

# SMALL $p_n$ -SEQUENCES

by

**Dabin Wang**

A Thesis Presented to  
the Faculty of Graduate Studies and Research  
University of Manitoba  
In Partial Fulfillment of the Requirements for the  
Degree of Doctor of Philosophy

Department of Mathematics and Astronomy  
University of Manitoba  
Winnipeg, Manitoba

July 1996



National Library  
of Canada

Acquisitions and  
Bibliographic Services Branch

395 Wellington Street  
Ottawa, Ontario  
K1A 0N4

Bibliothèque nationale  
du Canada

Direction des acquisitions et  
des services bibliographiques

395, rue Wellington  
Ottawa (Ontario)  
K1A 0N4

*Your file* *Votre référence*

*Our file* *Notre référence*

The author has granted an irrevocable non-exclusive licence allowing the National Library of Canada to reproduce, loan, distribute or sell copies of his/her thesis by any means and in any form or format, making this thesis available to interested persons.

L'auteur a accordé une licence irrévocable et non exclusive permettant à la Bibliothèque nationale du Canada de reproduire, prêter, distribuer ou vendre des copies de sa thèse de quelque manière et sous quelque forme que ce soit pour mettre des exemplaires de cette thèse à la disposition des personnes intéressées.

The author retains ownership of the copyright in his/her thesis. Neither the thesis nor substantial extracts from it may be printed or otherwise reproduced without his/her permission.

L'auteur conserve la propriété du droit d'auteur qui protège sa thèse. Ni la thèse ni des extraits substantiels de celle-ci ne doivent être imprimés ou autrement reproduits sans son autorisation.

ISBN 0-612-16364-4

**Canada**

Name

Dabin Wang

*Dissertation Abstracts International* and *Masters Abstracts International* are arranged by broad, general subject categories. Please select the one subject which most nearly describes the content of your dissertation or thesis. Enter the corresponding four-digit code in the spaces provided.

SUBJECT TERM

Mathematics

0405

UMI

SUBJECT CODE

## Subject Categories

## THE HUMANITIES AND SOCIAL SCIENCES

## COMMUNICATIONS AND THE ARTS

Architecture ..... 0729  
 Art History ..... 0377  
 Cinema ..... 0900  
 Dance ..... 0378  
 Design and Decorative Arts ..... 0389  
 Fine Arts ..... 0357  
 Information Science ..... 0723  
 Journalism ..... 0391  
 Landscape Architecture ..... 0390  
 Library Science ..... 0399  
 Mass Communications ..... 0708  
 Music ..... 0413  
 Speech Communication ..... 0459  
 Theater ..... 0465

## EDUCATION

General ..... 0515  
 Administration ..... 0514  
 Adult and Continuing ..... 0516  
 Agricultural ..... 0517  
 Art ..... 0273  
 Bilingual and Multicultural ..... 0282  
 Business ..... 0688  
 Community College ..... 0275  
 Curriculum and Instruction ..... 0727  
 Early Childhood ..... 0518  
 Elementary ..... 0524  
 Educational Psychology ..... 0525  
 Finance ..... 0277  
 Guidance and Counseling ..... 0519  
 Health ..... 0680  
 Higher ..... 0745  
 History of ..... 0520  
 Home Economics ..... 0278  
 Industrial ..... 0521  
 Language and Literature ..... 0279  
 Mathematics ..... 0280  
 Music ..... 0522  
 Philosophy of ..... 0998

Physical ..... 0523  
 Reading ..... 0535  
 Religious ..... 0527  
 Sciences ..... 0714  
 Secondary ..... 0533  
 Social Sciences ..... 0534  
 Sociology of ..... 0340  
 Special ..... 0529  
 Teacher Training ..... 0530  
 Technology ..... 0710  
 Tests and Measurements ..... 0288  
 Vocational ..... 0747

## LANGUAGE, LITERATURE AND LINGUISTICS

Language  
 General ..... 0679  
 Ancient ..... 0289  
 Linguistics ..... 0290  
 Modern ..... 0291  
 Rhetoric and Composition ..... 0681

Literature  
 General ..... 0401  
 Classical ..... 0294  
 Comparative ..... 0295  
 Medieval ..... 0297  
 Modern ..... 0298  
 African ..... 0316  
 American ..... 0591  
 Asian ..... 0305  
 Canadian (English) ..... 0352  
 Canadian (French) ..... 0355  
 Caribbean ..... 0360  
 English ..... 0593  
 Germanic ..... 0311  
 Latin American ..... 0312  
 Middle Eastern ..... 0315  
 Romance ..... 0313  
 Slavic and East European ..... 0314

## PHILOSOPHY, RELIGION AND THEOLOGY

Philosophy ..... 0422  
 Religion  
 General ..... 0318  
 Biblical Studies ..... 0321  
 Clergy ..... 0319  
 History of ..... 0320  
 Philosophy of ..... 0322  
 Theology ..... 0469

## SOCIAL SCIENCES

American Studies ..... 0323  
 Anthropology  
 Archaeology ..... 0324  
 Cultural ..... 0326  
 Physical ..... 0327

Business Administration  
 General ..... 0310  
 Accounting ..... 0272  
 Banking ..... 0770  
 Management ..... 0454  
 Marketing ..... 0338  
 Canadian Studies ..... 0385

Economics  
 General ..... 0501  
 Agricultural ..... 0503  
 Commerce-Business ..... 0505  
 Finance ..... 0508  
 History ..... 0509  
 Labor ..... 0510  
 Theory ..... 0511

Folklore ..... 0358  
 Geography ..... 0366  
 Gerontology ..... 0351

History  
 General ..... 0578  
 Ancient ..... 0579

Medieval ..... 0581  
 Modern ..... 0582  
 Church ..... 0330  
 Black ..... 0328  
 African ..... 0331  
 Asia, Australia and Oceania ..... 0332  
 Canadian ..... 0334  
 European ..... 0335  
 Latin American ..... 0336  
 Middle Eastern ..... 0333  
 United States ..... 0337

History of Science ..... 0585  
 Law ..... 0398

Political Science  
 General ..... 0615  
 International Law and Relations ..... 0616  
 Public Administration ..... 0617  
 Recreation ..... 0814  
 Social Work ..... 0452

Sociology  
 General ..... 0626  
 Criminology and Penology ..... 0627  
 Demography ..... 0938  
 Ethnic and Racial Studies ..... 0631  
 Individual and Family Studies ..... 0628  
 Industrial and Labor Relations ..... 0629  
 Public and Social Welfare ..... 0630  
 Social Structure and Development ..... 0700  
 Theory and Methods ..... 0344  
 Transportation ..... 0709  
 Urban and Regional Planning ..... 0999  
 Women's Studies ..... 0453

## THE SCIENCES AND ENGINEERING

## BIOLOGICAL SCIENCES

Agriculture  
 General ..... 0473  
 Agronomy ..... 0285  
 Animal Culture and Nutrition ..... 0475  
 Animal Pathology ..... 0476  
 Fisheries and Aquaculture ..... 0792  
 Food Science and Technology ..... 0359  
 Forestry and Wildlife ..... 0478  
 Plant Culture ..... 0479  
 Plant Pathology ..... 0480  
 Range Management ..... 0777  
 Soil Science ..... 0481  
 Wood Technology ..... 0746

Biology  
 General ..... 0306  
 Anatomy ..... 0287  
 Animal Physiology ..... 0433  
 Biostatistics ..... 0308  
 Botany ..... 0309  
 Cell ..... 0379  
 Ecology ..... 0329  
 Entomology ..... 0353  
 Genetics ..... 0369  
 Limnology ..... 0793  
 Microbiology ..... 0410  
 Molecular ..... 0307  
 Neuroscience ..... 0317  
 Oceanography ..... 0416  
 Plant Physiology ..... 0817  
 Veterinary Science ..... 0778  
 Zoology ..... 0472

Biophysics  
 General ..... 0786  
 Medical ..... 0760

Geodesy ..... 0370  
 Geology ..... 0372  
 Geophysics ..... 0373  
 Hydrology ..... 0388  
 Mineralogy ..... 0411  
 Paleobotany ..... 0345  
 Paleocology ..... 0426  
 Paleontology ..... 0418  
 Paleozoology ..... 0985  
 Palynology ..... 0427  
 Physical Geography ..... 0368  
 Physical Oceanography ..... 0415

## HEALTH AND ENVIRONMENTAL SCIENCES

Environmental Sciences ..... 0768

Health Sciences  
 General ..... 0566  
 Audiology ..... 0300  
 Dentistry ..... 0567  
 Education ..... 0350  
 Administration, Health Care ..... 0769  
 Human Development ..... 0758  
 Immunology ..... 0982  
 Medicine and Surgery ..... 0564  
 Mental Health ..... 0347  
 Nursing ..... 0569  
 Nutrition ..... 0570  
 Obstetrics and Gynecology ..... 0380  
 Occupational Health and Safety ..... 0354  
 Oncology ..... 0992  
 Ophthalmology ..... 0381  
 Pathology ..... 0571  
 Pharmacology ..... 0419  
 Pharmacy ..... 0572  
 Public Health ..... 0573  
 Radiology ..... 0574  
 Recreation ..... 0575  
 Rehabilitation and Therapy ..... 0382

Speech Pathology ..... 0460  
 Toxicology ..... 0383  
 Home Economics ..... 0386

## PHYSICAL SCIENCES

## Pure Sciences

Chemistry  
 General ..... 0485  
 Agricultural ..... 0749  
 Analytical ..... 0486  
 Biochemistry ..... 0487  
 Inorganic ..... 0488  
 Nuclear ..... 0738  
 Organic ..... 0490  
 Pharmaceutical ..... 0491  
 Physical ..... 0494  
 Polymer ..... 0495  
 Radiation ..... 0754  
 Mathematics ..... 0405

Physics  
 General ..... 0605  
 Acoustics ..... 0986  
 Astronomy and Astrophysics ..... 0606  
 Atmospheric Science ..... 0608  
 Atomic ..... 0748  
 Condensed Matter ..... 0611  
 Electricity and Magnetism ..... 0607  
 Elementary Particles and High Energy ..... 0798  
 Fluid and Plasma ..... 0759  
 Molecular ..... 0609  
 Nuclear ..... 0610  
 Optics ..... 0752  
 Radiation ..... 0756  
 Statistics ..... 0463

Applied Sciences  
 Applied Mechanics ..... 0346  
 Computer Science ..... 0984

Engineering  
 General ..... 0537  
 Aerospace ..... 0538  
 Agricultural ..... 0539  
 Automotive ..... 0540  
 Biomedical ..... 0541  
 Chemical ..... 0542  
 Civil ..... 0543  
 Electronics and Electrical ..... 0544  
 Environmental ..... 0775  
 Industrial ..... 0546  
 Marine and Ocean ..... 0547  
 Materials Science ..... 0794  
 Mechanical ..... 0548  
 Metallurgy ..... 0743  
 Mining ..... 0551  
 Nuclear ..... 0552  
 Packaging ..... 0549  
 Petroleum ..... 0765  
 Sanitary and Municipal ..... 0554  
 System Science ..... 0790

Geotechnology  
 Acoustics ..... 0428  
 Operations Research ..... 0796  
 Plastics Technology ..... 0795  
 Textile Technology ..... 0994

## PSYCHOLOGY

General ..... 0621  
 Behavioral ..... 0384  
 Clinical ..... 0622  
 Cognitive ..... 0633  
 Developmental ..... 0620  
 Experimental ..... 0623  
 Industrial ..... 0624  
 Personality ..... 0625  
 Physiological ..... 0989  
 Psychobiology ..... 0349  
 Psychometrics ..... 0632  
 Social ..... 0451

## EARTH SCIENCES

Biogeochemistry ..... 0425  
 Geochemistry ..... 0996

THE UNIVERSITY OF MANITOBA  
FACULTY OF GRADUATE STUDIES  
COPYRIGHT PERMISSION

SMALL  $p_n$ - SEQUENCES

BY

DABIN WANG

A Thesis/Practicum submitted to the Faculty of Graduate Studies of the University of Manitoba in partial fulfillment of the requirements for the degree of

DOCTOR OF PHILOSOPHY

Dabin Wang © 1996

Permission has been granted to the LIBRARY OF THE UNIVERSITY OF MANITOBA to lend or sell copies of this thesis/practicum, to the NATIONAL LIBRARY OF CANADA to microfilm this thesis/practicum and to lend or sell copies of the film, and to UNIVERSITY MICROFILMS INC. to publish an abstract of this thesis/practicum.

This reproduction or copy of this thesis has been made available by authority of the copyright owner solely for the purpose of private study and research, and may only be reproduced and copied as permitted by copyright laws or with express written authorization from the copyright owner.

## ACKNOWLEDGEMENT

It is my pleasure to acknowledge my gratitude to my supervisor, Professor G. Grätzer, for introducing me to the research area of  $p_n$ -sequence and his invaluable advice. My sincere thanks go to R. Padmanabhan for providing me with some good background materials and spending time to discuss with me. I would like to thank Professor J. Berman, at University of Illinois at Chicago, for sending me his program for checking some calculations. Also, I would like to thank Professor D. Kelly and Professor D. Trim for their helps in checking this thesis.

During my Ph.D program, I have learnt a lot from the following professors: D. Kelly, C. R. Platt, R. W. Quackenbush, H. Lakser and B. Wolk. I wish to express my heartfelt thanks to them.

I am very grateful to Professor Lynn Batten, Professor P. McClure, and the members of Graduate Committee for creating a friendly environment for graduate students.

Finally, the financial assistance from the Department of Mathematics and Astronomy and Faculty of Graduate Studies at University of Manitoba and partial financial aid from Grätzer's school during the course of my research are very appreciated.

Dabin Wang

## ABSTRACT

A lot of works in the past dealt with the small  $p_n$ -sequences and the algebras related to them (see G. Grätzer, G. Grätzer and R. Padmanabhan, K. M. Koh, J. Dudek and others [28], [29], [30] and [21]). The  $p_n$ -sequence  $\langle 0, 1, 1, 4 \rangle$  (i.e.  $\langle 0, 0, 1, 4 \rangle$  in classic notation) seems complicated and no paper about it has been published yet. This sequence is related to Problem 7 and Problem 14 in [29].

For sequence  $\langle 0, 1, 1, 4 \rangle$ , we have obtained the following results:

(1) If algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$ , then  $p_4(\mathfrak{A}) \geq 19$  and  $\langle 0, 1, 1, 4, 19 \rangle$  is representable. Thus,  $p_n(\mathfrak{A}) \geq 2^n + 2^{n-2} - 1$ , for all  $n \geq 4$ .

As the by-products, we have the following statements:

(2) There are two varieties  $\mathbf{K}_i$  of type  $\langle 2, 3 \rangle$  and two four-element algebras  $Z_i \in \mathbf{K}_i$ ,  $i = 1, 2$ , such that

(a) both  $Z_i$  represent  $\langle 0, 1, 1, 4, 19, 151 \rangle$  and every algebra  $\mathfrak{A} \in \mathbf{K}_i$  representing  $\langle 0, 1, 1, 4 \rangle$  contains a subalgebra such that  $Z_i$  is a homomorphic image of this subalgebra;

(b) for algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  which is not in  $\mathbf{K}_1 \cup \mathbf{K}_2$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  iff  $\mathfrak{A}$  is a nontrivial join algebra; thus, for any algebra  $\mathfrak{A}$  without ternary reduct equivalent to some algebra in  $\mathbf{K}_1 \cup \mathbf{K}_2$ , the  $p_n$ -sequence of  $\mathfrak{A}$  identifies that of a nontrivial join algebra iff  $\mathfrak{A}$  is equivalent to a nontrivial join algebra.

(3) For any algebra  $\mathfrak{A} = \langle A; \cdot, f \rangle$  of type  $\langle 2, 3 \rangle$  with the property: either  $f(x, x, y) = x$  or  $f(x, y, x) = x$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  iff it is a nontrivial join algebra.

(4) There exist two subvarieties  $\mathbf{H}_1$  and  $\mathbf{H}_2$  of  $\mathbf{K}_1$  and  $\mathbf{K}_2$  respectively such that algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  represents  $\langle 0, 1, 1, 4, 19 \rangle$  iff  $|\mathfrak{A}| > 3$  and  $\mathfrak{A} \in \mathbf{H}_1 \cup \mathbf{H}_2$ .

(5) If algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$ , then  $\mathfrak{A}$  has a ternary reduct which is a nontrivial join algebra or in  $\mathbf{K}_1 \cup \mathbf{K}_2$ .

We also show that the  $p_n$ -sequence  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of algebras with a proper non-associative binary operation, which generalizes the result

of J. Galuszka and gives a partial solution of the open problem 18 raised by G. Grätzer and J. Kisielewicz whether  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property. From this result, the problem is reduced to consider the class of algebras with a semilattice operation.

In Part 3, we give some other results about small  $p_n$ -sequences. For example, K. M. Koh has investigated sequence  $\langle 0, 1, 1, 2 \rangle$  intensively. He has proved that there exist two varieties,  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$ , of type  $\langle 2, 3 \rangle$ , such that a ternary algebra  $\mathfrak{A}$  represents the sequence  $\langle 0, 1, 1, 2 \rangle$  if and only if  $\mathfrak{A}$  is equivalent to an algebra with more than one element belonging to  $\mathfrak{K}_1$  or  $\mathfrak{K}_2$ . In Chapter 9 of Part 3, we give characterizations of  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$ .

## Contents

ACKNOWLEDGEMENT	i
ABSTRACT	ii
Introduction and History	1
Part 1. On the $p_n$ -sequence $\langle 0, 1, 1, 4 \rangle$	7
Chapter 1. On binary term operation	8
Chapter 2. Basic results on ternary term operations	12
Chapter 3. On Class $S_1$	16
3-1. Classification of $S_1$	16
3-2. $p_4 \geq 31$ for the algebras in $S_1$	28
3-2.1. $p_4 \geq 31$ for algebras satisfying (N1)	31
3-2.2. $p_4 \geq 31$ for algebras satisfying (N2)	41
3-2.3. $p_4 \geq 31$ for algebras satisfying (N3)	48
3-2.4. $p_4 \geq 31$ for algebras satisfying (N4)	54
3-2.5. $p_4 \geq 31$ for algebras satisfying (N5)	61
3-2.6. $p_4 \geq 31$ for algebras satisfying (N6)	67
Chapter 4. On Class $S_2$	71
4-1. Classification of the class $S_2$	71
4-2. Lower bounds of $p_4$ and some algebras in the class $S_2$	89
4-2.1. $p_4 \geq 31$ under condition (T1)	90
4-2.2. Lower bound of $p_4$ under condition (T2) and join algebras	93



4-2.2.1.	$p_4 \geq 31$ under condition (C4-2.2.1)	94
4-2.2.2.	Condition (C4-2.2.2) and join algebras	102
4-2.3.	Lower bound under condition (T3) and the varieties $K_1$ and $K_2$	107
4-2.3.1.	$p_4 \geq 31$ under (T3.1)	112
4-2.3.2.	$p_4 \geq 19$ under (T3.2) and two varieties	115
4-2.4.	$p_4 \geq 31$ under condition (T4)	133
4-2.5.	$p_4 \geq 31$ under condition (T5)	142
4-2.6.	$p_4 \geq 31$ under condition (T6)	148
Chapter 5.	Conclusions and Conjectures	155
5-1.	Conclusions	155
5-2.	Conjectures	160
<b>Part 2.</b>	<b>On the <math>p_n</math>-sequence <math>\langle 0, 1, 1, 7 \rangle</math></b>	<b>161</b>
Chapter 6.	On the minimal extension of the $p_n$ -sequence $\langle 0, 1, 1, 7 \rangle$	162
6-1.	Main result	162
6-2.	Proof of main result	163
6-2.1.	Case (1) is impossible	164
6-2.2.	Case (2) implies that $\langle A; \cdot \rangle$ is non-Steiner	164
6-2.3.	Case (3) is impossible	170
6-2.4.	Cases (4) and (5) are impossible.	170
6-3.	Comments	172
<b>Part 3.</b>	<b>Other results</b>	<b>173</b>
Chapter 7.	Binary term operation for the sequence $\langle 0, 1, 1, 5 \rangle$	174
Chapter 8.	Binary term operation for the sequence $\langle 0, 1, 1, 6 \rangle$	179
Chapter 9.	Two results on Koh's and Dudek's	184
9-1.	Koh's result on $\langle 0, 1, 1, 2 \rangle$	184
9-2.	A simple proof of Dudek's result	185

CONTENTS

vi

Bibliography

187

## **Introduction and History**

A *universal algebra*  $\mathfrak{A}$  is an ordered pair  $\langle A; F \rangle$  where  $A$  is a nonempty set and  $F = \langle f_0, \dots, f_\lambda, \dots \rangle$ ,  $\lambda < \alpha$ , is a family of finitary operations on  $A$ . The operations in  $F$  are called the *basic operations* of  $\mathfrak{A}$ . The *type* of  $\mathfrak{A}$  is  $\tau = \langle n_0, \dots, n_\lambda, \dots \rangle$ ,  $\lambda < \alpha$ , where  $n_\lambda$  is the arity of  $f_\lambda$ .  $\mathfrak{A}$  is called *k-ary*, if  $n_\lambda \leq k$  for all  $\lambda < \alpha$ .

The *set of term operations (polynomials)* of  $\mathfrak{A}$ , denoted by  $\text{Term}\mathfrak{A}$ , is the set of term operations on  $A$  obtained from all the projection operations by composition with the basic operations. For each integer  $n > 0$ , if we start from the  $n$ -ary projection operations, we get  $\text{Term}_n\mathfrak{A}$ , the *set of  $n$ -ary term operations* of  $\mathfrak{A}$ .

An  $n$ -ary term operation  $p$  over  $\mathfrak{A}$  is said to *depend* on  $x_i$  if there exist  $a_1, \dots, a_i, a'_i, \dots, a_n$  in  $A$  such that

$$p(a_1, \dots, a_i, \dots, a_n) \neq p(a_1, \dots, a'_i, \dots, a_n).$$

If  $p \in \text{Term}_n\mathfrak{A}$  depends on all  $n$  variables  $x_1, \dots, x_n$ , then  $p$  is called *essentially  $n$ -ary* over  $\mathfrak{A}$ .

For  $n \geq 1$ , let  $\text{Term}_n^e\mathfrak{A}$  be the set of all the essentially  $n$ -ary term operations and set

$$p_n(\mathfrak{A}) = |\text{Term}_n^e\mathfrak{A}|.$$

Let  $p_0(\mathfrak{A})$  denote the number of constant unary term operations. Thus, the  *$p_n$ -sequence of  $\mathfrak{A}$*  is defined as

$$p(\mathfrak{A}) = \langle p_0(\mathfrak{A}), p_1(\mathfrak{A}), \dots, p_n(\mathfrak{A}), \dots \rangle.$$

For a nontrivial variety  $\mathbf{V}$ , we define the  *$p_n$ -sequence of  $\mathbf{V}$*  as the  $p_n$ -sequence of  $\mathbf{F}_\omega(\mathbf{V})$ , the free algebra on  $\omega$  generators in  $\mathbf{V}$ .

An  $p \in \text{Term}_n\mathfrak{A}$  is called an  *$n$ -ary polynomial* of  $\mathfrak{A}$ ; and an  $p \in \text{Term}_n^e\mathfrak{A}$  is called an *essentially  $n$ -ary polynomial* of  $\mathfrak{A}$ .

Let  $\mathbf{K}$  be a class of algebras. A sequence  $\langle p_0, p_1, \dots, p_n, \dots \rangle$  of cardinals is said to be *representable* in  $\mathbf{K}$ , if there exists an algebra  $\mathfrak{A}$  in  $\mathbf{K}$  such that  $p_n = p_n(\mathfrak{A})$  for every  $n \geq 0$ .

An algebra  $\mathfrak{A} = \langle A; F \rangle$  is *proper* if all operations in  $F$  are pairwise distinct and every nonnullary  $f \in F$  depends on all its variables. In this case,  $f \in F$  is said to be *proper*.

An algebra  $\mathfrak{A} = \langle A; F \rangle$  is *idempotent* if  $f(x, x, \dots, x) = x$ , for every  $f \in F$ . Obviously,  $\mathfrak{A}$  is idempotent if and only if  $p_0(\mathfrak{A}) = 0$  and  $p_1(\mathfrak{A}) = 1$ .

