{1,3} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,2} \wedge d\bar{\zeta}_{1,3} \\ & + \varphi^G \cdot \frac{(4i\pi^3|z_1|^6 - 18i\pi^2|z_1|^4 + 18i\pi|z_1|^2 - 3i)}{4\pi^3} d\zeta_{1,1} \wedge d\zeta_{1,2} \wedge d\zeta_{1,4} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,2} \wedge d\bar{\zeta}_{1,4} \\ & + \varphi^G \cdot \frac{(4i\pi^3|z_1|^6 - 18i\pi^2|z_1|^4 + 18i\pi|z_1|^2 - 3i)}{4\pi^3} d\zeta_{1,1} \wedge d\zeta_{1,3} \wedge d\zeta_{1,4} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,3} \wedge d\bar{\zeta}_{1,4} \\ & + \varphi^G \cdot \frac{(4i\pi^3|z_1|^6 - 18i\pi^2|z_1|^4 + 18i\pi|z_1|^2 - 3i)}{4\pi^3} d\zeta_{1,2} \wedge d\zeta_{1,3} \wedge d\zeta_{1,4} \wedge d\bar{\zeta}_{1,2} \wedge d\bar{\zeta}_{1,3} \wedge d\bar{\zeta}_{1,4}, \end{aligned}$$

we compare  $\varphi(v)_{[6]}$  of the (1, 3) case,

$$\varphi_{[6]}|_{\zeta_0} = \varphi^G \cdot \frac{(4i\pi^3|z_1|^3 - 18i\pi^2|z_1|^4 + 18i\pi|z_1|^2 - 3i)}{4\pi^3} d\zeta_{1,1} \wedge d\zeta_{1,2} \wedge d\zeta_{1,3} \wedge d\bar{\zeta}_{1,1} \wedge d\bar{\zeta}_{1,2} \wedge d\bar{\zeta}_{1,3}$$



## Chapter 4

### The Code

```
1 # Created April 23rd, 2021 this is not the official total code, but the best version yet
   that computes nu and mu in the specific
2 # 2q and 2(q-1), and checks the r = 2 case. (No Funke - Hoffman)
3
4 # Setting the parameters of the manifold.
5
6 p = 2
7 q = 2
8
9 # Defining the manifold, with chart and frame. The coordinates x are defined in such a way
   that for  $i < p \cdot q$ ,  $i + p \cdot q$  represents
10 # the conjugate of x. More specifically yet,  $x_1$  through  $x_p$  are the entries of the column
   vector of the first basis vector of
11 # a given point of D. To put it another way, the entries going down of the first column of
   the matrix Z representing the point.
12 # Thus  $x_{i+p}$  is the next colum, then  $x_{1+2p}$ , ..., to  $x_{i+(q-1)p}$ . With conjugates  $x_{p
   *q+i}$ , ...,  $x_{p*q+i+(q-1)p}$ .
13 # That is to say, taking for coordinates  $0 \leq i \leq q-1$ ,  $0 \leq j \leq p-1$ ,  $z_{i,j} = x[j*p+i]$ 
14
15 M = Manifold((2*p*q), 'M', field='complex')
16 U = M.open_subset('U')
17 x = U.chart(names=tuple('x_%d' % i for i in range(2*p*q)))
18 eU = x.frame()
19 # First coordinate controls conjugation (0 is normal, 1 is conjugated), second coordinate
   controls vector, 3rd controls
20 # vector coordinate.
21
22 e = {(i,j,k): var("e_{{{}}}".format(i,j,k), latex_name="e_{{{}}}".format(i,j,k)) for i
   in range(2) for j in range(q) for k in range((p+q))}
23
24 # Is the kth entry of the jth canonical frame vector is  $e[(0,j,k)]$ , with conjugate  $e[(1,j,k)]$ 
```

```

    ].
25
26 # Here we set the p variables in the first p coordinates of each frame vector.
27 for i in range(q):
28     for k in range(p):
29         e[(0, i, k)] = x[((i*p)+k)]
30
31 # Here we set the conjugate variables of the first p entries of the frame vectors.
32 for i in range(q):
33     for k in range(p):
34         e[(1,i,k)] = x[((i*p)+k)+((p*q))]
35
36 # Here we tell both the frame, and conjugate vectors where the 1 is.
37 for i in range(2):
38     for j in range(q):
39         e[(i,j,(p+j))] = 1
40
41 # Here we set the rest of the entries of the frame and conjugate vectors to 0.
42 for i in range(2):
43     for j in range(q):
44         for k in range(p+q):
45             if k not in range(p) and k!=p+j:
46                 e[(i,j,k)] = 0
47
48 # Defining variables for an arbitrary vector v[(0,i)], and its conjugate v[(1,i)].
49
50 v = {(i,j): var("v_{}_{}".format(i, j), latex_name="z_{{{}}}".format(i, j)) for i in range
    (2) for j in range(p+q)}
51
52 # Defining labels to call the entries of s_v(e_j).
53
54 sve = {(i): var("sve_{}".format(i)) for i in range(q)}
55
56 # Defining labels to call the entries of s_vbar(e_j).
57
58 svebar = {(i): var("svebar_{}".format(i)) for i in range(q)}
59
60 # Setting the entries of sve.
61
62 for i in range(q):
63     prod = 0
64     for j in range(p):
65         prod = prod + (e[(0, i, j)]*v[(1, j)])
66     for k in range(p, p+q):
67         prod = prod - (e[(0, i, k)]*v[(1,k)])

```

```

68     sve[i] = prod
69
70 # Setting the entries of svebar.
71
72 for i in range(q):
73     prod = 0
74     for j in range(p):
75         prod += (v[(0, j)]*e[(1, i, j)])
76     for k in range(p, p+q):
77         prod -= (v[(0,k)]*e[(1, i, k)])
78     svebar[i] = prod
79
80 # Making them into mixed forms. We want these to be zero forms, so the list P lets us set
    all other components to 0, with the
81 # appropriate size.
82
83 P = []
84 for i in range((2*p*q)):
85     P.append(0)
86
87 Q = []
88 for i in range((2*p*q)-1):
89     Q.append(0)
90
91 SveBar = [M.mixed_form(comp=(svebar[i]+P)) for i in range(q)]
92
93 Sve = [M.mixed_form(comp=(sve[i]+P)) for i in range(q)]
94
95 # Pre-computing the exterior derivatives for later use.
96
97 dSve = {(i): var("dSve_{}".format(i)) for i in range(q)}
98
99 for i in range(q):
100     dSve[i] = Sve[i].exterior_derivative()
101
102 # Pre-computing the exterior derivative for later use.
103
104 dSveBar = {(i): var("dSveBar_{}".format(i)) for i in range(q)}
105
106 for i in range(q):
107     dSveBar[i] = SveBar[i].exterior_derivative()
108 show(v[0,0])
109
110 # We want L[k] to be the list of all k-wedge basis vectors, so we set the 0 position to be 0
    by convention.

