

Microbiome Associated with Severe Caries in Canadian First Nations Children

Journal:	<i>Journal of Dental Research</i>
Manuscript ID	JDR-16-1276
Manuscript Type:	Research Reports
Date Submitted by the Author:	13-Dec-2016
Complete List of Authors:	Agnello, Melissa; UCLA, Dentistry Marques, Jesse; University of Manitoba, Preventive Dental Science Cen, Lujia Mittermuller, Betty-Anne; University of Manitoba College of Dentistry, Preventive Dental Science; Children's Hospital Research Institute of Manitoba Huang, Alice; University of Manitoba, Preventive Dental Science Chaichanasakul Tran, Nini; UCLA, Dentistry Shi, Wenyuan; UCLA, Dentistry He, Xuesong; UCLA School of Dentistry Schroth, Robert; University of Manitoba, Preventive Dental Science; University of Manitoba Children's Hospital Research Institute of Manitoba; Winnipeg Regional Health Authority, Oral Health Program
Keywords:	Caries, Microbiology, Pediatrics, Plaque/Plaque biofilms, Bacteria, Dental health survey(s)
Abstract:	Young Indigenous children in North America suffer from an extraordinarily higher degree of severe early childhood caries (S-ECC) than the general population, leading some to speculate that it may actually be an altogether different disease, characterized by different microbes. To address this knowledge gap, here we have conducted the first microbiome analysis in an Indigenous population using modern molecular techniques. We investigated the caries-associated microbiome among Canadian First Nations children with S-ECC. Thirty First Nations children < 72 months of age with S-ECC and twenty caries-free children were recruited in Winnipeg, Canada. Parents or caregivers completed a questionnaire on general and dental health, diet, and demographics. The plaque microbiome was investigated by sequencing the 16S rRNA gene. Sequences were clustered into OTUs and taxonomy assigned using the Human Oral Microbiome Database, then analyzed at the community level with alpha- and beta-diversity measures. Results revealed that children with S-ECC came from households with lower income, were more likely to be bottle-fed, and were weaned from the bottle at a later age than those that were caries-free. The microbial communities of the S-ECC and caries-free groups did not differ in terms of species richness or phylogenetic diversity. Beta diversity analysis showed that the samples significantly clustered into groups based on caries status. Twenty-eight species-level OTUs were significantly different

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	<p>between the two groups, including Veillonella HOT 780 and Porphyromonas HOT 284, which were 4.6-fold and 9-fold higher, respectively, in the S-ECC group, and Streptococcus gordonii and Streptococcus sanguinis, which were 5-fold and 2-fold higher, respectively, in the caries-free group. Extremely high levels of Streptococcus mutans were detected in individuals within the S-ECC group. Overall, First Nations children with S-ECC have a significantly different plaque microbiome than caries-free counterparts, with the S-ECC group containing higher levels of known cariogenic organisms.</p>

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1 Microbiome Associated with Severe Caries in Canadian First Nations Children

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3 Melissa Agnello¹, Jesse Marques^{2*}, Lujia Cen¹, Betty-Anne Mittermuller^{2,3}, Alice Huang², Nini
4 Chaichanasakul Tran¹, Wenyan Shi¹, Xuesong He^{1a}, Robert J Schroth^{2,3,4b}

5
6 *Co-first author

7 ¹UCLA School of Dentistry

8 ²College of Dentistry, Rady Faculty of Health Sciences, University of Manitoba

9 ³The Children's Hospital Research Institute of Manitoba

10 ^aCo-corresponding author

11 ^bCorresponding author

12 ⁴Winnipeg Regional Health Authority, Oral Health Program & Section of Pediatric Dentistry

13
14 Address Correspondence to:

15 Dr. Robert J Schroth

16 Associate Professor

17 Faculty of Health Sciences

18 Department of Preventive Dental Science (College of Dentistry) and

19 Department of Pediatrics & Child Health (Max Rady College of Medicine)

20 Rady Faculty of Health Sciences

21 University of Manitoba

22 507 – 715 McDermot Avenue

23 Winnipeg, MB R3E 3P4

24 Tel: 1-204-975-7764

Fax: 1-204-977-5691

e-mail: robert.schroth@umanitoba.ca

25
26
27 Abstract word count: 296

28 Total word count: 3227

29 Total number of tables/figures: 5

30 Number of References: 38

31
32 **Keywords:** microbiome; oral health; dental health survey; child, preschool; healthcare
33 disparities; Indigenous population

Abstract

Young Indigenous children in North America suffer from an extraordinarily higher degree of severe early childhood caries (S-ECC) than the general population, leading some to speculate that it may actually be an altogether different disease, characterized by different microbes. To address this knowledge gap, here we have conducted the first microbiome analysis in an Indigenous population using modern molecular techniques. We investigated the caries-associated microbiome among Canadian First Nations children with S-ECC. Thirty First Nations children < 72 months of age with S-ECC and twenty caries-free children were recruited in Winnipeg, Canada. Parents or caregivers completed a questionnaire on general and dental health, diet, and demographics. The plaque microbiome was investigated by sequencing the 16S rRNA gene. Sequences were clustered into OTUs and taxonomy assigned using the Human Oral Microbiome Database, then analyzed at the community level with alpha- and beta-diversity measures. Results revealed that children with S-ECC came from households with lower income, were more likely to be bottle-fed, and were weaned from the bottle at a later age than those that were caries-free. The microbial communities of the S-ECC and caries-free groups did not differ in terms of species richness or phylogenetic diversity. Beta diversity analysis showed that the samples significantly clustered into groups based on caries status. Twenty-eight species-level OTUs were significantly different between the two groups, including *Veillonella* HOT 780 and *Porphyromonas* HOT 284, which were 4.6-fold and 9-fold higher, respectively, in the S-ECC group, and *Streptococcus gordonii* and *Streptococcus sanguinis*, which were 5-fold and 2-fold higher, respectively, in the caries-free group. Extremely high levels of *Streptococcus mutans* were detected in individuals within the S-ECC group. Overall, First Nations children with S-

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3 66 ECC have a significantly different plaque microbiome than caries-free counterparts, with the S-
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6 67 ECC group containing higher levels of known cariogenic organisms.
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95 Introduction