Two algebras  $\mathfrak{A}_1 = \langle A; F_1 \rangle$  and  $\mathfrak{A}_2 = \langle A; F_2 \rangle$  defined on the same base  $A$  are *equivalent* iff  $\text{Term}\mathfrak{A}_1 = \text{Term}\mathfrak{A}_2$ , which is equivalent to that any operation in  $F_1$  is a composition of term operations over  $\mathfrak{A}_2$  and vice versa.

We say that a sequence  $p = \langle p_0, p_1, \dots, p_n, \dots \rangle$  of cardinals is a *minimal extension* of the given sequence  $p' = \langle p_0, p_1, \dots, p_n \rangle$  (in some class  $\mathbf{K}$  of algebras) if the  $p$  is representable (in  $\mathbf{K}$ ) and for every algebra  $\mathfrak{A}$  (in  $\mathbf{K}$ ) which represents  $p'$  we have  $p_n(\mathfrak{A}) \geq p_n$  for all  $n$ . In this situation, we say that  $p'$  has the *minimal extension property* (MEP) (with  $p$  as the minimal extension).

For nonempty subset  $F' \subseteq \text{Term}\mathfrak{A}$ , the algebra  $\langle A; F' \rangle$  is called a *reduct* of  $\mathfrak{A}$ . If  $F' \subseteq \text{Term}_n\mathfrak{A}$ , it is called a *n-ary reduct* of  $\mathfrak{A}$ .

Let  $\mathbf{V}$  be a nontrivial variety of algebras and  $\mathbf{F}_n(\mathbf{V})$  denote the free algebra on  $n$  generators over  $\mathbf{V}$ , and for  $n > 0$ , define

$$f_n(\mathbf{V}) = |\mathbf{F}_n(\mathbf{V})|;$$

and  $f_0(\mathbf{V})$  is the number of constant unary term operations. The *free spectrum* of  $\mathbf{V}$  is the sequence

$$\mathbf{f}(\mathbf{V}) = \langle f_0(\mathbf{V}), f_1(\mathbf{V}), \dots, f_n(\mathbf{V}), \dots \rangle.$$

For an algebra  $\mathfrak{A}$ , let

$$f_n(\mathfrak{A}) = |\mathbf{F}_n(\mathfrak{A})|,$$

where  $\mathbf{F}_n(\mathfrak{A})$  is the free algebra on  $n$  generators in the variety generated by  $\mathfrak{A}$ . The *free spectrum* of  $\mathfrak{A}$  is defined similarly.

The basic problem is to study and characterize the representable sequences. An easy combinatorial argument shows that this problem is equivalent to that characterize the free spectrum (Problem 42 in [27]). Indeed, for an algebra  $\mathfrak{A}$  we have the following connections:

$$(C1) \quad f_n(\mathfrak{A}) = \sum_{k=0}^n \binom{n}{k} p_k(\mathfrak{A})$$

and

$$(C2) \quad p_n(\mathfrak{A}) = \sum_{k=0}^n (-1)^{n-k} \binom{n}{k} f_k(\mathfrak{A})$$

The development of the study of  $p_n$  sequence may briefly be divided into four stages. The initial stage can be considered as starting in 1910. Though there were no significant contributions to the theory, the idea was formed by the work of S. Sierpinski. He published a series of articles between 1918 and 1945 for the purpose of investigating the composition of functions.

The explicit formulation of the basic problem was given by E. Marczewski and his school in Wroclaw from 1963 to 1966. One of the deepest results was found by K. Urbanik [1965], who characterized the *zero set*

$$Z(\mathfrak{A}) = \{n \mid p_n(\mathfrak{A}) = 0\}.$$

A great deal of systematic and intensive work was carried out in G. Grätzer's seminar at the University of Manitoba in the academic year 1968–1969. G. Grätzer, J. Plonka and R. Padmanahan enriched and clarified this subject. The survey article by G. Grätzer [1970] has reviewed the field up to 1969. Since then, much progress has been made. Especially, A. Kisielewicz completed the solutions of the two most interesting open problems (see Theorem 3 and Theorem 4 in [29]).

The new survey paper by G. Grätzer and A. Kisielewicz [1992] begins a new period. In this survey, twenty nine open problems were raised. Some of them would reveal the nature of the  $p_n$ -sequence.

The purpose of this thesis is to attempt to attack Problem 7, Problem 14 and Problem 18 in the survey [29] and partial solutions of them are obtained. Obviously,

Problem 14 can be thought of as a part of Problem 7. We list these problems in the following:

- (1) Problem 7: Characterize the algebras with  $p_3 < 6$ .
- (2) Problem 14: Consider minimal extension for small sequence such as  $\langle 0, 1, 5 \rangle$ ,  $\langle 0, 1, 1, 2 \rangle$ ,  $\langle 0, 1, 1, 4 \rangle$ ,  $\langle 0, 1, 2, 5 \rangle$ . What kind of algebras represent them?
- (3) Problem 18: Do the sequences  $\langle 0, 1, 2, 9 \rangle$ ,  $\langle 0, 1, 2, 10 \rangle$  and  $\langle 0, 1, 1, 7 \rangle$  have the minimal extension property?

For  $\langle 0, 1, 1, 4 \rangle$ , there are no publications about it. We have obtained the following results (see Part 1):

- (1) If an algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$ , then  $p_4(\mathfrak{A}) \geq 19$  and  $\langle 0, 1, 1, 4, 19 \rangle$  is representable. Thus,  $p_n(\mathfrak{A}) \geq 2^n + 2^{n-2} - 1$ , for all  $n \geq 4$ .

As the by-products, we have the following statements:

- (2) There are two varieties  $\mathbf{K}_i$  of type  $\langle 2, 3 \rangle$  and two four-element algebras  $Z_i \in \mathbf{K}_i$ ,  $i = 1, 2$ , such that
  - (a) both  $Z_i$  represent  $\langle 0, 1, 1, 4, 19, 151 \rangle$  and every algebra  $\mathfrak{A} \in \mathbf{K}_i$  representing  $\langle 0, 1, 1, 4 \rangle$  contains a subalgebra such that  $Z_i$  is a homomorphic image of this subalgebra;
  - (b) for an algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  that is not in  $\mathbf{K}_1 \cup \mathbf{K}_2$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  iff  $\mathfrak{A}$  is a nontrivial join algebra; thus, for any algebra  $\mathfrak{A}$  without ternary reduct equivalent to some in  $\mathbf{K}_1 \cup \mathbf{K}_2$ , the  $p_n$  sequence of  $\mathfrak{A}$  identifies that of a nontrivial join algebra iff  $\mathfrak{A}$  is equivalent to a nontrivial join algebra.
- (3) For any algebra  $\mathfrak{A} = \langle A; \cdot, f \rangle$  of type  $\langle 2, 3 \rangle$  with the property: either  $f(x, x, y) = x$  or  $f(x, y, x) = x$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  iff it is a nontrivial join algebra.
- (4) There exist two subvarieties  $\mathbf{H}_1$  and  $\mathbf{H}_2$  of  $\mathbf{K}_1$  and  $\mathbf{K}_2$  respectively such that an algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  represents  $\langle 0, 1, 1, 4, 19 \rangle$  iff  $|\mathfrak{A}| > 3$  and  $\mathfrak{A} \in \mathbf{H}_1 \cup \mathbf{H}_2$ .
- (5) If algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$ , then  $\mathfrak{A}$  has a ternary reduct which is a nontrivial join algebra or in  $\mathbf{K}_1 \cup \mathbf{K}_2$ .

For the sequence  $\langle 0, 1, 1, 7 \rangle$ , there is a well-known result that  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of groupoids ([25], [29]). We have proved

that  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of all algebras with a proper nonassociative binary operation, which generalizes the previous result (see Part 2).

K. M. Koh has investigated sequence  $\langle 0, 1, 1, 2 \rangle$  intensively. He has proved that there exist two varieties,  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$ , of type  $\langle 2, 3 \rangle$ , such that a ternary algebra  $\mathfrak{A}$  represents the sequence  $\langle 0, 1, 1, 2 \rangle$  if and only if  $\mathfrak{A}$  is equivalent to an algebra with more than one element belonging to  $\mathfrak{K}_1$  or  $\mathfrak{K}_2$ . In Chapter 9 of Part 3, we give characterizations of  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$ .

We give additional results on  $p_n$ -sequences in Part 3.

The proof of the results on sequence  $\langle 0, 1, 1, 4 \rangle$  occupies almost the whole thesis. For the notions and notations, we refer to G. Grätzer's book [27] and two survey papers [28], [29].



## Part 1

On the  $p_n$ -sequence  $\langle 0, 1, 1, 4 \rangle$

## CHAPTER 1

### On binary term operation

Let algebra  $\mathfrak{A}$  represent sequence  $\langle 0, 1, 1, 4 \rangle$ . Then  $\mathfrak{A}$  has one and only one idempotent commutative essentially binary polynomial  $x \cdot y$  and  $\mathfrak{A}$  is nontrivial. In this chapter, we will prove that the binary operation “ $\cdot$ ” defined by this polynomial is associative, so “ $\cdot$ ” is a semilattice operation. To prove this, we assume the contrary, i.e., that “ $\cdot$ ” is nonassociative. We will find a contradiction. By the assumption,  $(xy)z$ ,  $(yz)x$  and  $(zx)y$  are pairwise distinct essentially ternary polynomials. Let  $f(x, y, z)$  be the fourth essentially ternary polynomial.

LEMMA 1-0.1.  $f(x, y, z)$  is symmetric.

PROOF. If  $f(x, y, z)$  is not symmetric, without loss of generality, we can suppose that

$$f(y, x, z) \neq f(x, y, z).$$

Since  $f(y, x, z)$  is an essentially ternary polynomial, from  $p_3(\mathfrak{A}) = 4$ ,

$$f(y, x, z) \in \{(xy)z, (yz)x, (zx)y\}.$$

But this implies  $f(x, y, z) \in \{(xy)z, (yz)x, (zx)y\}$ , a contradiction.  $\square$

LEMMA 1-0.2.  $f(x, y, y) = xy$ .

PROOF. Obviously,

$$f(x, y, y) = \begin{cases} x, \\ xy, \\ y. \end{cases}$$

Assume that  $f(x, y, y) = x$ . We will prove that

$$(1-0.2^*) \quad f(x, y, z), f(x, y, z)x, f(x, y, z)y \text{ and } f(x, y, z)z$$

are distinct essentially ternary polynomials.

Firstly, we claim that every polynomial in (1-0.2\*) is essentially ternary. By symmetry, we need only check  $f(x, y, z)x$ . Setting  $z = y$ ,  $f(x, y, z)x = f(x, y, y)x = xx = x$  so  $f(x, y, z)x$  depends on  $x$ . Notice that  $f(x, y, z)x$  depends on  $y$  iff it depends on  $z$ . Thus, if  $f(x, y, z)x$  is not essential, then  $f(x, y, z)x = x$ . Let  $y = x$ . By the assumption above,  $x = f(x, y, z)x = f(z, x, x)x = zx$ , a contradiction.

Secondly, all polynomials of (1-0.2\*) are pairwise distinct. If  $f(x, y, z)x = f(x, y, z)y$ , then, setting  $x = z$ ,  $yx = yy = y$ , a contradiction. By symmetry,

$$f(x, y, z)x, \quad f(x, y, z)y \text{ and } f(x, y, z)z$$

are pairwise distinct. If  $f(x, y, z) = f(x, y, z)x$ , then, setting  $z = x$ ,

$$y = f(y, x, x) = f(x, y, x) = f(x, y, x)x = f(y, x, x)x = yx,$$

a contradiction. So the polynomials in (1-0.2\*) are pairwise distinct.

Thirdly, we claim that

$$(1-0.2^{**}) \quad f(x, y, z)x = x(yz), \quad f(x, y, z)y = (xz)y, \quad f(x, y, z)z = (xy)z.$$

If  $f(x, y, z)x = (xy)z$ , then, exchanging  $y$  for  $z$ ,

$$(xy)z = f(x, y, z)x = f(x, z, y)x = (xz)y,$$

a contradiction to the assumption of the nonassociativity in this section. If  $f(x, y, z)x = (xz)y$ , then exchanging  $y$  for  $z$ ,

$$(xz)y = f(x, y, z)x = f(x, z, y)x = (xy)z,$$

a contradiction again. So  $f(x, y, z)x = x(yz)$ . By symmetry,  $f(x, y, z)y = (xz)y$  and  $f(x, y, z)z = (xy)z$ .

Let  $z = y$  in  $f(x, y, z)x = x(yz)$ . Then  $x = xx = f(x, y, z)x = x(yy) = xy$ , a contradiction. So  $f(x, y, y) \neq x$ .

A similar reasoning implies that  $f(x, y, y) = y$  is impossible. (proof: first we have

$$f(x, y, z), \quad f(x, y, z)x, \quad f(x, y, z)y \text{ and } f(x, y, z)z$$

are distinct essentially ternary polynomials. Indeed, let  $z = y$ . Then  $f(x, y, z)x = xy$  so  $f(x, y, z)x$  depends on  $x$ . Since  $f(x, y, z)x$  is symmetric in  $y$  and  $z$ , it is essentially ternary by  $f(x, y, z)x \neq x$ . By applying permutations, the others are essentially ternary. If  $f(x, y, z)x = f(x, y, z)$ , then, setting  $z = y$ ,  $yx = y$ , a contradiction. If  $f(x, y, z)x = f(x, y, z)y$ , then, setting  $z = y$ ,  $yx = y$ , a contradiction. By permutations, we can show that the above four ternary polynomials are pairwise distinct. Next, the same reason as above implies (1-0.2\*\*); and then a contradiction will appear (perhaps, you need  $(xy)y = y$  implies  $x = y$ ).  $\square$

LEMMA 1-0.3.  $(xy)y = x$ .

PROOF. Obviously,  $(xy)y \in \{x, y, xy\}$ . If  $(xy)y = y$ , then  $xy = ((xy)y)(xy) = y(xy) = y$ , a contradiction. If  $(xy)y = xy$ , then consider

$$f_1 = (xy)z, f_2 = (yz)x, f_3 = (zx)y, f_4 = (xy)(yz), f_5 = (yz)(zx), f_6 = (zx)(xy).$$

As the stated in G. Grätzer and R. Padmanabhan ([30], p78),  $f_i$ 's are essentially ternary and pairwise distinct since “ $\cdot$ ” is nonassociative. Therefore,  $p_3(\mathfrak{A}) \geq 6$ , contradicting  $p_3(\mathfrak{A}) = 4$ . Thus  $(xy)y = x$ .  $\square$

LEMMA 1-0.4.

$$f(x, y, z)x = f(x, y, z);$$

or

$$f(x, y, z)x = (yz)x,$$

$$f(x, y, z)y = (xz)y,$$

$$f(x, y, z)z = (xy)z.$$

PROOF. Suppose that  $f \cdot x \neq f$ . Then  $f \cdot x = (yz)x$  or  $(zx)y$  or  $(xy)z$  since  $f \cdot x$  is essentially ternary from Lemma 1-0.2. If  $fx = (xz)y$ , then, by the symmetry of  $fx$  in  $y$  and  $z$ ,

$$(xz)y = f(x, y, z)x = f(x, z, y)x = (xy)z$$

which implies associativity.

Similarly,  $fx \neq (xy)z$ . So  $fx = (yz)x$ .

Similarly, the other results can be proved. □

Now we are in a position to prove our main theorem in this section.

**THEOREM 1-0.1.** *If algebra  $\mathfrak{A} = \langle A; F \rangle$  represents sequence  $\langle 0, 1, 1, 4 \rangle$ , then the binary operation “ $\cdot$ ” is associative.*

PROOF. Suppose that “ $\cdot$ ” is nonassociative. Then there are only two cases to consider by Lemma 1-0.4.

Case 1.  $f(x, y, z)x = f$ :

By Lemma 1-0.3,  $x = (fx)f = ff = f$ , a contradiction.

Case 2.  $f(x, y, z)x = (yz)x$ :

By Lemma 1-0.3,  $f = (fx)x = ((yz)x)x = yz$ , a contradiction. □

**REMARK .** *We can prove that if an algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 5 \rangle$  or  $\langle 0, 1, 1, 6 \rangle$ , then there is one and only one essentially binary semilattice term operation  $xy$  (see Part 3).*

## CHAPTER 2

### Basic results on ternary term operations

Let  $S_n$  be the symmetric group on  $n$  letters  $\{1, 2, \dots, n\}$ . For every essentially  $n$ -ary polynomial  $p = p(x_1, x_2, \dots, x_n)$ , recall that the *symmetric group* of  $p$  is

$$\text{Inv}(p) = \{\alpha \in S_n \mid p = p^\alpha\},$$

where  $p = p^\alpha$  means  $p(x_1, x_2, \dots, x_n) = p(x_{1\alpha}, x_{2\alpha}, \dots, x_{n\alpha})$ . Define an equivalence relation  $\sim$  over the set  $\text{Term}_n^e(\mathfrak{A})$  of all essentially  $n$ -ary polynomials as follows:

$$p \sim q \iff p = q^\alpha \quad \text{for some } \alpha \in S_n.$$

Let  $\tilde{f}_1, \tilde{f}_2, \dots, \tilde{f}_m$  be all equivalence classes containing  $f_1, f_2, \dots, f_m$  as their representatives, respectively. Then

$$p_n = |\text{Term}_n^e(\mathfrak{A})| = \sum_{i=1}^m \frac{n!}{|\text{Inv}(f_i)|}.$$

Suppose that algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$ . Then its unique binary polynomial induces a semilattice operation “ $\cdot$ ”. Let  $g_1(x, y, z)$ ,  $g_2(x, y, z)$  and  $g_3(x, y, z)$  (in abbreviation,  $g_1, g_2$  and  $g_3$ ) denote the other three essentially ternary polynomials different from  $xyz$ . We have

THEOREM 2-0.2. *There are three cases (up to equivalence)*

- (1) all  $g_i$ 's are symmetric ;
- (2)  $g_1$  is symmetric and  $|\text{Inv}(g_2)| = |\text{Inv}(g_3)| = 3$ ;
- (3)  $|\text{Inv}(g_i)| = 2$ , for  $i = 1, 2, 3$ .

PROOF. Suppose that not all of them are symmetric. Without loss of generality, let  $g_1$  be symmetric. If  $g_2$  is symmetric, then  $|\text{Inv}(g_k)| = 6$  for  $k = 1, 2$ . Since

$$4 = \frac{6}{|\text{Inv}(xyz)|} + \frac{6}{|\text{Inv}(g_1)|} + \frac{6}{|\text{Inv}(g_2)|} + \frac{6}{|\text{Inv}(g_3)|},$$

$|\text{Inv}(g_3)| = 6$ , that is,  $g_3$  is symmetric, a contradiction. Similarly,  $g_3$  is not symmetric. Thus,  $\tilde{g}_2 = \tilde{g}_3$  so  $|\text{Inv}(g_2)| = |\text{Inv}(g_3)| = 3$ .

If none of them is symmetric, then all  $\tilde{g}_i$ 's are equal, so  $|\text{Inv}(g_i)| = 2$  for all  $i$ .  $\square$

Based on the results of sequence  $\langle 0, 1, 1, 2 \rangle$ , K. M. Koh gave examples of algebras representing  $\langle 0, 1, 1, n \rangle$  in 1971 [45] (Proposition 2.4, p.101). He considered the class  $K(1)$  of all algebras on which all basic operations are symmetric. He proved that if  $\mathfrak{A} \in K(1)$  represents  $\langle 0, 1, 1, n \rangle$ , then  $p_4(\mathfrak{A}) \geq 10n - 9$ . Thus, for case (1) in Theorem 2-0.2,  $p_4(\mathfrak{A}) \geq 31$ .

In symmetric group  $S_3$ , there are only two elements of degree 3, (123) and (132). Since  $(123)^2 = (132)$  and  $(132)^2 = (123)$ , for case (2), we can assume that

$$(s0) \quad g_1(x, y, z) \text{ is symmetric,}$$

$$(s1) \quad g_2(x, y, z) = g_2(z, x, y) = g_2(y, z, x) = g_3(y, x, z),$$

$$(s2) \quad g_3(x, y, z) = g_3(z, x, y) = g_3(y, z, x) = g_2(y, x, z).$$

For case (3), we will prove that  $\text{Inv}(g_i)$ 's are pairwise different,  $i = 1, 2, 3$ . Thus, we can assume that

$$(s3) \quad \text{Inv}(g_1) = \langle (23) \rangle, \quad \text{Inv}(g_2) = \langle (13) \rangle, \quad \text{Inv}(g_3) = \langle (12) \rangle.$$

where  $\langle (23) \rangle$  denotes the subgroup of  $S_3$  generated by the permutation (23) and so on. If the three symmetric groups are all equal, then without loss of generality, we can assume that  $g_i(x, y, z) = g_i(y, x, z)$ , i.e.,  $\text{Inv}(g_i) = \langle (12) \rangle$ , for all  $i = 1, 2, 3$ . Thus,

$$g_1(x, z, y) = \begin{cases} g_2(x, y, z), \\ g_3(x, y, z). \end{cases}$$

If  $g_1(x, z, y) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_1(x, z, y) = g_1(z, x, y) = g_2(z, y, x).$$

Therefore,  $(13) \in \text{Inv}(g_2)$ , a contradiction. If  $g_1(x, z, y) = g_3(x, y, z)$ , then

$$g_3(x, y, z) = g_1(x, z, y) = g_1(z, x, y) = g_3(z, y, x),$$

so  $(13) \in \text{Inv}(g_3)$ , a contradiction.

Suppose that two of the symmetric groups are equal, say the first two, i.e.,

$$g_1(x, y, z) = g_1(y, x, z), \quad g_2(x, y, z) = g_2(y, x, z)$$

and

$$g_3(x, y, z) = g_3(x, z, y).$$

Then

$$g_1(x, z, y) = \begin{cases} g_2(x, y, z), \\ g_3(x, y, z). \end{cases}$$

If  $g_1(x, z, y) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_1(x, z, y) = g_1(z, x, y) = g_2(z, y, x).$$

Therefore,  $(13) \in \text{Inv}(g_2)$ , a contradiction. If  $g_1(x, z, y) = g_3(x, y, z)$ , then

$$g_1(x, z, y) = g_3(x, y, z) = g_3(x, z, y) = g_1(x, y, z),$$

which implies  $(23) \in \text{Inv}(g_1)$ , a contradiction.

Therefore  $\text{Inv}(g_i)$ 's are pairwise different,  $i = 1, 2, 3$ ; and we can assume (s3).

Let  $\mathbf{S}_1$  denote the class of algebras representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (s0), (s1), and (s2). Let  $\mathbf{S}_2$  denote the class of algebras representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (s3). In the following chapters, we will consider the lower bounds of  $p_4(\mathfrak{A})$  in these



two classes and discuss some algebras related to those lower bounds. When we say permutation (23) acting on  $f(x, y, z)$ , it means that (23) does on the second and the third variables of  $f$ ; and so on. **From now on, suppose that the semilattice term operation is meet semilattice operation.**

## CHAPTER 3

### On Class $S_1$

In this chapter, we will classify all algebras representing  $\langle 0, 1, 1, 4 \rangle$  in  $S_1$  as six subclasses. Then we will prove that  $p_4(\mathfrak{A}) \geq 31$  for each subclass.

#### 3-1. Classification of $S_1$

Suppose that algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$  and there are three essentially ternary polynomials  $g_i$ ,  $i = 1, 2, 3$ , satisfying that  $g_1$  is symmetric, and conditions (s1) and (s2):

$$\begin{aligned}g_2(x, y, z) &= g_2(y, z, x) = g_2(z, x, y) = g_3(y, x, z), \\g_3(x, y, z) &= g_3(y, z, x) = g_3(z, x, y) = g_2(y, x, z).\end{aligned}$$

For simplification, sometimes  $g_i(x, y, z)$  is simply denoted by  $g_i$ .

LEMMA 3-1.1.  $g_i(x, y, y) = xy$  for all  $i$ .