```

```

111
112 L = [[]]
113
114 # Here we set L[1] to be the q numbers from 0 to q-1.
115
116 LL = []
117 for i in range(q):
118     LL.append([i])
119 L.append(LL)
120 # Now that we've set L[1], we can use this to determine L[k] for each k, and note that this
    gives the proper
121 # lexicographic order.
122
123 if q>=2:
124     for k in range(2,q+1):
125         LLL = []
126         for i in range(binomial(q, k-1)):
127             for j in range(q):
128                 if L[k-1][i][len(L[k-1][i])-1]<j:
129                     mm = []
130                     for t in L[k-1][i]:
131                         mm.append(t)
132                     mm.append(j)
133                     LLL.append(mm)
134             L.append(LLL)
135 # Now we simply copy this entire method, except we make lists with an eU so they can be
    called upon as coordinate frames later.
136 # First we create two lists of those numbers from 0 to pq-1, and pq to 2pq-1 respectively.
137
138 Lpq = [[]]
139 L2pq = [[]]
140
141 LLpq = []
142 for i in range(p*q):
143     LLpq.append([i])
144 Lpq.append(LLpq)
145
146 LL2pq = []
147 for i in range(p*q, 2*p*q):
148     LL2pq.append([i])
149 L2pq.append(LL2pq)
150
151 # Now we creat two lists Lpq and L2pq inductively, where Lpq[i] is the collection of all
    lists of length i, (i<=q) of numbers
152 # between 0 and pq-1, in strictly increasing order, and the same for L2pq but between pq and

```

```

    2pq-1.
153
154 if q>=2 or p>=2:
155     for i in range(2, 2*q):
156         LLLpq = []
157         for j in Lpq[i-1]:
158             for k in range(p*q):
159                 if j[len(j)-1]<k:
160                     mm = []
161                     for t in j:
162                         mm.append(t)
163                     mm.append(k)
164                     LLLpq.append(mm)
165                 Lpq.append(LLLpq)
166
167 elif (q == 1) and (p == 1):
168     Lpq.append([[0,1]])
169
170 # And do the same for the lists of length q-1.
171
172 if q>=2 or p>=2:
173     for i in range(2, 2*q):
174         LLL2pq = []
175         for j in L2pq[i-1]:
176             for k in range(p*q, 2*p*q):
177                 if j[len(j)-1]<k:
178                     mm = []
179                     for t in j:
180                         mm.append(t)
181                     mm.append(k)
182                     LLL2pq.append(mm)
183                 L2pq.append(LLL2pq)
184
185 elif (q == 1) and (p == 1):
186     L2pq.append([[0,1]])
187
188 # Now we fuse these two lists in such a way that that qList simply contains those lists
    where the first q are from Lpq[q],
189 # and the second q are from L2pq[q]. The list qList is similar, but draws on those entries
    of length q-1.
190
191 qList = []
192
193 for i in Lpq[q]:
194     for j in L2pq[q]:

```

```

195     temp = []
196     for k in i:
197         temp.append(k)
198     for l in j:
199         temp.append(l)
200     qlist.append(temp)
201
202 qlist = []
203
204 for i in Lpq[q-1]:
205     for j in L2pq[q-1]:
206         temp = []
207         for k in i:
208             temp.append(k)
209         for l in j:
210             temp.append(l)
211         qlist.append(temp)
212
213 rlist = []
214
215 for i in Lpq[2*q-1]:
216     for j in L2pq[2*q-1]:
217         temp = []
218         for k in i:
219             temp.append(k)
220         for l in j:
221             temp.append(l)
222         rlist.append(temp)
223 # Here we create a list so that the ith entry is a list of of all the ith wedge basis
224     vectors.
225
226 WedgeList = []
227
228 for i in range(2*(2*q-1)+1):
229     if i == 2*(q-1):
230         W = []
231         for j in qlist:
232             WW = [eU]
233             for k in j:
234                 WW.append(k)
235             W.append(WW)
236         WedgeList.append(W)
237     elif i == 2*q:
238         W = []
239         for j in qlist:

```



```

239         WW = [eU]
240         for k in j:
241             WW.append(k)
242         W.append(WW)
243         WedgeList.append(W)
244     elif i == 2*(2*q - 1):
245         W = []
246         for j in rList:
247             WW = [eU]
248             for k in j:
249                 WW.append(k)
250             W.append(WW)
251         WedgeList.append(W)
252     else:
253         WedgeList.append([])
254
255
256 # Defining a function which will help combine separate peices of hemritian matrices, SVE,
257     and r_1 and r_2.
258
259 def F(i):
260     Sum = 0
261     for j in range(i):
262         Sum += binomial(q,j)
263     return Sum
264
265 # Computing the matrix for the conjugate of the operator s_v.
266
267 SVEbar = matrix(SR, 2^q, 2^q)
268
269 for i in range(q+1):
270     if i == 0:
271         for j in range(2^q):
272             SVEbar[j,0] = 0
273     else:
274         for j in L[i]:
275             for k in j:
276                 temp = []
277                 for l in j:
278                     if l != k:
279                         temp.append(l)
280                 SVEbar[L[i-1].index(temp)+F(i-1), L[i].index(j)+F(i)] = (-1)^(j.index(k))*
281                 svebar[k]
282
283 # Defining the Hermitian matrix of the tautological bundle.

