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The Influence of Surface Sediment Presence on Observed Passive Microwave Brightness Temperatures of First-Year Sea Ice during the Summer Melt Period

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ABSTRACT

Knowledge on the influence of sea ice sediment on passive microwave brightness temperatures (T_B) is currently limited, leading to potential inaccuracies in derived sea ice concentrations where this ice exists. We propose that sediment may influence T_B in two ways: (i) by altering the surface dielectrics, or (ii) by generating differential melt rates across the ice surface, increasing surface roughness. This study will examine the second proposed hypothesis through a multi-platform analysis, combining in-situ passive microwave and unmanned aerial vehicle (UAV) data. UAV image analysis shows a negative relationship between surface elevation and sediment concentration. Comparing this with observed T_B shows that horizontally polarized emissions are the most sensitive to rougher ice surfaces with 19 and 37 GHz T_B decreasing rapidly with increased incidence angle. At a 55° incidence angle, 89 GHz offers the greatest potential for discriminating sea ice surfaces influenced by sediment presence, as T_B are greater in both polarizations in comparison with non-sediment-laden ice. Results from this research provide evidence for a relationship between sea ice surface sediment and passive microwave signature, meriting future research in this field.

RÉSUMÉ

Les connaissances de l'influence de la présence de sédiments à la surface de la glace de mer sur le rayonnement thermique des micro-ondes passives (T_B) sont présentement limitées. Cela mène à des inexactitudes dans le calcul des concentrations de glace de mer où l'on retrouve ce type de glace. Nous proposons que les sédiments influencent T_B de deux manières: (i) en altérant les propriétés diélectriques de la surface ou encore; (ii) en affectant le taux de fonte sur l'ensemble de la surface de la glace de mer, augmentant la rugosité de la surface. Cette étude examinera la seconde hypothèse par l'entremise d'une analyse mixte, soit en combinant des données de micro-ondes passives *in situ* ainsi que des données d'aéronef sans pilote. L'analyse des images de drone démontre une relation négative entre l'élévation de la surface et la concentration de sédiments. La comparaison de ces images avec les valeurs de T_B observées démontre que les émissions polarisées horizontalement sont les plus sensibles aux changements de rugosité de la surface, avec les T_B de 19 et 37 GHz diminuant rapidement avec une réduction de l'angle d'incidence. À un angle d'incidence de 55°, 89 GHz offre le meilleur potentiel de différenciation de la glace chargée de sédiments, car les T_B sont supérieurs dans les polarisations verticales et horizontales que pour la glace ne présentant pas de sédiment à sa surface. Les résultats de cette étude démontrent qu'il y a une relation entre la présence de sédiments à la surface de la glace de mer et sa signature dans les micro-ondes passives, ce qui mérite d'être étudié davantage.

ARTICLE HISTORY

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Introduction

Sea ice concentrations (SIC) have been mapped since the 1970s through the use of passive microwave retrieval algorithms, which utilize the contrast in emissivity between open ocean and sea ice to derive concentration values (Markus and Cavalieri 2000; Lavergne et al. 2019). Intercalibration between

consecutive passive microwave sensors has allowed for the generation of a near-continuous SIC record (e.g., NOAA/NSIDC Climate Data Record: Peng et al. 2013; EUMETSAT's OSI-SAF: Tonboe et al. 2016) used in climate and earth process modeling (Gentemann et al. 2010; Peng et al. 2013; Lavergne et al. 2019). Ice concentration algorithms estimate concentration and

extent adequately on a hemisphere-wide scale; however, these algorithms can disagree on a fine scale under the influence of localized differences in sea ice thermodynamics, particularly during the melt period (Ivanova et al. 2015; Comiso, Meier, and Gersten, 2017).

To calculate SIC on a global scale, sea ice algorithms make large-scale assumptions of sea ice properties rendering inaccurate concentration estimates on a regional scale. For example, the NASA Team algorithm uses tie points to calculate ice concentration from brightness temperature (T_B); however, these tie points are generalized for the entire Arctic, leading to low precision regionally (Andersen et al. 2007). Small-scale studies of how these local variances (e.g., ponding, ridging) influence the emission properties of sea ice have been completed, leading to the use of dynamic tie points in SIC algorithms which allow for the algorithm to reflect changes in sea ice thermodynamics (Lavergne et al. 2019). Such studies have not been conducted to assess the influence of sediment presence on SIC retrievals.

The distribution of sediment-laden ice in the Arctic is regionally specific, being limited in extent by a combination of factors necessary for formation. Sediment can become entrained in sea ice during initial freezing in sediment-laden waters, or from anchor ice rafting in shallow waters (Ledley and Pfirman 1997). Conditions required for the inclusion of sediment during freezing include strong, freezing winds, turbulence, and shallow waters (Reimnitz et al. 1993). Anchor rafting occurs in areas of underwater ice formation and where waters are shallow and turbid, allowing for ice crystals to be carried to the underlying substrate and begin growing an ice-bonded crust (Reimnitz et al. 1987). This often occurs in areas where sediments are saturated with water of the same or lower salinity than the water column, such as areas of riverine input into a marine setting (Reimnitz et al. 1987). After a crust is formed, anchor ice can detach and rise to the surface, serving as the surface layer for new ice formation (Reimnitz et al. 1987). Both mechanisms of sediment entrainment require waters less than 50 m deep (Reimnitz et al. 1993), restricting the generation of sediment-laden ice in the Arctic to shallow coastal regions. Sediment-laden sea ice has been observed in the Beaufort and Chukchi Seas (Reimnitz et al. 1993; Tucker et al. 1999) as well as in Foxe Basin (Campbell and Collin 1958), originating from a coastal source and being transported by currents off shore.

Conditions for sediment-laden sea ice generation are met in the Canadian Hudson Bay coastal area where turbidity is generated from riverine input, coastal depths are shallow, and weather conditions can be extreme (Hochheim and Barber 2014). Hudson Bay experiences a complete freeze/thaw cycle every year, meaning ice in the Bay is completely first year (Gagnon and Gough 2005; Hochheim and Barber 2014). Spring melt begins late May to early June, with the Bay becoming completely ice-free in early August (Andrews, Babb, and Barber 2017). During the melt period, liquid water begins to persist on the ice surface as snow and ice melt due to increasing atmospheric temperatures and solar irradiance (Carsey 1985). This water can collect at the snow-ice interface or in local depressions across the ice, forming melt ponds (Grenfell and Lohanick 1985). Melt-water accumulation on the ice surface masks emissions from the underlying ice (Onstott et al. 1987), rendering ponded sea ice to have an emission pattern resembling highly fragmented ice, and as a result generating an overall decrease in sea ice concentration estimates (Ivanova et al. 2015).

Hudson Bay is relatively shallow (150 m mean depth), and is surrounded by highly shallow coastal shelves (Hochheim and Barber 2014). Riverine input into the Bay is high, with a total discharge of $888 \text{ km}^3 \text{ year}^{-1}$ of riverine water flowing into Hudson Bay and Hudson Strait (Déry et al. 2005). The Hudson Bay lowlands are comprised of water-saturated soils, generated from the melt of the Laurentide ice sheet (Abraham and Keddy 2005). The combination of shallow waters and riverine input permits sediment to become entrained in growing sea ice due to suspension freezing during initial ice formation or anchor ice rafting after ice formation (Ledley and Pfirman 1997).

To the authors' knowledge, no previous research has been conducted to determine the impact of surface sediment on the passive microwave signature of sea ice. Current research on the passive microwave signature of sea ice assumes neglects to include sediment-laden sea ice, or concludes that the impact of sediment is negligible due to low sediment concentration (Lohanick and Grenfell 1986). As a result, the impact of sea ice surface sediment presence on passive microwave signature must be hypothesized based on the interaction between sediments, sea ice thermodynamic properties, and electromagnetic emission.