PROOF. We only need to prove that  $g_2(x, y, y) = xy$  since the others are similar.

If  $g_2(x, y, y) = x$ , we claim that the polynomials

$$g_2, g_2x, g_2y \text{ and } g_2z$$

are pairwise distinct and essentially ternary. If  $g_2 = g_2x$ , then, letting  $z = x$ ,

$$y = g_2(y, x, x) = g_2(y, x, x)x = yx,$$

a contradiction. Similarly,  $g_2 \neq g_2y, g_2z$ . If  $g_2x = g_2y$ , then, letting  $z = y$ ,

$$x = xx = g_2(x, y, y)x = g_2(x, y, y)y = xy,$$

a contradiction. Symmetrically,  $g_2x \neq g_2z$  and  $g_2y \neq g_2z$ . Set  $z = y$ ,  $g_2(x, y, z)x = g_2(x, y, y)x = x$ , so  $g_2(x, y, z)x$  depends on  $x$ . Letting  $z = x$ ,

$$g_2(x, y, z)x = g_2(x, y, x)x = g_2(y, x, x)x = yx$$

so  $g_2(x, y, z)x$  depends on  $y$ . Letting  $y = x$ ,

$$g_2(x, y, z)x = g_2(x, x, z)x = g_2(z, x, x)x = zx,$$

so  $g_2(x, y, z)x$  depends on  $z$ . Thus,  $g_2(x, y, z)x$  is essentially ternary. By permutation  $(xyz)$ ,  $g_2y$  and  $g_2z$  are essentially ternary. So our claim is true.

We claim that  $g_2x$ ,  $g_2y$  and  $g_2z$  are not symmetric. Otherwise, all of them are the same by permutation  $(xyz)$ , a contradiction to the claim above. Therefore we obtain at least three nonsymmetric essentially ternary polynomials, which contradicts our assumption.

Similarly,  $g_2(x, y, y) = y$  is impossible. So  $g_2(x, y, y) = xy$ . □

From Lemma 3-1.1, it is easy to show that

LEMMA 3-1.2.  $g_ix$ ,  $g_iy$  and  $g_iz$  are essentially ternary for all  $i$ .

PROOF. Let  $z = y$ . Then  $g_2(x, y, z)x = g_2(x, y, y)x = xy$ , so  $g_2(x, y, z)x$  depends on  $x$ . Similarly,  $g_2(x, y, z)x$  depends on  $y$  and  $z$ , respectively. By permutation  $(xyz)$ ,  $g_2y$  and  $g_2z$  are essentially ternary. Similarly, we can prove the others. □

LEMMA 3-1.3. One of  $g_1x$ ,  $g_1y$  and  $g_1z$  is symmetric if and only if they are all symmetric so they are all equal.

LEMMA 3-1.4.  $g_1x$ ,  $g_1y$ ,  $g_1z$  are all symmetric and then either

$$g_1x = g_1y = g_1z = xyz$$

or

$$g_1x = g_1y = g_1z = g_1.$$

PROOF. Otherwise, they are nonsymmetric and pairwise distinct by Lemma 3-1.3. But there are only two nonsymmetric essentially ternary polynomials by assumption, a contradiction.  $\square$

LEMMA 3-1.5.  $g_1(xy, x, y) = xy$  so  $g_1(xyz, xy, z) = xyz$  and  $g_1(xyz, xy, xz) = xyz$ .

PROOF. The last two are direct consequences of the first one. And the first follows from the statement that  $g_1(xy, x, y)$  depends on  $x$  if and only if it depends on  $y$  (since it is symmetric in  $x$  and  $y$ ).  $\square$

LEMMA 3-1.6.  $g_1(xy, y, z)$  is an essentially ternary polynomial.

PROOF. Let  $x = y$ . Then  $g_1(xy, y, z) = g_1(x, x, z) = xz$  by Lemma 3-1.1. So  $g_1(xy, y, z)$  depends on  $z$ . Let  $z = x$ . Then  $g_1(xy, y, z) = g_1(xy, y, x) = xy$  by Lemma 3-1.5. So  $g_1(xy, y, z)$  depends on  $y$ . Similarly, it depends on  $x$  by Lemma 3-1.1, so it is essentially ternary.  $\square$

LEMMA 3-1.7.  $g_1(xy, y, z) \neq g_1(x, y, z)$ .

PROOF. If

$$(3-1.7-1) \quad g_1(xy, y, z) = g_1(x, y, z),$$

then

$$\begin{aligned} g_1(x, y, z) &= g_1(y, z, xy) && \text{( by permutation (123) )} \\ &= g_1(yz, z, xy) && \text{( by (3-1.7-1) )} \\ &= g_1(z, xy, yz) && \text{( by permutation (123) )} \\ &= g_1(xyz, xy, yz) && \text{( by (3-1.7-1) )} \\ &= g_1(xy, yz, xyz) && \text{( by permutation (123) )} \\ &= g_1(xyz, yz, xyz) && \text{( by (3-1.7-1) )} \\ &= g_1(yz, xyz, xyz) && \text{( by permutation (123) )} \end{aligned}$$

$$\begin{aligned}
&= g_1(xyz, xyz, xyz) \text{ (by (3-1.7-1))} \\
&= xyz, \quad \text{(by idempotence)}
\end{aligned}$$

a contradiction. □

COROLLARY 3-1.1.  $g_i(xy, y, z) \neq g_i(x, y, z)$  for  $i=2,3$ .

PROOF. In the proof of Lemma 3-1.7, we only use permutation (123). □

LEMMA 3-1.8.  $g_1(xy, y, z) = xyz$ .

PROOF. By Lemma 3-1.6,  $g_1(xy, y, z)$  is essentially ternary. By Lemma 3-1.7,  $g_1(xy, y, z) \neq g_1(x, y, z)$ . If

$$g_1(xy, y, z) = g_2(x, y, z),$$

then

$$\begin{aligned}
g_2(x, y, z) &= g_1(xy, y, z) \quad \text{(by assumption)} \\
&= g_1((xy)y, y, z) \\
&= g_2(xy, y, z), \quad \text{(by assumption)}
\end{aligned}$$

contradicting Corollary 3-1.1. Hence  $g_1(xy, y, z) \neq g_2(x, y, z)$ . Similarly,  $g_1(xy, y, z) \neq g_3(x, y, z)$ . So  $g_1(xy, y, z) = xyz$ . □

COROLLARY 3-1.2.  $g_1(xy, xz, z) = xyz$ ,  $g_1(xy, xz, x) = xyz$ ,  $g_1(xyz, y, z) = xyz$ .

LEMMA 3-1.9.  $g_1(xy, yz, zx) = xyz$ .

PROOF. Obviously,  $g_1(xy, yz, zx)$  is symmetric. It is easy to show that it is essentially ternary by Lemma 3-1.1. So

$$g_1(xy, yz, zx) = \begin{cases} xyz, \\ g_1(x, y, z). \end{cases}$$

If  $g_1(xy, yz, zx) = g_1(x, y, z)$ , then

$$\begin{aligned} xyz &= g_1(xyz, xyz, xyz) \\ &= g_1(xy \cdot yz, yz \cdot zx, zx \cdot xy) \\ &= g_1(xy, yz, zx) \\ &= g_1(x, y, z), \end{aligned}$$

a contradiction. □

LEMMA 3-1.10. *If  $g_i(xy, y, z)$  is essentially ternary, then  $g_i(xy, y, z) = xyz$  for  $i=2,3$ .*

PROOF. If  $g_2(xy, y, z) = g_3(x, y, z)$ , then

$$\begin{aligned} g_3(x, y, z) &= g_2(xy, y, z) \quad (\text{by assumption}) \\ &= g_2((xy)y, y, z) \\ &= g_3(xy, y, z), \quad (\text{by assumption}) \end{aligned}$$

contradicting Corollary 3-1.1. So  $g_2(xy, y, z) \neq g_3(x, y, z)$ . Similarly,  $g_2(xy, y, z) \neq g_1(x, y, z)$  by Lemma 3-1.7-1. By Corollary 3-1.1,  $g_2(xy, y, z) = xyz$ . Similarly,  $g_3(xy, y, z) = xyz$ . □

COROLLARY 3-1.3. *If  $g_i(xy, y, z)$  is essentially ternary, then*

$$\begin{aligned} g_i(xy, xz, z) &= xyz, & g_i(xy, xz, y) &= xyz, \\ g_i(xy, xz, y) &= xyz, & g_i(xyz, y, z) &= xyz, \\ g_i(y, xy, z) &= xyz. \end{aligned}$$

We will prove in the proof of Theorem 3-1.1 that  $g_i(xy, y, z)$  is essentially ternary, for  $i = 2, 3$ . Therefore the conditions in Lemma 3-1.10 and Corollary 3-1.3 can be eliminated.

LEMMA 3-1.11.  $g_i(xy, x, y) = xy$  for all  $i$ .

PROOF. The case  $i = 1$  is Lemma 3-1.5. We only prove the case  $i=2$  since the proof of the other is similar.

If  $g_2(xy, x, y) \neq xy$ , then

$$g_2(xy, x, y) = \begin{cases} x, \\ y. \end{cases}$$

*Case 1* .  $g_2(xy, x, y) = x$  i.e.,  $g_2(xy, y, x) = y$ :

*Subcase 1.1* . If  $g_2(xy, y, z)$  is essentially ternary, then, by Lemma 3-1.10,  $g_2(xy, y, z) = xyz$ . Let  $z = x$ . Then  $xy = g_2(xy, y, x) = y$  by assumption of case 1, a contradiction.

*Subcase 1.2* . Suppose that  $g_2(xy, y, z)$  is not essentially ternary. Then

$$g_2(xy, y, z) = \begin{cases} xy \\ xz \\ yz \\ x \\ y \\ z. \end{cases}$$

If  $g_2(xy, y, z) = xy$ , then, setting  $z = x$ ,  $xy = g_2(xy, y, x) = y$  by the assumption of case 1, a contradiction. If  $g_2(xy, y, z) = xz$ , then, setting  $z = x$ ,

$$x = g_2(xy, y, x),$$

contradicting the assumption of case 1. If  $g_2(xy, y, z) = yz$ , then, setting  $z = x$ ,

$$xy = g_2(xy, y, x),$$

contradicting the assumption of case 1. If  $g_2(xy, y, z) = x$ , then, setting  $z = xy$ ,  $x = g_2(xy, y, xy) = xy$  by Lemma 3-1.1, a contradiction. If  $g_2(xy, y, z) = y$ , then, setting  $z = y$ ,  $y = g_2(xy, y, y) = xy$  by Lemma 3-1.1, a contradiction. If  $g_2(xy, y, z) = z$ , then, setting  $z = x$ ,  $x = g_2(xy, y, x)$ , contradicting the assumption of case 1.

*Case 2* .  $g_2(xy, x, y) = y$  i.e.,  $g_2(xy, y, x) = x$ :

*Subcase 2.1.* If  $g_2(xy, y, z)$  is essentially ternary, then, by Lemma 3-1.10,  $g_2(xy, y, z) = xyz$ . Let  $z = x$ . Then  $xy = g_2(xy, y, x) = x$  by the assumption of case 2, a contradiction.

*Subcase 2.2.* Suppose that  $g_2(xy, y, z)$  is not essentially ternary. Then

$$g_2(xy, y, z) = \begin{cases} xy \\ xz \\ yz \\ x \\ y \\ z. \end{cases}$$

If  $g_2(xy, y, z) = xy$ , then, setting  $z = x$ ,  $g_2(xy, y, x) = xy$ , contradicting the assumption of case 2. If  $g_2(xy, y, z) = yz$ , then, setting  $z = x$ ,  $xy = g_2(xy, y, x) = x$  by the assumption of case 2, a contradiction. If  $g_2(xy, y, z) = xz$ , then, replacing  $y$  by  $xy$ ,

$$xz = g_2(x(xy), xy, z) = g_2(xy, xy, z) = (xy)z = xyz$$

by Lemma 3-1.1, a contradiction. Since  $g_2(xy, y, z)$  depends on  $z$ ,  $g_2(xy, y, z) \neq x$  or  $y$ . If  $g_2(xy, y, z) = z$ , then, substituting  $xy$  for  $y$ ,

$$z = g_2(x(xy), xy, z) = g_2(xy, xy, z) = xyz,$$

a contradiction.

Thus,  $g_2(xy, x, y) = xy$ . □

**COROLLARY 3-1.4.**  $g_i(xy, y, x) = xy$  for all  $i$ .

**THEOREM 3-1.1.**  $g_i(xy, y, z) = xyz$ , for all  $i = 1, 2, 3$ .

**PROOF.** The case  $i = 1$  has been already proved in Lemma 3-1.8. By Lemma 3-1.10, we only need to prove that  $g_i(xy, y, z)$  is essentially ternary. By Lemma 3-1.1, it is easy to see that  $g_i(xy, y, z)$  depends on  $z$ . Let  $z = x$ . Then  $g_i(xy, y, z) =$



$g_i(xy, y, x) = xy$  by Lemma 3-1.11, so it depends on  $y$ . Let  $z = x$ . Then  $g_i(xy, y, z) = g_i(xy, y, y) = xy$  by Lemma 3-1.1, so it depends on  $x$ .  $\square$

LEMMA 3-1.12.  $g_2(x, y, z)g_3(x, y, z) = g_1(x, y, z)$  or  $xyz$ .

PROOF. It is easy to check that the left hand side is essentially ternary and symmetric. So we obtain the lemma.  $\square$

LEMMA 3-1.13.  $g_i(xy, xz, yz) = xyz$  for all  $i$ .

PROOF. Lemma 3-1.9 is the case  $i=1$ . We will prove the Lemma for  $i=2$ . By Lemma 3-1.1, it is easy to show that  $g_2(xy, yz, xz)$  is essentially ternary.

If

$$(3-1.13-1) \quad g_2(xy, yz, xz) = g_1(x, y, z),$$

then, by the symmetry of the right hand side,

$$\begin{aligned} g_1(x, y, z) &= g_2(xy, xz, yz) \text{ (by permutation } (xy)) \\ &= g_3(xy, yz, xz) \text{ (by permutation } (23)), \end{aligned}$$

so

$$\begin{aligned} g_1(x, y, z) &= g_1(x, y, z)g_1(x, y, z) \\ &= g_2(xy, yz, xz)g_3(xy, yz, xz) \\ &= \begin{cases} g_1(xy, yz, xz), & \text{(by Lemma 3-1.12)} \\ (xy)(yz)(xz) \end{cases} \\ &= xyz, & \text{(by Lemma 3-1.9)} \end{aligned}$$

a contradiction.

If

$$(3-1.13-2) \quad g_2(xy, yz, xz) = g_2(x, y, z),$$

then

$$\begin{aligned}
 xyz &= g_2(xyz, xyz, xyz) && \text{(by idempotence)} \\
 &= g_2(xy \cdot yz, xz \cdot yz, xy \cdot xz) \\
 &= g_2(xy, yz, xz) && \text{(by (3-1.13-2))} \\
 &= g_2(x, y, z) && \text{(by (3-1.13-2)),}
 \end{aligned}$$

a contradiction.

If  $g_2(xy, yz, xz) = g_3(x, y, z) = g_2(x, z, y)$ , then, repeating the procedure in the paragraph above,  $xyz = g_2(x, z, y) = g_3(x, y, z)$ , a contradiction. Thus,  $g_2(xy, yz, xz) = xyz$ . The proof is complete.  $\square$

LEMMA 3-1.14. *For each fixed  $i = 1, 2, 3$ ,  $g_i(x, y, z)x$ ,  $g_i(x, y, z)y$  and  $g_i(x, y, z)z$  are equal.*

PROOF. By Lemma 3-1.1, they are essentially ternary. If they are pairwise distinct for fixed  $i$ , then there are at least three essentially ternary polynomials

$$g_i(x, y, z)x, \quad g_i(x, y, z)y, \quad \text{and} \quad g_i(x, y, z)z,$$

which contradicts the fact that there are only two nonsymmetric essentially ternary polynomials since none of them is symmetric (otherwise, they would be equal). Without loss of generality, we suppose that  $g_i(x, y, z)x = g_i(x, y, z)y$  (for fixed  $i$ ). Using permutation  $(xyz)$ ,

$$g_i(x, y, z)z = g_i(z, x, y)z = g_i(z, x, y)x = g_i(x, y, z)x$$

by (s1) and (s2), so

$$g_i(x, y, z)x = g_i(x, y, z)y = g_i(x, y, z)z.$$

$\square$

For  $i = 1$ , the fact that the polynomials in Lemma 3-1.14 are identical implies that  $g_1(x, y, z)x$  is symmetric. Therefore, we have

COROLLARY 3-1.5.

$$g_1(x, y, z)x = g_1(x, y, z)y = g_1(x, y, z)z = \begin{cases} xyz \\ g_1(x, y, z). \end{cases}$$

LEMMA 3-1.15. *We have the following statements*

- (1)  $g_2(x, y, z)x = xyz$  iff  $g_3(x, y, z)x = xyz$ ;
- (2)  $g_2(x, y, z)x = g_1(x, y, z)$  iff  $g_3(x, y, z)x = g_1(x, y, z)$ ;
- (3)  $g_2(x, y, z)x \neq g_3(x, y, z)$  and  $g_3(x, y, z)x \neq g_2(x, y, z)$ ;
- (4)  $g_2(x, y, z)x = g_2(x, y, z)$  and  $g_3(x, y, z)x = g_3(x, y, z)$  implies that

$$g_2g_3 = g_1 \text{ and } g_1(x, y, z)x = g_1(x, y, z).$$

PROOF. By permutation  $(yz)$ , we have (1), (2), and  $g_2(x, y, z)x = g_3(x, y, z)$  iff  $g_3(x, y, z)x = g_2(x, y, z)$ .

Notice that the two statements in (3) are equivalent. If  $g_2(x, y, z)x = g_3(x, y, z)$ , i.e.,  $g_3(x, y, z)x = g_2(x, y, z)$  by permutation  $(yz)$ , then by Lemma 3-1.14,

$$\begin{aligned} g_2(x, y, z)xy &= g_2(x, y, z)y = g_2(x, y, z)x = g_3(x, y, z); \\ g_3(x, y, z)xy &= g_3(x, y, z)y = g_3(x, y, z)x = g_2(x, y, z). \end{aligned}$$

So

$$g_3(x, y, z) = g_2(x, y, z)xy = g_3(x, y, z)y = g_2(x, y, z),$$

a contradiction.

Suppose that  $g_2(x, y, z)x = g_2(x, y, z)$  and  $g_3(x, y, z)x = g_3(x, y, z)$ . Then, by permutation  $(xyz)$ ,

$$g_2(x, y, z)y = g_2(x, y, z), \quad g_2(x, y, z)z = g_2(x, y, z),$$

If  $g_2g_3 = xyz$ , then

$$g_2 = g_2x = g_2xyz = g_2g_2g_3 = g_2g_3 = xyz,$$

a contradiction. Therefore by Lemma 3-1.12,  $g_2g_3 = g_1$ . If  $g_1x = xyz$ , then

$$g_2 = g_2xyz = g_2g_1x = g_2(g_2g_3)x = g_2g_3x = g_2g_3 = g_1,$$

a contradiction. So  $g_1x = g_1$  by Corollary 3-1.5.  $\square$

LEMMA 3-1.16. *For each  $i = 1, 2, 3$ ,  $g_1x = xyz$  and  $g_ix = g_1$  do not hold simultaneously.*

PROOF. If they hold at the same time, then  $g_1 = g_ix = g_ixx = g_ixy = g_1y = xyz$  by Lemma 3-1.14, a contradiction.  $\square$

Now we prove a general theorem.

THEOREM 3-1.2. *Let  $\mathfrak{A} = \langle A; F \rangle$  be an idempotent algebra and assume that there is only one essentially binary term operation over  $\mathfrak{A}$ , denoted by " $xy$ ". If every  $f \in F$  satisfies*

$$(E) \quad f(x_1y_1, \dots, x_ny_n) = xy$$

*whenever  $\{x_1, \dots, x_n\} \cup \{y_1, \dots, y_n\} = \{x, y\}$ , then a polynomial  $p$  is essentially  $n$ -ary if and only if  $p$  contains exactly  $n$  different variables.*

PROOF. The necessity is trivial. We will prove sufficiency. Suppose that  $e_i^n$  is  $i$ th projection,  $i = 0, 1, \dots, n-1$ . When  $p = e_i^n$  or  $p = (e_0^2 \cdot e_1^2)$ ,  $p = x$  or  $xy$ , so the statement is true in this case.

First, we show that  $p$  is essentially binary iff  $p$  contains exactly two variables  $x$  and  $y$ ; so  $p = xy$ . Suppose that  $p$  contains exactly two variables  $x$  and  $y$ . We only need to show that  $p = xy$ . Obviously, it is true for  $p = (e_0^2 \cdot e_1^2)$ . If  $p = f(p_1, \dots, p_n)$ , where  $f \in F$  and the theorem is true for  $p_i$ ,  $n = 1, 2$ ;  $i = 1, 2, \dots, n$ . Therefore,  $p_i = x_iy_i$  for every  $i$ , where  $x_i, y_i \in \{x, y\}$  and  $\{x_1, \dots, x_n\} \cup \{y_1, \dots, y_n\} = \{x, y\}$ . Therefore,  $p = xy$  by the condition (E) of Lemma.

Next, suppose that  $p$  contains exactly  $n$  variables  $x_1, x_2, \dots, x_n$ . If  $p$  is not essentially  $n$ -ary, then  $p = q(x_{i_1}, \dots, x_{i_m})$  is essentially  $m$ -ary and  $m < n$ . Without loss of generality, suppose that  $x_{i_k} = x_k$ ,  $k = 1, 2, \dots, m$ . Let  $x_1 = x_2 = \dots = x_m = x$  and  $x_{m+1} = \dots = x_n = y$ . Then, by the previous paragraph and idempotence,  $xy = x$ , a contradiction.  $\square$

Thus, we have the following corollaries.

LEMMA 3-1.17.  $g_i(g_j(x, y, z), s, t)$  is essentially ternary for any  $i$  and  $j$ , where  $s, t \in \{x, y, z, xy, yz, xz, xyz\}$ .

LEMMA 3-1.18.  $g_i(g_1, g_2, t), g_i(g_1, g_3, t)$ , and  $g_i(g_2, g_3, t)$  are essentially ternary, where  $t = z, yz$ , or  $xyz$ .

LEMMA 3-1.19.  $g_i(g_1, g_2, g_3)$  is essentially ternary for any  $i$ .

### Conclusions of this section:

From Lemma 3-1.14, Lemma 3-1.15, Lemma 3-1.16, Corollary 3-1.5 and Lemma 3-1.12, we have the following six cases:

$$(N1) \quad g_1(x, y, z)x = g_1(x, y, z), \quad g_2(x, y, z)x = g_1(x, y, z), \quad g_3(x, y, z)x = g_1(x, y, z);$$

$$(N2) \quad g_1(x, y, z)x = g_1(x, y, z), \quad g_2(x, y, z)x = xyz, \quad g_3(x, y, z)x = xyz;$$

$$(N3) \quad g_i(x, y, z)x = g_i(x, y, z) \text{ for all } i;$$

(N4)

$$g_1(x, y, z)x = xyz, \quad g_2(x, y, z)x = xyz, \quad g_3(x, y, z)x = xyz,$$

$$g_2g_3 = xyz, \quad g_1g_2 = xyz;$$

(N5)

$$g_1(x, y, z)x = xyz, \quad g_2(x, y, z)x = xyz, \quad g_3(x, y, z)x = xyz,$$

$$g_2g_3 = xyz, \quad g_1g_2 = g_2;$$

$$(N6) \quad g_1(x, y, z)x = xyz, \quad g_2(x, y, z)x = xyz, \quad g_3(x, y, z)x = xyz, \quad g_2g_3 = g_1.$$

Indeed, for  $g_1(x, y, z)x = g_1(x, y, z)$ , we have three cases (N1), (N2) and (N3) by Lemma 3-1.15 and Lemma 3-1.16.