96 Early childhood caries (ECC), defined as decay involving the primary dentition in
97 children < 72 months of age, is the most common chronic disease of childhood (American
98 Academy of Pediatrics 2015). ECC is a critical public health concern due to its high prevalence,
99 high treatment costs, negative effect on quality of life, and potential long-term complications
100 (Martins-Júnior et al. 2013; Schroth et al. 2009; Schroth et al. 2016). Severe-early childhood
101 caries (S-ECC) is an aggressive form of decay that is overrepresented among Indigenous
102 children in North America, including Canadian First Nations, Métis and Inuit, and American
103 Indian and Alaska Natives, and reflects an underlying extreme oral health disparity in these
104 populations (American Academy of Pediatrics 2011; Irvine et al. 2011). In some Canadian First
105 Nations on-reserve communities, the prevalence of decay in the primary dentition can exceed
106 90% (Schroth et al. 2005). S-ECC is a major cause of hospital visits for young children (Sheller
107 et al. 1997), and frequently requires rehabilitative dental surgery under general anesthesia due to
108 the extent of decay and the young age of the children affected (American Academy of Pediatrics
109 2015; Schroth and Smith 2007). Alarming, children living in communities with a high
110 proportion of Aboriginal residents have pediatric dental surgery rates nearly eight times higher
111 than those living in communities with a low Aboriginal population among children 1-5 years old
112 (Canadian Institute for Health Information 2013; Schroth et al. 2016)

113 In addition to the well-known microbial and host-related causal factors of caries, the
114 etiology of ECC includes many additional factors, such as socioeconomic status, nutrition, and
115 education (Fisher-Owens et al. 2013; Reisine and Douglass 1998). The early presentation and
116 rapid progression in young Canadian First Nations, Métis, American Indian and Alaska Native
117 children suggests that ECC in these populations may have distinct attributes and etiology
118 (QUEST 2015; Schroth et al. 2009), which warrants further study.

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3 119 In the current study, we utilized next-generation sequencing to analyze the plaque
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6 120 microbiome from Canadian First Nations and Métis children with and without S-ECC in order to
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8 121 investigate the role of the oral microbiome and identify any unique microbial characteristics that
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10 122 may account for the more aggressive presentation. Defining the etiologic microbiota for S-ECC
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12 123 in these populations will potentially facilitate improvements in care and caries prevention
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14 124 policies, important steps for reducing the extent of S-ECC and improving overall quality of life.
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19 20 126 **Materials and Methods**

21 22 127 *Study Population and Design*

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24 128 The study protocol was approved by the University of Manitoba's Health Research Ethics
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26
27 129 Board and was reviewed by the Assembly of Manitoba Chiefs' Health Information Research
28
29 130 Governance Committee. First Nations children < 72 months of age and their parent or primary
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31 131 caregiver were recruited between March 2015 and May 2016. Children with S-ECC had severe
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33 132 tooth decay involving multiple primary teeth, and were recruited from the Misericordia Health
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35 133 Centre (MHC) in Winnipeg, Canada on the day of their scheduled dental rehabilitative surgery
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38 134 under general anesthetic. Caries-free children were recruited from the community and assessed
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40 135 to ensure that there was no evidence of caries (dmft=0). Children < 72 months of age and
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42 136 identified by their parent or legal caregiver as being Canadian First Nations or Métis were
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45 137 included in the study. Children who had taken antibiotics within the last three months were
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48 138 excluded. All parents or caregivers of participating children provided written informed consent.
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50 51 139 *Health-Related Questionnaire*

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3 140 All parents and caregivers completed an interviewed questionnaire proctored by members
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6 141 of the study team. Information was collected on nutritional habits, oral hygiene habits,
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8 142 socioeconomic and demographic characteristics, and history of previous dental visits.
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10 143 *Sample Collection and DNA Isolation*

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13 144 Plaque samples were collected from each subject by swabbing a sterile interdental brush
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15 145 on all available tooth surfaces. For children with S-ECC, the attending pediatric dentist obtained
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17 146 samples while in the operating room prior to surgery. For caries-free children, samples were
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20 147 collected by RJS at the Children's Hospital Research Institute of Manitoba or at community sites
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22 148 in Winnipeg. Samples were immediately frozen and stored at -80°C in 15% glycerol in PBS after
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24 149 collection until undergoing analysis. DNA was extracted from each sample using the Epicentre
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27 150 MasterPure DNA Purification Kit (Madison, WI), following the manufacturer's instructions after
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29 151 mechanical digestion with glass beads and lysozyme treatment at 37 degrees for two hours.
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31 152 *Sequencing and Analysis*

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34 153 Total DNA was sent on ice to the Forsyth Institute (Cambridge, MA) for library prep and
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36 154 sequencing using the MiSeq (Illumina, San Diego, CA) platform. Samples were prepped for
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38 155 sequencing using a previously published protocol (Caporaso et al. 2011). Briefly, 10-50 ng of
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41 156 DNA was used in a PCR reaction with barcoded V3-V4 primers and purified using AMPure
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43 157 beads (Beckman Coulter). 100 ng of each library was then pooled, gel-purified, and quantified
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46 158 (Bioanalyzer, Agilent), and 12 pM of the mixture, spiked with 20% PhiX, was run on the MiSeq.
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48 159 Reads were then de-multiplexed and adaptor sequences removed. Quality filtering removed bad
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51 160 reads and chimeric sequences prior to analysis.

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53 161 Data was analyzed using QIIME (Quantitative Insights into Microbial Ecology) v.
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55 162 1.9.1(Caporaso et al. 2010). Sequences were clustered into operational taxonomic units (OTUs)
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3 163 using UCLUST (Edgar 2010), and then aligned and taxonomy assigned with the HOMD
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5 164 database (Chen et al. 2010) as reference. For alpha (within-sample) diversity, OTU tables were
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8 165 rarefied to 30,000 reads and chao1 and Faith's phylogenetic diversity measures were calculated.
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10 166 For beta (between-sample) diversity, weighted and unweighted Unifrac distances (Lozupone and
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12 167 Knight 2005) were calculated, followed by principle coordinates analysis.