It is proposed that sediment on the sea ice surface can influence the passive microwave signature in two ways: (i) sediment can directly alter the emissivity of

the ice surface by having different dielectric properties from snow/ice, or (ii) sediment can indirectly influence the melting rate of the ice surface, increasing surface wetness and roughness (relative to non-sediment-laden ice nearby), in turn affecting microwave emission. In previous research, sea ice surface sediment has been described to influence the surface emissivity similar to snow grains (Lohanick and Grenfell 1986) due to the similarity in dielectrics at the microwave frequency (Ulaby and Long 2014). Uncertainties remain in our understanding of how sediment on sea ice affects the rate of ice melt and by extension the small-scale (i.e., centimeter-scale) ice surface roughness.

Sensor incidence angle with respect to the sea ice surface influences the magnitude of measured passive microwave T_B (Ulaby and Long 2014). With increased incidence angle, the emissivity of snow-free and snow-covered first-year sea ice decreases for horizontally polarized emissions, and increases for vertically polarized emissions (Stroeve et al. 2006; Grenfell and Comiso 1986). The incidence angle of measurement can be altered in one of two ways: (i) the angle of the sensor relative to the ground can be changed, or (ii) the angle of the measured surface can be changed relative to the sensor due to surface topography, known as the effective incidence angle. Sea ice surface roughness affects the radiometric signature of the ice through altering the effective incidence angle. The spatial scale of the roughness (Grenfell and Comiso 1986; Hong 2010) and the orientation of surface features (Stroeve et al. 2006) can have a profound influence on radiometric measurements, influencing the magnitude of emission at different frequencies and polarizations. Sea ice surface roughness can be generated by ice ridging, rafting, or by surface melt and refreeze processes during the summer melt period.

Sediment presence on the sea ice surface significantly decreases the integrated albedo of the surface, increasing the total shortwave energy absorbed at the surface (Light et al. 1998). Increased absorbed solar energy can therefore lead to increased ice surface melt rates. We can then hypothesize that melt rate is related to sediment concentration, with higher melt rates being generated from higher sediment concentrations. Based on this hypothesis, an uneven distribution of sediment across the ice surface would generate increased ice surface roughness, with the ice surface experiencing different melt rates spatially.

Unmanned aerial vehicles (UAV) have become increasingly popular for scientific applications, due to their ability to collect high-resolution remote sensing

data at site-specific scales. UAVs serve as a platform to carry micro-remote sensing sensors such as optical, multispectral, and LiDAR. High-resolution orthomosaics and digital elevation models can be generated from a set of UAV images over a feature, allowing for in-depth surface analyses. Application of UAV data collection in the Arctic is limited by the harsh climate and limited GPS signal, making Arctic UAV research an emerging field (e.g., Ryan et al. 2015; Castro et al. 2017; Bernard et al. 2017).

This research aims to determine how sea ice surface sediment influences sea ice surface roughness through increased surface melt, and in turn the passive microwave signature of ice. This will be tested using a multisensor approach, combining in-situ passive microwave data and UAV image products. We have investigated the following research questions: (i) does a high surface sediment concentration correspond to increased surface roughness, and (ii) how does the in-situ passive microwave signature change with increased surface roughness generated from surface melt? This paper is structured into two sections: (i) comparing sea ice surface elevation to sediment concentration using a single survey site, and (ii) comparing in-situ T_B across multiple surveys with similar thermophysical properties in relation to sea ice surface roughness and progression of surface melt.

Understanding the influence of surface sediments on passive microwave T_B is vital for accurate sea ice mapping in sediment-laden areas. Despite the limited extent of sediment-laden ice generation in the Arctic, this ice is located in coastal regions which are areas of importance for other research fields such as nutrient mapping, coastal erosion research or the development of sediment budgets (Eicken et al. 2005; Nomura et al. 2010; Wegner et al. 2017). These areas of research are likely to become of increasing importance in the future, as Arctic systems are currently undergoing rapid change as a result of our changing climate (Gagnon and Gough 2005). Therefore, by being able to better monitor sea ice in sediment-laden areas, accurate data can be provided for the monitoring and predictive modeling of attendant processes.

Materials and methods

Field methods

Survey site

Data were collected from June 8 to June 23, 2018 onboard the Canadian Research icebreaker CCGS *Amundsen*, as part of the Hudson Bay System Study (BaySys). Passive microwave scans and high-resolution

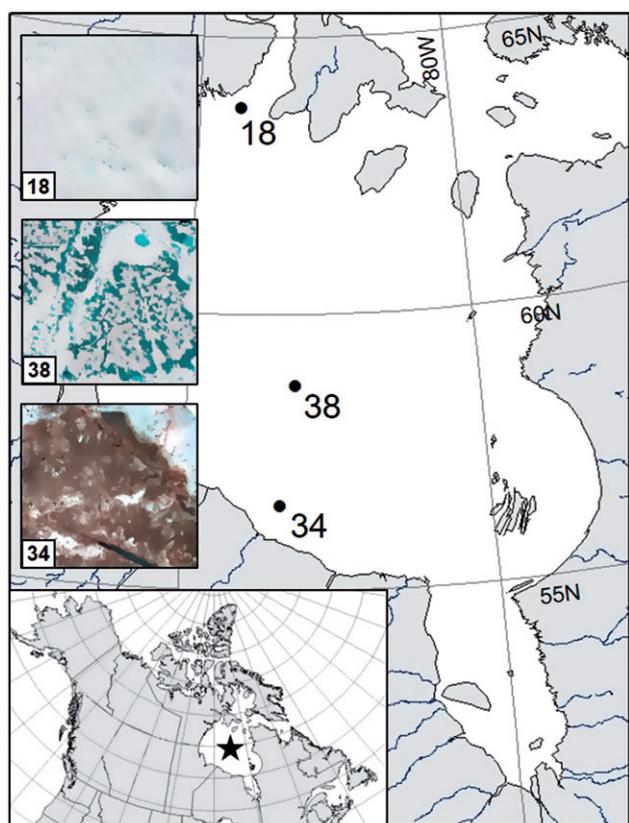


Figure 1. Survey locations within Hudson Bay, with rivers shown in dark blue. Aerial images of each survey captured by UAV show general ice surface conditions.

UAV optical imagery were collected for three survey sites across central Hudson Bay, Canada (Figure 1). Survey 34 consisted of highly sediment-laden ice, whereas surveys 18 and 38 consisted of sediment-free ice. These three sites were selected for this analysis as ice at each location had similar surface thermophysical properties (Table 1), allowing for comparison of passive microwave signatures, geophysical and thermophysical properties. The ice surface roughness of survey 34 was extremely high (Figure 2), which will be explored further in this research through UAV data.

UAV data

UAV optical imagery were captured using a DJI Phantom 4 Pro quadcopter, with a 20 MP RGB camera payload. A DJI Mavic Air quadcopter was also used when flight extent and time were limited (for survey 34), having a 12 MP RGB camera payload. Flight surveys were pre-programmed using Map Pilot for DJI (©2019 Drones Made Easy, www.dronesmadeeasy.com/Articles.asp?ID=254), specifying a gridded flight path over the sampled floe. Surveys 18 and 38 were flown at an altitude of 60 m to prevent interference

Table 1. Sampled physical property data for all floes.