```

```

282
283 HE = matrix(SR, q, q)
284
285 for i in range(q):
286     for j in range(q):
287         prod = 0
288         for k in range(p):
289             prod -= (e[(0, i, k)]*e[(1, j, k)])
290         for l in range(p+q):
291             if l>=p:
292                 prod += (e[(0, i, l)]*e[(0, j, l)])
293         HE[i,j] = prod
294
295 # Making variables for Hermitian forms h[(i,j,k)], the first variable should control the
296 # wedge degree, the other two
297 # variables control that matrix entry.
298 # h = {(i,j,k): var("h_{}_{}_{}".format(i,j,k)) for i in range(1,q+1) for j in range(binomial(
299 #     q, floor(q/2))) for k in
300 #     range(binomial(q, floor(q/2)))}.
301 h = {(i): var("h_{}".format(i)) for i in range(q+1)}
302
303 # creating a list by which to index Hermitian matrices. We give i a range such that that
304 # the index matches the wedge power.
305 for i in range(q+1):
306     h[i] = matrix(SR, binomial(q, i), binomial(q, i))
307
308 # Here we set the values for each entry of each Hermitian matrix by use of the minor
309 # definiton of the inner product
310 # for k-wedges.
311 h[0][0,0] = 1
312
313 for i in range(1, q+1):
314     for z in L[i]:
315         for w in L[i]:
316             h[i][L[i].index(z), L[i].index(w)] = HE[z, w].det()
317 hinv = {(i): var("hinv_{}".format(i)) for i in range(q+1)}
318
319 for i in range(q+1):
320     hinv[i] = h[i].inverse()
321
322 #Setting up HW to mean H-wedge, as in the hermiitan matrix of the total bundle.

```

```

323
324 HW = matrix(SR, 2^q, 2^q)
325
326 for i in range(q+1):
327     for j in range(binomial(q, i)):
328         for k in range(binomial(q, i)):
329             HW[j+F(i), k+F(i)] = h[i][j,k]
330
331 #Computing SveStar as a matrix for the total bundle.
332
333 SVEstr = (HW*(SVEbar)*(HW.inverse())).transpose()
334
335 # We create purely symbol versions of the x coordinates to speed up the computation of the
336     sve* coefficients.
337
338 X = {(i): var("X_{}".format(i), latex_name="X_{}")} for i in range(2*p*q)}
339
340 # Now we turn the entries of SVEstr into mixed forms. The notation is setup so that Cform[i
341     ][j][k] means the kth coefficient
342 # of the action of svestar on the jth vector of the ith wedge.
343
344 Cform = [[[M.mixed_form(comp=(SVEstr[k+F(i+1),j+F(i)]+P)) for k in range(binomial(q,i+1))]
345     for j in range(binomial(q, i))] for i in range(q)]
346
347 Cform[0][0][0]
348
349 # Here we pre-compute the exterior derivatives as they will probably be called upon anumber
350     of times, first some labels.
351 # dC is going to be the mixed form differential of the C coefficients, so first we creat
352     diff_forms dCdiff.
353
354 dCdiff = [[[M.diff_form(1, name='dCdiff_{}'.format(i,j,k)) for k in range(binomial(q,i+1))
355     ] for j in range(binomial(q,i))] for i in range(q)]
356
357 dC = {(i,j,k): var("dC_{}_{}_{}".format(i,j,k), latex_name="dC_{{}}_{{}}_{{}}".format(i,j,k)) for
358     i in range(q) for j in range(binomial(q,i)) for k in range(binomial(q,i+1))}
359
360 for i in range(q):
361     for j in range(binomial(q,i)):
362         for k in range(binomial(q,i+1)):
363             for l in range(2*p*q):
364                 dCdiff[i][j][k][l] = (diff(Cform[i][j][k][0].expr().subs({x[m] : X[m] for m
365     in range(2*p*q)}),X[l])).subs({X[n] : 0 for n in range(2*p*q)})
366
367 for i in range(q):

```

```

360     for j in range(binomial(q,i)):
361         for k in range(binomial(q,i+1)):
362             dC[i,j,k] = M.mixed_form(comp=(0)+[dCdiff[i][j][k]]+Q))
363
364 # Setting up a labelling for the entries of the inverse Hermitian matrices as mixed forms.
365
366 Hinv = {(i): var("H_{}".format(i)) for i in range(q+1)}
367
368 #Setting the components for the mixed-form version of the matrices.
369
370 for i in range(q+1):
371     Hinv[i] = [[M.mixed_form(comp=[Hinv[i][j,k]]+P)) for j in range(binomial(q, i))] for k
372             in range(binomial(q, i))]
373
374 # Setting up a labelling for the inverse Hermitian matrices as matrices of mixed forms.
375
376 Hminv = {(i): var("H_{}".format(i)) for i in range(q+1)}
377
378 # Initializing the inverse Hermitian matrices as mixed forms.
379
380 for i in range(q+1):
381     Hminv[i] = matrix(M.mixed_form_algebra(), binomial(q, i), binomial(q, i))
382
383 # Setting the components of the inverse Hermitian mixed form matrices.
384
385 for i in range(q+1):
386     for j in range(binomial(q, i)):
387         for k in range(binomial(q, i)):
388             Hminv[i][j,k] = Hinv[i][j][k]
389
390 # Making a list to label the differentials of Hermitian matrices as mixed form matrices.
391
392 dH = {(i): var("dH_{}".format(i)) for i in range(q+1)}
393
394 # Initializing entries of derivative Hermitian matrices as 1-forms.
395
396 for i in range(q+1):
397     dH[i] = [[M.diff_form(1, name='dh_{}_{}'.format(j, k)) for j in range(binomial(q, i))]
398             for k in range(binomial(q,i))]
399
400 # Setting the components of the 1-forms.
401
402 for i in range(q+1):
403     for j in range(binomial(q, i)):
404         for k in range(binomial(q, i)):