Suppose that  $g_1(x, y, z)x = xyz$ . Then  $g_ix \neq g_1$  by Lemma 3-1.16 and

$$g_2x \neq g_3, \quad g_3x \neq g_2$$

by Lemma 3-1.15. If  $g_2x = g_2$ , i.e.,  $g_3x = g_3$ , then, by Lemma 3-1.15,  $g_1x = g_1$ , contradicting the assumption  $g_1x = xyz$ . Hence,

$$g_2(x, y, z)x = xyz, \quad g_3(x, y, z)x = xyz.$$

If  $g_2g_3 = g_1$ , then we have (N6). Otherwise,  $g_2g_3 = xyz$  by Lemma 3-1.12. Since  $g_1g_2$  is essentially ternary,

$$g_1g_2 = \begin{cases} xyz \\ g_1 \\ g_2 \\ g_3. \end{cases}$$

If  $g_1g_2 = g_1$ , i.e.,  $g_1g_3 = g_1$ , then

$$g_1 = g_1g_2g_3 = g_1xyz = xyz$$

by  $g_1x = xyz$ , a contradiction. If  $g_1g_2 = g_3$ , then  $g_1g_3 = g_2$ , so

$$g_3 = g_1g_2 = g_1(g_1g_3) = g_1g_3 = g_2,$$

a contradiction. Thus, we have (N4) or (N5).

### 3-2. $p_4 \geq 31$ for the algebras in $S_1$

In this section, we will prove that  $p_4 \geq 31$  for the algebras in  $S_1$ . Suppose that  $g_1(x, y, z)$ ,  $g_2(x, y, z)$ , and  $g_3(x, y, z)$  satisfy the conditions (s0), (s1) and (s2). By Theorem 3-1.2, the following polynomials are essentially 4-ary:

$$(A_0) \quad x_1x_2x_3x_4;$$

$$g_1(x_1, x_2, x_3)x_4, \quad g_1(x_2, x_3, x_4)x_1,$$

$$(A_1) \quad g_1(x_3, x_4, x_1)x_2, \quad g_1(x_4, x_1, x_2)x_3;$$

$$\begin{aligned}
& g_2(x_1, x_2, x_3)x_4, & g_2(x_2, x_3, x_4)x_1, \\
& g_2(x_3, x_4, x_1)x_2, & g_2(x_4, x_1, x_2)x_3, \\
(A_2) \quad & g_3(x_1, x_2, x_3)x_4, & g_3(x_2, x_3, x_4)x_1, \\
& g_3(x_3, x_4, x_1)x_2, & g_3(x_4, x_1, x_2)x_3;
\end{aligned}$$

$$\begin{aligned}
& g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4), & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4), \\
(A_3) \quad & g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3), & g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1), \\
& g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3), & g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2);
\end{aligned}$$

$$\begin{aligned}
& g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4), & g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4), \\
& g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3), & g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1), \\
(A_4) \quad & g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3), & g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2), \\
& g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4), & g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4), \\
& g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3), & g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1), \\
& g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3), & g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2).
\end{aligned}$$

Obviously,  $A_0 \cap (A_3 \cup A_4) = \emptyset$ . We will prove that the polynomials in  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct. Let us start with the following concepts.

DEFINITION 3-2.1. *Given a set  $P$  of essentially  $n$ -ary polynomials, we define the symmetric group  $\text{Inv}(P)$  of  $P$  as*

$$\text{Inv}(P) = \{\alpha \in S_n \mid p^\alpha \in P \text{ for all } p \in P\},$$

where  $S_n$  is the symmetric group on  $n$  letters.

DEFINITION 3-2.2. Given a set  $P$  of essentially  $n$ -ary polynomials and a permutation  $\alpha \in S_n$ , we say that  $P$  is closed under  $\alpha$  if

$$P^\alpha = \{p^\alpha \mid p \in P, \alpha \in S_n\} \subseteq P.$$

DEFINITION 3-2.3. A set  $P$  of essentially  $n$ -ary polynomials is called invariant under a permutation  $\alpha \in S_n$  if  $P^\alpha = P$ . It is called invariant under  $S_n$  if  $P^\alpha = P$  for every  $\alpha \in S_n$ .

LEMMA 3-2.1. Each  $A_i$  is invariant under  $S_4$ ,  $i = 1, 2, 3, 4$ .

PROOF. We only need to prove that  $A_i$  is invariant under each of permutations (12), (13), (14), (23), (24), (34). However, it is routine to check.  $\square$

LEMMA 3-2.2. For every fixed  $i$ , for any  $p, q \in A_i$ , there is a  $\alpha \in S_4$  such that  $p = q^\alpha$ .

PROOF. Since every  $\alpha \in S_4$  is bijective, we only need to prove that for some fixed  $p$ , for every  $q \in A_i$  there exists an  $\alpha$  such that  $q = p^\alpha$ .

Obviously, the lemma is true for  $A_0$  and  $A_1$ .

For  $A_2$ , we have

$$\begin{aligned} g_2(x_2, x_3, x_4)x_1 &= (g_2(x_1, x_2, x_3)x_4)^{(14)}, & g_2(x_3, x_4, x_1)x_2 &= (g_2(x_1, x_2, x_3)x_4)^{(142)}, \\ g_2(x_4, x_1, x_2)x_3 &= (g_2(x_1, x_2, x_3)x_4)^{(34)}, & g_3(x_1, x_2, x_3)x_4 &= (g_2(x_1, x_2, x_3)x_4)^{(12)}, \\ g_3(x_2, x_3, x_4)x_1 &= (g_2(x_1, x_2, x_3)x_4)^{(134)}, & g_3(x_3, x_4, x_1)x_2 &= (g_2(x_1, x_2, x_3)x_4)^{(24)}, \\ g_3(x_4, x_1, x_2)x_3 &= (g_2(x_1, x_2, x_3)x_4)^{(314)}. \end{aligned}$$

For  $A_3$ , we have

$$\begin{aligned} g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(23)}, \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(24)}, \\ g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(123)}, \end{aligned}$$



$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(214)},$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(4321)}.$$

For  $A_4$ , we have

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(23)},$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(24)},$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(123)},$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(124)},$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(1324)},$$

$$g_3(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(12)},$$

$$g_3(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(132)},$$

$$g_3(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(142)},$$

$$g_3(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(13)},$$

$$g_3(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(14)},$$

$$g_3(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = (g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4))^{(14)(23)}.$$

□

We will continue the discussion in six parts.

**3-2.1.  $p_4 \geq 31$  for algebras satisfying (N1).** Suppose that  $g_i$ 's satisfy (N1).

We will prove that  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct.

LEMMA 3-2.3.  $g_i(x, y, z)t = g_1(x, y, z)$  for every  $i$ , where  $t \in \{x, y, z, xy, yz, zx, xyz\}$ .

PROOF. We only prove the case  $i=2$ . Using permutation  $(xyz)$  in  $g_2(x, y, z)x = g_1(x, y, z)$ ,  $g_1 = g_1(z, x, y) = g_2(z, x, y)z = g_2(x, y, z)z$  (by (N1)). Again using  $(xyz)$ ,  $g_1 = g_1(y, z, x) = g_2(y, z, x)y = g_2(x, y, z)y$  (by (N1)). So

$$g_1 = g_1g_1 = g_2x \cdot g_2y = g_2xy$$

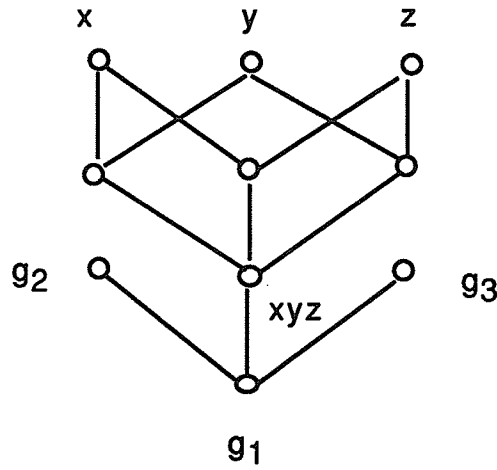


FIGURE 1. N1

$$\begin{aligned}
 &= g_1 g_1 = g_2 x \cdot g_2 z = g_2 x z \\
 &= g_1 g_1 = g_2 z \cdot g_2 y = g_2 y z \\
 &= g_1 g_1 g_1 = g_2 x \cdot g_2 y \cdot g_2 z \\
 &= g_2 x y z.
 \end{aligned}$$

□

LEMMA 3-2.4.  $g_1 g_2 = g_1$ ,  $g_1 g_3 = g_1$ ,  $g_2 g_3 = g_1$ .

PROOF. By (N1),

$$g_1 g_2 = (g_1 x) g_2 = g_1 (x g_2) = g_1 g_1 = g_1.$$

Similarly,  $g_1 g_3 = g_1$ .

If  $g_2 g_3 = x y z$ , then  $x y z = g_2 x g_3 x = g_1$  by (N1), a contradiction. So by Lemma 3-1.12,  $g_2 g_3 = g_1$ . □

The semilattice structure of free algebra with three generators satisfying (N1) and representing  $\langle 0, 1, 1, 4 \rangle$  is as in Figure 1. It is easy to see

LEMMA 3-2.5.  $A_0 \cap \bigcup_{i=1}^4 A_i = \emptyset$ .

PROOF. For example, let  $x_4 = x_1$ ,

$$x_1x_2x_3x_4 = x_1x_2x_3 \neq g_1(x_1, x_2, x_3) = g_1(x_1, x_2, x_3)x_4.$$

□

LEMMA 3-2.6.  $A_1 \cap A_2 = \emptyset$ .

PROOF. Let  $x_4 = x_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3)x_1 = g_1(x_1, x_2, x_3),$$

$$g_2(x_3, x_4, x_1)x_2 = g_2(x_3, x_1, x_1)x_2 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = g_2(x_1, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = g_3(x_3, x_1, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = g_3(x_1, x_1, x_2)x_3 = x_1x_2x_3.$$

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3.$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.4}),$$

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3).$$

Let  $x_4 = g_3(x_1, x_2, x_3)$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.4}),$$

$$g_3(x_1, x_2, x_3)x_4 = g_3(x_1, x_2, x_3).$$

Thus, from the above,  $g_1(x_1, x_2, x_3)x_4 \notin A_2$ .

By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_1$  is not in  $A_2$  by applying permutations in  $S_4$ . □

LEMMA 3-2.7.  $A_1 \cap A_3 = \emptyset$ .

PROOF. Let  $x_3 = x_2$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_2x_4 \quad (\text{by Lemma 3-1.1}), \\ g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_4) \quad (\text{by (N1) and Lemma 3-1.1}), \\ g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= g_1(x_1, x_2, x_4), \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= g_1(x_1, x_4, x_2), \\ g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= g_1(x_2, x_4, x_1), \\ g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= g_1(x_2, x_4, x_1). \end{aligned}$$

Let  $x_1 = x_2$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_2x_3x_4, \\ g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= g_1(x_2, x_3, x_4). \end{aligned}$$

Thus,  $g_1(x_1, x_2, x_3)x_4 \notin A_3$ . By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_1$  is not in  $A_3$  by applying permutations in  $S_4$ .  $\square$

LEMMA 3-2.8.  $A_1 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4 \notin A_4$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= g_1(x_1, x_2, x_3), \\ g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\ g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) &= g_3(x_1, x_2, x_3). \end{aligned}$$

Let  $x_4 = x_2$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= g_1(x_1, x_2, x_3), \\ g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) &= g_2(x_1, x_3, x_2), \end{aligned}$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = g_3(x_1, x_3, x_2).$$

Let  $x_3 = x_2$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_2x_4, \\ g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) &= g_2(x_1, x_4, x_2), \\ g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) &= g_3(x_1, x_4, x_2). \end{aligned}$$

Let  $x_4 = x_1$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= g_1(x_1, x_2, x_3), \\ g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) &= g_2(x_2, x_3, x_1), \\ g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) &= g_3(x_2, x_3, x_1). \end{aligned}$$

Let  $x_3 = x_1$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_2x_4, \\ g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) &= g_2(x_2, x_4, x_1), \\ g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) &= g_3(x_2, x_4, x_1). \end{aligned}$$

Let  $x_2 = x_1$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_3x_4, \\ g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) &= g_2(x_3, x_4, x_1), \\ g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) &= g_3(x_3, x_4, x_1). \end{aligned}$$

Therefore,  $g_1(x_1, x_2, x_3)x_4 \notin A_4$ .

(2) By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_1$  is not in  $A_4$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.9.  $A_2 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3.$$

Let  $x_3 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_4) \quad (\text{by (N1) and Lemma 3-1.1}),$$

$$g_2(x_1, x_2, x_3)x_4 = x_1x_2x_4,$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_4.$$

Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3) \quad (\text{by (N1) and Lemma 3-1.1}),$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

Therefore,  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_2$ .

(2) By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_2$  is not in  $A_3$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.10.  $A_2 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_4$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3),$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = x_1x_2x_3.$$

Let  $x_4 = x_1$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = x_2x_3x_4,$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = g_2(x_2, x_3, x_1),$$

$$g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) = x_2x_3x_4,$$

$$g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) = g_3(x_2, x_3, x_1).$$

Let  $x_4 = x_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = g_2(x_1, x_3, x_2),$$

$$g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = g_3(x_1, x_3, x_2).$$

Thus,  $g_2(x_1, x_2, x_3)x_4 \notin A_4$ .

(2) By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_2$  is not in  $A_4$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.11.  $A_3 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_4$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3),$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = x_1x_2x_3.$$

Let  $x_4 = x_1$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3) \quad (\text{by (N1) and Lemma 3-1.1}),$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = x_2x_3x_4,$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = g_2(x_2, x_3, x_1),$$

$$g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) = x_2x_3x_4,$$

$$g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) = g_3(x_2, x_3, x_1).$$

Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3) \quad (\text{by (N1) and Lemma 3-1.1}),$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = g_2(x_1, x_3, x_2),$$

$$g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = g_3(x_1, x_3, x_2).$$

Thus,  $g_2(x_1, x_2, x_3)x_4 \notin A_4$ .

(2) By lemmas 3-2.1 and 3-2.2, any polynomial in  $A_3$  is not in  $A_4$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.12. *The polynomials in  $A_1$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3.$$



Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

Therefore,  $g_1(x_1, x_2, x_3)x_4$  is different from others.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_1$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.13. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from others: Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3.$$

Let  $x_4 = x_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)x_4 = g_3(x_1, x_2, x_3)g_2(x_1, x_2, x_3) = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.4}).$$

Therefore,  $g_2(x_1, x_2, x_3)x_4$  is different from others.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_2$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.14. *The polynomials in  $A_3$  are pairwise distinct.*

PROOF. Let  $x_1 = x_2$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= x_2x_3x_4, \\ g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= g_1(x_2, x_3, x_4), \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= g_1(x_2, x_4, x_3), \\ g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= g_1(x_2, x_3, x_4), \\ g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= g_1(x_2, x_4, x_3), \\ g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= g_1(x_3, x_4, x_2). \end{aligned}$$

Therefore,  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others. By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_3$  are pairwise distinct by applying permutations in  $S_4$ .  $\square$

LEMMA 3-2.15. *The polynomials in  $A_4$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4)$  is different from others:

Let  $x_1 = x_2$ . Then

$$\begin{aligned} g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= x_2x_3x_4, \\ g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) &= g_1(x_2, x_3, x_4), \\ g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) &= g_1(x_2, x_4, x_3), \\ g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) &= g_1(x_2, x_3, x_4), \\ g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) &= g_1(x_2, x_4, x_3), \\ g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) &= g_1(x_3, x_4, x_2), \\ g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) &= g_1(x_2, x_3, x_4), \\ g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) &= g_1(x_2, x_4, x_3), \\ g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) &= g_1(x_2, x_3, x_4), \\ g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) &= g_1(x_2, x_4, x_3), \end{aligned}$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = g_1(x_3, x_4, x_2).$$

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3).$$

Therefore,  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_4$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

From the lemmas above,  $p_4 \geq 31$  for any algebra representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N1) under conditions (s0), (s1) and (s2).

**3-2.2.  $p_4 \geq 31$  for algebras satisfying (N2).** In this part, we assume that  $g_i$ 's satisfy the condition (N2). We will prove that  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct.

LEMMA 3-2.16.

$$g_1(x, y, z)t = g_1(x, y, z), \quad g_i(x, y, z)t = xyz,$$

where  $t \in \{x, y, z, xy, yz, zx, xyz\}$  and  $i = 2, 3$ .

PROOF. It is similar to Lemma 3-2.3.  $\square$

LEMMA 3-2.17.  $g_2g_3 = xyz, g_1g_2 = g_1, g_1g_3 = g_1$ .

PROOF.  $g_1g_2 = g_1xg_2 = g_1(g_2x) = g_1xyz = g_1$  by Lemma 3-2.16. Similarly,  $g_1g_3 = g_1$ . If  $g_2g_3 = g_1$ , then  $g_1 = g_1x = g_2g_3x = g_2xyz = xyz$  by Lemma 3-2.16, a contradiction. So  $g_2g_3 = xyz$  by Lemma 3-1.12.  $\square$

The semilattice structure of free algebra with three generators representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N2) is as in Figure 2. As the previous subsection, it is easy to see

LEMMA 3-2.18.  $A_0 \cap \bigcup_{i=1}^4 A_i = \emptyset$ .

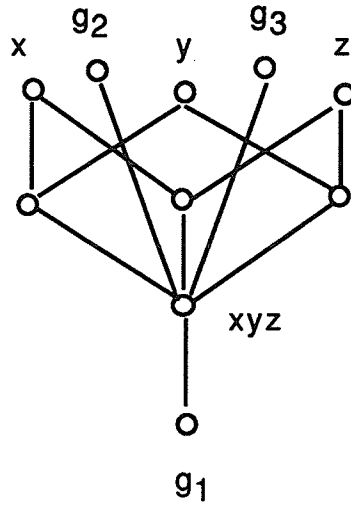


FIGURE 2. N2

PROOF. For example, let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$x_1x_2x_3x_4 = x_1x_2x_3g_2(x_1, x_2, x_3) = x_1x_2x_3,$$

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3).$$

□

LEMMA 3-2.19.  $A_1 \cap A_2 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4 \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.16}),$$

$$g_2(x_1, x_2, x_3)x_4 = x_1x_2x_3 \quad (\text{by Lemma 3-2.16}),$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3 \quad (\text{by Lemma 3-2.16}),$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_2 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.20.  $A_1 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4 \notin A_3$ :

Let  $x_3 = x_2$ . Then

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_4,$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_4) \quad (\text{by Lemma 3-2.16}),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = g_1(x_1, x_2, x_4),$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_4, x_2),$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = g_1(x_2, x_4, x_1),$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = g_1(x_2, x_4, x_1).$$

Let  $x_3 = x_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_4,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = g_1(x_2, x_1, x_4).$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_3 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.21.  $A_1 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4 \notin A_4$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.16}),$$

$$\begin{aligned}
g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\
g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) &= g_2(x_1, x_3, x_2)x_1x_3 = x_1x_2x_3 \quad (\text{by Lemma 3-2.16}), \\
g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) &= x_1x_2x_3, \\
g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) &= x_1x_2x_3, \\
g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) &= x_1x_2x_3, \\
g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) &= x_1x_2x_3, \\
g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) &= g_3(x_1, x_2, x_3), \\
g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) &= g_3(x_1, x_3, x_2)x_1x_3 = x_1x_2x_3 \quad (\text{by Lemma 3-2.16}), \\
g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) &= x_1x_2x_3, \\
g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) &= x_1x_2x_3, \\
g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) &= x_1x_2x_3, \\
g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) &= x_1x_2x_3.
\end{aligned}$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.22.  $A_2 \cap A_3 = \emptyset$ .

PROOF. (2)  $g_2(x_1, x_2, x_3)x_4 \notin A_3$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
g_2(x_1, x_2, x_3)x_4 &= g_2(x_1, x_2, x_3)x_3 = x_1x_2x_3, \\
g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), \\
g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= g_1(x_1, x_3, x_2)x_1x_3 = g_1(x_1, x_3, x_2), \\
g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= g_1(x_1, x_3, x_2), \\
g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= g_1(x_2, x_3, x_1), \\
g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= g_1(x_2, x_3, x_1).
\end{aligned}$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_2 \cap A_3 = \emptyset$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.23.  $A_2 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
 g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\
 g_2(x_1, x_2, x_3)x_4 &= x_1x_2x_3, & \text{(by Lemma 3-2.16),} \\
 g_2(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
 g_2(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\
 g_2(x_4, x_1, x_2)x_3 &= x_1x_2x_3, \\
 g_3(x_1, x_2, x_3)x_4 &= x_1x_2x_3, & \text{(by Lemma 3-2.16),} \\
 g_3(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
 g_3(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\
 g_3(x_4, x_1, x_2)x_3 &= x_1x_2x_3.
 \end{aligned}$$

Therefore,  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_2$ .

(2) By lemmas 3-2.1 and 3-2.2,  $A_2 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.24.  $A_3 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_3$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
 g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\
 g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), \\
 g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= g_1(x_1, x_3, x_2) & \text{(by Lemma 3-2.16),}
 \end{aligned}$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_3, x_2),$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = g_1(x_2, x_3, x_1),$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = g_1(x_2, x_3, x_1),$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

Therefore,  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_3$ .

(2) By lemmas 3-2.1 and 3-2.2,  $A_3 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.25. *The polynomials in  $A_1$  are pairwise distinct.*

PROOF. The proof is similar to that of Lemma 3-2.12 by using  $g_1x = g_1$  and  $g_1(x, y, y) = xy$ . □

LEMMA 3-2.26. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from others:

If  $g_2(x_1, x_2, x_3)x_4 = g_2(x_2, x_3, x_4)x_1$ , then

$$\begin{aligned} g_2(x_1, x_2, x_3)x_4 &= g_2(x_2, x_3, x_4)x_1x_4 \\ &= x_1x_2x_3x_4, \end{aligned}$$

which is in  $A_0$ , a contradiction.

Similarly,  $g_2(x_1, x_2, x_3)x_4$  is not identical to any of the following:

$$g_2(x_3, x_4, x_1)x_2, \quad g_2(x_4, x_1, x_2)x_3,$$

$$g_3(x_2, x_3, x_4)x_1, \quad g_3(x_3, x_4, x_1)x_2,$$

$$g_3(x_4, x_1, x_2)x_3.$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3.$$



Therefore,  $g_2(x_1, x_2, x_3)x_4$  is different from others.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_2$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.27. *The polynomials in  $A_3$  are pairwise distinct.*

PROOF. It is similar to the proof of Lemma 3-2.14 by  $g_1x = g_1$ .  $\square$

LEMMA 3-2.28. *The polynomials in  $A_4$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
 g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\
 g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) &= x_1x_2x_3 \quad (\text{by Lemma 3-2.16}), \\
 g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) &= x_1x_2x_3, \\
 g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) &= x_1x_2x_3, \\
 g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) &= x_1x_2x_3, \\
 g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) &= x_1x_2x_3, \\
 g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) &= g_3(x_1, x_2, x_3), \\
 g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) &= x_1x_2x_3 \quad (\text{by Lemma 3-2.16}), \\
 g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) &= x_1x_2x_3, \\
 g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) &= x_1x_2x_3, \\
 g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) &= x_1x_2x_3, \\
 g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) &= x_1x_2x_3.
 \end{aligned}$$

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_4$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

From Lemmas 3-2.18 to 3-2.28, we have  $p_4 \geq 31$  under the assumption of this subsection.

**3-2.3.  $p_4 \geq 31$  for algebras satisfying (N3).** In this part, we assume that  $p_i$ 's satisfy the condition (N3). We will prove that  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct.

LEMMA 3-2.29.  $g_i(x, y, z)x = g_i(x, y, z)y = g_i(x, y, z)z = g_i(x, y, z)$ ;  
 $g_i(x, y, z)xy = g_i(x, y, z)xz = g_i(x, y, z)yz = g_i(x, y, z)$ ;  
 $g_i(x, y, z)xyz = g_i(x, y, z)$ , for every  $i=1,2,3$ .

PROOF. By the conditions of (N3), use permutation (xyz). □

LEMMA 3-2.30.  $g_2(x, y, z)g_3(x, y, z) = g_1(x, y, z)$ .