15 168 *Statistical Analysis*

17 169 Questionnaire and microbiological data were linked in an Excel spreadsheet (Microsoft
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20 170 Office) and analyzed using Number Cruncher Statistical Software (NCSS) version 10 (Kaysville,
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22 171 Utah) and GraphPad Prism v. 7. Bivariate analyses, such as Chi-Square, Fisher's exact test, and
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24 172 t-tests, were performed where appropriate. A P-value ≤ 0.05 was considered statistically
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26
27 173 significant. For sequencing data, differences in the relative abundances of taxa between the
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29 174 groups were determined with the Kruskal-Wallis test controlling the false discovery rate (FDR)
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31 175 to correct for multiple comparisons (Hochberg and Benjamini 1990). A corrected P-value of \leq
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34 176 0.05 was considered significant. Differences in weighted and unweighted Unifrac distances
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36 177 between the groups were analyzed using analysis of similarity (ANOSIM) with $P \leq 0.05$
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39 178 considered significant.

41 179 **Results**

43 180 *Demographics and Health-Related Questionnaire Data*

45 181 A total of 50 children were recruited, 30 with S-ECC and 20 caries-free. The mean age of
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47
48 182 all children was 40.7 ± 11.6 months. Results from the health-related questionnaire are presented
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50 183 in Table 1. There were no significant differences in mean age ($P = 0.11$) or sex ($P = 0.20$)
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53 184 between the groups. A considerable proportion (56.7%) of children with S-ECC resided in First
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55 185 Nations communities while all of the caries-free children lived in the Winnipeg region. We
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3 186 found a significant difference in household income ($P = 0.032$) between the groups, with S-ECC
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6 187 children coming from households with lower incomes compared to caries-free children.

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8 188 There were significant differences in the proportion of children with S-ECC that were
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10 189 bottle fed in comparison with caries-free children ($P = 0.021$). Children with S-ECC also were
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12 190 bottle fed for a significantly longer duration ($P = 0.028$), and the age in which the child was
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15 191 weaned from the breast was significantly lower in S-ECC children (3.3 ± 5.4 months vs. $12.9 \pm$
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17 192 11.4 ; $P = 0.015$). Children with S-ECC were also less likely to be exclusively breastfed at any
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20 193 point in their infancy ($P = 0.0015$).

21 22 194 *Overall Sequencing Results*

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24 195 Plaque samples were obtained from 20 caries-free subjects and 30 subjects with S-ECC.
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27 196 Sequencing of the 16S rRNA gene variable V3-V4 region generated a total of 3,502,879
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29 197 sequences after quality filtering, with an average of 66855 (range: 34190-89179) sequences per
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32 198 sample and a median length of 421. Sequences were clustered into OTUs, with a 20-sequence
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34 199 minimum for defining an OTU, and taxonomy was assigned using the HOMD (Human Oral
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36 200 Microbiome Database) as a reference (Chen et al. 2010).

37 38 39 201 *Microbial Community Structure*

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41 202 Alpha (within-sample) diversity was calculated at a maximum depth of 30,000 sequences
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43 203 per sample, with the rarefaction curves shown in **Figure 1**. The samples from caries-free and S-
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45 204 ECC subjects do not differ in terms of species richness (**Figure 1a**) nor phylogenetic diversity
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48 205 (**Figure 1b**). Principle coordinate analysis (PCoA) was used on weighted and unweighted
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50 206 Unifrac distances to examine clustering of samples between groups (beta diversity) (**Figure 2**).
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53 207 Weighted Unifrac distances take into account abundance of each taxon, while unweighted
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55 208 distances are calculated only based on presence/absence data (Lozupone and Knight 2005). The
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3 209 samples significantly cluster based on caries status (caries-free vs. S-ECC) for both weighted and
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6 210 unweighted distance measures ($P < 0.05$, ANOSIM).

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8 211 *Taxonomic Identification and Relative Abundance*

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10 212 Taxonomy assignment revealed 10 phyla, 4 of which were differentially represented in
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12 213 the caries-free vs. S-ECC groups: Firmicutes (39.4% vs. 47.2%, $P=0.01$), Actinobacteria (14.4%
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14 214 vs. 6.8%, $P=0.002$), Fusobacteria (16.8% vs. 11.3%, $P=0.008$), and TM7 (0.5% vs. 0.24%,
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17 215 $P=0.008$). A total of 95 genera and 290 species were detected, and those with the highest relative
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19 216 abundance are listed in **Table 2**. 28 species-level OTUs were significantly different ($P < 0.05$) in
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21 217 the S-ECC vs. caries-free groups; 12 were significantly more abundant in the ECC group and 16
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23 218 in the caries-free group. Most of these species have been associated with either health or caries
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25 219 previously; for example, the caries-free group had 5-fold and 2-fold higher abundances of
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27 220 *Streptococcus gordonii* and *Streptococcus sanguinis*, respectively, than the S-ECC group, while
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29 221 the S-ECC group had 7-fold and 9-fold higher levels of an *Haemophilus* species (HOT 036) and
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31 222 a *Porphyromonas* species (HOT 284), respectively. In addition, a *Veillonella* species (HOT 780)
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33 223 was 4.6-fold higher in the S-ECC group, although the relative abundances were low.
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35 224 Interestingly, subjects that had a high relative abundance (defined as $>2\%$) of *Prevotella*
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37 225 *melaninogenica* were 8 times more likely to be in the S-ECC group than those that did not have
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39 226 high levels ($P = 0.04$, Fisher's exact test). Conversely, subjects that had high relative abundances
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41 227 of *Rothia aeria* or *Corynebacterium matruchotii* were significantly more likely to be caries-free
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43 228 than those that did not have high levels (60% vs. 0% and 55% vs. 10% respectively, $P < 0.01$ for
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45 229 both).