```

```

403         for l in range((p*q)):
404             dH[i][j][k][eU,l] = diff(h[i][j], k), x[l])
405
406 # Making labels for the mixed form Hermitian derivative matrices.
407
408 DH = {(i): var("DH_{}".format(i)) for i in range(q+1)}
409
410 # Setting the components of the mixed forms for the matrix entries of the derivative of the
411     Hermitian matrices.
412
413 for i in range(q+1):
414     DH[i] = [[M.mixed_form(comp=(0+[dH[i][j][k]]+Q)) for k in range(binomial(q, i))] for j
415         in range(binomial(q, i))]
416
417 # Making a list of variables to label the matrices of derivatives of Hermitin matrices as
418     mixed matrices.
419
420 DHm = {(i): var("DHm_{}".format(i)) for i in range(q+1)}
421
422 # Initializing matrices to be the derivatives of the Hermitian form matrices.
423
424 for i in range(q+1):
425     DHm[i] = matrix(M.mixed_form_algebra(), binomial(q, i), binomial(q, i))
426
427 # Setting the components of the derivatives of the Hermitian matrcies as mixed matrices.
428
429 for i in range(q+1):
430     for j in range(binomial(q, i)):
431         for k in range(binomial(q, i)):
432             DHm[i][j,k] = DH[i][j][k]
433
434 # Setting labels for the connections matrices.
435
436 omega = {(i): var("DHm_{}".format(i)) for i in range(1,q+1)}
437
438 # Computing the connection matrices.
439
440 for i in range(q+1):
441     omega[i]=Hminv[i]*DHm[i]
442
443 # Creating a list of variables to index curvature matrix entries.
444
445 o = {(i): var("o_{}".format(i)) for i in range(q+1)}
446
447 # Initializing 2-forms for the curvature matrices.

```

```

445
446 for i in range(q+1):
447     o[i] = [[M.diff_form(2, name='o_{}'.format(j, k)) for k in range(binomial(q, i))] for
448             j in range(binomial(q,i))]
449 # setting the components of the 2-forms to be the derivatives of the components of the
450     connection matrices.
451
452 for i in range(q+1):
453     for j in range(binomial(q,i)):
454         for k in range(binomial(q,i)):
455             for l in range(p*q):
456                 for m in range(p*q, 2*p*q):
457                     o[i][j][k][eU, m, l] = diff(omega[i][j,k][l][l].expr(), x[m])
458 #Creating a list of zeros for indexing mixed forms.
459
460 R = []
461 for i in range((2*p*q)-2):
462     R.append(0)
463
464 # Creating labels for the mixed-forms of the curvature matrix.
465
466 O = {(i): var("o_{}".format(i)) for i in range(q+1)}
467
468 # Defining the mixed forms.
469
470 for i in range(q+1):
471     O[i] = [[M.mixed_form(comp=(O[0,0]+[O[i][j][k]]+R)) for j in range(binomial(q, i))] for k
472             in range(binomial(q, i))]
473 # Defining labels for the mixed form curvature matrices.
474
475 Omega = {(i): var("Omega_{}".format(i)) for i in range(q+1)}
476
477 # Initializng the curvature matrices of mixed forms.
478
479 for i in range(q+1):
480     Omega[i] = matrix(M.mixed_form_algebra(), binomial(q, i), binomial(q, i))
481
482 # Setting the components of the mixed form curvature matrices.
483
484 for i in range(q+1):
485     for j in range(binomial(q,i)):
486         for k in range(binomial(q,i)):

```

```

487         Omega[i][j,k] = O[i][j][k]
488 # We will break the computation of r_1 up into several small parts letting SvS mean SvStar,
      0 omega, and d for d,
489 # we will look at dSv, Sv0, OSv, dSvs, SvS0, OSvs. We will write these as matrices, and
      then add them altogether.
490 # Note that the index i indicates coming from the ith component, and moving to either the i
      -1 componet for Sv terms, or the
491 # i+1 component for SvS terms.
492
493 dSv = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(1, q
      +1)}
494
495 OSv = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(1, q
      +1)}
496
497 Sv0 = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(1, q
      +1)}
498
499 dSvs = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(q)}
500
501 OSvs = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(q)}
502
503 SvS0 = {(i): var("NabSvd_{}".format(i), latex_name="dSv_{}".format(i)) for i in range(q)}
504
505 # I initialize these as matrices.
506
507 for i in range(1,q+1):
508     dSv[i] = matrix(M.mixed_form_algebra(), binomial(q, i-1), binomial(q,i))
509
510 for i in range(1,q+1):
511     OSv[i] = matrix(M.mixed_form_algebra(), binomial(q, i-1), binomial(q,i))
512
513 for i in range(1,q+1):
514     Sv0[i] = matrix(M.mixed_form_algebra(), binomial(q, i-1), binomial(q,i))
515
516 for i in range(q):
517     dSvs[i] = matrix(M.mixed_form_algebra(), binomial(q, i+1), binomial(q,i))
518
519 for i in range(q):
520     OSvs[i] = matrix(M.mixed_form_algebra(), binomial(q, i+1), binomial(q,i))
521
522 for i in range(q):
523     SvS0[i] = matrix(M.mixed_form_algebra(), binomial(q, i+1), binomial(q,i))
524
525 # Finally, I compute their components.