	Survey number		
	18	34	38
Date of collection	June 8, 2018	June 21, 2018	June 23, 2018
Ice surface temperature (°C)	-0.1	-0.1	-0.3
Ice thickness (cm)	96	1150	108
Average snow depth (cm)	6	1	3
Average snow temperature (°C)	0	0	0
Snow wetness	Wet	Wet	Wet
Snow grain size (mm)	3	3	3
Air temperature (°C)	0	5.8	6.8

from the ship's radar system on communication between the ground station and UAV. Due to the uniqueness of the floe topography of survey 34, images were captured at a lower altitude (20 m) to allow for high-resolution surface reconstruction (horizontal spatial resolution: survey 18 = 2.4 cm, survey 34 = 7 mm and survey 38 = 2.2 cm). Images were captured at an interval to ensure an along-track and across-track overlap of 70% to allow for the generation of orthomosaics and digital elevation models (DEM) using photogrammetric techniques. The GPS onboard the DJI Phantom 4 Pro and Mavic rely on satellite positioning (GNSS) from GPS and GLONASS, having a reported global accuracy of approximately 0.1 m vertically and 1.5 m horizontally for absolute positioning (www.dji.com/ca/phantom-4-pro/info, www.dji.com/ca/mavic-air/info). Spatial coverage of UAV surveys was limited by the battery flight time, with surveys being programmed as large as possible while still fitting within one battery lifespan, spanning 0.12 km² (survey 18 and 38) and 0.013 km² (survey 34).

Surface-based radiometer data

Sea ice surface emissions in the microwave region were measured using a surface-based radiometer (SBR) mounted on the port side of the CCGS *Amundsen*, approximately 12 m above sea level. The SBR consists of three dual-polarized (vertical and horizontal) radiometers, simultaneously taking measurements at frequencies of 19, 37, and 89 GHz. Internal sensitivities of 0.04 K (19 GHz), 0.03 K (37 GHz), and 0.08 K (89 GHz) are reported in the manufacturer's (Radiometrics) specifications. A camera is also housed in the system, capturing images of the approximate field of view (FOV) of the radiometer. The ship was maneuvered beside an ice floe of interest, oriented so the prevailing wind was on the opposite side of the measured floe. This held the floe in a stable position throughout SBR data collection, verified by coincident image capture. SBR scans were completed over the same ice floes for which UAV



Figure 2. Oblique images captured of survey 34, showing the magnitude of ice surface elevation variability and sediment loading.

imagery was captured. Calculation of the area of the SBR FOV is discussed in the section on FOV analysis.

The SBR system was mounted onto a rotating positioning system, allowing for the incidence angle of the SBR to be changed. At each survey site, the SBR was programmed to scan between incidence angles of 45° and 70° in 5° steps, with radiometric measurements being captured once per incidence angle. Between 5 and 8 complete scans were completed for each floe (5 for surveys 18 and 38, 8 for survey 34), with T_B readings for each incidence angle being averaged over the entire period. All FOVs measured were fully illuminated by the sun and not impacted by the shadow of the ship, verified by coincident image capture by the camera housed in the SBR. The duration of SBR measurements lasted approximately 20 minutes.

Scans of a cold source (clear sky) and a hot source (ECCOSORB[®] high-loss microwave absorbing pads) were collected before each SBR data collection following methods from Asmus and Grant (1999), for which a 2-point data verification was completed to verify internal instrument calibration. A single calibration before each SBR measurement was determined to be sufficient for instrument calibration, as the duration

of each SBR measurement period lasted 20 minutes, during which minimal instrument drift is expected.

Physical sampling

In-situ physical sampling was conducted coincident with the scanning area of the SBR to capture the pertinent physical and thermal variables influencing the measured T_B . Sampling was conducted after all SBR measurements were collected, falling within 1 hour of the last SBR measurement. Ice surface temperature, snow temperature, air temperature, snow depth, snow wetness, snow grain size, and temperature and salinity profiles through the ice were collected in situ at 3 locations coincident with the radiometer field of view. Surface measurements were averaged to gain a general understanding of the floe surface properties within the SBR FOV (Table 1). Sediment samples were also collected for the surface of survey 34 and analyzed for grain size distribution using a Microtrac S3500 Particle Size Analyzer. An ice core was extracted from each floe and sampled at 10-cm intervals for salinity and temperature. Ice sectioning was completed immediately following temperature measurements and placed in sealed containers to prevent drainage of

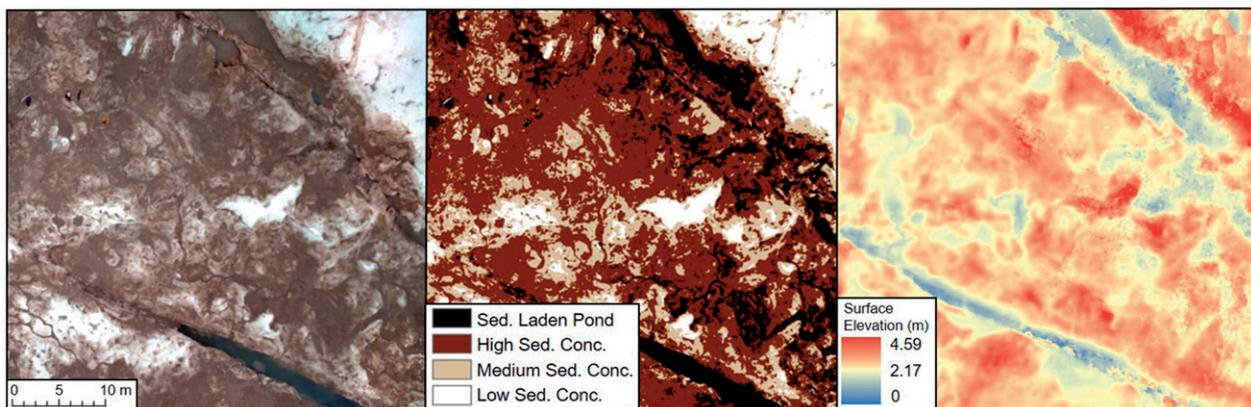


Figure 3. From left to right: optical imagery orthomosaic, sediment concentration map, and DEM for survey 34 generated from UAV imagery.

brines from the ice sections. Cores were taken for the full thickness for stations 18 and 38; however, ice thickness was too large for survey 34, and therefore only the top meter of ice was analyzed. Total ice thickness is provided in Table 1, with thickness for survey 34 being estimated from ice freeboard determined from UAV DEMs. All salinity measurements were melted onboard, allowed to equilibrate to ambient temperature and measured using a SensION5 conductivity meter, precise to ± 0.1 ppt.

Data processing methods

Orthophoto and DEM generation

UAV image sets were stitched based on geolocation to generate a single orthomosaic for each site using the Pix4D[®] image processing software (www.pix4d.com). DEMs for each survey site were generated from UAV imagery using Pix4D software, based on photogrammetric methods. Photogrammetry does not allow for the detection of melt pond depth based on theory presented in Landy et al. (2014), meaning that melt pond areas are represented as a single water elevation value. Relative spatial resolution of each UAV survey are as follows: 2.4 cm (survey 18), 7 mm (survey 34), and 2.2 cm (survey 38). Relative elevation accuracies noted for Pix4D[®] DEM generation are 1–3 times the pixel size, meaning DEM accuracies are as follows: 2.4–7.2 cm (survey 18), 7–21 mm (survey 34), and 2.2–6.6 cm (survey 38). This study will assume a worst-case scenario for vertical accuracy, using 7.2 cm, 21 mm, and 6.6 cm as respective elevation accuracies.

Due to lack of ground control points, DEMs experienced radial distortion about the center of the scene. Distortion was corrected using a custom MATLAB R2016a program, which fits a quadratic function to each pixel row of the DEM iteratively, and then

subtracts the resulting function from cell values removing the curved distortion. This results in a DEM displaying accurate relative heights within the scene. Absolute calibration for height between floes was not performed, as it was not required for this analysis and appropriate ground control points were not collected to co-register the scenes. This analysis is only comparing the surface elevation fluctuations between surveys through the use of surface statistics, therefore correcting the DEMs to an absolute geographical model is not necessary.