PROOF. If  $g_2g_3 = xyz$ , then  $xyz = g_2g_3 = g_2g_3g_3 = xyzg_3 = g_3$  by Lemma 3-2.29, a contradiction. □

It is easy to see that the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N3) is as in Figure 3.

LEMMA 3-2.31.  $A_0 \cap \bigcup_{i=1}^4 A_i = \emptyset$ .

LEMMA 3-2.32.  $A_1 \cap A_2 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.29}),$$

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3) \quad (\text{by Lemma 3-2.29}),$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = g_1(x_1, x_2, x_3).$$

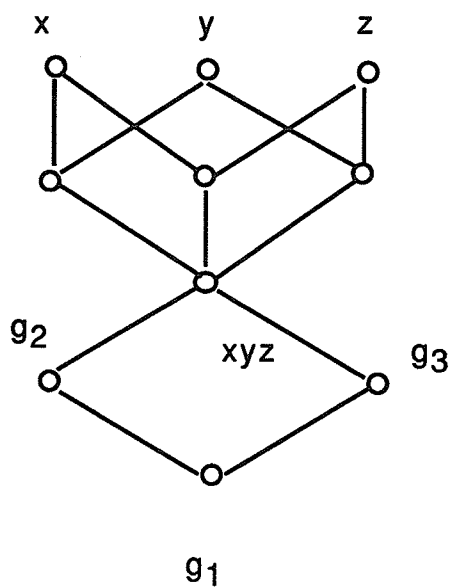


FIGURE 3. N3

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_2 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.33.  $A_1 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3.$$

Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

Let  $x_3 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_4),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_4.$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_3 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.34.  $A_1 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = g_1(x_1, x_2, x_3).$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_1 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.35.  $A_2 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_3$ :

Let  $x_4 = x_3$ . Then, by lemma 3-2.29,

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = g_1(x_1, x_2, x_3),$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_2 \cap A_3 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.36.  $A_2 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_2$ :

Let  $x_3 = x_2$ . Then, by Lemma 3-2.29,

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_4),$$

$$g_2(x_1, x_2, x_3)x_4 = x_1x_2x_4,$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_4,$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_4,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_4.$$

Let  $x_4 = x_1$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_2 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.37.  $A_3 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_3$ :

Let  $x_4 = x_3$ . Then, by Lemma 3-2.29,

$$\begin{aligned} g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\ g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), \\ g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= g_1(x_1, x_2, x_3), \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= g_1(x_1, x_2, x_3), \\ g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= g_1(x_1, x_2, x_3), \\ g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= g_1(x_1, x_2, x_3), \\ g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= x_1x_2x_3. \end{aligned}$$

(2) By lemmas 3-2.1 and 3-2.2,  $A_3 \cap A_4 = \emptyset$  by applying permutations in  $S_4$  to (1). □

LEMMA 3-2.38. *The polynomials in  $A_1$  are pairwise distinct.*

PROOF. It is similar to the proof of Lemma 3-2.12 by  $g_1x = g_1$ . □

LEMMA 3-2.39. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = x_3$ . Then, by Lemma 3-2.29,

$$\begin{aligned} g_2(x_1, x_2, x_3)x_4 &= g_2(x_1, x_2, x_3), \\ g_2(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\ g_2(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\ g_3(x_1, x_2, x_3)x_4 &= g_3(x_1, x_2, x_3), \\ g_3(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\ g_3(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \end{aligned}$$

$$g_3(x_4, x_1, x_2)x_3 = g_3(x_1, x_2, x_3).$$

Let  $x_4 = x_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

Thus, our claim (1) is complete.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_2$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.40. *The polynomials in  $A_3$  are pairwise distinct.*

PROOF. The proof is the same as that of Lemma 3-2.14.  $\square$

LEMMA 3-2.41. *The polynomials in  $A_4$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then, by Lemma 3-2.29, we have

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = x_1x_3x_4,$$

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = g_2(x_1, x_3, x_4),$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = g_2(x_1, x_4, x_3),$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = g_2(x_1, x_3, x_4),$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = g_2(x_1, x_4, x_3),$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = g_2(x_3, x_4, x_1),$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = g_3(x_1, x_3, x_4),$$

$$g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) = g_3(x_1, x_4, x_3),$$

$$g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) = g_3(x_1, x_3, x_4),$$

$$g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) = g_3(x_1, x_4, x_3),$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = g_3(x_3, x_4, x_1).$$

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3).$$

So the proof of (1) is complete.

(2) By lemmas 3-2.1 and 3-2.2, the polynomials in  $A_3$  are pairwise distinct by applying permutations in  $S_4$  to (1).  $\square$

From Lemmas 3-2.31 to 3-2.41, we have  $p_4 \geq 31$  under the assumption of this subsection.

**3-2.4.**  $p_4 \geq 31$  for algebras satisfying (N4). In this part, we assume that  $g_i$ 's satisfy the condition (N4). We will prove that the polynomials in  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct.

LEMMA 3-2.42.

$$\begin{aligned} g_i(x, y, z)x &= g_i(x, y, z)y = g_i(x, y, z)z \\ &= g_i(x, y, z)xy = g_i(x, y, z)xz = g_i(x, y, z)yz \\ &= g_i(x, y, z)xyz = xyz. \end{aligned}$$

PROOF. The proof is similar to Lemma 3-2.29.  $\square$

It is easy to see that the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N4) is as in Figure 4.

LEMMA 3-2.43.  $A_0 \cap \bigcup_{i=1}^4 A_i = \emptyset$ .

LEMMA 3-2.44.  $A_1 \cap A_2 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_1$ :

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then, by Lemma 3-2.42 and (N4), we have

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3.$$



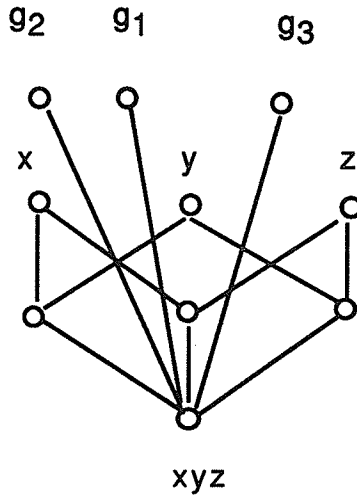


FIGURE 4. N4

Since  $x_1x_2x_3 < g_2(x_1, x_2, x_3)$ , there exist  $a, b, c \in A$  such that

$$e_2 = g_2(a, b, c) > abc = d.$$

Let  $(x_1, x_2, x_3) = (a, b, c)$  and  $x_4 = e_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = e_2e_2 = e_2,$$

$$g_1(x_2, x_3, x_4)x_1 = g_1(b, c, e_2)a.$$

So they are not equal; otherwise,  $e_2 \leq a$  therefore

$$e_2 = g_2(a, b, c) = g_2(a, b, c)a = abc$$

by (N4), a contradiction. Similarly,

$$g_2(x_1, x_2, x_3)x_4 \neq g_1(x_3, x_4, x_1)x_2, \quad g_1(x_4, x_1, x_2)x_3.$$

Thus, the proof of (1) is complete.

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_2$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.45.  $A_1 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_3$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.46.  $A_1 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.47.  $A_2 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), \\
g_2(x_1, x_2, x_3)x_4 &= x_1x_2x_3, \\
g_2(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
g_2(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\
g_2(x_4, x_1, x_2)x_3 &= x_1x_2x_3, \\
g_3(x_1, x_2, x_3)x_4 &= x_1x_2x_3, \\
g_3(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
g_3(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\
g_3(x_4, x_1, x_2)x_3 &= x_1x_2x_3.
\end{aligned}$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_3$  is not in  $A_2$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.48.  $A_2 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) &= g_2(x_1, x_2, x_3), \\
g_2(x_1, x_2, x_3)x_4 &= x_1x_2x_3, \\
g_2(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
g_2(x_3, x_4, x_1)x_2 &= x_1x_2x_3, \\
g_2(x_4, x_1, x_2)x_3 &= x_1x_2x_3, \\
g_3(x_1, x_2, x_3)x_4 &= x_1x_2x_3, \\
g_3(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
g_3(x_3, x_4, x_1)x_2 &= x_1x_2x_3,
\end{aligned}$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_2$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.49.  $A_3 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_3$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_3$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.50. *The polynomials in  $A_1$  are pairwise distinct.*

PROOF. Since  $g_1(x_1, x_2, x_3) > x_1x_2x_3$ , there exist  $a, b, c \in A$  such that

$$e_1 = g_1(a, b, c) > abc = d.$$

Let  $(x_1, x_2, x_3) = (a, b, c)$  and  $x_4 = e_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = e_1e_1 = e_1,$$

$$g_1(x_2, x_3, x_4)x_1 = g_1(b, c, e_1)a,$$

$$g_1(x_3, x_4, x_1)x_2 = g_1(c, e_1, a)b,$$

$$g_1(x_4, x_1, x_2)x_3 = g_1(e_1, a, b)c.$$

As in the proof of Lemma 3-2.44,  $g_1(x_1, x_2, x_3)x_4$  is different from the others. And then, by Lemma 3-2.1 and Lemma 3-2.2, the proof of this lemma is complete by applying the permutations in  $S_4$ .  $\square$

LEMMA 3-2.51. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from the others:

Similar to the proof of Lemma 3-2.44,  $g_2(x_1, x_2, x_3)x_4$  is different from any of the following polynomials

$$g_2(x_2, x_3, x_4)x_1, \quad g_2(x_3, x_4, x_1)x_2, \quad g_2(x_4, x_1, x_2)x_3,$$

$$g_3(x_2, x_3, x_4)x_1, \quad g_3(x_3, x_4, x_1)x_2, \quad g_3(x_4, x_1, x_2)x_3.$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_2$  are pairwise distinct.  $\square$

LEMMA 3-2.52. *The polynomials in  $A_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from the others:

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_3$  are pairwise distinct.  $\square$

LEMMA 3-2.53. *The polynomials in  $A_4$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4)$  is different from the others:

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3),$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_4$  are pairwise distinct.  $\square$

By Lemmas from 3-2.43 to 3-2.53,  $p_4 \geq 31$  under the conditions of (N4).

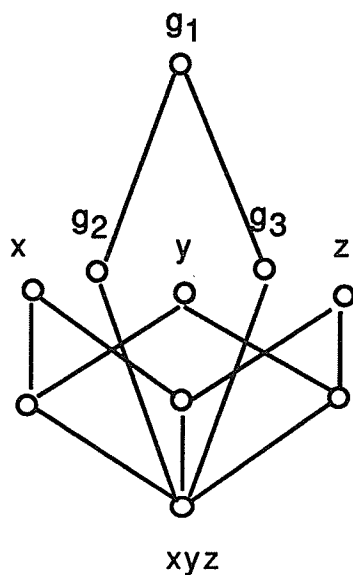


FIGURE 5. N5

**3-2.5.**  $p_4 \geq 31$  for algebras satisfying (N5). In this part, we assume that  $g_i$ 's satisfy the condition (N5). We will prove that the polynomials in  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct. It is easy to see that

$$\begin{aligned}
 g_i(x, y, z)x &= g_i(x, y, z)y = g_i(x, y, z)z \\
 &= g_i(x, y, z)xy = g_i(x, y, z)xz \\
 &= g_i(x, y, z)yz = g_i(x, y, z)xyz \\
 &= xyz.
 \end{aligned}$$

It is easy to see that the semilattice structure of algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N5) is as in Figure 5.

LEMMA 3-2.54.  $A_0 \cap \bigcup_{i=1}^4 A_i = \emptyset$ .

LEMMA 3-2.55.  $A_1 \cap A_2 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_1$ :

Let  $x_4 = g_1(x_1, x_2, x_3)$ . Then, by (N5), we have

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3).$$

Since  $x_1x_2x_3 < g_2(x_1, x_2, x_3)$ , there exist  $a, b, c \in A$  such that

$$e_2 = g_2(a, b, c) > abc = d.$$

Let  $(x_1, x_2, x_3) = (a, b, c)$  and  $x_4 = e_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = e_2e_2 = e_2,$$

$$g_1(x_2, x_3, x_4)x_1 = g_1(b, c, e_2)a.$$

So they are not equal; otherwise,  $e_2 \leq a$  therefore

$$e_2 = g_2(a, b, c) = g_2(a, b, c)a = abc$$

by (N5), a contradiction. Similarly,

$$g_2(x_1, x_2, x_3)x_4 \neq g_1(x_3, x_4, x_1)x_2, \quad g_1(x_4, x_1, x_2)x_3.$$

Thus, the proof of (1) is complete.

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_2$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.56.  $A_1 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$



(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_3$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.57.  $A_1 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_1$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.58.  $A_2 \cap A_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_2(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_3$  is not in  $A_2$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.59.  $A_2 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_2$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_2(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_2(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)x_1 = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_3(x_4, x_1, x_2)x_3 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_2$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.60.  $A_3 \cap A_4 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) \notin A_3$ :

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_4$  is not in  $A_3$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.61. *The polynomials in  $A_1$  are pairwise distinct.*

PROOF. Since  $g_1(x_1, x_2, x_3) > x_1x_2x_3$ , there exist  $a, b, c \in A$  such that

$$e_1 = g_1(a, b, c) > abc = d.$$

Let  $(x_1, x_2, x_3) = (a, b, c)$  and  $x_4 = e_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = e_1e_1 = e_1,$$

$$g_1(x_2, x_3, x_4)x_1 = g_1(b, c, e_1)a,$$

$$g_1(x_3, x_4, x_1)x_2 = g_1(c, e_1, a)b,$$

$$g_1(x_4, x_1, x_2)x_3 = g_1(e_1, a, b)c.$$

As in the proof of Lemma 3-2.55,  $g_1(x_1, x_2, x_3)x_4$  is different from the others. And then, by Lemma 3-2.1 and Lemma 3-2.2, the proof of this lemma is complete by applying the permutations in  $S_4$ .  $\square$

LEMMA 3-2.62. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from the others:

Similarly to the proof of Lemma 3-2.55,  $g_2(x_1, x_2, x_3)x_4$  is different from any of the following polynomials

$$\begin{aligned} g_2(x_2, x_3, x_4)x_1, & \quad g_2(x_3, x_4, x_1)x_2, & \quad g_2(x_4, x_1, x_2)x_3, \\ g_3(x_2, x_3, x_4)x_1, & \quad g_3(x_3, x_4, x_1)x_2, & \quad g_3(x_4, x_1, x_2)x_3. \end{aligned}$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)x_4 = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_2$  are pairwise distinct. □

LEMMA 3-2.63. *The polynomials in  $A_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from the others:

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_3$  are pairwise distinct. □

LEMMA 3-2.64. *The polynomials in  $A_4$  are pairwise distinct.*

PROOF. (1) (1)  $g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4)$  is different from the others:

Let  $x_4 = x_3$ . Then

$$g_2(x_1, x_2, x_3)g_2(x_1, x_2, x_4) = g_2(x_1, x_2, x_3),$$

$$g_2(x_1, x_3, x_2)g_2(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_2(x_1, x_4, x_2)g_2(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_2, x_3, x_4)g_2(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_2(x_2, x_4, x_1)g_2(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_2(x_3, x_4, x_1)g_2(x_3, x_4, x_2) = x_1x_2x_3,$$

$$g_3(x_1, x_2, x_3)g_3(x_1, x_2, x_4) = g_3(x_1, x_2, x_3),$$

$$g_3(x_1, x_3, x_2)g_3(x_1, x_3, x_4) = x_1x_2x_3,$$

$$g_3(x_1, x_4, x_2)g_3(x_1, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_2, x_3, x_4)g_3(x_2, x_3, x_1) = x_1x_2x_3,$$

$$g_3(x_2, x_4, x_1)g_3(x_2, x_4, x_3) = x_1x_2x_3,$$

$$g_3(x_3, x_4, x_1)g_3(x_3, x_4, x_2) = x_1x_2x_3.$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_4$  are pairwise distinct.

□

By Lemmas from 3-2.54 to 3-2.64,  $p_4 \geq 31$  under the conditions of (N5).

**3-2.6.**  $p_4 \geq 31$  for algebras satisfying (N6). In this part, we assume that  $g'_i$ 's satisfy the condition (N6). We will prove that the polynomials in  $A_0 \cup A_1 \cup A_2 \cup A_3 \cup A_4$  are pairwise distinct. However, the proof is the same as in subsection 3-2.4 except for

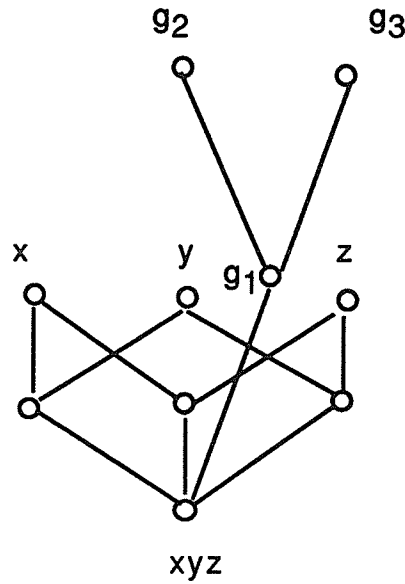


FIGURE 6. N6

Lemma 3-2.44 and Lemma 3-2.50 because we only use the following identities there:

$$\begin{aligned}
 g_i(x, y, z)x &= g_i(x, y, z)y = g_i(x, y, z)z \\
 &= g_i(x, y, z)xy = g_i(x, y, z)xz \\
 &= g_i(x, y, z)yz = g_i(x, y, z)xyz \\
 &= xyz.
 \end{aligned}$$

and

$$g_i(x, y, z) > xyz.$$

It is easy to see that the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (N6) is as in Figure 6.

The proofs corresponding to Lemma 3-2.44 and Lemma 3-2.50 are similar to subsection 3-2.4 as the following.

LEMMA 3-2.65.  $A_1 \cap A_2 = \emptyset$ .

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4 \notin A_1$ :

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then, by (N5), we have

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3).$$

Since  $x_1x_2x_3 < g_2(x_1, x_2, x_3)$ , there exist  $a, b, c \in A$  such that

$$e_2 = g_2(a, b, c) > abc = d.$$

Let  $(x_1, x_2, x_3) = (a, b, c)$  and  $x_4 = e_2$ . Then

$$g_2(x_1, x_2, x_3)x_4 = e_2e_2 = e_2,$$

$$g_1(x_2, x_3, x_4)x_1 = g_1(b, c, e_2)a.$$

So they are not equal; otherwise,  $e_2 \leq a$  therefore

$$e_2 = g_2(a, b, c) = g_2(a, b, c)a = abc$$

by (N6), a contradiction. Similarly,

$$g_2(x_1, x_2, x_3)x_4 \neq g_1(x_3, x_4, x_1)x_2, \quad g_1(x_4, x_1, x_2)x_3.$$

Thus, the proof of (1) is complete.

(2) By Lemma 3-2.1 and Lemma 3-2.2, every polynomial in  $A_2$  is not in  $A_1$  by applying the permutations in  $S_4$  to (1).  $\square$

LEMMA 3-2.66. *The polynomials in  $A_2$  are pairwise distinct.*

PROOF. (1)  $g_2(x_1, x_2, x_3)x_4$  is different from the others:

Similarly to the proof of Lemma 3-2.44,  $g_2(x_1, x_2, x_3)x_4$  is different from any of the following polynomials

$$g_2(x_2, x_3, x_4)x_1, \quad g_2(x_3, x_4, x_1)x_2, \quad g_2(x_4, x_1, x_2)x_3,$$

$$g_3(x_2, x_3, x_4)x_1, \quad g_3(x_3, x_4, x_1)x_2, \quad g_3(x_4, x_1, x_2)x_3.$$

Let  $x_4 = g_2(x_1, x_2, x_3)$ . Then

$$g_2(x_1, x_2, x_3)x_4 = g_2(x_1, x_2, x_3),$$

$$g_3(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3).$$

(2) By Lemma 3-2.1 and Lemma 3-2.2, the polynomials in  $A_2$  are pairwise distinct.

□



## CHAPTER 4

### On Class $S_2$

In this chapter, we will classify all the algebras representing  $\langle 0, 1, 1, 4 \rangle$  in  $S_2$  as six subclasses, and then find lower bounds of  $p_4$  for each subclass and the corresponding algebras to some lower bounds. We will see that  $p_4 \geq 19$  and the sequence  $\langle 0, 1, 1, 4, 27 \rangle$  is related to the join algebras, i.e., ternary reducts of distributive lattices.

#### 4-1. Classification of the class $S_2$

Suppose that algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$  and  $g_i$ 's ( $i = 1, 2, 3$ ) are pairwise distinct essentially 4-ary polynomials other than  $xyz$  satisfying the condition (s3) and

$$\text{Inv}(g_1) = \langle (23) \rangle, \text{Inv}(g_2) = \langle (13) \rangle, \text{Inv}(g_3) = \langle (12) \rangle.$$

**For convenience, we often use  $g_i$  to denote  $g_i(x, y, z)$  or  $g_i(x_1, x_2, x_3)$ .**

LEMMA 4-1.1.

- (1)  $(g_1)^{(12)} = g_2, (g_1)^{(13)} = g_3, (g_1)^{(23)} = g_1;$
- (2)  $(g_2)^{(12)} = g_1, (g_2)^{(13)} = g_2, (g_2)^{(23)} = g_3;$
- (3)  $(g_3)^{(12)} = g_3, (g_3)^{(13)} = g_1, (g_3)^{(23)} = g_2;$
- (4)  $g_1 g_2 g_3 = xyz.$

PROOF. By (s3),  $(g_1)^{(23)} = g_1$ . By (s3),

$$g_1(z, y, x) = \begin{cases} g_2(x, y, z), \\ g_3(x, y, z). \end{cases}$$

If  $g_1(z, y, x) = g_2(x, y, z)$ , then

$$\begin{aligned} g_2(x, y, z) &= g_1(z, y, x) \quad (\text{by assumption}) \\ &= g_1(z, x, y) \quad (\text{by (s3)}) \\ &= g_2(y, x, z) \quad (\text{by assumption}), \end{aligned}$$

which implies  $(12) \in \text{Inv}(g_2)$ , a contradiction. So

$$(g_1)^{(13)} = g_3.$$

Similarly,  $(g_1)^{(12)} = g_2$ .

By (1),

$$\begin{aligned} (g_2)^{(12)} &= (g_1)^{(12)(12)} \\ &= g_1 \end{aligned}$$

and

$$\begin{aligned} (g_2)^{(23)} &= (g_1)^{(23)(12)} \\ &= (g_1)^{(23)(12)(23)} \\ &= (g_1)^{(13)} \\ &= g_3, \end{aligned}$$

which proves (2).

Similarly, we can prove (3).

Since  $g_1g_2g_3$  is symmetric and there is no constant polynomial,  $g_1g_2g_3$  depends on all variables so  $g_1g_2g_3 = xyz$ . □

From Lemma above, we have

LEMMA 4-1.2.

$$(g_1g_2)^{(12)} = g_1g_2, \quad (g_1g_3)^{(13)} = g_1g_3, \quad (g_2g_3)^{(23)} = g_2g_3.$$

LEMMA 4-1.3.

$$g_1g_2 = \begin{cases} xy \\ xyz \end{cases} ; \quad g_1g_3 = \begin{cases} xz \\ xyz \end{cases} ; \quad g_2g_3 = \begin{cases} yz \\ xyz \end{cases} .$$

PROOF. Since these three formulas are equivalent, we will prove the first one.

If  $g_1g_2 = x$ , then

$$x = g_1g_2 = (g_1g_2)^{(12)} = (x)^{(xy)} = y,$$

a contradiction. Similarly,  $g_1g_2 \neq y$ .

If  $g_1g_2 = xz$ , then

$$xz = g_1g_2 = (g_1g_2)^{(12)} = (xz)^{(xy)} = yz,$$

a contradiction. Similarly,  $g_1g_2 \neq yz$ .

If  $g_1g_2 = g_1$ , then, by Lemma 4-1.1 and Lemma 4-1.2,

$$g_2 = (12)(g_1) = (g_1g_2)^{(12)} = g_1g_2 = g_1,$$

a contradiction. Similarly,  $g_1g_2 \neq g_2$ .