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47 230 *Streptococcus mutans* was detected in all samples, with a 3-fold higher amount detected
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49 231 in the S-ECC group compared to the caries-free group. However, the S-ECC group contained
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3 232 subjects with extremely high values. Six subjects in the S-ECC group were carrying greater than
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5 233 5% *S. mutans*, two subjects with over 10%, and one subject with an extraordinarily high level of
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8 234 almost 23% of the total species detected. For comparison, in the caries-free group there was only
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10 235 one subject with over 5% *S. mutans* (**Figure 3**). *Scardovia wiggisiae* has also been recently
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12 236 characterized as a possible important factor in early childhood caries (Tanner et al. 2011b); in the
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15 237 current study, S-ECC children had on average 7-fold higher levels of this organism than the
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17 238 caries-free children, although the relative abundances were low (0.007% vs. 0.001%).
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21 22 240 **Discussion**

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24 241 To the best of our knowledge, this is the first study to use advanced microbial analyses to
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26 242 investigate the oral microbiome of North American Indigenous children, specifically, Canadian
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28 243 First Nations and Métis children, affected by S-ECC. Despite recent advances in the
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30 244 understanding of the role of the oral microbiome in health and disease, knowledge of its
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32 245 importance in the etiology of ECC is lacking, especially in Indigenous populations. The literature
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34 246 clearly reveals that Indigenous children suffer considerable oral health disparities compared to
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36 247 other children of the same age. Much of this stems from the historical and ongoing effects of
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38 248 colonialism and racism that have resulted in major socioeconomic and healthcare inequities
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40 249 (American Academy of Pediatrics 2011). With rates of S-ECC in these populations drastically
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42 250 higher than rates in the general population, and with the potential for S-ECC to negatively impact
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44 251 systemic health and quality of life, it is of critical importance to investigate the underlying causes
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46 252 and identify any potential unique risk factors that may exist (Schroth et al. 2009; Schroth et al.
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48 253 2016).
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3 254 The results of the health questionnaire confirm previously reported behavioral and
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5 255 socioeconomic risk factors, including less frequent brushing, bottle-feeding, later age at weaning,
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8 256 and lower household income (American Academy of Pediatrics 2011; Reisine and Douglass
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10 257 1998). Although previously known as “nursing caries” or “baby-bottle tooth decay,” names that
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12 258 reflected the presumed behavioral and feeding-related etiology, it has become apparent more
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15 259 recently that S-ECC is a complex, multifactorial disease and that there is a major microbiological
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17 260 component (Irvine et al. 2011; QUEST 2015).

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20 261 We analyzed the plaque microbiome in each group by sequencing a region of the 16S
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22 262 rRNA gene. The results show a statistically significant separation between the microbiomes of
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24 263 the S-ECC and caries-free groups (**Figure 2**), indicating that the composition of the entire
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26 264 microbial community is an important determining factor. Interestingly, the groups did not differ
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29 265 in terms of species or phylogenetic diversity (**Figure 1**). Some studies have shown that increased
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31 266 diversity, including species richness, is associated with health (Belstrøm et al. 2014; Gross et al.
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33 267 2012; Xiao et al. 2016); however, there is conflicting evidence, with a few studies reporting the
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35 268 opposite (Griffen et al. 2012; Johansson et al. 2016; Xu et al. 2014). Most likely, diversity in
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38 269 general is not as important as a caries determinant as is the presence and quantity of particular
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41 270 organisms and the environment in which they reside.

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43 271 Sequencing revealed 10 phyla, 4 of which were significantly differentially represented in
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45 272 each group. The S-ECC group had a higher abundance of Firmicutes, while Actinobacteria and
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47 273 Fusobacteria were higher in the caries-free group. This supports a recent longitudinal study in
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49 274 young children, in which Actinobacteria decreased and Firmicutes increased as caries
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51 275 progression proceeded (Gross et al. 2012). Focusing only on the most abundant taxa, seven of the
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53 276 top 25 genera detected were significantly different between the groups. *Alloprevotella* was
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3 277 significantly increased in the S-ECC group; this genus was also reported to be increased in a
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6 278 study of adult subjects with caries (Xiao et al. 2016). The genera *Leptotrichia*, *Rothia*,
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8 279 *Corynebacterium*, *Actinomyces*, *Cardiobacterium*, and TM7 [G-1] were significantly higher in
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10 280 the caries-free group. These have been frequently identified in plaque and associated with health
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12 281 (Johansson et al. 2016; Tanner et al. 2011a; Xiao et al. 2016; Xu et al. 2014). Interestingly,
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15 282 *Cardiobacterium* is an oropharyngeal commensal known for its role in endocardial infections,
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17 283 and although it has been occasionally reported to be health-associated and in decreased
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20 284 abundance in caries in adults and children (Tanner et al. 2011a; Xiao et al. 2016), its role in oral
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22 285 health has not been thoroughly investigated.