Sediment concentration mapping

An unsupervised classification method was used in ArcMap 10.5 to delineate 4 classes of sediment concentration/water on the ice surface. The Iso Cluster Unsupervised Classification method was chosen because existing knowledge of the integrated albedo of sea ice surface sediment in the Hudson Bay coastal region is limited. Classification was based on the knowledge that increasing sediment concentration would lead to a lower shortwave reflectance in all optical bands, as clean, snow covered ice has a very high reflectance and sediment has a low reflectance (Light et al. 1998; Huck et al. 2007).

A 4-class classification was chosen to generate 3 classes of increasing sediment concentration and a class representing sediment-laden melt ponds (Figure 3). Output classes were designated relative levels of sediment concentration (low, medium, or high) based on the output signature file, which provided the mean reflectance percentages for each class within each optical band, with the lowest sediment concentration having the highest reflectance percentage (Table 2). Results from this classification agree well with modeled surface spectral albedos provided by Huck et al. (2007): spectral albedo decreases with

Table 2. Mean reflectance percentages for each sediment concentration class, generated by the unsupervised classification algorithm.

Class	Red (600 nm) (%)	Green (540 nm) (%)	Blue (460 nm) (%)
Sediment-laden melt iond	0.39	0.39	0.37
High sediment concentration	0.55	0.52	0.48
Medium sediment concentration	0.70	0.70	0.67
Low sediment concentration	0.88	0.92	0.93

Wavelengths for peak sensitivities of the DJI Phantom 4 sensor within each spectral color band are provided.

Table 3. Basic statistics generated for elevation values found within each sediment concentration class for survey 34.

Sediment concentration class	Class population	Minimum elevation value (m)	Maximum elevation value (m)	Mean (m)	Standard deviation (m)	10th percentile value (m)	90th percentile value (m)
High	39864184	0.58	4.61	2.34	0.23	1.70	2.30
Medium	23718524	0.61	4.61	2.43	0.25	1.74	2.42
Low	19362656	0.66	4.57	2.55	0.28	1.81	2.66

decreased wavelength over sediment-laden areas, whereas albedo increases for decreased wavelength over clean ice (within the range of wavelengths measured). Comparing the reflectance signature within each class to values provided by Huck et al. (2007), sediment concentration classes can be estimated to have the following concentrations: 0 g m^{-3} for low sediment concentration, 25 g m^{-3} for medium sediment concentration, 75 g m^{-3} for high sediment concentration, and 500 g m^{-3} for sediment-laden melt ponds.

Sediment concentration/surface elevation correlation analysis

Statistics relating sediment concentration to surface elevation were generated using the Zonal Statistics tool in ArcMap. This tool classifies each pixel of an underlying raster based on a classification raster layer, and then generates basic statistics for each class (mean, range, and standard deviation). This provided statistics for the elevation values found within each sediment concentration class (Table 3), which were then used to test the statistical significance of the relationship between sediment concentration and floe surface elevation. Elevation values within each class were then extracted and a histogram was generated to display the distribution of elevation values within each sediment concentration class (Figure 4). Basic statistics were generated for a 0.002 km^2 subset area corresponding to the area used for surface roughness statistics generated in the next section. The rationale behind the use of a subset area is included in this section.

Surface roughness quantification

Surface roughness over a subset of the floe area was quantified by calculating the average root mean square

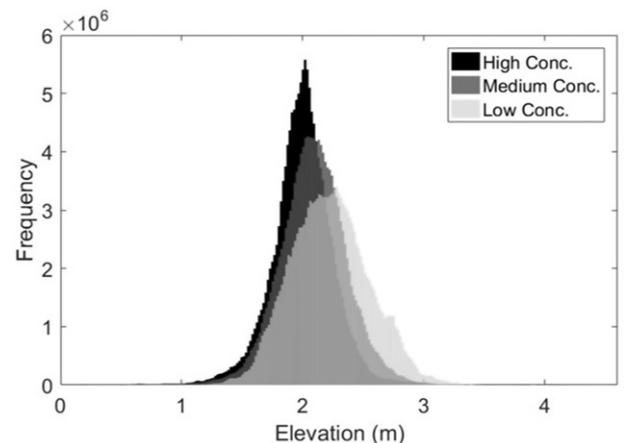


Figure 4. Histograms of elevation values falling within each sediment concentration class. All classes show a normal distribution around the mean, with mean value increasing for decreased sediment concentration.

(RMS) height and the correlation length across the survey area using MATLAB (Table 4). NaN values persisted in the DEM due to the irregular shape of the UAV survey area. To address this, a rectangular subset of the image was used so that NaN values could be removed from the DEM matrix so statistics could be properly calculated. Subset areas included the FOV of the SBR, being approximately 0.002 km^2 to ensure similar subset areas were analyzed for each survey site.

Scripts for calculating correlation length across a 2D array were provided by Bergström et al. (2012). RMS height provides a statistic for the average deviation of elevation around the mean, whereas the correlation length describes the spatial scale on which elevation varies. The correlation length function was plotted for the x - and y -directions across the survey, which represent the horizontal (east/west) and vertical (north/south) directions across the DEM, respectively.

Table 4. Surface roughness metrics calculated for a subset of the floe area.

Survey number	Average surface elevation (m)	RMS height (m)	Average correlation length x-direction (m)	Average correlation length y-direction (m)
18	0.126	0.006	1.74	1.788
34	1.269	0.031	1.459	1.622
38	0.360	0.005	2.035	1.969

Histograms displaying the percent count of elevation values across the survey areas were generated to determine the distribution of elevation values for each survey. Percent count was chosen based on the magnitude of these data sets (Table 3). These metrics were used to compare the overall surface roughness between the sediment-laden floe and the surrounding non-sediment-laden floes.

FOV analysis

FOVs of each radiometer vary based on the frequency of measurement, ranging in area from 6.36 m² to 6.62 m² for a 55° incidence angle at 12 m height. The overlap between FOVs of each measured frequency is substantial (similar to Figure 4 in Comiso et al. 1989); therefore, for simplicity in this analysis we represent the FOV area of the SBR based on dimensions of the 19 and 37 GHz radiometer, both having antenna half-power beam widths (HPBW) of 6° in comparison with the HPBW of 89 GHz of 5.88°.

The FOV of the passive microwave radiometer was calculated based on the height of the radiometer above sea level (~12 m), the HPBW of the SBR antennas (6°), and the corresponding incidence angle of measurement (ranging from 45° to 70°). From this, the centroid was plotted on UAV orthomosaics in relation to the position of the radiometer, and an approximation of the FOV of each incidence angle was plotted extending from this centroid (Figure 5). This allowed for the extraction of sediment concentration data and general surface characteristic descriptions from UAV data within the FOV of the radiometer, to determine the surface features influencing the measured T_B .

Results

Sediment grain size

For survey 34, surface sediment size distribution was measured to range between 9 and 80 µm, having a normal distribution around a median grain size of 28 µm. This grain size range falls within the class fine silt to very fine sand following the Wentworth Grain Size Classification (Wentworth 1922).

Previous research in the Eurasian Arctic/Fram Strait has shown approximately 80% of sediment grains found on sea ice surfaces in this area are >16 µm (Pfirman et al. 1989), with only minor grains coarser than 63 µm (Larsen et al. 1987). In comparison, survey 34 grain size is slightly larger on average, suggesting influence from higher turbidity values or anchor rafting. Research into the sedimentology of this region and proposed mechanism of sediment entrainment in sea ice is being investigated in a separate study.