If  $g_1g_2 = g_3$ , then

$$g_3 = g_3g_3 = g_1g_2g_3 = xyz$$

by (4) of Lemma 4-1.1. Thus, either  $g_1g_2 = xy$  or  $g_1g_2 = xyz$ . □

By Lemma 4-1.1, we have

$$g_1g_2 = xy \Leftrightarrow g_1g_3 = xz \Leftrightarrow g_2g_3 = yz.$$

and

$$g_1g_2 = xyz \Leftrightarrow g_1g_3 = xyz \Leftrightarrow g_2g_3 = xyz.$$

So the following lemma is immediately obtained.

LEMMA 4-1.4. *Either*

$$g_1g_2 = xy, \quad g_1g_3 = xz, \quad g_2g_3 = yz;$$

or

$$g_1g_2 = xyz, \quad g_1g_3 = xyz, \quad g_2g_3 = xyz.$$

Now let us consider  $g_1(x, y, z)x$ .

LEMMA 4-1.5.

$$g_1(x, y, z)x = \begin{cases} x \\ g_1 \\ xyz. \end{cases}$$

PROOF. Since

$$g_1(x, y, y) = \begin{cases} x \\ y \\ xy, \end{cases}$$

$$g_1(x, y, y)x = \begin{cases} x \\ xy \end{cases}$$

so  $g_1(x, y, z)x$  depends on  $x$ . Since  $g_1(x, y, z)x$  is symmetric in  $y$  and  $z$ , it depends on  $y$  iff it depends on  $z$ . Hence  $g_1(x, y, z)x = x$  or  $g_1(x, y, z)x$  is essentially ternary. For the latter case,

$$g_1(x, y, z)x = \begin{cases} g_1 \\ xyz \end{cases}$$

since  $p_4 = 4$  and it is symmetric in  $y$  and  $z$ . □

LEMMA 4-1.6. *We have the following*

(1) *If  $g_1(x, y, z)x = x$ , then  $g_1(x, y, y) = x$ ,  $g_1(x, y, x) = x$ .*

(2) *If  $g_1(x, y, z)x = g_1$ , then*

$$g_1(x, y, x) = \begin{cases} x \\ xy, \end{cases} \quad g_1(x, y, y) = \begin{cases} x \\ xy. \end{cases}$$

(3) *If  $g_1(x, y, z)x = xyz$ , then*

$$g_1(x, y, x) = \begin{cases} y \\ xy, \end{cases} \quad g_1(x, y, y) = \begin{cases} y \\ xy. \end{cases}$$

PROOF. First we prove (1). If  $g_1(x, y, y) = y$ , then  $g_1(x, y, y)x = xy$  which contradicts assumption (1) (let  $z = y$ ). If  $g_1(x, y, y) = xy$ , then  $g_1(x, y, y)x = xy$  which also contradicts assumption (1). So  $g_1(x, y, y) = x$ . Similarly,  $g_1(x, y, x) = x$ .

Suppose that condition (2) holds. If  $g_1(x, y, x) = y$ , then  $g_1(x, y, x)x = xy$  but by assumption of (2),

$$g_1(x, y, x)x = g_1(x, y, x) = y,$$

a contradiction. Similarly,  $g_1(x, y, y) \neq y$ .

Finally, assume that condition (3) holds. If  $g_1(x, y, x) = x$ , then  $g_1(x, y, x)x = x$ . On the other hand, let  $z = x$  in  $g_1(x, y, z)x = xyz$ . Then  $g_1(x, y, x)x = xy$ , a contradiction.  $\square$

From Lemma 4-1.5, there are three cases.

Firstly, we consider case

$$(C4-1.1) \quad g_1x = x.$$

LEMMA 4-1.7. *If  $g_1(x, y, z)x = x$ , then (1)  $g_1g_2 = xy$ ; (2)  $g_1y = xy$ .*

PROOF. By Lemma 4-1.4, to prove  $g_1g_2 = xy$ , we only need show that  $g_1g_2 = xyz$  is impossible.

By Lemma 4-1.1 and assumption,  $g_2(x, y, z)y = y$ . If  $g_1g_2 = xyz$ , then

$$xyz = g_1xg_2y = xy,$$

a contradiction. Thus, proof of Lemma 4-1.7 (1) is complete.

In the following, we will prove Lemma 4-1.7 (2). Since  $g_1y$  depends on  $y$  (consider  $z = x$  and use Lemma 4-1.6 (1)),

$$g_1y = \begin{cases} g_1 \\ g_2 \\ g_3 \\ y \\ xy \\ yz \\ xyz. \end{cases}$$

If  $g_1y = g_1$ , then

$$xy = g_1xy = g_1yx = g_1x = x,$$

which is impossible. If  $g_1y = g_2$ , then

$$g_2 = g_2g_2 = g_2g_1y = xyy = xy$$

by Lemma 4-1.7 (1), which is impossible. If  $g_1y = g_3$ , then

$$g_3 = g_3g_3 = g_3g_1y = xzy = xyz$$

since  $g_1g_3 = xz$  by Lemma 4-1.7 (1) and Lemma 4-1.2. But this is impossible. If  $g_1y = y$ , then, by  $g_1x = x$  and Lemma 4-1.1 and Lemma 4-1.7 (1),

$$y = g_2y = g_2g_1y = xyy = xy,$$

a contradiction. If  $g_1y = yz$ , then, setting  $y = x$ ,  $g_1(x, x, z)x = xz$ . But, from  $g_1x = x$ ,  $g_1(x, x, z)x = x$ , a contradiction. If  $g_1y = xyz$ , then, setting  $y = x$ ,  $g_1(x, x, z)x = xz$ .

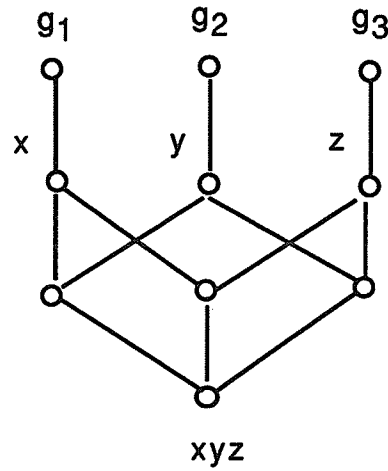


FIGURE 7. T1

But, from  $g_1x = x$ ,  $g_1(x, x, z)x = x$ , a contradiction. Hence, Lemma 4-1.7 (2) is proved.  $\square$

From the above lemmas, among the algebras representing  $\langle 0, 1, 1, 4 \rangle$ , the semilattice structure of the free algebra generated by three elements satisfying  $g_1x = x$  is as in Figure 7. Under condition  $g_1x = x$ , we have the following formulas

$$\begin{aligned}
 (T1) \quad & g_1x = x, & g_1y = xy, & g_1z = xz, \\
 & g_2x = xy, & g_2y = y, & g_2z = yz, \\
 & g_3x = xz, & g_3y = yz, & g_3z = z, \\
 & g_1g_2 = xy, & g_1g_3 = xz, & g_2g_3 = yz, \\
 & g_1g_2g_3 = xyz.
 \end{aligned}$$

Secondly, consider the case

$$(C4-1.2) \quad g_1x = g_1,$$

which implies  $g_2y = g_2$  and  $g_3z = g_3$ . We have two subcases from Lemma 4-1.4

$$(C4-1.2.1) \quad g_1g_2 = xy,$$

which is equivalent to either of  $g_1g_3 = xz$  and  $g_2g_3 = yz$ ;

$$(C4-1.2.2) \quad g_1g_2 = xyz,$$

which is equivalent to either of  $g_1g_3 = xyz$  and  $g_2g_3 = xyz$ .

LEMMA 4-1.8. *Let  $g_1x = g_1$ . If  $g_1g_2 = xy$ , then*

$$g_1y = xy, \quad g_1z = xz.$$

PROOF.

$$g_1y = g_1xy = g_1g_1g_2 = g_1g_2 = xy.$$

Applying permutation  $(yz)$  to this formula,  $g_1z = xz$ . □

Thus, under conditions (s3) and  $g_1x = g_1$  and (C4-1.2.1), the semilattice structure of the free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  is as in Figure 8. For this case, we have

$$(T2) \quad \begin{aligned} g_1x &= g_1, & g_1y &= xy, & g_1z &= xz, \\ g_2x &= xy, & g_2y &= g_2, & g_2z &= yz, \\ g_3x &= xz, & g_3y &= yz, & g_3z &= g_3, \\ g_1g_2 &= xy, & g_1g_3 &= xz, & g_2g_3 &= yz, \\ g_1g_2g_3 &= xyz. \end{aligned}$$

LEMMA 4-1.9. *Let  $g_1x = g_1$ . If  $g_1g_2 = xyz$ , then*

$$g_1y = xyz, \quad g_1z = xyz.$$

PROOF. Since  $g_1y = xyz$  and  $g_1z = xyz$  are equivalent, we only need prove  $g_1y = xyz$ . Since  $g_1y$  depends on  $x$  and  $y$  (set  $z = y$  and  $z = x$ , resp., and apply



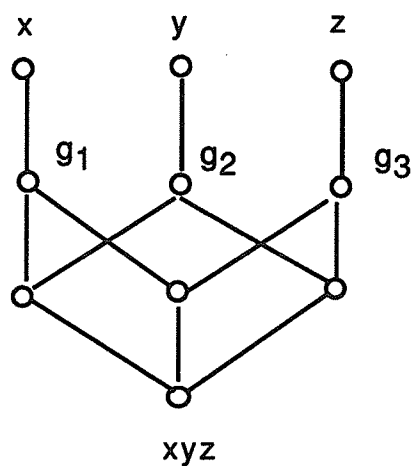


FIGURE 8. T2

Lemma 4-1.6 (2)),

$$g_1y = \begin{cases} g_1 \\ g_2 \\ g_3 \\ xy \\ xyz. \end{cases}$$

If  $g_1y = g_2$ , then  $g_2 = g_2g_2 = g_2g_1y = xyzy = xyz$ , a contradiction.

If  $g_1y = g_3$ , then  $g_3 = g_3g_3 = g_3g_1y = xyz$ , a contradiction.

If  $g_1y = g_1$  i.e.,  $g_1z = g_1$ , then

$$g_1 = g_1x = g_1yx = g_1zyx = g_1g_1g_2g_3 = xyz,$$

a contradiction.

If  $g_1y = xy$ , then  $g_2x = xy$ . Notice that  $g_2y = g_2$  from  $g_1x = g_1$ . So

$$xyz = g_1g_2 = g_1xg_2y = g_1yg_2x = xy,$$

a contradiction. Therefore  $g_1y = xyz$ . □

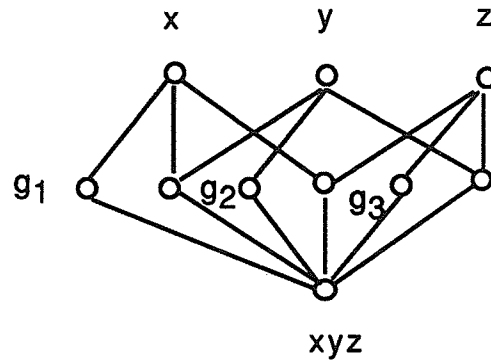


FIGURE 9. T3

Thus, under conditions (s3) and  $g_1x = g_1$  and (C4-1.2.2), the semilattice structure of the free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  is as in Figure 9. For this case, we have

$$\begin{aligned}
 (T3) \quad & g_1x = g_1, & g_1y = xyz, & g_1z = xyz, \\
 & g_2x = xyz, & g_2y = g_2, & g_2z = xyz, \\
 & g_3x = xyz, & g_3y = xyz, & g_3z = g_3, \\
 & g_1g_2 = xyz, & g_1g_3 = xyz, & g_2g_3 = xyz, \\
 & g_1g_2g_3 = xyz.
 \end{aligned}$$

Thirdly, consider case

$$(C4-1.3) \quad g_1x = xyz,$$

which implies  $g_2y = xyz$  and  $g_3z = xyz$ .

LEMMA 4-1.10. *Let  $g_1x = xyz$ . Then  $g_1g_2 = xyz$ , so  $g_1g_3 = xyz$  and  $g_2g_3 = xyz$ .*

PROOF. If  $g_1g_2 \neq xyz$ , then  $g_1g_2 = xy$  by Lemma 4-1.3. So

$$xy = xyx = g_1g_2x = (g_1x)g_2 = xyzg_2 = xyz,$$

a contradiction. □

LEMMA 4-1.11. Let  $g_1x = xyz$ . Then

$$g_1y = \begin{cases} g_1 \\ yz \\ xyz. \end{cases}$$

PROOF. As in the proof of previous lemma,  $g_1y$  depends on  $y$  (by Lemma 4-1.6 (3), setting  $z = x$ ,  $g_1y = y$ ). So

$$g_1y = \begin{cases} g_1 \\ g_2 \\ g_3 \\ y \\ xy \\ yz \\ xyz. \end{cases}$$

If  $g_1y = g_2$ , then

$$g_2 = g_2g_2 = g_2g_1y = g_1(g_2y) = g_1(xyz) = xyz,$$

a contradiction. If  $g_1y = g_3$ , then, by Lemma 4-1.10,

$$g_3 = g_3g_3 = g_3g_1y = (xyz)y = xyz,$$

a contradiction. If  $g_1y = y$ , then

$$xy = x(g_1y) = (xg_1)y = xyz = xyz,$$

a contradiction. If  $g_1y = xy$ , then

$$xy = x(xy) = x(g_1y) = (xg_1)y = xyz = xyz,$$

a contradiction.

Thus, from the above, our proof is complete. □

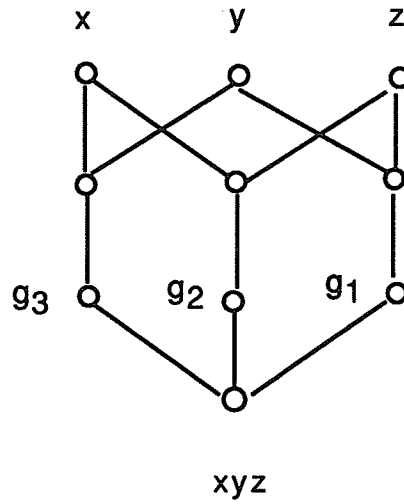


FIGURE 10. T4

By Lemma 4-1.11, for case  $g_1x = xyz$ , we have three subcases:

(C4-1.3.1)  $g_1y = g_1,$

(C4-1.3.2)  $g_1y = yz,$

(C4-1.3.3)  $g_1y = xyz.$

From Lemma 4-1.1, Lemma 4-1.2 and Lemma 4-1.10, we have the following lemmas immediately.

LEMMA 4-1.12. *Let  $g_1x = xyz$  and  $g_1y = g_1$ . Then*

$$\begin{aligned}
 &g_1x = xyz, & g_1y = g_1, & g_1z = g_1; \\
 &g_2x = g_2, & g_2y = xyz, & g_2z = g_2; \\
 \text{(T4)} &g_3x = g_3, & g_3y = g_3, & g_3z = xyz; \\
 &g_1yz = g_1, & g_2xz = g_2, & g_3xy = g_3; \\
 &g_1g_2 = xyz, & g_1g_3 = xyz, & g_2g_3 = xyz.
 \end{aligned}$$

Thus, the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (C4-1.3) and (C4-1.3.1) is as in Figure 10.

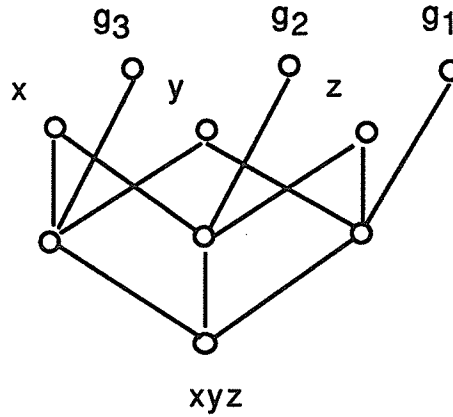


FIGURE 11. T5

LEMMA 4-1.13. *Let  $g_1x = xyz$  and  $g_1y = yz$ . Then*

$$\begin{aligned}
 (T5) \quad & g_1x = xyz, & g_1y = yz, & g_1z = yz; \\
 & g_2x = xz, & g_2y = xyz, & g_2z = xz; \\
 & g_3x = xy, & g_3y = xy, & g_3z = xyz; \\
 & g_1g_2 = xyz, & g_1g_3 = xyz, & g_2g_3 = xyz.
 \end{aligned}$$

*So the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (C4-1.3) and (C4-1.3.2) is as in Figure 11.*

LEMMA 4-1.14. *Let  $g_1x = xyz$  and  $g_1y = xyz$ . Then*

$$\begin{aligned}
 (T6) \quad & g_1x = xyz, & g_1y = xyz, & g_1z = xyz; \\
 & g_2x = xyz, & g_2y = xyz, & g_2z = xyz; \\
 & g_3x = xyz, & g_3y = xyz, & g_3z = xyz; \\
 & g_1g_2 = xyz, & g_1g_3 = xyz, & g_2g_3 = xyz.
 \end{aligned}$$

*So the semilattice structure of free algebra generated by three elements representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying (C4-1.3) and (C4-1.3.3) is as in Figure 12.*

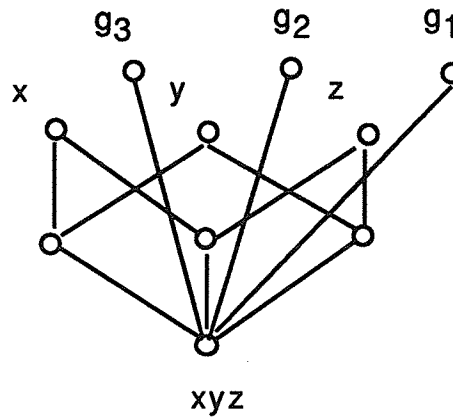


FIGURE 12. T6

For convenience, we call the class of algebras representing  $\langle 0, 1, 1, 4 \rangle$  and satisfying  $(Ti)$  the class  $T_i$ .

In the following section, we consider the value of  $p_4(\mathfrak{A})$ . Similarly to the previous chapter, the following sets of polynomials are very useful.

$$(B_0) \quad x_1x_2x_3x_4;$$

$$(B_1) \quad \begin{array}{lll} g_1(x_1, x_2, x_3)x_4, & g_1(x_2, x_3, x_1)x_4, & g_1(x_3, x_1, x_2)x_4, \\ g_1(x_2, x_3, x_4)x_1, & g_1(x_3, x_4, x_2)x_1, & g_1(x_4, x_2, x_3)x_1, \\ g_1(x_3, x_4, x_1)x_2, & g_1(x_4, x_1, x_3)x_2, & g_1(x_1, x_3, x_4)x_2, \\ g_1(x_4, x_1, x_2)x_3, & g_1(x_1, x_2, x_4)x_3, & g_1(x_2, x_4, x_1)x_3. \end{array}$$

$$\begin{aligned}
& g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4), \quad g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4), \\
& g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3), \quad g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1), \\
(B_2) \quad & g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3), \quad g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4), \\
& g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4), \quad g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4), \\
& g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2), \quad g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3), \\
& g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1), \quad g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2).
\end{aligned}$$

$$\begin{aligned}
& g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4), \quad g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1), \\
(B_3) \quad & g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4), \quad g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3), \\
& g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1), \quad g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2),
\end{aligned}$$

$$\begin{aligned}
(B_4) \quad & g_1(x_1x_2, x_3, x_4), \quad g_1(x_1x_3, x_2, x_4), \quad g_1(x_1x_4, x_2, x_3), \\
& g_1(x_2x_3, x_1, x_4), \quad g_1(x_2x_4, x_1, x_3), \quad g_1(x_3x_4, x_1, x_2).
\end{aligned}$$

$$\begin{aligned}
& g_1(x_1, x_2x_3, x_4), \quad g_1(x_1, x_2x_4, x_3), \quad g_1(x_1, x_3x_4, x_2), \\
(B_5) \quad & g_1(x_2, x_1x_3, x_4), \quad g_1(x_2, x_1x_4, x_3), \quad g_1(x_2, x_3x_4, x_1), \\
& g_1(x_3, x_1x_2, x_4), \quad g_1(x_3, x_1x_4, x_2), \quad g_1(x_3, x_2x_4, x_1), \\
& g_1(x_4, x_1x_2, x_3), \quad g_1(x_4, x_1x_3, x_2), \quad g_1(x_4, x_2x_3, x_1).
\end{aligned}$$

We need the following subset of  $B_1$  for later use:

$$\begin{aligned}
(B_{11}) \quad & g_1(x_1, x_2, x_3)x_4, \quad g_1(x_2, x_1, x_3)x_4, \quad g_1(x_3, x_1, x_2)x_4, \\
& g_1(x_2, x_3, x_4)x_1, \quad g_1(x_3, x_4, x_2)x_1, \quad g_1(x_3, x_4, x_1)x_2.
\end{aligned}$$

Obviously,  $B_0 \cap (B_2 \cup B_3 \cup B_4 \cup B_5) = \emptyset$  by identifying two variables.

THEOREM 4-1.1. *The polynomials in  $B_0 \cup B_1 \cup B_2 \cup B_3 \cup B_4 \cup B_5$  are essentially 4-ary.*

PROOF. By G.Grätzer ([28] pp.44-45), the polynomials in  $B_0 \cup B_1 \cup B_4 \cup B_5$  are essentially 4-ary.

To prove the others, we only need prove that  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  and  $g_1(x_1, x_3, x_4)g_1(x_1, x_2, x_3)$  are essentially 4-ary. By similarity of the proof, we only need prove the first one. Firstly,  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  depends on  $x_3$  and  $x_4$ ; otherwise, by its symmetry in  $x_3$  and  $x_4$ , it does not depend on  $x_3$  and  $x_4$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = \begin{cases} x_1x_2 \\ x_1 \\ x_2. \end{cases}$$

Let  $x_4 = x_3$ ,

$$g_1(x_1, x_2, x_3) = \begin{cases} x_1x_2 \\ x_1 \\ x_2. \end{cases}$$

But the left hand side is essentially ternary, a contradiction. Secondly,

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$$

depends on  $x_2$ ; otherwise, without loss of generality, suppose that it is essentially ternary. Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = \begin{cases} x_1x_3x_4 \\ g_i(x_1, x_3, x_4) \text{ for some } i. \end{cases}$$

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3) = \begin{cases} x_1x_3 \\ g_i(x_1, x_3, x_3). \end{cases}$$



Thus, the left hand side is essentially ternary but the right hand side depends on at most two variables, a contradiction.  $\square$

As in the previous chapter, for formal polynomials satisfying (s3), we have the following lemmas.

LEMMA 4-1.15. *Each  $B_i$  is invariant under  $S_4$ ,  $i = 1, 2, 3, 4, 5$ .*

PROOF. We only need prove that  $B_i$  is invariant under each of permutations (12), (13), (14), (23), (24), (34). However, it is routine to check them.  $\square$

LEMMA 4-1.16. *For every fixed  $i$ , for any  $p, q \in B_i$  there is an  $\alpha \in S_4$  such that  $p = q^\alpha$ .*

PROOF. Since every  $\alpha \in S_4$  is bijective, we only need to prove that for some fixed  $p$ , for every  $q \in S_4$  there exists  $\alpha$  such that  $q = p^\alpha$ .