```

```

526
527 for i in range(1, q+1):
528     for j in range(binomial(q, i)):
529         for z in L[i][j]:
530             templist = []
531             for w in L[i][j]:
532                 if w != z:
533                     templist.append(w)
534             dSv[i][L[i-1].index(templist), j] = (-1)^(L[i][j].index(z))*dSve[z]
535
536 for i in range(1,q+1):
537     for j in range(binomial(q,i)):
538         for k in range(binomial(q, i-1)):
539             Sum = 0
540             for l in L[i][j]:
541                 templist = []
542                 for m in L[i][j]:
543                     if m != l:
544                         templist.append(m)
545                 Sum += (-1)^(L[i][j].index(l))*Sve[l]*omega[i-1][k, L[i-1].index(templist)]
546             OSv[i][k,j] = Sum
547
548 # This one has not been checked by hand for p=2.
549
550 for i in range(1,q+1):
551     for j in range(binomial(q,i)):
552         for k in range(binomial(q,i)):
553             for l in L[i][k]:
554                 templist = []
555                 for m in L[i][k]:
556                     if m != l:
557                         templist.append(m)
558                 Sv0[i][L[i-1].index(templist), j] += (-1)^(L[i][k].index(l))*omega[i][k,j]*
                    Sve[l]
559
560 for i in range(q):
561     for j in range(binomial(q,i)):
562         for k in range(binomial(q,i+1)):
563             dSvs[i][k,j] = dC[(i,j,k)]
564
565 for i in range(q):
566     for j in range(binomial(q,i)):
567         for k in range(binomial(q,i+1)):
568             Sum = 0
569             for l in range(binomial(q,i+1)):

```



```

570         Sum += Cform[i][j][l]*omega[i+1][k,l]
571     OSvs[i][k,j] = Sum
572
573 for i in range(q):
574     for j in range(binomial(q,i)):
575         for k in range(binomial(q,i+1)):
576             Sum = 0
577             for l in range(binomial(q,i)):
578                 Sum += omega[i][l,j]*Cform[i][l][k]
579             Svs0[i][k,j] = Sum
580
581 # Setting labels for the matrices describing when r_1 move up or down from wedge i.
582
583 r1down = {(i): var("r1down_{}".format(i), latex_name="r1down_{}".format(i)) for i in range
584            (1,q+1)}
585
586 r1up = {(i): var("r1up_{}".format(i), latex_name="r1up_{}".format(i)) for i in range(q)}
587
588 for i in range(1,q+1):
589     r1down[i] = matrix(M.mixed_form_algebra(), binomial(q,i-1), binomial(q,i))
590
591 for i in range(q):
592     r1up[i] = matrix(M.mixed_form_algebra(), binomial(q,i+1), binomial(q,i))
593
594 for i in range(1,q+1):
595     r1down[i] = dSv[i]+OSv[i]+Sv0[i]
596
597 for i in range(q):
598     r1up[i] = dSvs[i]+OSvs[i]+Svs0[i]
599
600 # Initializing r_1.
601
602 r_1 = matrix(M.mixed_form_algebra(), 2^q, 2^q)
603
604 # Setting the entries of r_1.
605
606 for i in range(1,q+1):
607     for j in range(binomial(q, i-1)):
608         for k in range(binomial(q,i)):
609             r_1[j+F(i-1),k+F(i)] += r1down[i][j,k]
610
611 for i in range(q):
612     for j in range(binomial(q, i+1)):
613         for k in range(binomial(q, i)):
614             r_1[j+F(i+1), k+F(i)] += r1up[i][j,k]

```

```

614
615 # Initializing the 2-component of the curvature.
616
617 r_2 = matrix(M.mixed_form_algebra(), 2^q, 2^q)
618
619 # Setting the entries of the 2-component of the curvature, from the entieres of the separate
        curvature matrices.
620
621 for i in range(q+1):
622     for j in range(binomial(q,i)):
623         for k in range(binomial(q,i)):
624             r_2[j+F(i),k+F(i)] = Omega[i][j,k]
625
626
627 r_1EvalDForm = [[M.diff_form(1, name='r_1EvalDForm_{}'.format(i,j)) for j in range(2^q)]
        for i in range(2^q)]
628
629 for i in range(2^q):
630     for j in range(2^q):
631         for k in range(2*p*q):
632             r_1EvalDForm[i][j][k] = r_1[i,j][1][k].expr().subs({x[i] : 0 for i in range(2*p*
        q)}})
633
634 r_1EvalMForm = [[M.mixed_form(comp=(0+[r_1EvalDForm[i][j]]+Q)) for j in range(2^q)] for i
        in range(2^q)]
635
636 r_2EvalDForm = [[M.diff_form(2, name='r_2EvalDForm_{}'.format(i,j)) for j in range(2^q)]
        for i in range(2^q)]
637
638 for i in range(2^q):
639     for j in range(2^q):
640         for l in range(p*q):
641             for m in range(p*q, 2*p*q):
642                 r_2EvalDForm[i][j][m, 1] = r_2[i,j][2][m, 1].expr().subs({x[z] : 0 for z in
        range(2*p*q)}})
643
644 r_2EvalMForm = [[M.mixed_form(comp=(0, 0+[r_2EvalDForm[i][j]]+R)) for j in range(2^q)] for
        i in range(2^q)]
645
646
647 # Here we evaluate the matrices at the origin which belongs to our manifold, thus reducing
        the runtimes. Note that we could
648 # replace this with evaluation at any other point.
649
650 r_1Eval = matrix(M.mixed_form_algebra(), 2^q, 2^q)

```

```

651 r_2Eval = matrix(M.mixed_form_algebra(), 2^q, 2^q)
652
653 for i in range(2^q):
654     for j in range(2^q):
655         r_1Eval[i,j] = r_1EvalMForm[i][j]
656         r_2Eval[i,j] = r_2EvalMForm[i][j]
657
658
659 abc = [[[]],[[1], [2]]]
660
661 for i in range(2, 2*p*q+1):
662     abcd = [1, 2]
663     add = []
664     for j in Tuples(abcd, i).list():
665         Sum = 0
666         for k in j:
667             Sum += k
668         if Sum == 2*(q-1) or Sum == 2*q:
669             add.append(j)
670     abc.append(add)
671
672 def is_cyc_perm (list1, list2):
673     if len (list1) == len (list2):
674         for shift in range (len (list1)):
675             for i in range (len (list1)):
676                 if list1 [i] != list2 [(i + shift) % len (list1)]:
677                     break
678             else:
679                 return True
680         else:
681             return False
682     else:
683         return False
684
685 # Now we sift through Rnew, realizing that pairs of elements which are cyclic permutations
686 # of each other obtained by swapping
687 # the order of multiplication of endomorphisms, are in fact the same. (This only holds for
688 # even endos, which r_1 and r_2 are).
689 # I let it run over j so many times because I found running it once or twice it
690 # might miss an equivalence. I think I have it so that it runs an appropriate number of
691 # times so that everything will be
692 # accounted for.
693
694 for j in range(binomial((2*p*q+1), floor((2*p*q+1)/2))):
695     for i in range(2, 2*p*q+1):