Sediment concentration vs. surface elevation

Generated statistics for sediment concentration in relation to surface elevation across survey 34 suggest that there is a negative relationship between sediment concentration and surface elevation based on calculated means (Table 3), with increased sediment concentration relating to lower surface elevation values (schematically illustrated in Figure 6).

Sediment concentration class histograms show that each class has a normal distribution of elevation values around the class mean, with class means increasing with decreased sediment concentration (Figure 4). Distributions of class elevation values are separable, with the 10th and 90th percentile values increasing for decreasing sediment concentration (Table 3).

To determine the statistical significance of this relationship, a *t*-test was completed to compare the elevation means of the low, medium and high sediment concentration classes. Results from the *t*-test show a *p*-value <0.05 (Table 5), suggesting a statistically significant relationship. Due to the magnitude of the class populations (on the order of 30 million), this conclusion cannot be confirmed to be absolute, due to the inability for statistical analyses to represent big data (Hofmann 2015). However, confidence intervals suggest that class means can be concluded to be different, with Cohen's *d* statistic showing class separation by over a third of a standard deviation (Table 5). Despite the small numerical difference between class means (0.089 and 0.125 m), differences are notable in comparison to elevation variations provided for non-sediment-laden floes (Table 4), suggesting that sediment concentration may have a significant correlation with surface elevation.

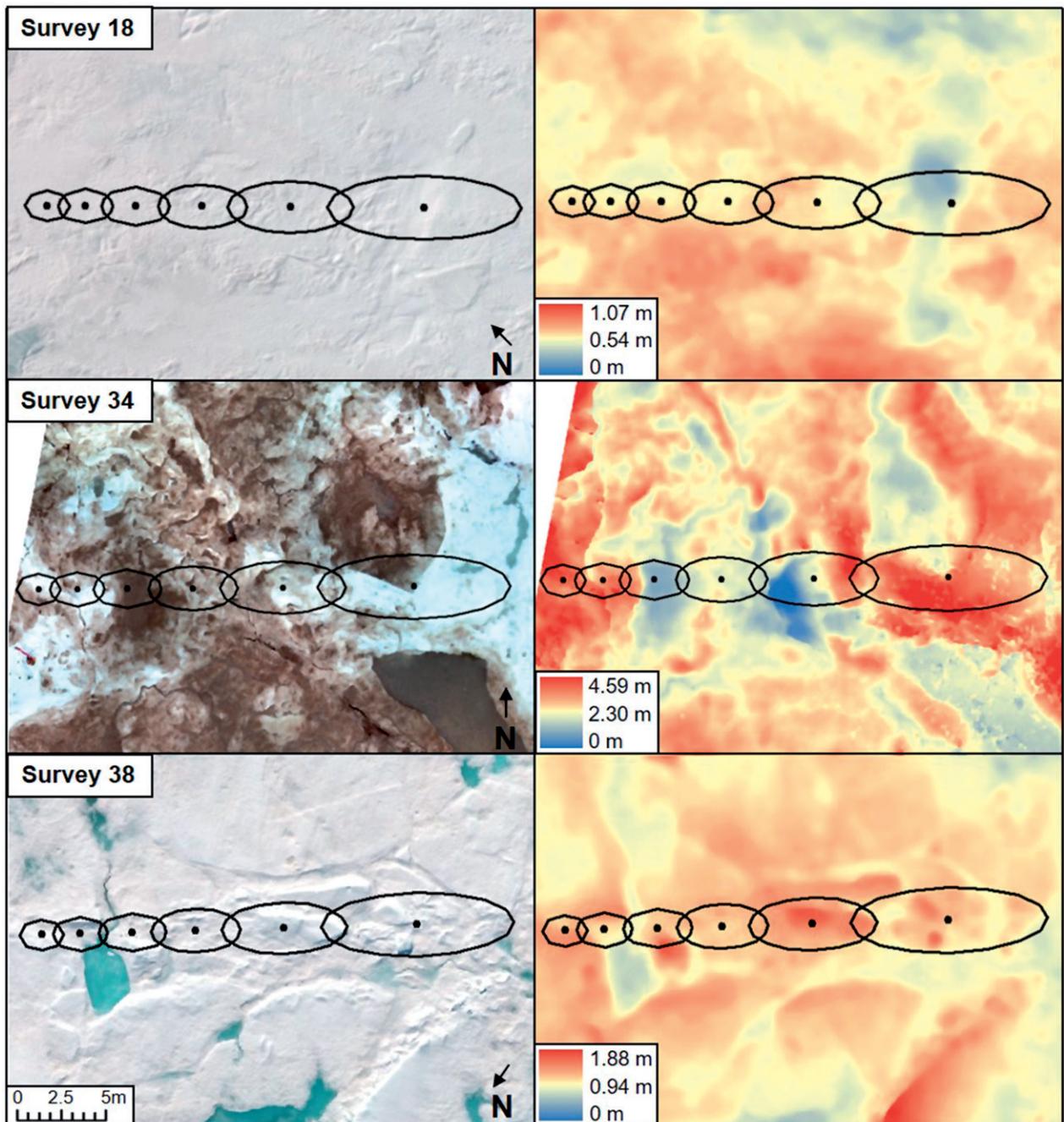


Figure 5. In situ radiometer FOV area for all incidence angles (45° – 70°) plotted across optical orthomosaic and DEM to display the approximate surficial features influencing measured TB at each angle.

Surface statistics

In this paper, the ice surface is defined as including the surface of melt ponds. As previously noted, DEMs represent melt pond areas as a single water level elevation. This leads to melt pond areas lowering the surface elevation variation across a DEM, influencing the resulting surface statistics of surveys 34 and 38.

Results from the RMS height and correlation length calculation show that the sediment-laden sea ice floe has a more varied surface roughness compared to the

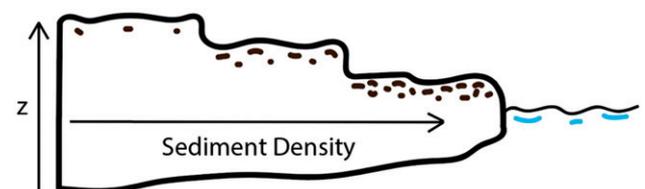


Figure 6. Conceptual drawing displaying the measured relationship between surface sediment density and sea ice elevation, with surface elevation decreasing with increased surface sediment concentration.

Table 5. Results from the *t*-test and Cohen's *d* analysis, comparing mean elevation values for each sediment concentration class.