(1) On  $B_1$ :

$$\begin{aligned}
g_1(x_2, x_3, x_1)x_4 &= (g_1(x_1, x_2, x_3)x_4)^{(12)}, \\
g_1(x_3, x_1, x_2)x_4 &= (g_1(x_1, x_2, x_3)x_4)^{(13)}, \\
g_1(x_2, x_3, x_4)x_1 &= (g_1(x_1, x_2, x_3)x_4)^{(214)}, \\
g_1(x_3, x_4, x_2)x_1 &= (g_1(x_1, x_2, x_3)x_4)^{(23)(214)}, \\
g_1(x_4, x_2, x_3)x_1 &= (g_1(x_1, x_2, x_3)x_4)^{(24)(214)}, \\
g_1(x_3, x_4, x_1)x_2 &= (g_1(x_1, x_2, x_3)x_4)^{(13)(24)}, \\
g_1(x_4, x_1, x_3)x_2 &= (g_1(x_3, x_4, x_1)x_2)^{(34)} = (g_1(x_1, x_2, x_3)x_4)^{(34)(13)(24)}, \\
g_1(x_1, x_3, x_4)x_2 &= (g_1(x_1, x_2, x_3)x_4)^{(24)}, \\
g_1(x_4, x_1, x_2)x_3 &= (g_1(x_1, x_2, x_3)x_4)^{(134)}, \\
g_1(x_1, x_2, x_4)x_3 &= (g_1(x_4, x_1, x_2)x_3)^{(14)} = (g_1(x_1, x_2, x_3)x_4)^{(14)(134)}, \\
g_1(x_2, x_4, x_1)x_3 &= (g_1(x_4, x_1, x_2)x_3)^{(24)} = (g_1(x_1, x_2, x_3)x_4)^{(24)(134)}.
\end{aligned}$$

(2) On  $B_2$ : take  $p = g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$ . Since we have the following identities, our claim on  $B_2$  is true.

$$\begin{aligned}
g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) &= (g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4))^{(12)}, \\
g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) &= (g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4))^{(23)}, \\
g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) &= (g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4))^{(12)}, \\
g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= (g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4))^{(24)}, \\
g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= (g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3))^{(13)}, \\
g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= (g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3))^{(34)}, \\
g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) &= (g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4))^{(14)}, \\
g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) &= (g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2))^{(23)}, \\
g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= (g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1))^{(24)}, \\
g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= (g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3))^{(34)}, \\
g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) &= (g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4))^{(34)}.
\end{aligned}$$

(3) On  $B_3$ : take  $p = g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4)$ . And we have

$$\begin{aligned}
g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) &= (g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4))^{(24)}, \\
g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) &= (g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3))^{(12)}, \\
g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) &= (g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1))^{(34)}, \\
g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) &= (g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1))^{(12)}, \\
g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) &= (g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1))^{(23)}.
\end{aligned}$$

(4) On  $B_4$ : take  $p = g_1(x_1x_2, x_3, x_4)$ .

$$g_1(x_1x_3, x_2, x_4) = (g_1(x_1x_2, x_3, x_4))^{(23)},$$

$$g_1(x_1x_4, x_2, x_3) = (g_1(x_1x_3, x_2, x_4))^{(34)},$$

$$g_1(x_3x_4, x_1, x_2) = (g_1(x_1x_4, x_2, x_3))^{(13)},$$

$$g_1(x_2x_4, x_1, x_3) = (g_1(x_3x_4, x_1, x_2))^{(23)},$$

$$g_1(x_2x_3, x_1, x_4) = (g_1(x_2x_4, x_1, x_3))^{(34)}.$$

(5) On  $B_5$ :

$$g_1(x_1, x_2x_4, x_3) = (g_1(x_1, x_2x_3, x_4))^{(34)}, \quad g_1(x_1, x_3x_4, x_2) = (g_1(x_1, x_2x_4, x_3))^{(23)},$$

$$g_1(x_2, x_3x_4, x_1) = (g_1(x_1, x_3x_4, x_2))^{(12)}, \quad g_1(x_3, x_2x_4, x_1) = (g_1(x_2, x_3x_4, x_1))^{(23)},$$

$$g_1(x_4, x_2x_3, x_1) = (g_1(x_3, x_2x_4, x_1))^{(34)}, \quad g_1(x_4, x_1x_3, x_2) = (g_1(x_4, x_2x_3, x_1))^{(12)},$$

$$g_1(x_3, x_1x_4, x_2) = (g_1(x_4, x_1x_3, x_2))^{(34)}, \quad g_1(x_2, x_1x_4, x_3) = (g_1(x_3, x_1x_4, x_2))^{(23)},$$

$$g_1(x_2, x_1x_3, x_4) = (g_1(x_2, x_1x_4, x_3))^{(34)}, \quad g_1(x_3, x_1x_2, x_4) = (g_1(x_2, x_1x_3, x_4))^{(23)},$$

$$g_1(x_4, x_1x_2, x_3) = (g_1(x_3, x_1x_2, x_4))^{(34)}.$$

□

In the following, we will discuss the value of  $p_4(\mathfrak{A})$  in six parts, respectively under conditions  $(Ti)$ ,  $i = 1, 2, 3, 4, 5, 6$ .

#### 4-2. Lower bounds of $p_4$ and some algebras in the class $\mathbf{S}_2$

In the following subsections, we will find that the greatest lower bound for  $p_4(\mathfrak{A})$  is 19 and  $\langle 0, 1, 1, 4, 19 \rangle$  is representable. There are two varieties  $\mathbf{K}_i$  of type  $\langle 2, 3 \rangle$  and two four-element algebras  $Z_i \in \mathbf{K}_i$ ,  $i = 1, 2$ , such that both  $Z_i$  represent  $\langle 0, 1, 1, 4, 19, 251 \rangle$  and every algebra  $\mathfrak{A} \in \mathbf{K}_i$  representing  $\langle 0, 1, 1, 4 \rangle$  contains a subalgebra such that  $Z_i$

is a homomorphic image of this subalgebra. The sequence  $\langle 0, 1, 1, 4, 27 \rangle$  is related to the variety of join algebras.

**4-2.1.  $p_4 \geq 31$  under condition (T1).** In this subsection, we suppose that (T1) holds. We will prove that  $p_4(\mathfrak{A}) \geq 31$ .

LEMMA 4-2.1.  $g_1(x, y, x) = g_1(x, x, y) = x, \quad g_1(x, y, y) = x.$

PROOF. If  $g_1(x, y, y) = y$ , then  $g_1(x, y, y)x = xy$  but  $g_1(x, y, y)x = x$  by (T1), a contradiction. If  $g_1(x, y, y) = xy$ , then  $g_1(x, y, y)x = xy$  but  $g_1(x, y, y)x = x$  by (T1), a contradiction. So  $g_1(x, y, y) = x$ .

Similarly to above,  $g_1(x, y, x) \neq y$  or  $xy$ . So  $g_1(x, y, x) = x$ . □

In the following, we will show that the polynomials in  $B_0 \cup B_1 \cup B_2 \cup B_3$  are pairwise distinct and then  $p_4 \geq 31$ .

LEMMA 4-2.2.  $B_0 \cap (B_1 \cup B_2 \cup B_3 \cup B_4 \cup B_5) = \emptyset.$

PROOF. It is trivial. □

LEMMA 4-2.3.  $B_1 \cap B_2 = \emptyset.$

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_1$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and by (T1) and Lemma 4-2.1, we have

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_3, & g_1(x_2, x_3, x_1)x_4 &= x_2x_3, & g_1(x_3, x_1, x_2)x_4 &= x_3, \\ g_1(x_2, x_3, x_4)x_1 &= x_2x_1, & g_1(x_3, x_4, x_2)x_1 &= x_3x_1, & g_1(x_4, x_2, x_3)x_1 &= x_3x_1, \\ g_1(x_3, x_4, x_1)x_2 &= x_3x_2, & g_1(x_4, x_1, x_3)x_2 &= x_3x_2, & g_1(x_1, x_3, x_4)x_2 &= x_1x_2, \\ g_1(x_4, x_1, x_2)x_3 &= x_3, & g_1(x_1, x_2, x_4)x_3 &= x_1x_3, & g_1(x_2, x_4, x_1)x_3 &= x_2x_3. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

LEMMA 4-2.4.  $B_1 \cap B_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4 \notin B_3$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4)$  and  $g_1(x_1, x_2, x_3)x_4 = x_1x_4$ . Similarly,  $g_1(x_1, x_2, x_3)x_4$  is not equal to any other in  $B_3$ .

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.5.  $B_2 \cap B_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_3$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and

$$\begin{aligned} g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) &= x_1x_2, \\ g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) &= g_1(x_2, x_3, x_1)x_3 = x_2x_3, \\ g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) &= g_1(x_1, x_2, x_3)x_3 = x_1x_3, \\ g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) &= g_1(x_1, x_2, x_3)x_3 = x_1x_3, \\ g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) &= g_1(x_2, x_3, x_1)x_3 = x_2x_3, \\ g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) &= g_1(x_3, x_1, x_2). \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.6. *The polynomials in  $B_1$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = x_3$ .  $g_1(x_1, x_2, x_3)x_4 = x_1x_3$ , and by (T1) and Lemma 4-2.1

$$\begin{aligned} g_1(x_2, x_3, x_1)x_4 &= x_2x_3, & g_1(x_3, x_1, x_2)x_4 &= x_3, & g_1(x_2, x_3, x_4)x_1 &= x_2x_1, \\ g_1(x_3, x_4, x_2)x_1 &= x_3x_1, & g_1(x_4, x_2, x_3)x_1 &= x_3x_1, & g_1(x_3, x_4, x_1)x_2 &= x_2x_3, \\ g_1(x_4, x_1, x_3)x_2 &= x_2x_3, & g_1(x_1, x_3, x_4)x_2 &= x_1x_2, & g_1(x_4, x_1, x_2)x_3 &= x_3, \end{aligned}$$

$$g_1(x_1, x_2, x_4)x_3 = x_1x_3, \quad g_1(x_2, x_4, x_1)x_3 = x_2x_3.$$

Let  $x_4 = x_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = x_1, \quad g_1(x_3, x_4, x_2)x_1 = x_1x_3,$$

$$g_1(x_4, x_2, x_3)x_1 = x_1, \quad g_1(x_1, x_2, x_4)x_3 = x_1x_3.$$

If  $g_1(x_1, x_2, x_3)x_4 = g_1(x_4, x_2, x_3)x_1$ , then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_4, x_2, x_3)x_4x_1 = x_4x_1,$$

contradicting the fact that  $g_1(x_1, x_2, x_3)x_4$  is essentially 4-ary. (2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.7. *The polynomials in  $B_2$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = g_1(x_1, x_3, x_2)x_1 = x_1,$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_3, x_2)x_1 = x_1,$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = g_1(x_2, x_3, x_1)x_2 = x_2,$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = g_1(x_2, x_3, x_1)x_2 = x_2,$$

$$g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) = g_1(x_2, x_1, x_3) = g_2(x_1, x_2, x_3),$$

$$g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) = g_1(x_3, x_1, x_2)x_3 = x_3,$$

$$g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) = g_1(x_3, x_2, x_1)x_3 = x_3,$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_3,$$

$$g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) = g_1(x_3, x_1, x_2)x_3 = x_3,$$

$$g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) = g_1(x_3, x_2, x_1)x_3 = x_3,$$

$$g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) = x_3.$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.8. *The polynomials in  $B_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then

$$g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4),$$

$$g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) = g_1(x_3, x_4, x_1)x_1 = x_3x_1,$$

$$g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) = g_1(x_3, x_1, x_4)x_1 = x_1x_3,$$

$$g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) = g_1(x_4, x_1, x_3)x_1 = x_4x_1,$$

$$g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) = g_1(x_4, x_3, x_1)x_1 = x_4x_1,$$

$$g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) = x_3x_4.$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

**4-2.2. Lower bound of  $p_4$  under condition (T2) and join algebras.** In this subsection, we suppose that (T2) holds. We will prove that  $p_4(\mathfrak{A}) \geq 27$  and  $\langle 0, 1, 1, 4, 27 \rangle$  is related to join algebras.

LEMMA 4-2.9.  $g_1(x, x, y) = g_1(x, y, x) = x$  and

$$g_1(x, y, y) = \begin{cases} x \\ xy. \end{cases}$$

PROOF. (1)  $g_1(x, x, y) \neq xy$ :

If  $g_1(x, x, y) = xy$ , then  $g_1(x, x, z) = xz$  so  $g_1(x, x, z)x = xz$ . On the other hand, letting  $y = x$  in  $g_1(x, y, z)y = xy$ ,  $g_1(x, x, z)x = x$ , a contradiction.

(2)  $g_1(x, x, y) \neq y$ ,  $g_1(x, y, y) \neq y$ :

If  $g_1(x, x, y) = y$ , then  $g_1(x, x, y)x = xy$ . On the other hand, setting  $z = x$  in  $g_1(x, z, y)x = g_1(x, z, y)$ ,

$$g_1(x, x, y)x = g_1(x, x, y) = y,$$

a contradiction.

If  $g_1(x, y, y) = y$ , then  $g_1(x, y, y)x = xy$ . On the other hand, letting  $z = y$  in  $g_1(x, y, z)x = g_1(x, y, z)$ ,

$$g_1(x, y, y)x = g_1(x, y, y) = y,$$

a contradiction. □

Thus, we have two cases:

$$(C4-2.2.1) \quad g_1(x, y, y) = x,$$

and

$$(C4-2.2.2) \quad g_1(x, y, y) = xy.$$

4-2.2.1.  $p_4 \geq 31$  under condition (C4-2.2.1). We suppose that the condition (C4-2.2.1) holds. Then we will prove that the polynomials of  $B_0 \cup B_2 \cup B_3 \cup B_5$  are pairwise distinct, so  $p_4(\mathfrak{A}) \geq 31$ .

LEMMA 4-2.10. *If  $g_1(x, y, y) = x$ , then  $g_1(x, y, xy) = x$ .*

PROOF. If  $g_1(x, y, xy) = y$ , then  $y = g_1(x, y, xy)y = xy$ , a contradiction.

If  $g_1(x, y, xy) = xy$ , then, replacing  $y$  by  $xy$ ,

$$xy = g_1(x, xy, x(xy)) = g_1(x, xy, xy) = x$$

by (C4-2.2.1), a contradiction. □

LEMMA 4-2.11. *If  $g_1(x, y, y) = x$ , then  $g_1(xy, x, y) = xy$ .*



PROOF. If  $g_1(xy, x, y) = y$ , then

$$y = g_1(xy, x, y)y = (xy)y = xy$$

by (T2), a contradiction.

If  $g_1(xy, x, y) = x$ , then

$$x = g_1(xy, x, y)x = (xy)x = xy,$$

a contradiction. □

LEMMA 4-2.12. *If  $g_1(x, y, y) = x$ , then  $g_1(xy, y, z) = xy$ .*

PROOF. If  $g_1(xy, y, z) = x$ , then, setting  $z = y$ ,  $x = g_1(xy, y, y) = xy$ , a contradiction.

If  $g_1(xy, y, z) = y$ , then, setting  $z = y$ ,  $y = g_1(xy, y, y) = xy$ , a contradiction.

If  $g_1(xy, y, z) = z$ , then, setting  $z = y$ ,  $z = g_1(xy, y, y) = xy$ , a contradiction.

If  $g_1(xy, y, z) = xz$ , then, setting  $z = x$ ,  $x = g_1(xy, y, x) = xy$  by Lemma 4-2.11, a contradiction.

If  $g_1(xy, y, z) = yz$ , then, setting  $z = y$ ,  $y = g_1(xy, y, y) = xy$  by (C4-2.2.1), a contradiction.

If  $g_1(xy, y, z) = g_1(x, y, z)$ , then, setting  $z = y$ ,  $xy = x$  by (C4-2.2.1), a contradiction.

If  $g_1(xy, y, z) = g_2(x, y, z) = g_1(y, x, z)$ , then, setting  $z = x$ ,  $xy = y$  by (C4-2.2.1) and Lemma 4-2.11, a contradiction.

If  $g_1(xy, y, z) = g_3(x, y, z) = g_1(z, y, x)$ , then, setting  $z = y$ ,  $xy = y$  by (C4-2.2.1) and Lemma 4-2.9, a contradiction.

If  $g_1(xy, y, z) = xyz$ , then, replacing  $y$  by  $xy$ ,

$$xy = g_1(xy, xy, z) = xyz,$$

a contradiction. □

LEMMA 4-2.13. *If  $g_1(x, y, y) = x$ , then*

$$g_1(x, xy, z) = \begin{cases} x, \\ g_1(x, y, z). \end{cases}$$

PROOF. If  $g_1(x, xy, z) = y$ , then, Letting  $z = x$ ,  $y = x$  by Lemma 4-2.9, a contradiction.

If  $g_1(x, xy, z) = z$ , then, letting  $z = xy$ ,

$$xy = g_1(x, xy, xy) = x$$

by (C4-2.2.1), a contradiction.

If  $g_1(x, xy, z) = xy$ , then, setting  $z = x$ ,

$$x = g_1(x, xy, x) = xy$$

by Lemma 4-2.9, a contradiction.

If  $g_1(x, xy, z) = xz$ , then, setting  $z = xy$ ,

$$xy = g_1(x, xy, xy) = x$$

by (C4-2.2.1), a contradiction.

If  $g_1(x, xy, z) = yz$ , then, setting  $z = xy$ ,

$$xy = g_1(x, xy, xy) = x$$

by (C4-2.2.1), a contradiction.

If  $g_1(x, xy, z) = xyz$ , then, setting  $z = xy$ ,

$$xy = g_1(x, xy, xy) = x$$

by (C4-2.2.1), a contradiction.

If  $g_1(x, xy, z) = g_2(x, y, z) = g_1(y, x, z)$ , then, letting  $z = x$ ,

$$x = g_1(x, xy, x) = g_1(y, x, x) = y$$

by (C4-2.2.1) and Lemma 4-2.9, a contradiction.

If  $g_1(x, xy, z) = g_3(x, y, z) = g_1(z, y, x)$ , then, setting  $y = x$ ,

$$x = g_1(x, x, z) = g_1(z, x, x) = z$$

by (C4-2.2.1) and Lemma 4-2.9, a contradiction.  $\square$

LEMMA 4-2.14. *If  $g_1(x, y, y) = x$ , then  $g_1(x, yz, z) = x$ .*

PROOF. If  $g_1(x, yz, z) = y$ , then, letting  $z = x$ ,

$$y = g_1(x, yx, x) = x$$

by Lemma 4-2.9, a contradiction.

If  $g_1(x, yz, z) = z$ , then, replacing  $z$  by  $yz$ ,

$$yz = g_1(x, yz, yz) = x$$

by (C4-2.2.1), a contradiction.

If  $g_1(x, yz, z) = xy$ , then, setting  $z = x$ ,

$$xy = g_1(x, yx, x) = x$$

by Lemma 4-2.9, a contradiction.

If  $g_1(x, yz, z) = xz$ , then, replacing  $z$  by  $yz$ ,

$$xyz = g_1(x, yz, yz) = x$$

by Lemma 4-2.9, a contradiction.

If  $g_1(x, yz, z) = yz$ , then replacing  $z$  by  $yz$ ,

$$yz = g_1(x, yz, yz) = x$$

by Lemma 4-2.9, a contradiction. Similarly,

$$g_1(x, yz, z) \neq xyz.$$

If  $g_1(x, yz, z) = g_2(x, y, z) = g_1(y, x, z)$ , then, letting  $z = x$ ,

$$x = g_1(x, yx, x) = g_1(y, x, x) = y$$

by Lemma 4-2.9 and (C4-2.2.1), a contradiction.

If  $g_1(x, yz, z) = g_3(x, y, z) = g_1(z, y, x)$ , then

$$g_1(z, y, x) = g_1(z, y, x)z = g_1(x, yz, z)z = xz$$

by (T2), a contradiction.

If  $g_1(x, yz, z) = g_1(x, y, z)$ , then

$$\begin{aligned} g_1(x, y, z) &= g_1(x, yz, z) \\ &= g_1(x, z, yz) \\ &= g_1(x, z(yz), yz) \quad (\text{by assumption}) \\ &= g_1(x, yz, yz) \\ &= x, \quad (\text{by (C4-2.2.1)}) \end{aligned}$$

a contradiction. □

Now we are in a position to prove that the polynomials in  $B_0 \cup B_2 \cup B_3 \cup B_5$  are pairwise distinct under condition (C4-2.2.1).

LEMMA 4-2.15.  $B_0 \cap (B_2 \cup B_3 \cup B_5) = \emptyset$ .

LEMMA 4-2.16.  $B_2 \cap B_3 = \emptyset$ .

PROOF. It is the same as the proof of Lemma 4-2.5 because we only used Lemma 4-2.1 there. □

LEMMA 4-2.17.  $B_2 \cap B_5 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_5$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and by the Lemmas 4-2.13 and 4-2.14 we have

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2x_3, x_3) = x_1,$$

$$g_1(x_1, x_2x_4, x_3) = g_1(x_1, x_2x_3, x_3) = x_1,$$

$$g_1(x_1, x_3x_4, x_2) = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_1x_3, x_4) = g_1(x_2, x_1x_3, x_3) = x_2,$$

$$g_1(x_2, x_1x_4, x_3) = g_1(x_2, x_1x_3, x_3) = x_2,$$

$$g_1(x_2, x_3x_4, x_1) = g_2(x_1, x_2, x_3),$$

$$g_1(x_3, x_1x_2, x_4) = g_1(x_3, x_1x_2, x_3) = x_3,$$

$$g_1(x_3, x_1x_4, x_2) = g_1(x_3, x_1x_3, x_2) = \begin{cases} x_3 \\ g_1(x_3, x_1, x_2) \end{cases} = \begin{cases} x_3 \\ g_3(x_1, x_2, x_3) \end{cases}$$

$$g_1(x_3, x_2x_4, x_1) = g_1(x_3, x_2x_3, x_1) = \begin{cases} x_3 \\ g_3(x_1, x_2, x_3) \end{cases}$$

$$g_1(x_4, x_1x_2, x_3) = g_1(x_3, x_1x_2, x_3) = x_3,$$

$$g_1(x_4, x_1x_3, x_2) = g_1(x_3, x_1x_3, x_2) = \begin{cases} x_3 \\ g_3(x_1, x_2, x_3) \end{cases}$$

$$g_1(x_4, x_2x_3, x_1) = g_1(x_3, x_2x_3, x_1) = \begin{cases} x_3 \\ g_3(x_1, x_2, x_3). \end{cases}$$

Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)x_1 = g_1(x_1, x_2, x_3) \quad (\text{by C4-2.2.1})$$

$$g_1(x_1, x_3x_4, x_2) = g_1(x_1, x_3x_2, x_2) = x_1. \quad (\text{by Lemma 4-2.14})$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.18.  $B_3 \cap B_5 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2x_3, x_4) \notin B_3$ :

Let  $x_3 = x_2$ . Then  $g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)$  and

$$g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_2, x_4)x_2 = x_1x_2,$$

$$g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) = g_1(x_2, x_4, x_1) = g_2(x_1, x_2, x_4),$$

$$g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) = g_1(x_1, x_2, x_4)x_2 = x_1x_2,$$

$$g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) = x_1x_4,$$

$$g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) = g_1(421)x_2 = x_2x_4,$$

$$g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) = g_1(412)x_2 = x_2x_4.$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

LEMMA 4-2.19. *The polynomials in  $B_2$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ . Then by (C4-2.2.1) and (T2) and Lemma 4-2.9

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = g_1(x_1, x_3, x_2)x_1 = g_1(x_1, x_2, x_3),$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_3, x_2)x_1 = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) = g_1(x_2, x_3, x_1)x_2 = g_2(x_1, x_2, x_3),$$

$$g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) = g_1(x_2, x_3, x_1)x_2 = g_2(x_1, x_2, x_3),$$

$$g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) = g_2(x_1, x_2, x_3),$$

$$g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) = g_1(x_3, x_1, x_2)x_3 = g_3(x_1, x_2, x_3),$$

$$g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) = g_1(x_3, x_2, x_1)x_3 = g_3(x_1, x_2, x_3),$$

$$g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) = x_3,$$

$$g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) = g_1(x_3, x_1, x_2)x_3 = g_3(x_1, x_2, x_3),$$

$$g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) = g_1(x_3, x_2, x_1)x_3 = g_3(x_1, x_2, x_3),$$

$$g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) = x_3.$$

Let  $x_2 = x_1$ . Then

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = x_1,$$

$$g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) = x_1g_1(x_1, x_3, x_4) = g_1(x_1, x_3, x_4),$$

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = x_1g_1(x_1, x_3, x_4) = g_1(x_1, x_3, x_4).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.20. *The polynomials in  $B_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then

$$g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4),$$

$$g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) = g_1(x_3, x_4, x_1)x_1 = x_3x_1,$$

$$g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) = g_1(x_3, x_1, x_4)x_1 = x_1x_3,$$

$$g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) = g_1(x_4, x_1, x_3)x_1 = x_4x_1,$$

$$g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) = g_1(x_4, x_3, x_1)x_1 = x_4x_1,$$

$$g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) = x_3x_4.$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.21. *The polynomials in  $B_5$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2x_3, x_4)$  is different from others:

Let  $x_3 = x_2$ . Then, by lemmas 4-2.13 and 4-2.14,

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4),$$

$$g_1(x_1, x_2x_4, x_3) = g_1(x_1, x_2x_4, x_2) = x_1,$$

$$\begin{aligned}
g_1(x_1, x_3x_4, x_2) &= g_1(x_1, x_2x_4, x_2) = x_1, \\
g_1(x_2, x_1x_3, x_4) &= g_1(x_2, x_1x_2, x_4) = \begin{cases} x_2 \\ g_2(x_1, x_2, x_4) \end{cases} \\
g_1(x_2, x_1x_4, x_3) &= g_1(x_2, x_1x_4, x_2) = x_2, \\
g_1(x_2, x_3x_4, x_1) &= g_1(x_2, x_2x_4, x_1) = \begin{cases} x_2 \\ g_2(x_1, x_2, x_4) \end{cases} \\
g_1(x_3, x_1x_2, x_4) &= g_1(x_2, x_1x_2, x_4) = \begin{cases} x_2 \\ g_2(x_1, x_2, x_4) \end{cases}, \\
g_1(x_3, x_1x_4, x_2) &= g_1(x_2, x_1x_4, x_2) = x_2, \\
g_1(x_3, x_2x_4, x_1) &= g_1(x_2, x_2x_4, x_1) = \begin{cases} x_2 \\ g_2(x_1, x_2, x_4) \end{cases}, \\
g_1(x_4, x_1x_2, x_3) &= g_1(x_4, x_1x_2, x_2) = x_4, \\
g_1(x_4, x_1x_3, x_2) &= g_1(x_4, x_1x_2, x_2) = x_4, \\
g_1(x_4, x_2x_3, x_1) &= g_3(x_1, x_2, x_4).
\end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

From lemmas 4-2.15 to 4-2.21, the polynomials of  $B_0 \cup B_2 \cup B_3 \cup B_5$  are pairwise distinct, so  $p_4(\mathfrak{A}) \geq 31$  under (C4-2.2.1).