```

```

693         for z in abc[i]:
694             for w in abc[i]:
695                 if is_cyc_perm(z,w):
696                     abc[i].remove(w)
697                     abc[i].append(z)
698
699 # We create UR to be the union of Rnew, and then we shuffle things to make sure it always
700     begins with a 1.
701
702 UR = []
703
704 for thing in abc:
705     for stuff in thing:
706         if stuff != []:
707             UR.append(stuff)
708
709 for item in UR:
710     for i in range(len(item)):
711         if item[0] == 2:
712             item.remove(2)
713             item.append(2)
714
715 # UR cond creates a list which is UR with duplicates removed.
716
717 URcond = []
718
719 for i in UR:
720     add = True
721     for (j,k) in URcond:
722         if i == j:
723             add = False
724
725     if add:
726         One_Counter = 0 # this counts the number of 1's, because 2mod 4 r_1's gives minus,
727         while 0mod 4 give +.
728         for l in i:
729             if l == 1:
730                 One_Counter += 1
731         URcond.append((i, One_Counter % 4))
732
733 # URmult is a list that keeps track of the multiplicities of the elements of UR.
734
735 URmult = []
736
737 for (i,j) in URcond:
738     count = 0
739     for k in UR:
740         if i == k:
741             count = count + 1

```

```

736     URmult.append(((i,j), count))
737
738 # URlen organizes URmult into collections based on number of matrix factors. (which affects
       the constant out in front)
739
740 URlen = []
741 for i in range(2*p*q+1):
742     URlen.append([])
743 for ((j,k),l) in URmult:
744     URlen[len(j)].append(((j,k),l))
745
746 for i in range(2^q):
747     for j in range(2^q):
748         r_1Eval[i, j] = (I*sqrt(2*pi))*r_1Eval[i, j]
749
750 # Labelling r_1 and r_2 so that they can be called upon and computed from Rnew's
       arrangements.
751
752 RListTracker = {(i): var("R_{}".format(i), latex_name="R_{}".format(i)) for i in range
       (1,3)}
753 RListTracker[1] = r_1Eval
754 RListTracker[2] = r_2Eval
755
756 # Intiaailizing a mixed form identity matrix.
757
758 Idform = M.mixed_form(comp=([1]+P))
759
760 IdMat = matrix(M.mixed_form_algebra(), 2^q, 2^q)
761
762 for i in range(2^q):
763     IdMat[i,i] = Idform
764
765 # Setting up the first two terms of the taylor expansion of exp, however we don't write r_1
       because it vanishes in the
766 # supertrace(s).
767
768 E = IdMat+r_2Eval
769
770 # This computes the actual product of the powers of r_1+r_2, but instead of using URlen in
       previous programs, we run this with
771 # UR2q2 making it faster to compute the 2q-2 degree part of nu.
772
773 for i in range(2, 2*p*q+1):
774     fac = factorial(i)
775     for ((j,k),l) in URlen[i]:

```

```

776     prod = IdMat
777     for m in j:
778         prod = prod*RListTracker[m]
779     if k == 0:
780         E += (prod.apply_map(lambda s: 1*((1/fac))*s))
781     else:
782         E += (prod.apply_map(lambda s: -1*((1/fac))*s))
783 SuperTrace = 0
784 for i in range(q+1):
785     if (i % 2) == 0:
786         for j in range(binomial(q, i)):
787             SuperTrace += E[j+F(i), j+F(i)]
788     else:
789         for j in range(binomial(q, i)):
790             SuperTrace -= E[j+F(i), j+F(i)]
791
792 SuperTraceN = 0
793 for i in range(q+1):
794     if (i % 2) == 0:
795         for j in range(binomial(q, i)):
796             SuperTraceN -= i*E[j+F(i), j+F(i)]
797     else:
798         for j in range(binomial(q, i)):
799             SuperTraceN += i*E[j+F(i), j+F(i)]
800
801 # Setting up the scalar term.
802
803 r_00 = 0
804 for i in range(q):
805     r_00 += (SVEstr[i+1,0]*sve[i])
806
807 r_0 = r_00.subs({x[j] : 0 for j in range(2*p*q)})
808 r_0 = (-2*pi)*r_0
809
810 QQ = 0
811 for i in range(p):
812     QQ += v[(0, i)]*v[(1, i)]
813 for i in range(p, p+q):
814     QQ -= v[(0, i)]*v[(1, i)]
815
816 # We give Phi and Nu only in the relevent degrees.
817
818 Phi = exp(-pi*QQ)*((I/(2*pi))q)*exp(r_0)*SuperTrace[2*q]
819 Nu = exp(-pi*QQ)*((I/(2*pi))(q-1))*exp(r_0)*SuperTraceN[2*(q-1)]
820 # Defining the pieces of the operators to act on Phi and Nu, which suffices for the

```

```

    eigenvalue conditions.
821
822
823 Phi.display(eU)
824 def Dp(i,j,k,f):
825     return ((v[(i,k)]*f)+((1/pi)*diff(f,v[(j, k)])))
826
827 def Dm(i,j,k,f):
828     return ((v[(i,k)]*f)-((1/pi)*diff(f,v[(j, k)])))
829
830 def Opr_Alpha1(f):
831     Sum = 0
832     for i in range(p):
833         Sum += Dm(1,0,i,Dp(0,1,i,f))
834     return ((pi)/2)*Sum
835
836 def Opr_Alpha2(f):
837     Sum = 0
838     for i in range(p):
839         Sum += Dm(0,1,i,Dp(1,0,i,f))
840     return ((pi)/2)*Sum
841
842 def Opr_Mu1(f):
843     Sum = 0
844     for i in range(p,p+q):
845         Sum -= Dm(0,1,i,Dp(1,0,i,f))
846     return ((pi)/2)*Sum
847
848 def Opr_Mu2(f):
849     Sum = 0
850     for i in range(p,p+q):
851         Sum -= Dm(1,0,i,Dp(0,1,i,f))
852     return ((pi)/2)*Sum
853
854 def OP(f):
855     return Opr_Alpha1(f)+Opr_Alpha2(f)+Opr_Mu1(f)+Opr_Mu2(f)+(p-q)*f
856
857 # Here we break the very operators from above open into different pieces in order to analyze
    the separate actions, which in turn
858 # should help us prove the  $r>1$  case for Phi.
859
860 PhiPart_Alpha1 = [M.diff_form(2*q, name='PhiPartAlpha1_{}'.format(i)) for i in range(len(
    WedgeList[2*q]))]
861 for j in range(len(WedgeList[2*q])):
862     PhiPart_Alpha1[j][WedgeList[2*q][j]] = Opr_Alpha1(Phi[WedgeList[2*q][j]].expr())