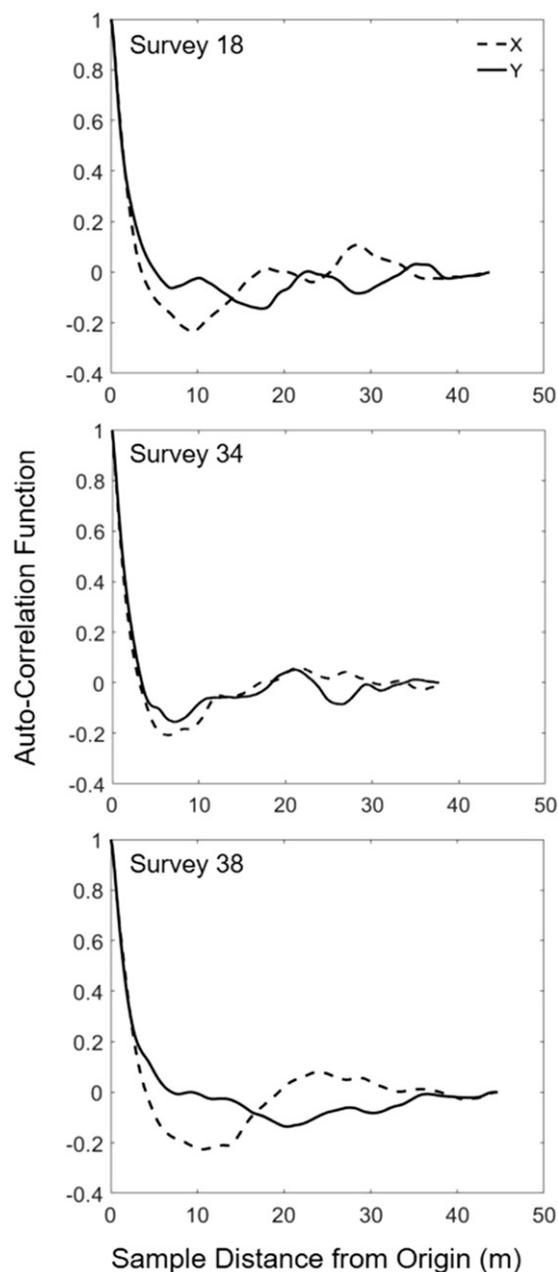
	High sediment vs. medium sediment	Medium sediment vs. low sediment
Difference	0.089	0.125
Standard error	0	0
95% confidence interval	0.0889 to 0.0891	0.1248 to 0.1252
<i>t</i> -statistic	1439.358	1551.463
Degrees of freedom	63,582,706	43,081,178
Significance level	$P < 0.0001$	$P < 0.0001$
Cohen's <i>d</i>	0.368911	0.472996

adjacent non-sediment-laden floes (surveys 18 and 38) (Table 4). The RMS height of survey 34 was calculated to be 0.031 m, meaning that the surface elevation across the subset area varies by 0.031 m on average, compared to the remaining surveys who have an RMS height equal to or less than 0.006 m. Survey 34 has a smaller correlation length in both the *x*- and *y*-directions in comparison with the remaining floes (Table 4). This means that the surface elevation across the survey 34 subset area varies on a smaller spatial scale in comparison to the non-sediment-laden floes subset area. All floe surfaces exhibit a degree of anisotropy, as indicated by differences between the correlation functions in *x*- and *y*-directions. Considering the similarity between correlation length functions in the *x*- and *y*-directions, survey 34 appears to be nearly isotropic (Figure 7).

Comparing the elevation histograms, all surveys have a unimodal distribution; however, each survey has a unique spread and skewness of elevation values (Figure 8). Survey 18 has a slightly right-skewed distribution, with a small spread across a small range of elevation values. Survey 38 has a wider range of elevation values in comparison to survey 18 and is slightly negatively skewed. Survey 34 shows the largest skewness of data, being right-skewed across a wide range of elevation values. This comparison shows that survey 34 has the largest range of elevation values and has the greatest skewness, meaning that survey 34 has the greatest difference between the elevation mean and mode.

Floe surface characterization

Physical sampling of each floe showed that the surface of each floe was experiencing melt, as fresh liquid water was present on each of the floe surfaces and average snow temperatures were 0 °C (Table 1). The snow layer consisted of large (3 mm) grains with liquid water present, but not saturating the layer. Salinity profiles show that survey 34 has a lower bulk salinity in comparison to surveys 18 and 38, being a result of formation in brackish water or experiencing

**Figure 7.** Correlation length function for the *x*- (north/south) and *y*- (east/west) directions for all surveys.

a more advanced melt allowing brines to drain from the top of the ice profile (Figure 9). Despite this difference in salinity through the ice at depth, ice surface

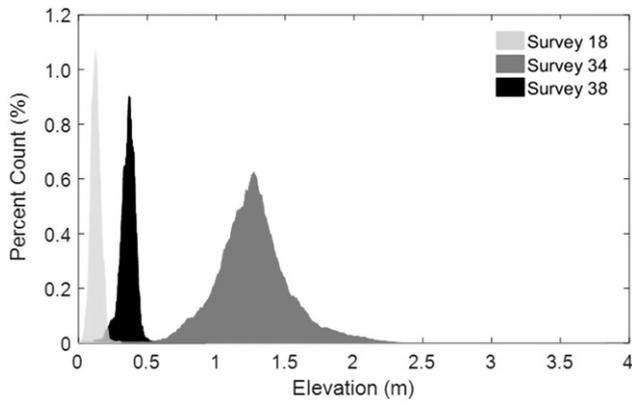


Figure 8. Histograms displaying percent count of elevation values for the whole survey area for each survey site. Surveys 18 and 38 have lower surface elevation means, with narrow distributions around the mean in comparison with survey 34.

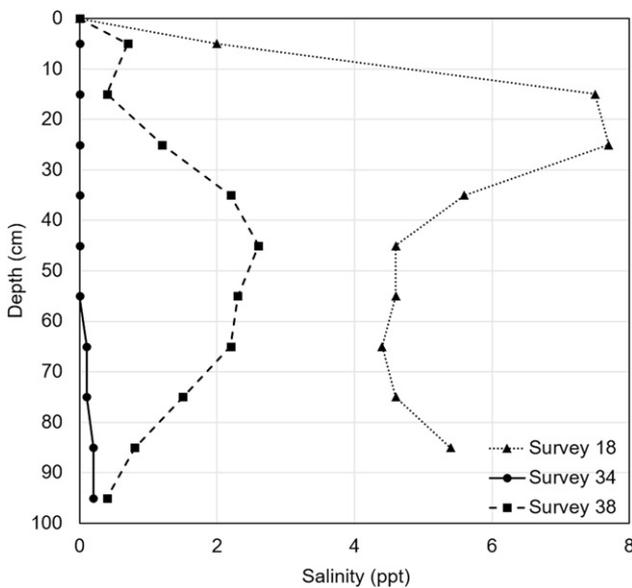


Figure 9. Salinity profiles for the top meter of sampled floes, with a depth of 0 cm representing ice/snow interface salinity.

properties are of primary importance for T_B analysis as this is where the majority of measured emissions originate due to the low penetration depth of electromagnetic energy through liquid water (Onstott et al. 1987). This study will only consider differences in ice surface properties to explain differences in T_B between surveys.

The full FOV area of survey 18 appears to be over a smooth ice/snow area (Figure 5). The FOV of 70° contains an area of decreased elevation in comparison to FOV of lower incidence angles, meaning this incidence angle may show effects related to a rougher surface. Overall, the measured T_B for survey 18 is under the influence of ~ 6 cm of a smooth, wet snow layer (Table 1).

The full FOV area of survey 38 includes a small portion of a liquid melt pond in the 50° and 55° incidence angle FOV (Figure 5). Incidence angles greater than 55° include rough topography. This means that measured T_B or survey 38 are under the influence of surface liquid water in lower incidence angles, rough surface topography in higher incidence angles, as well as a ~ 3 cm layer of wet snow (Table 1).

Sediment concentration within the full FOV of survey 34 was non-representative of sediment concentration across the floe area. Sediment-laden melt ponds and areas of high sediment concentration were under-represented within the FOV, whereas low sediment concentration areas were greatly overrepresented (Table 6). As well, different surface features are being viewed across the swath of incidence angles, as this is a highly inhomogeneous surface (Figure 5). Incidence angles of 45° , 50° , and 65° contain a mixture of clean snow and sediment-laden snow, appearing to be a somewhat even combination of the three sediment classes. The FOV of 55° is centralized within a highly sediment-laden melt pond, with the FOV of 60° containing a mixture of this melt pond along with medium sediment concentration areas. The FOV of 70° is situated over a large area of low sediment concentration, representing the smallest area of medium or high sediment-laden ice of all FOVs. Therefore, it should be taken into consideration that each FOV is representative of a different combination of the three sediment-laden classes, which is predicted to influence the respective T_B of each incidence angle. T_B of survey 34 will be under the influence of a highly inhomogeneous surface containing a combination of sediment-laden liquid water, a sediment/snow mixture of a depth < 1 cm and sediment free snow of an approximate depth of 1 cm.