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25 286 Of the top 25 most abundant species detected, *Granulicatella elegans*, *Prevotella*
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27 287 *melaninogenica*, and a *Haemophilus* species (HOT 036) were significantly more abundant in the
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29 288 S-ECC group. *G. elegans* and *Prevotella melaninogenica* have been previously reported to be
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31 289 increased in children with S-ECC compared to caries-free (Kanasi et al. 2010; Ling et al. 2010;
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33
34 290 Tanner et al. 2011a). Conversely, we found that *Rothia aeria*, *Corynebacterium matruchotii*,
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36 291 *Actinomyces naeslundii*, and *Streptococcus sanguinis* were significantly increased in the caries-
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38 292 free group. Both *C. matruchotii* and *A. naeslundii* have been previously associated with health
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40 293 and caries-free status (Gross et al. 2010; Ma et al. 2015; Marchant et al. 2001; Tanner et al.
41
42 294 2011a). *S. sanguinis* is a known health-related species that has been shown to have an inverse
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44 295 and antagonistic relationship with *S. mutans* (Caufield et al. 2000; Kreth et al. 2008). *Rothia*
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46 296 *spp.* are commonly detected in plaque (Aas et al. 2008; Bik et al. 2010; Kanasi et al. 2010; Ling
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48 297 et al. 2010), but *Rothia aeria* has not been previously particularly associated with health. Caries-
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50 298 free subjects in our study have almost five times the amount of *R. aeria* on average compared to
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52 299 the S-ECC children. Interestingly, another *Rothia* species, *R. dentocariosa*, has been previously
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3 300 associated with S-ECC (Jiang et al. 2016), but in our study the caries-free group had >2-fold
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5 301 higher levels. This discrepancy may be one example of the uniqueness of this particular
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7 302 population, and reinforces the need for further study of dental health in Indigenous children.
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10 303 The relative abundance of *Streptococcus mutans*, the quintessential cariogenic organism,
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12 304 was three times higher in the S-ECC group than in the caries-free group; but the average value
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14 305 masks the extremely high levels in some children in the S-ECC group (**Figure 3**) of up to 23% of
15
16 306 the total taxa detected. Interestingly, a recent study comparing the microbiomes of European
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18 307 adolescents with and without caries from two different countries showed that the relative
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20 308 importance of *S. mutans* in determining caries status was different based on where the
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22 309 populations resided; the role of *S. mutans* as an important etiologic factor was more pronounced
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24 310 in the population lacking access to caries prevention and treatment strategies compared to one in
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26 311 which there was adequate dental care (Johansson et al. 2016). This supports the idea that the
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28 312 cariogenic etiology of certain populations may be unique based on socioeconomic or geographic
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30 313 factors, and the high levels of *S. mutans* in some subjects in our study may be a reflection of that.
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32 314 In addition, similarly high levels of *S. mutans* were detected in a separate Indigenous population
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34 315 (Shi, W. *unpublished data*).
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40 316 Notably, there were 2 subjects (out of 20 total) in the caries-free group that have a high
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42 317 relative abundance (>2%) of *S. mutans*; these 2 subjects also have high levels (>2%) of both
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44 318 *Rothia aeria* and *Corynebacterium matruchotii*, two species significantly associated with caries-
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46 319 free status in this study. In the S-ECC group, there were 10 subjects (out of 30 total) with high
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48 320 abundances of *S. mutans*, with none exhibiting high levels of *R. aeria* and *C. matruchotii*. This
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50 321 suggests that certain health-related species may protect against the risk of carrying a high
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3 322 abundance of *S. mutans*, and indicates that the balance and structure of the microbial community
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5 323 as a whole may be the most important factor in determining caries risk.
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8 324 This study is not without limitations. Due to budgetary constraints, we relied on a
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10 325 convenience sample of children with S-ECC on the day of their dental surgery. All controls were
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12 326 from Winnipeg, and those with S-ECC were from different First Nations communities or off-
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14 327 reserve communities, including Winnipeg. Some of the questions posed to parents and caregivers
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16 328 were retrospective in nature, which might have resulted in recall bias, and the potential for
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18 329 response bias on the part of parents and caregivers is noted.
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22 330 Overall, this study yielded important information on the microbiome in First Nations and
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24 331 Métis children with S-ECC and those free from caries. The only previous study to investigate the
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26 332 microbiology of Canadian First Nations children with S-ECC was a longitudinal observation in
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28 333 1985 (Milnes and Bowden 1985). Therefore, this is the first study to investigate the microbiome
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30 334 of this population using modern molecular techniques. We confirmed previous reports that
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32 335 implicate behavioral as well as microbiological factors in the development of S-ECC, with *S.*
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34 336 *mutans* as the major cariogenic factor, along with many other species. Furthermore, we found
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36 337 that the S-ECC and caries-free groups represent disparate plaque microbial communities,
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38 338 supporting the notion that there is potentially a distinct caries-causing community that can
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40 339 eventually be identified and used for diagnosis and prognosis. It is clear that socioeconomics,
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42 340 cultural factors, and microbiology all play a role in the high rates of S-ECC experienced by
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44 341 Canadian Indigenous populations, but the finer details are still very much unknown. Therefore, it
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46 342 is imperative to continue to study the underlying causes (including the microbiome) of the
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48 343 extreme oral health disparities that these populations face in order to provide prevention and
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50 344 treatment services that accurately reflect the underlying etiology.
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3 345 **Acknowledgements:**
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5 346 Operating funds were provided by Delta Dental of Wisconsin. BScDent studentship funding for
6
7
8 347 J. Marques was provided by the Children's Hospital Research Institute of Manitoba. Dr. Schroth
9
10 348 holds a Canadian Institutes of Health Research Embedded Clinician salary award in improving
11
12 349 access to oral health care and oral health care delivery for at-risk young children in Manitoba.
13
14 350 This work was also supported in part by grants from the National Institute of Health (NIH-1-
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16 351 R01-DE020102, NIH-1-R01-DE023810, and NIH-1-R01-DE026186-01), and NIDCR T90
17
18 352 (DE022734) training award to (M.A.). The authors would like to thank the Misericordia Health
19
20 353 Centre (Winnipeg), participating pediatric dentists, parents and children, and Ms. Eleonore
21
22 354 Kliever. Additional thanks to Drs. Dee Robertson and Fred Eichmiller and QUEST in American
23
24 355 Indian/Alaska Native (AI/AN) Children, which is a 501(c)(3) organization whose mission is to
25
26 356 convene and focus the expertise and resources necessary to elucidate the etiology of rampant
27
28 357 caries in the primary dentition in AI/AN children, and to identify optimal strategies to prevent
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30 358 and control. The authors would like to acknowledge additional present and past QUEST in
31
32 359 AI/AN Children microbiology group members: Drs. Don Marianos, David Drake, and Anne
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34 360 Tanner.
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42 361 **Conflict of Interest Statement**

43 362 Melissa Agnello has no conflict of interest; Jesse Marques has no conflict of interest; Lujia Cen
44 363 has no conflict of interest; Betty-Anne Mittermuller has no conflict of interest; Alice Huang has
45 364 no conflict of interest; Nini Chaichanasakul Tran has no conflict of interest; Wenyan Shi is the
46 365 founding scientist for C3 Jian, Inc., which has licensed an anti-*S. mutans* technology from UC
47 366 Regents that could be indirectly related to this research project; Xuesong He has no conflict of
48 367 interest; Robert J Schroth has no conflict of interest
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3 499 **Figure Legends**

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8 501 **Figure 1.** Rarefaction curves of diversity indices. A) chao1 (species richness) and B) Faith's
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10 502 phylogenetic diversity index. S-ECC plaque samples are in red, caries-free in blue.

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15 504 **Figure 2.** Beta diversity shown by principle coordinates analysis of unweighted Unifrac
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17 505 distances (A) and weighted Unifrac distance (B). Caries-free: blue; S-ECC: red. The plaque
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19 506 microbial communities significantly clustered by caries status ($P < 0.05$, analysis of similarity
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21 507 [ANOSIM])

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27 509 **Figure 3.** Relative abundance of *Streptococcus mutans* in all subjects. Percent relative
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29 510 abundance of *S. mutans* is plotted individually for each subject.