```

```

863
864 OprPhi_Alpha1 = 0
865 for k in range(len(WedgeList[2*q])):
866     OprPhi_Alpha1 += PhiPart_Alpha1[k]
867
868 PhiPart_Alpha2 = [M.diff_form(2*q, name='PhiPartAlpha2_{}'.format(i)) for i in range(len(
    WedgeList[2*q]))]
869 for j in range(len(WedgeList[2*q])):
870     PhiPart_Alpha2[j][WedgeList[2*q][j]] = Opr_Alpha2(Phi[WedgeList[2*q][j]].expr())
871
872 OprPhi_Alpha2 = 0
873 for k in range(len(WedgeList[2*q])):
874     OprPhi_Alpha2 += PhiPart_Alpha2[k]
875
876 PhiPart_Mu1 = [M.diff_form(2*q, name='PhiPartMu1_{}'.format(i)) for i in range(len(WedgeList
    [2*q]))]
877 for j in range(len(WedgeList[2*q])):
878     PhiPart_Mu1[j][WedgeList[2*q][j]] = Opr_Mu1(Phi[WedgeList[2*q][j]].expr())
879 OprPhi_Mu1 = 0
880 for k in range(len(WedgeList[2*q])):
881     OprPhi_Mu1 += PhiPart_Mu1[k]
882
883 PhiPart_Mu2 = [M.diff_form(2*q, name='PhiPartMu2_{}'.format(i)) for i in range(len(WedgeList
    [2*q]))]
884 for j in range(len(WedgeList[2*q])):
885     PhiPart_Mu2[j][WedgeList[2*q][j]] = Opr_Mu2(Phi[WedgeList[2*q][j]].expr())
886
887 OprPhi_Mu2 = 0
888 for k in range(len(WedgeList[2*q])):
889     OprPhi_Mu2 += PhiPart_Mu2[k]
890 if OprPhi_Alpha1 == q*Phi:
891     print('Eigen')
892 else:
893     print('no')
894 if OprPhi_Alpha2 == q*Phi:
895     print('Eigen')
896 else:
897     print('no')
898 if OprPhi_Mu1 == 0:
899     print('killed')
900 else:
901     print('no')
902 if OprPhi_Mu2 == 0:
903     print('killed')
904 else:

```



```

905     print('no')
906 # Here we break the very operators from above open into different pieces in order to analyze
907 # the separate actions, which in turn
908 # should help us prove the  $r>1$  case for Nu.
909
910 if q == 1:
911     OprNu_Alpha1 = Opr_Alpha1(Nu.expr())
912 else:
913     NuPart_Alpha1 = [M.diff_form(2*(q-1), name='NuPart_{}'.format(i)) for i in range(len(
914         WedgeList[2*(q-1)])
915     )]
916     for i in range(len(WedgeList[2*(q-1)])):
917         NuPart_Alpha1[i][WedgeList[2*(q-1)][i]] = Opr_Alpha1(Nu[WedgeList[2*(q-1)][i]].expr(
918             ))
919     OprNu_Alpha1 = 0
920     for i in range(len(WedgeList[2*(q-1)])):
921         OprNu_Alpha1 += NuPart_Alpha1[i]
922
923 if q == 1:
924     OprNu_Alpha2 = Opr_Alpha2(Nu.expr())
925 else:
926     NuPart_Alpha2 = [M.diff_form(2*(q-1), name='NuPart_{}'.format(i)) for i in range(len(
927         WedgeList[2*(q-1)])
928     )]
929     for i in range(len(WedgeList[2*(q-1)])):
930         NuPart_Alpha2[i][WedgeList[2*(q-1)][i]] = Opr_Alpha2(Nu[WedgeList[2*(q-1)][i]].expr(
931             ))
932     OprNu_Alpha2 = 0
933     for i in range(len(WedgeList[2*(q-1)])):
934         OprNu_Alpha2 += NuPart_Alpha2[i]
935
936 if q == 1:
937     OprNu_Mu1 = Opr_Mu1(Nu.expr())
938 else:
939     NuPart_Mu1 = [M.diff_form(2*(q-1), name='NuPart_{}'.format(i)) for i in range(len(
940         WedgeList[2*(q-1)])
941     )]
942     for j in range(len(WedgeList[2*(q-1)])):
943         NuPart_Mu1[j][WedgeList[2*(q-1)][j]] = Opr_Mu1(Nu[WedgeList[2*(q-1)][j]].expr())
944     OprNu_Mu1 = 0
945     for k in range(len(WedgeList[2*(q-1)])):
946         OprNu_Mu1 += NuPart_Mu1[k]
947
948 if q == 1:
949     OprNu_Mu2 = Opr_Mu2(Nu.expr())
950 else:
951     NuPart_Mu2 = [M.diff_form(2*(q-1), name='NuPart_{}'.format(i)) for i in range(len(
952         WedgeList[2*(q-1)])