Passive microwave T_B

Over the range of incidence angles sampled, on average horizontally polarized T_B decrease with increased angle whereas vertically polarized T_B remain the same (Figure 10). T_B for survey 18 have a greater range of values across incidence angles, with the difference between horizontally polarized (H-pol) and vertically polarized (V-pol) T_B of the same frequency slightly increasing throughout the whole scan (Figure 10). T_B for survey 38 show a similar gradual separation between H-pol and V-pol with increased incidence angle; however, the difference in T_B for each polarization is smaller (Figure 10). Another similarity between these 2 surveys is that 89 GHz H-pol and V-pol have

Table 6. Total class coverage for survey 34 for the whole floe area and the FOV area.

	Whole floe area coverage (%)	FOV area coverage (%)
Sediment-laden melt pond	22	15
High sediment concentration	38	16
Medium sediment concentration	22	29
Low sediment concentration	18	41

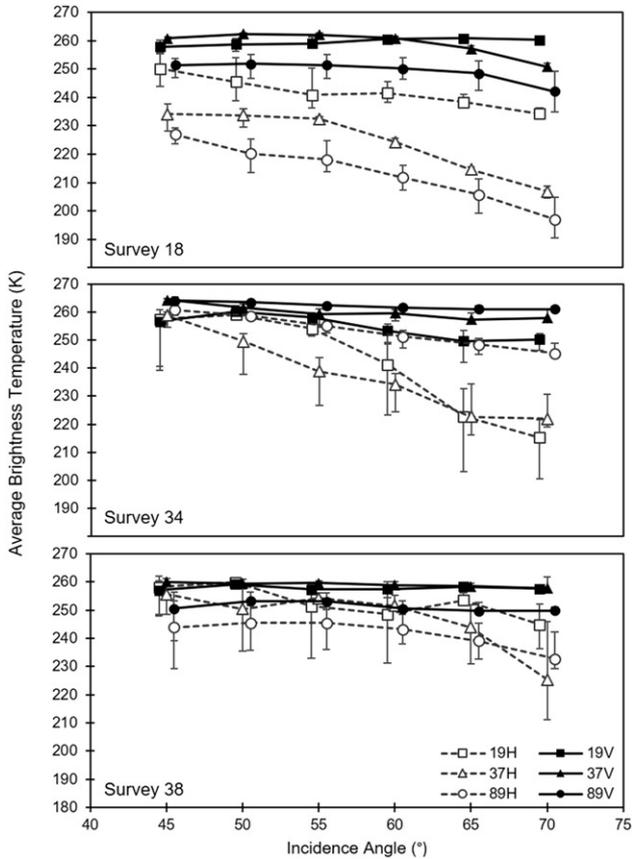


Figure 10. T_B for all frequencies/polarizations between 45° and 70° , with vertical bars showing the maximum and minimum measured brightness temperatures. Values for 19 GHz and 89 GHz are offset along the x -axis by -0.5° and 0.5° , respectively, for better visualization of the data.

the lowest T_B for almost all incidence angles across the scan. This is not observed for survey 34, where 89 GHz H-pol and V-pol have the highest T_B across all incidence angles out of all respectively polarized channels (Figure 10). Survey 34 shows a large increase in the difference between H-pol and V-pol T_B for 19 and 37 GHz channels, whereas 89 GHz shows a more gradual increase in difference. Differences noted in T_B of survey 34 are proposed to be a result of increased surface melt and deformation influenced by surface sediments, which will be examined further in a later section.

The range of T_B values at each incidence angle tends to be high for inhomogeneous floe surfaces. Survey 38 has a range in T_B as high as 35 K for

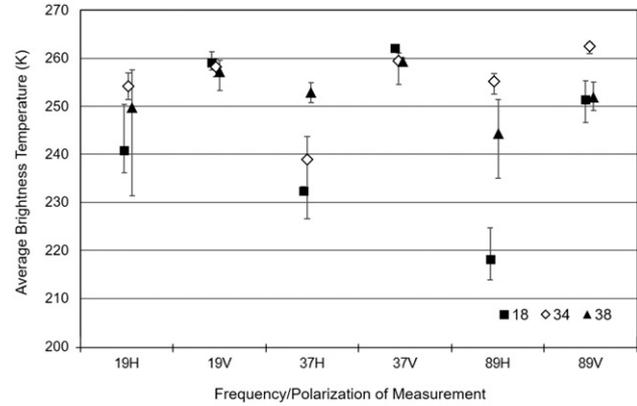


Figure 11. Average T_B for the 55° incidence angle at each survey location, with vertical bars showing the maximum and minimum measured brightness temperatures. Values for 19 GHz and 89 GHz are offset along the x -axis for better visualization of the data.

37 GHz H-pol at 70° , and survey 34 has a maximum range in T_B of 30 K for 19 H-pol at 65° , whereas the highest range in T_B for survey 18 is 15 K for 19 H-pol at 50° (Figure 10). T_B for H-pol has higher ranges in comparison to the V-pol counterpart for all frequencies and all surveys (Figure 10). This difference is greatest for survey 38, where H-pol T_B has average ranges of 14.7 K, 16.3 K and 15.6 K (for 19, 37, and 89 GHz, respectively), whereas V-pol has average ranges of 5 K, 2.6 K, and 6.3 K.

Detailed results of T_B values for the 55° incidence angle (corresponding to satellite-based sensor AMSR2 (55°) and AMSR-E (55°)) (Du et al. 2014)) are given in Figure 11. Through this, the influence of surface sediment on satellite-detected T_B can be hypothesized. Physical properties influencing T_B measured at 55° are as follows: survey 18 – smooth, wet snow layer of ~ 6 cm depth; survey 34 – highly sediment-laden melt pond with some influence from a sediment-laden snow pack of <1 cm depth; survey 38 – rough, wet snowpack of ~ 3 cm depth, with some influence from a melt pond (Figure 5).

T_B values at the 55° incidence angle for each survey show a unique pattern across each frequency/polarization combination (Figure 11). T_B values for V-pol show lower variation across measurement frequency in comparison to H-pol for all surveys. The largest variation in T_B across measurement frequency for the

V-pol is 11 K (survey 18) and the largest variation in T_B for H-pol across frequencies is 23 K (survey 18).

Comparing T_B for survey 34 (sediment-laden ice) to the remaining surveys (non-sediment-laden ice), survey 34 has the highest T_B values measured for 89 GHz on average, having an 89 GHz V-pol T_B \sim 10 K greater than surveys 18 and 38, which both have the same T_B at 89 GHz V-pol (Figure 11).

Regarding the polarization difference between measurements at the same frequency, survey 18 shows the largest polarization difference in T_B , with 33 K at 89 GHz, decreasing to a difference of 18 K at 19 GHz (Figure 11). Survey 38 has a considerably smaller polarization difference, showing little variation across measurement frequency: 7 K, 6 K, and 7 K at 19, 37, and 89 GHz, respectively. In contrast, survey 34 shows small polarization differences at 19 GHz (4 K) and 89 GHz (7 K) but has a large polarization difference at 37 GHz (20 K).

Discussion

Sediment concentration

Spatial analysis of the distribution of sediment concentration in relation to corresponding ice surface elevation suggests that there is a negative relationship between sediment concentration and surface elevation (Figure 6). As a result, the distribution of surface sediment can be related to the overall surface variability of the ice floe, which has been verified through the calculation of surface roughness metrics (Table 4). Results from this study strongly suggest that sediment presence on the surface of sea ice influences the evolution of surface topography during the melt period, leading to high surface elevation variability.