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523 **Table 1.** Demographics and Health Characteristics of Study Population

Variable	Caries Status		P Value
	Caries-free	S-ECC	
Mean Age in Months*	37.4 ± 10.3	42.8 ± 12.2	0.11
Sex [†]			
Male	9 (32.1%)	19 (67.9%)	0.20
Female	11 (50.0%)	11 (50.0%)	
Average Weight at Birth*	3529.9 ± 699.0	3421.3 ± 573.2	0.57
Premature [†]			
Yes	2 (16.7%)	10 (83.3%)	0.073 ^a
No	18 (48.7%)	19 (51.4%)	
Feeding Habits [†]			
Child was breastfed			
Yes	13 (52.0%)	12 (48.0%)	0.083
No	7 (28.0%)	18 (72.0%)	
Child was <i>exclusively</i> breastfed			
Yes	12 (70.6%)	5 (29.4%)	0.0015
No	8 (24.2%)	25 (75.8%)	
Child was bottle fed			
Yes	16 (34.8%)	30 (65.2%)	0.021^a
No	4 (100.0%)	0 (0.0%)	
Age the child was weaned from the breast*	12.9 ± 11.4	3.3 ± 5.4	0.014
Age the child was weaned from the bottle*	17.9 ± 8.9	25.8 ± 12.0	0.028
Number of times per day child snacks*	3.7 ± 1.7	3.9 ± 1.4	0.71
Oral Hygiene Habits [†]			
Child brushes ≥ daily	17 (51.2%)	16 (48.5%)	0.032^a
Child brushes < daily	3 (17.7%)	14 (82.4%)	
Yearly Household income [†]			
> 28,000\$	7 (70.0%)	3 (30.0%)	0.032^a
< 28,000\$	12 (32.4%)	25 (67.6%)	
Family Size [†]			
Other children	2 (50.0%)	2 (50.0%)	1.00 ^a
Only child	18 (39.1%)	28 (60.9%)	
Receives Social Assistance [†]			
Yes	13 (37.1%)	22 (62.9%)	0.41
No	7 (50.0%)	7 (50.0%)	
Lives in a First Nation Community [†]			
Yes	0 (0.0%)	17 (100.0%)	0.000010^a
No	20 (60.6%)	13 (39.4%)	
Age of child at first dental visit	20.8 ± 16.0	27.8 ± 14.6	0.11

(months):

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chi square analysis, * = t-test, ^a = Fisher's exact

For Peer Review

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569 **Table 2.** Relative Abundance of the Top 25 Species-level and Genera-level Operational
 570 Taxonomic Units (OTUs) Detected in Plaque of Caries-Free (CF) Children and Children with S-
 571 ECC

Species-level OTU	Median Relative Abundance, % (Range)	
	Caries-Free (n=20)	S-ECC (n=30)
<i>Streptococcus</i> HOT 058	23.7 (12.3 – 42.0)	26.7 (11.1 – 42.3)
<i>Leptotrichia shahii</i>	3.4 (0 – 16.7)	2.1 (0.2 – 11.7)
<i>Lautropia mirabilis</i>	3.2 (0.2 – 11.6)	2.2 (0.05 – 10.0)
<i>Haemophilus parainfluenzae</i>	2.0 (0.01 – 7.2)	3.1 (0.12 – 12.6)
<i>Veillonella dispar</i>	2.2 (0.15 – 9.4)	3.0 (0.33 – 19.0)
<i>Rothia aeria</i> *	2.4 (0.37- 7.6)	0.52 (0.004 – 1.7)
<i>Corynebacterium matruchotii</i> *	2.0 (0.66 – 5.4)	0.85 (0.13 – 5.4)
<i>Actinomyces naeslundii</i> *	1.8 (0.68 – 6.2)	0.67 (0.15 – 5.7)
<i>Rothia dentocariosa</i>	1.7 (0.12 – 24.5)	0.70 (0.16 – 5.5)
<i>Abiotrophia defectiva</i>	1.1 (0.07 – 6.3)	1.3 (0.003 – 5.7)
<i>Gemella haemolysans</i>	0.87 (0.09 – 3.8)	1.2 (0.15 – 5.3)
<i>Granulicatella adiacens</i>	0.82 (0.14 – 2.3)	1.1 (0.02 – 2.4)
<i>Porphyromonas</i> HOT 279	0.63 (0.03 – 3.5)	1.1 (0.02 – 8.4)
<i>Granulicatella elegans</i> *	0.32 (0.03 – 1.2)	1.0 (0.003 – 4.0)
<i>Leptotrichia</i> HOT 225	1.0 (0.03 – 4.5)	0.45 (0.008 – 5.9)
<i>Fusobacterium nucleatum</i> ss. <i>vincentii</i>	0.54 (0.04 – 3.8)	0.88 (0.09 – 4.1)
<i>Corynebacterium durum</i>	0.80 (0.14 – 9.4)	0.41 (0 – 4.3)
<i>Streptococcus mutans</i>	0.15 (0.006 – 10.4)	0.73 (0.02 – 22.9)
<i>Prevotella melaninogenica</i> *	0.10 (0.002 – 3.6)	0.71 (0.03 – 11.8)
<i>Alloprevotella</i> HOT 473*	0.04 (0 – 1.7)	0.69 (0.001 – 9.3)
<i>Gemella morbillorum</i>	0.58 (0.11 – 3.3)	0.69 (0.07 – 2.0)
<i>Haemophilus</i> HOT 036*	0.07 (0.003 – 0.3)	0.56 (0.001 – 3.5)
<i>Streptococcus sanguinis</i> *	0.56 (0.19 – 0.8)	0.28 (0.13 – 0.7)
<i>Neisseria mucosa</i>	0.44 (0.09 – 1.2)	0.34 (0.02 – 1.2)
<i>Aggregatibacter</i> HOT 458	0.25 (0.003 – 2.3)	0.43 (0.05 – 2.8)
Genera-level OTU		
<i>Streptococcus</i>	28.3 (16.8 – 49.6)	31.3 (12.8 – 50.0)
<i>Leptotrichia</i> *	10.5 (4.2 – 23.7)	5.7 (0.61 – 30.4)
<i>Neisseria</i>	7.5 (0.70 – 27.9)	9.0 (0.22 – 26.4)
<i>Rothia</i> *	4.8 (0.72 – 29.9)	1.7 (0.04 – 10.3)
<i>Fusobacterium</i>	4.8 (0.65 – 12.3)	3.7 (1.1 – 9.5)
<i>Haemophilus</i>	2.1 (0.01 – 7.5)	4.6 (0.13 – 12.8)
<i>Veillonella</i>	2.4 (0.18 – 10.1)	4.1 (0.39 – 19.8)
<i>Corynebacterium</i> *	3.3 (1.4 – 14.8)	1.6 (0.01 – 8.1)
<i>Actinomyces</i> *	3.2 (1.4 – 9.4)	1.8 (0.25 – 7.4)