```

```

943     for j in range(len(WedgeList[2*(q-1)])):
944         NuPart_Mu2[j][WedgeList[2*(q-1)][j]] = Opr_Mu2(Nu[WedgeList[2*(q-1)][j]].expr())
945     OprNu_Mu2 = 0
946     for k in range(len(WedgeList[2*(q-1)])):
947         OprNu_Mu2 += NuPart_Mu2[k]
948
949 if OprNu_Alpha1 == (q-1)*Nu:
950     print('Eigen')
951 else:
952     print('no')
953
954 if OprNu_Alpha2 == (q-1)*Nu:
955     print('Eigen')
956 else:
957     print('no')
958
959 if OprNu_Mu1 == 0:
960     print('killed')
961 else:
962     print('no')
963
964 if OprNu_Mu2 == 0:
965     print('killed')
966 else:
967     print('no')
968 # Here begins the r = 2 code.
969 # We want to deal with the case when r>1 now, so we set up a list of r vectors indexed by
970 # the first coordinate, the second
971 # controls conjugation, and the third is the component. That is, vr[i,0,k] is the kth
972 # component of the ith vector, and v[i,1,k]
973 # is its conjugate.
974
975 vr = {(i,j,k): var("v_{{{}}}".format(i,j,k), latex_name="v_{{{}}{}}")} for i in range(2)
976     for j in range(2) for k in range(p+q)}
977 # Everything seems to work fine here, but in other versions it doesn't like that we index
978 # the scalar part of PhiForm and NuForm.
979 # This should get changed eventually, but for now I'll just focus on updating it in Copy5-
980 # Copy2.
981
982 # Now we set up a protocol to compute Phi(v_i), by simply replacing the instances of v[i,j]
983 # with the appropriate instance of
984 # vr[j,k,l]. So we want a list Phiv[i], each of which is a mixed form, being Phi with all
985 # the v[i,j] swapped out. Thus we
986 # first need to build differential forms for each component.
987

```

```

981 # Then we set-up the differential forms.
982
983 PhivForm = M.diff_form(2*q)
984
985 NuvForm = M.diff_form(2*(q-1))
986
987 for k in WedgeList[2*q]:
988     PhivForm[k] = ((Phi[k].expr()).subs({v[0,1] : vr[0,0,1] for l in range(p+q)})).subs({v
989         [1,m] : vr[0,1,m] for m in range(p+q)})
990
991 if q == 1:
992     NuvForm = ((Nu.expr()).subs({v[0,1] : vr[1,0,1] for l in range(p+q)})).subs({v[1,m] : vr
993         [1,1,m] for m in range(p+q)})
994 else:
995     for k in WedgeList[2*(q-1)]:
996         NuvForm[k] = ((Nu[k].expr()).subs({v[0,1] : vr[1,0,1] for l in range(p+q)})).subs({v
997             [1,m] : vr[1,1,m] for m in range(p+q)})
998
999 # In the original we compute Nu(v), but We'll set up a List NuV, where NuV[i] is the i'th
1000 # term, that is
1001 # NuV[i]=Nu(v_{i-1})^Phi(v_{i-1})^...^Phi(v_{i+1})^... Phi(v_r).
1002 # However, we really only care about the piece with highest weight, being NuV[r-1]
1003
1004 if q == 1:
1005     NuV = NuvForm*PhivForm
1006 else:
1007     NuV = NuvForm.wedge(PhivForm)
1008 # Here we try to develop the operators for Appendix B Funke-Hoffman, that may may analyze r
1009 # >1. First we define delta functions.
1010
1011 delta = matrix(SR, 2, 2)
1012
1013 for i in range(2):
1014     delta[i,i] = 1
1015 # Now we start to build the operators piece by piece. Since it doesn't affect the form
1016 # degree, we can first define the operator
1017 # as acting on the scalar parts, and then worry about stitching it together into a mixed
1018 # form.
1019
1020 # This first operator is the terms of the sum of for the first p terms.
1021
1022 def W0a(i,j,f):
1023     Sum = 0
1024     for k in range(p):
1025         Sum += vr[j,1,k]*(vr[i,0,k]*f+(1/pi)*diff(f,vr[i,1,k])) - (1/pi)*diff(vr[i,0,k]*f

```

```

+ (1/pi)*diff(f, vr[i,1,k]), vr[j,0,k])
1019 for l in range(p,p+q):
1020     Sum -= vr[i,0,l]*(vr[j,1,l]*f+(1/pi)*diff(f, vr[j,0,l])) - (1/pi)*diff(vr[j,1,l]*f
+ (1/pi)*diff(f, vr[j,0,l]), vr[i,1,l])
1021     return ((pi/2)*Sum + ((p-q)/2)*delta[i,j]*f)
1022
1023 def W0u(i,j,f):
1024     Sum = 0
1025     for k in range(p):
1026         Sum+= vr[i,0,k]*(vr[j,1,k]*f+(1/pi)*diff(f, vr[j,0,k])) - (1/pi)*diff(vr[j,1,k]*f+(1/
pi)*diff(f, vr[j,0,k]), vr[i,1,k])
1027     for l in range(p,p+q):
1028         Sum -= vr[j,1,l]*(vr[i,0,l]*f+(1/pi)*diff(f, vr[i,1,l])) - (1/pi)*diff(vr[i,0,l]*f
+ (1/pi)*diff(f, vr[i,1,l]), vr[j,0,l])
1029     return -((pi/2)*Sum - ((p-q)/2)*delta[i,j]*f)
1030 # Okay... Things are going weird here with the roots, trying to find out when they really
get killed.
1031
1032 Kila = True
1033
1034 for i in WedgeList[2*(2*q-1)]:
1035     if (W0a(1,0, NuV[i].expr()).expand() == 0:
1036         pass
1037     else:
1038         print(i)
1039         Kila = False
1040 print(Kila)
1041 Kilu = True
1042
1043 # Note that there's an issue here with Sage sometimes the comparison (esp. for forms) !=0
will not register correctly,
1044 # HOWEVER for some reason == always works, or at least it seems to. Therefore, in the below
I have made a fairly silly looking
1045 # if statement to basically use != via ==.
1046
1047 for i in WedgeList[2*(2*q-1)]:
1048     if (W0u(0, 1, NuV[i].expr()).expand() == 0:
1049         pass
1050     else:
1051         print(i)
1052         Kilu = False
1053 print(Kilu)

```

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