The proposed mechanism that relates surface sediment distribution to sea ice surface elevation variation is increased absorption of solar radiation by sediment particles. Sediment particles have a lower albedo than the surrounding sea ice surface, meaning that they will absorb more solar radiation in comparison to a clean ice surface with a high albedo (Light et al. 1998). Due to this, sediment on the ice surface will absorb more solar energy, leading to an increase of surface temperatures and increased melt rates (Figure 6). Surface roughness could also be generated through anchor rafting, during which ice formation begins on the sea floor, after which ice fragments release from the floor and act as the surface for new ice formation (Reimnitz et al. 1987). This freezing could occur irregularly dependent on the size and saturation underlying sediments, generating varied ice roughness

(Reimnitz et al. 1987). We speculate that the surface roughness observed in this research is generated under the influence of a combination of both processes. Further research is required to discern surface roughness generated by sea ice formation (i.e., anchor rafting, dynamic growth in turbulent areas) and surface roughness driven by uneven distribution of sediments across the ice surface.

Brightness temperature

The thermophysical properties of the sea ice surface must first be taken into consideration when analyzing the effect of surface roughness on measured T_B . The presence of liquid water has a large influence on the emission of the ice surface, as emissions from the ice body itself are blocked due to the low penetration depth of microwave frequencies into liquid water (Ulaby et al. 1986). As a result, the T_B of all floes should experience some influence of liquid water on their emission pattern, as liquid water was present in the surface layer at all survey sites (Table 1). As well, sea ice surface sediment is proposed to increase the presence of liquid water on the ice surface due to increased melt rates, making liquid water an important variable to consider when analyzing T_B .

We first consider the effect of water in liquid phase on measured T_B . During the first stages of melt on the surface of first-year sea ice, liquid water presence in the snow pack has been shown to increase T_B in all frequencies relative to the initial dry snow pack T_B , due to the contribution of emissions from liquid water droplets in the snow medium (Eppler et al. 1992; Garrity 1992; Barber 2005). During this melt period, higher frequencies have the lowest T_B with measured T_B increasing for decreased frequency (Onstott et al. 1987; Barber 2005). Survey 18 is expected to agree with this pattern as liquid water persisted in the snowpack; however, melt ponds had not begun to form, denoting an early stage of melt (Figure 5). Advanced melt including melt pond formation drives the decrease of T_B in lower frequencies (19 and 37 GHz), but an increase in higher frequencies in comparison to the early-stage melt signature described above (Onstott et al. 1987; Barber 2005). This is expected to agree with surveys 34 and 38, as they were sampled later into the melt season and were experiencing signs of advanced melt such as extensive melt ponding (Figure 5).

For measured T_B , each survey agrees relatively well with the evolution of T_B during the melt period provided in Onstott et al. (1987) and Barber (2005).

Survey 18 agrees well with T_B reported for early melt, as T_B increases for decreased frequency of measurement considering each polarization separately for all measurement channels excluding 19 GHz V-pol (Figure 11). Survey 34 agrees best with T_B reported for advanced melt, as 89 GHz has either similar or higher values than T_B of lower frequencies (Figure 11). Survey 38 agrees with this emission pattern as well; however, T_B values for 89 GHz are lower than those measured for survey 34. This could suggest that survey 34 is at a later stage of surface melt progression in comparison to survey 38, as T_B for higher frequencies are expected to increase as melt progresses from early melt to advanced melt (Onstott et al. 1987; Barber 2005).

To assess the effects of surface roughness on measured T_B , we can first look at how T_B changes with change in incidence angle of measurement. For first-year ice it is expected that the difference between V-pol and H-pol T_B will increase with increased incidence angle (Stroeve et al. 2006; Grenfell and Comiso 1986; Grenfell and Lohanick 1985). Our data for survey 34 shows this as well, with the largest separation of V-pol and H-pol T_B occurring for 19 and 37 GHz (Figure 10). The 89 GHz channel does not experience this similar pattern across the sediment-laden floe, with the separation between V-pol and H-pol T_B only increasing slightly with increased incidence angle.

Previous research on the impact of surface roughness on observed T_B during early melt indicates that the 89 GHz frequency is more sensitive to surface roughness than the 19 and 37 GHz frequencies due to the low penetration depth of higher frequencies (Gupta and Barber 2015). To compare this with our data, only surveys 34 and 38 will be considered, as survey 18 is experiencing an earlier stage of melt, leading to the discrepancy in T_B signatures show in Figure 10. Comparing surveys 34 and 38, it is suggested that lower frequencies show more sensitivity to surface roughness based on the deviation of 19 and 37 GHz H-pol from 89 GHz H-pol at higher incidence angles across the sediment-laden floe (Figure 10). Previous research has also indicated that horizontally polarized channels are more sensitive to surface roughness (Stroeve et al. 2006; Gupta and Barber 2015), which is in agreement with measured T_B for survey 34, as horizontally polarized channels show the most discrepancy between different surface roughness (Figure 11).

Future research in this field would benefit from discriminating the effects of widespread surface roughness versus liquid water presence on T_B .

Previous research on surface roughness and passive microwave signal has neglected to separate these two influences (Gupta and Barber 2015), a distinction that this research fails to make as well. Future research should focus on determining the frequency or polarization most sensitive to surface roughness in a controlled setting, where measurements can be made while surface liquid water is both absent and present. As well, a quantitative relationship between sediment concentration and melt rates can be derived in a controlled setting following methods outlined in this research. In combination this could provide a better understanding of how sediment influences surface deformation, and the resulting remotely detected sea ice concentration throughout the melt period.

Significance

To the authors' knowledge, previous literature does not exist examining the influence of sea ice surface sediment on passive microwave T_B . This is due to the fact that the spatial distribution of highly sediment-laden ice floes in the Arctic are regionally specific, restricted to shallow coastal regions where mechanisms of formation can occur (e.g., coastal Northern Alaska: Barnes et al. 1982; and Foxe Basin (Campbell and Collin 1958). Despite limitations to the conditions required for formation, previous studies have shown that during the summer, surface sediments can cover up to 50% in the Chukchi Sea (Tucker et al. 1999), and 10% in the Eurasian Sea (Pfirman et al. 1989). In-situ thermodynamic studies of these types of ice are rare, likely due to the restricted areas of sediment-laden ice development. As a result, it is currently unknown how dense surface sediment influences passive microwave T_B under a variety of ice conditions.

This could lead to potential inaccuracies in remotely sensed sea ice concentration retrievals in coastal areas where this ice is concentrated. Inaccuracies in remotely sensed data reduces the reliability of earth process modeling, which is of importance in coastal regions in terms of processes such as sediment budgeting (Eicken et al. 2005; Huck et al. 2007; Wegner et al. 2017) and nutrient transport (Nomura et al. 2010). Accurate monitoring and modeling of these systems is becoming of increasing importance due to the current changing climate, driving rapid change in Arctic processes (Gagnon and Gough 2005). By improving satellite-based retrievals of sea ice concentrations, the accuracy of model predictions can be improved, enhancing our

understanding of how earth system processes may change in response to our changing climate.

This paper contributes to this area by proposing the possible mechanisms through which sea ice surface sediment may influence the measured T_B of the surface, and by exploring the details of one of these mechanisms. Results from this research provide merit for future research in this field, particularly on the precise influence of sediment on T_B throughout a gradient of sediment densities to provide accurate calibrations for sea ice concentration algorithms.

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Disclosure statement

The authors declare that there is no conflict of interest regarding the publication of this manuscript.

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