<i>Lautropia</i>	3.2 (0.19 – 11.6)	2.2 (0.05 – 10.2)
<i>Prevotella</i>	0.93 (0.17 – 9.1)	2.5 (0.20 – 26.5)
<i>Granulicatella</i>	1.3 (0.22 – 2.6)	2.3 (0.06 – 5.7)
<i>Gemella</i>	1.6 (0.20 – 4.9)	2.0 (0.29 – 7.0)
<i>Porphyromonas</i>	1.3 (0.08 – 5.1)	1.8 (0.023 – 9.2)
<i>Capnocytophaga</i>	1.5 (0.48 – 5.1)	0.94 (0.19 – 2.7)
<i>Abiotrophia</i>	1.1 (0.07 – 6.3)	1.3 (0.003 – 5.7)
<i>Kingella</i>	1.2 (0.61 – 2.5)	0.80 (0.065 – 2.1)
<i>Alloprevotella*</i>	0.13 (0.003 – 1.7)	1.0 (0.006 – 9.5)
<i>Aggregatibacter</i>	0.88 (0.01 – 3.3)	0.99 (0.14 – 4.1)
<i>Campylobacter</i>	0.59 (0.14 – 2.5)	0.46 (0.08 – 3.9)
<i>Selenomonas</i>	0.29 (0.02 – 3.7)	0.54 (0.02 – 4.2)
<i>Cardiobacterium*</i>	0.45 (0.04 – 2.2)	0.17 (0.002 – 0.7)
<i>Lachnoanaerobaculum</i>	0.43 (0.16 – 2.6)	0.32 (0.03 – 1.6)
<i>TM7 [G-1]*</i>	0.33 (0.004 – 1.4)	0.11 (0.005 – 1.8)
<i>Bergeyella</i>	0.33 (0.03 – 0.8)	0.27 (0.05 – 1.2)

HOT = Human Oral Taxon

* $P \leq 0.05$, Kruskal-Wallis test, corrected for multiple comparisons by the FDR method

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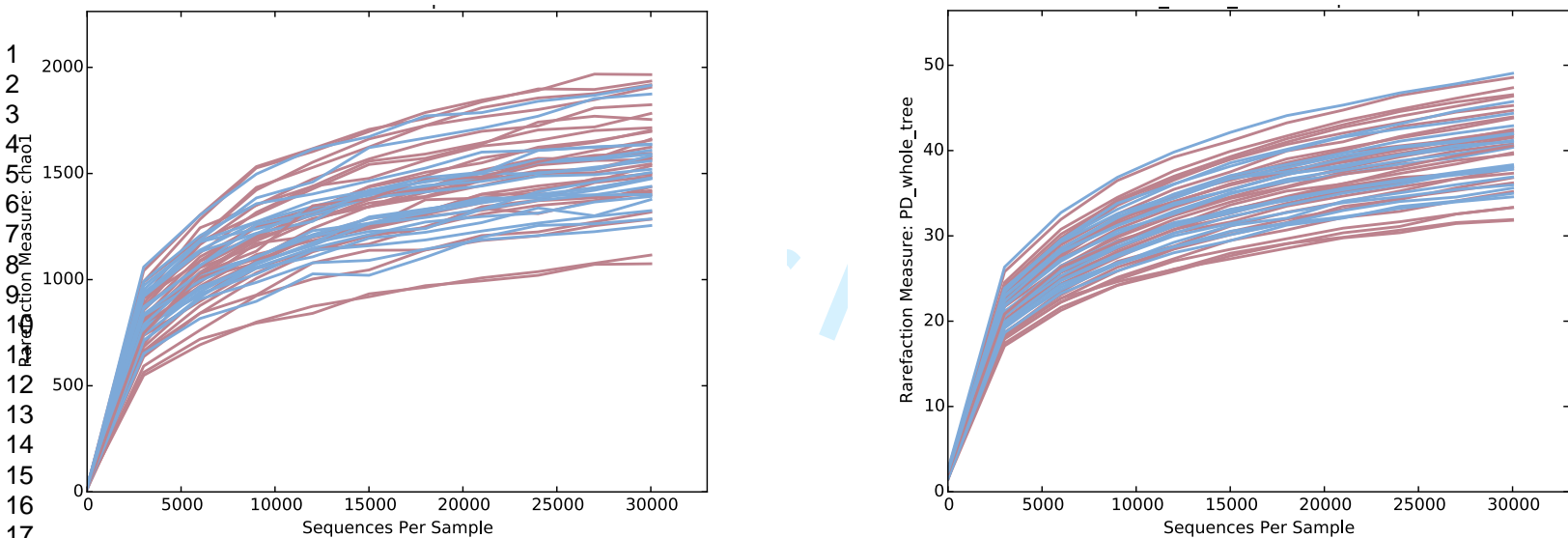


Figure 1. Rarefaction curves of diversity indices. A) chao1 (species richness) and B) Faith's phylogenetic diversity index. S-ECC plaque samples are in red, caries-free in blue.

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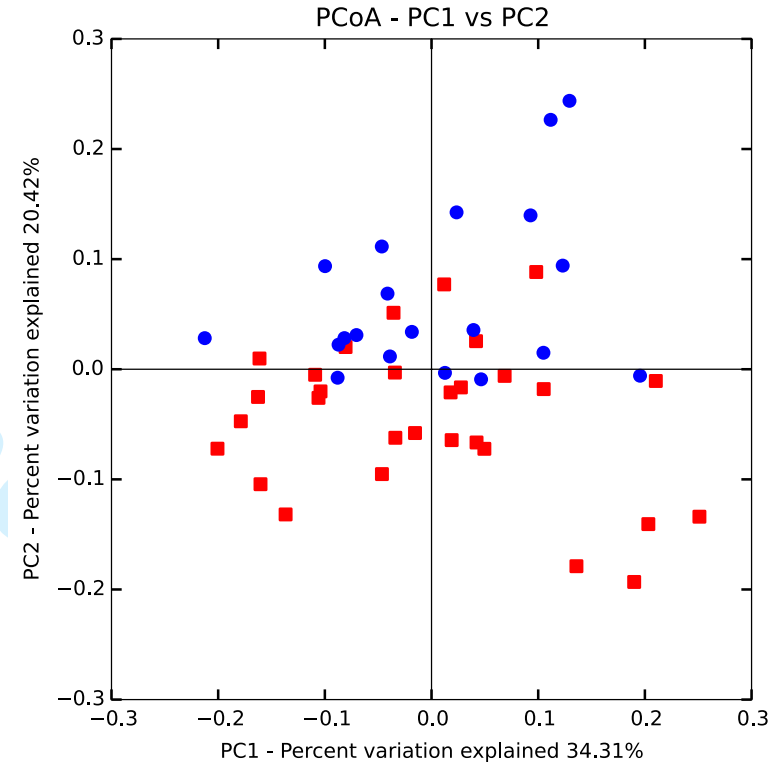
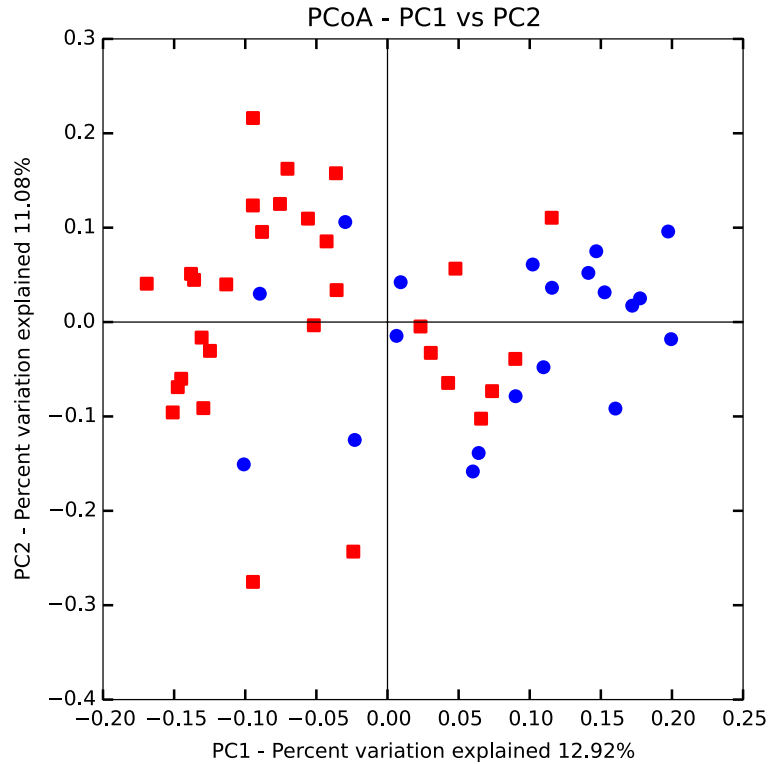


Figure 2. Beta diversity shown by principle coordinates analysis of unweighted Unifrac distances (A) and weighted Unifrac distance (B). Caries-free: blue, S-ECC: red. The plaque microbial communities significantly clustered by caries status ($P < 0.05$, analysis of similarity [ANOSIM])

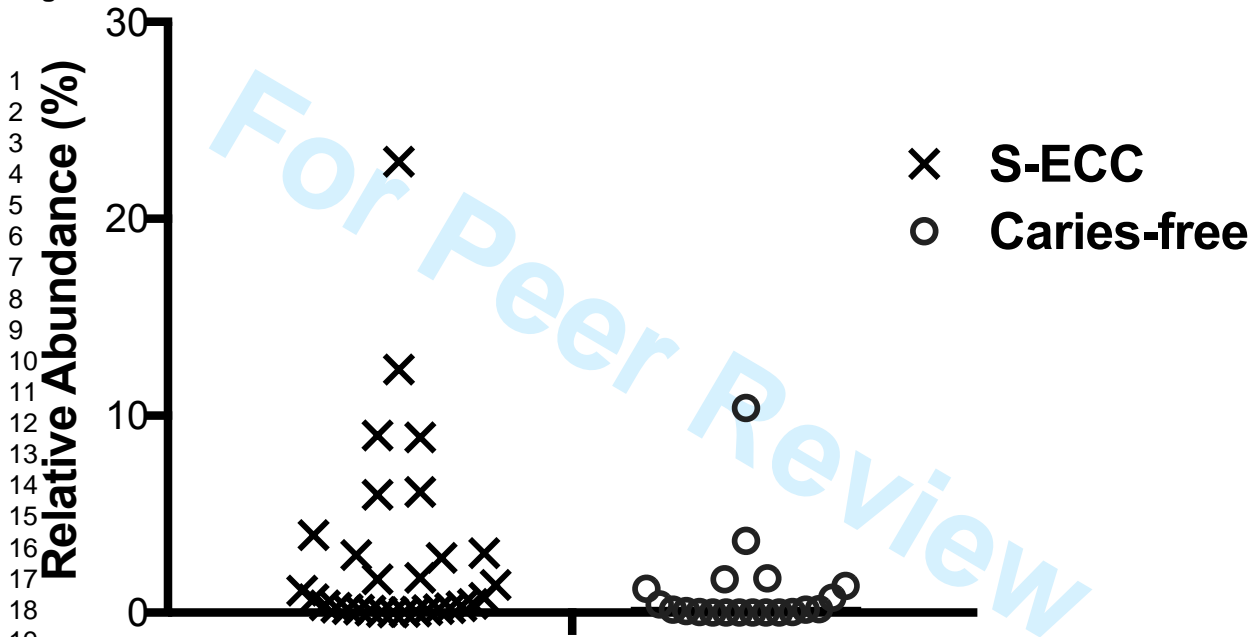


Figure 3. Relative abundance of *Streptococcus mutans* in all subjects. Percent relative abundance of *S. mutans* is plotted individually for each subject.