4-2.2.2. *Condition (C4-2.2.2) and join algebras.* We suppose that the condition (C4-2.2.2) holds. We will see that this case is related to the join algebra, i.e., a ternary reduct of distributive lattice. Recall that a *join algebra* is an algebra  $J =$



s	x	y	z
0	0	0	0
0	0	0	1
0	0	1	0
0	0	1	1
0	1	0	0
1	1	0	1
1	1	1	0
1	1	1	1

TABLE 1.  $J_2$ 

$\langle J, s \rangle$  of type  $\langle 3 \rangle$  satisfying the following identities [5]:

- (J1)  $s(x, x, y) = x,$   
 (J2)  $s(x, y, y) = s(y, x, x),$   
 (J3)  $s(x, y, z) = s(x, z, y),$   
 (J4)  $w \cdot s(x, y, z) = s(w \cdot x, y, z),$   
 (J5)  $w \cdot s(x, y, z) = s(x, w \cdot y, w \cdot z),$

where “ $\cdot$ ” is derived operation given by

$$(D1) \quad x \cdot y = s(x, y, y).$$

In other words, it is an algebra of type  $\langle 2, 3 \rangle$  satisfying (J1)-(J5) and (D1).

Let  $\mathfrak{J}$  be the variety of join algebras. Then  $\mathfrak{J}$  is generated by two element chain join algebra  $J_2 = \langle \{0, 1\}, s \rangle$ , where  $s$  is defined by

$$s(x, y, z) = x \wedge (y \vee z)$$

and  $\langle \{0, 1\}; \vee, \wedge \rangle$  is two-element lattice (ref. [48], [5] and [36]). Notice that  $J_2$  has the operation Table 1.

$g_1$	x	y	z
d	d	d	d
d	d	d	e
d	d	e	d
d	d	e	e
d	e	d	d
e	e	d	e
e	e	e	d
e	e	e	e

TABLE 2.  $C_2$ 

Since  $g_1 > xyz$ , there exist  $a, b, c \in A$  such that  $d = abc < g_1(a, b, c) = e$ . Consider two-element algebra  $C_2 = \langle \{d, e\}; g_1, \cdot \rangle$ . By Lemma 4-2.9 and the condition (C4-2.2.2),  $C_2$  has the operation Table 2. It is the same as that of  $J_2$ . Therefore, every algebra which represents  $\langle 0, 1, 1, 4 \rangle$  and satisfies (T2) and (C4-2.2.2) contains a ternary reduct which contains  $J_2$  as a subalgebra. Since  $p_4(J_2) = 27$ , we have the following lemma.

LEMMA 4-2.22. *Let algebra  $\mathfrak{A}$  in the class  $\mathbf{T}_2$  and represent  $\langle 0, 1, 1, 4 \rangle$ . Then  $p_4(\mathfrak{A}) \geq 27$  and  $\langle 0, 1, 1, 4 \rangle$  has the minimal extension property in the class  $\mathbf{T}_{22}$ , where  $\mathbf{T}_{22}$  is the subclass of  $\mathbf{T}_2$  in which algebra satisfies (C4-2.2.2).*

THEOREM 4-2.1. *Let algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  satisfy (T2). Then  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  if and only if  $\mathfrak{A}$  is a nontrivial join algebra.*

PROOF. For a nontrivial join algebra  $\mathfrak{A}$ , it is obvious that  $p_0(\mathfrak{A}) = 0$ ,  $p_1(\mathfrak{A}) = p_2(\mathfrak{A}) = 1$  and  $p_3(\mathfrak{A}) = 4$ . Consider the following 53 4-ary polynomials over  $\mathfrak{A}$ :

$$(E_0) \quad x_i, \quad x_i \wedge x_j, \quad x_i \wedge x_j \wedge x_m, \quad x_i \wedge (x_j \vee x_m),$$

$$(E_1) \quad x_1 \wedge x_2 \wedge x_3 \wedge x_4,$$

$$(E_2) \quad x_i \wedge x_j \wedge (x_m \vee x_n),$$

$$(E_3) \quad x_i \wedge (x_j \vee x_m) \wedge (x_j \vee x_n) = x_i \wedge (x_j \vee x_m \vee x_n),$$

$$(E_4) \quad x_i \wedge [(x_j \wedge x_m) \vee (x_j \wedge x_n) \vee (x_m \wedge x_n)],$$

$$(E_5) \quad x_i(x_j \vee x_m \vee x_n),$$

where  $\{i, j, m, n\} = \{1, 2, 3, 4\}$ .

We can prove that the free join algebra with four generators consists of  $\bigcup_{k=0}^5 E_k$  (see Figure 13). Indeed, it is easy to check

- (1) the polynomials in  $\bigcup_{k=0}^5 E_k$  are pairwise distinct (since they are pairwise different in  $C_2$ );
- (2)  $\bigcup_{k=0}^5 E_k$  is closed under  $\wedge$ ;
- (3)  $\bigcup_{k=0}^5 E_k$  is closed under  $\vee$  for any two polynomials with a common upper bound.

Thus, we have exactly 27 essentially 4-ary polynomials  $\bigcup_{k=1}^5 E_k$ .

Conversely, suppose that algebra  $\mathfrak{A} = \langle A; \cdot, g_1 \rangle$  of type  $\langle 2, 3 \rangle$  satisfies (T2) and represents  $\langle 0, 1, 1, 4, 27 \rangle$ . Then it is nontrivial. Since  $p_4(\mathfrak{A}) = 27$ , it is only possibility that  $g_1$  satisfy the condition (s3). Without loss of generality, we can suppose that  $\text{Inv}(g_1) = \langle (23) \rangle$ . Since it satisfies (T2),  $\mathfrak{A}$  contains  $J_2$  as a subalgebra by the statement before Lemma 4-2.22. The 27 essentially 4-ary polynomials correspondent to  $\bigcup_{k=1}^5 E_k$  are as follows:

$$(F_1) \quad x_1 x_2 x_3 x_4;$$

$$(F_2) \quad g_1(x_1, x_2, x_3)x_4, \quad g_1(x_2, x_3, x_4)x_1, \quad g_1(x_3, x_4, x_1)x_2, \quad g_1(x_4, x_1, x_2)x_3, \\ g_1(x_2, x_1, x_3)x_4, \quad g_1(x_3, x_2, x_4)x_1;$$

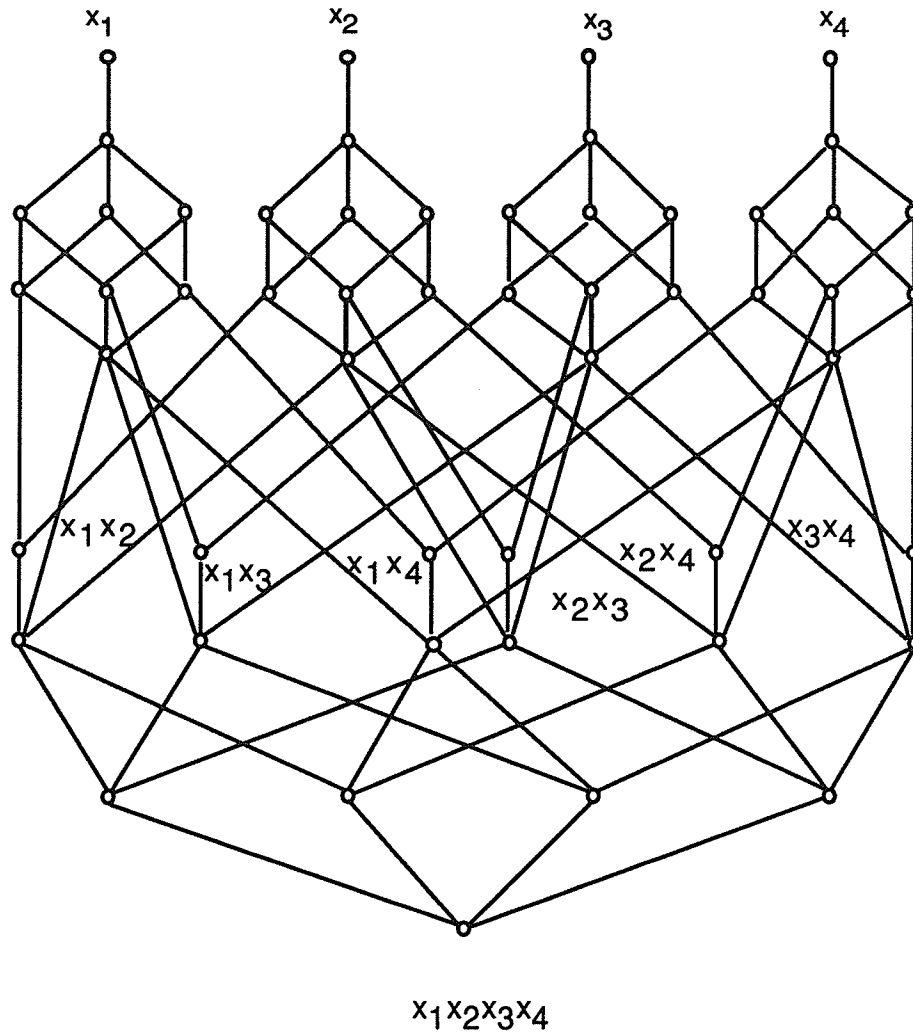


FIGURE 13. The free join algebra with four generators

 $(F_3)$ 

$$\begin{aligned}
 &g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4), & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4), & g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3), \\
 &g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1), & g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3), & g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2), \\
 &g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4), & g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4), & g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3), \\
 &g_1(x_3, x_2, x_4)g_1(x_3, x_2, x_1), & g_1(x_4, x_2, x_1)g_1(x_4, x_2, x_3), & g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2);
 \end{aligned}$$

$$\begin{aligned}
(F_4) \quad & g_1(x_1, x_2, g_1(x_1, x_3, x_4)), \quad g_1(x_2, x_3, g_1(x_2, x_1, x_4)), \\
& g_1(x_3, x_4, g_1(x_3, x_1, x_2)), \quad g_1(x_4, x_1, g_1(x_4, x_2, x_3)); \\
(F_5) \quad & g_1(x_1, x_3x_4, g_1(x_2, x_3, x_4)), \quad g_1(x_2, x_1x_4, g_1(x_3, x_1, x_4)), \\
& g_1(x_3, x_1x_2, g_1(x_4, x_1, x_2)), \quad g_1(x_4, x_2x_3, g_1(x_1, x_2, x_3)).
\end{aligned}$$

First,  $g_1(x_4x_1, x_2, x_3)$  and  $g_1(x_1, x_4x_2, x_4x_3)$  are essentially 4-ary. Indeed, let  $x_3 = x_2$ . Then

$$g_1(x_1, x_4x_2, x_4x_3) = g_1(x_1, x_4x_2, x_4x_2) = x_1x_2x_4$$

depends on  $x_1, x_4, x_2$  (or  $x_3$ ). Since  $g_1(x_1, x_4x_2, x_4x_3)$  is symmetric in  $x_2$  and  $x_3$ , it depends on  $x_2$  and  $x_3$ . So it is essentially 4-ary. Similarly,  $g_1(x_4x_1, x_2, x_3)$  is essentially 4-ary.

Second, we have

$$(4) \quad g_1(x_1, x_2, x_3)x_4 = g_1(x_4x_1, x_2, x_3),$$

$$(5) \quad g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_4x_2, x_4x_3).$$

Since (4) and (5) hold in  $J_2$  and  $J_2$  is a subalgebra of  $\mathfrak{A}$ ,

$$g_1(x_4x_1, x_2, x_3), \quad g_1(x_1, x_4x_2, x_4x_3) \notin \bigcup_{k=1}^5 F_k - \{g_1(x_1, x_2, x_3)x_4\}$$

because this is true for  $J_2$ . Since the polynomials in  $\bigcup_{k=1}^5 F_k$  are pairwise distinct over  $J_2$  and  $p_4(\mathfrak{A}) = 27$ , the polynomials in  $\bigcup_{k=1}^5 F_k$  are pairwise distinct over  $\mathfrak{A}$ . Therefore, we have identities (4) and (5) over  $\mathfrak{A}$ . By Lemma 4-2.9 and (T2) and the definition of join algebra,  $\mathfrak{A}$  is a join algebra.  $\square$

#### 4-2.3. Lower bound under condition (T3) and the varieties $\mathbf{K}_1$ and $\mathbf{K}_2$ .

In this subsection, suppose that all algebras satisfy (T3) and represent  $\langle 0, 1, 1, 4 \rangle$ . We will prove that  $p_4 \geq 19$  and  $\langle 0, 1, 1, 4, 19 \rangle$  has the minimal extension property in some class.

LEMMA 4-2.23.

$$g_1(x, y, x) = g_1(x, x, y) = xy, \quad g_1(x, y, y) = \begin{cases} x, \\ xy. \end{cases}$$

PROOF. If  $g_1(x, y, x) = x$ , then  $g_1(x, y, x)x = x$ . On the other hand, let  $z = x$  in  $g_1z = xyz$ . Then  $g_1(x, y, x)x = xy$ , a contradiction.

If  $g_1(x, y, x) = y$ , then  $g_1(x, x, z) = z$  so  $g_1(x, x, z)z = z$ . On the other hand, let  $y = x$  in  $g_1z = xyz$ . Then  $g_1(x, x, z)z = xz$ , a contradiction.

If  $g_1(x, y, y) = y$ , then  $g_1(x, y, y)y = y$ . On the other hand, let  $z = y$  in  $g_1z = xyz$ . Then  $g_1(x, y, y)y = xy$ , a contradiction.  $\square$

Thus, we have two cases:

$$(4-2.3.A) \quad g_1(x, x, y) = g_1(x, y, x) = xy, \quad g_1(x, y, y) = x;$$

and

$$(4-2.3.B) \quad g_1(x, x, y) = g_1(x, y, x) = xy, \quad g_1(x, y, y) = xy,$$

First, we prove that case (4-2.3.A) is impossible.

LEMMA 4-2.24. *Case (4-2.3.A) is impossible.*

PROOF. Suppose that (4-2.3.A) holds. We will find it impossible. Consider  $g_1(x, yz, z)$ . Let  $z = y$ . Then  $g_1(x, yz, z) = x$  by (4-2.3.A). Setting  $z = x$ ,  $g_1(x, yz, z) = xy$  by Lemma 4-2.23. So  $g_1(x, yz, z)$  depends on  $x$  and  $y$ .

If  $g_1(x, yz, z)$  is not essentially ternary, then  $g_1(x, yz, z) = xy$ . Substituting  $yz$  for  $z$ ,

$$xy = g_1(x, yz, z) = g_1(x, yz, yz) = x$$

by (4-2.3.A), a contradiction.

Assume that  $g_1(x, yz, z)$  is essentially ternary. If  $g_1(x, yz, z) = xyz$ , then, substituting  $yz$  for  $z$ ,

$$xyz = g_1(x, yz, yz) = x$$

by (4-2.3.A), a contradiction. If  $g_1(x, yz, z) = g_1(x, y, z)$ , then

$$\begin{aligned} g_1(x, y, z) &= g_1(x, z, yz) \\ &= g_1(x, z(yz), yz) \quad (\text{by assumption}) \\ &= g_1(x, yz, yz) \\ &= x, \quad (\text{by (4-2.3.A)}) \end{aligned}$$

a contradiction. If  $g_1(x, yz, z) = g_2(x, y, z)$ , then

$$\begin{aligned} g_2(x, y, z) &= g_1(x, yz, z) \\ &= g_1(x, yz, z)x \quad (\text{by (T3)}) \\ &= g_2x \quad (\text{by assumption}) \\ &= xyz, \quad (\text{by (T3)}) \end{aligned}$$

a contradiction. If  $g_1(x, yz, z) = g_3(x, y, z)$ , then

$$\begin{aligned} g_3(x, y, z) &= g_1(x, yz, z) \\ &= g_1(x, yz, z)x \quad (\text{by (T3)}) \\ &= g_3x \quad (\text{by assumption}) \\ &= xyz, \quad (\text{by (T3)}) \end{aligned}$$

a contradiction. □

Thus, we have

**COROLLARY 4-2.1.** *Under (T3), (4-2.3.B) holds.*

**LEMMA 4-2.25.** *Under (T3),*

$$g_1(x, xy, y) = g_1(x, y, xy) = xy, \quad g_1(xy, x, y) = xy.$$

**PROOF.** (1)  $g_1(xy, x, y) = xy$ :

If  $g_1(xy, x, y) = x$ , then  $x = g_1(xy, x, y)x = (xy)xy = xy$  by (T3), a contradiction.

If  $g_1(xy, x, y) = y$ , then  $y = g_1(xy, x, y)y = (xy)xy = xy$  by (T3), a contradiction.

(2)  $g_1(x, xy, y) = xy$ :

If  $g_1(x, xy, y) = x$ , then, substituting  $xy$  for  $y$ ,

$$x = g_1(x, x(xy), xy) = g_1(x, xy, xy) = xy$$

by (4-2.3.B), a contradiction. If  $g_1(x, xy, y) = y$ , then, substituting  $xy$  for  $x$ ,

$$y = g_1(xy, (xy)y, y) = g_1(xy, xy, x) = xy$$

by (4-2.3.B), a contradiction. □

LEMMA 4-2.26.

$$g_1(xy, y, z) = xyz, \quad g_1(x, xy, z) = g_1(x, z, xy) = \begin{cases} xyz \\ g_1(x, y, z). \end{cases}$$

PROOF. By Lemma 4-2.25 and (4-2.3.B), it is easy to check that both  $g_1(x, xy, z)$  and  $g_1(xy, y, z)$  are essentially ternary.

If  $g_1(x, xy, z) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_1(x, xy, z) = g_1(x, xy, z)x = g_2(x, y, z)x = xyz,$$

a contradiction. If  $g_1(x, xy, z) = g_3(x, y, z)$ , then

$$g_3(x, y, z) = g_1(x, xy, z) = g_1(x, xy, z)x = g_3(x, y, z)x = xyz,$$

a contradiction. If  $g_1(xy, y, z) = g_1(x, y, z)$ , then

$$g_1(x, y, z) = g_1(xy, y, z) = g_1(xy, y, z)(xy) = g_1(x, y, z)(xy) = xyz$$

by (T3), a contradiction. If  $g_1(xy, y, z) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_2(x, y, z)y = g_1(xy, y, z)y = (xy)yz = xyz$$

by (T3), a contradiction. If  $g_1(xy, y, z) = g_3(x, y, z)$ , then

$$g_3(x, y, z) = g_3(x, y, z)z = g_1(xy, y, z)z = (xy)yz = xyz$$

by (T3), a contradiction. □

LEMMA 4-2.27.  $g_1(x, yz, z) = xyz$ .



PROOF. By Lemma 4-2.25, it is easy to see that  $g_1(x, yz, z)$  is essentially ternary.

If  $g_1(x, yz, z) = g_1(x, y, z)$ , then

$$\begin{aligned}
 g_1(x, y, z) &= g_1(x, yz, z) \\
 &= g_1(x, z, yz) \\
 &= g_1(x, z(yz), yz) \quad (\text{by assumption}) \\
 &= g_1(x, yz, yz) \\
 &= xyz, \quad (\text{by (4-2.3.B)})
 \end{aligned}$$

a contradiction. If  $g_1(x, yz, z) = g_3(x, y, z)$ , then

$$g_3 = g_3z = g_1(x, yz, z)z = x(yz)z = xyz$$

by (T3), a contradiction. If  $g_1(x, yz, z) = g_2(x, y, z) = g_1(y, x, z)$ , then

$$\begin{aligned}
 g_1(y, x, z) &= g_1(x, yz, z) \\
 &= g_1(yz, xz, z) \quad (\text{by assumption}) \\
 &= g_1(yz, z, xz) \\
 &= g_1(z, (yz)(xz), xz) \quad (\text{by assumption}) \\
 &= g_1(z, xyz, xz) \\
 &= g_1(xyz, z(xz), xz) \quad (\text{by assumption}) \\
 &= g_1(xyz, xz, xz) \\
 &= xyz, \quad (\text{by Lemma 4-2.25})
 \end{aligned}$$

a contradiction. (or we have

$$\begin{aligned}
 g_1(y, x, z) &= g_1(x, yz, z) \\
 &= g_1(x, yz, z)x \\
 &= g_1(y, x, z)x \quad (\text{by assumption}) \\
 &= xyz, \quad (\text{by (T3)})
 \end{aligned}$$

a contradiction.) □

In the following, we will consider two cases respectively satisfying the conditions

$$(T3.1) \quad g_1(x_1, x_2x_3, x_4) \neq g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4)$$

and

$$(T3.2) \quad g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4).$$

We will prove that  $p_4 \geq 31$  under (T3.1) and  $p_4 \geq 19$  under (T3.2).

4-2.3.1.  $p_4 \geq 31$  under (T3.1). **Suppose that (T3.1) is satisfied.** We have the following lemmas 4-2.28 to 4-2.34

$$\text{LEMMA 4-2.28. } B_0 \cap (B_1 \cup B_2 \cup B_3 \cup B_4 \cup B_5) = \emptyset.$$

PROOF. It is trivial. □

$$\text{LEMMA 4-2.29. } B_1 \cap B_2 = \emptyset.$$

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_1$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and any one in  $B_1$  is  $x_1x_2x_3$  except that  $g_1(x_3, x_1, x_2)x_4 = g_1(x_3, x_1, x_2) = g_3(x_1, x_2, x_3)$  and  $g_1(x_4, x_1, x_2)x_3 = g_1(x_3, x_1, x_2) = g_3(x_1, x_2, x_3)$ .

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

$$\text{LEMMA 4-2.30. } B_1 \cap B_5 = \emptyset.$$

PROOF. (1)  $g_1(x_1, x_2x_3, x_4) \notin B_1$ :

Let  $x_3 = x_2$ . Then  $g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)$  and any one in  $B_1$  is  $x_1x_2x_4$  except that

$$g_1(x_3, x_4, x_1)x_2 = g_1(x_2, x_4, x_1) = g_2(x_1, x_2, x_4)$$

and

$$g_1(x_2, x_4, x_1)x_3 = g_1(x_2, x_4, x_1) = g_2(x_1, x_2, x_4).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.31.  $B_2 \cap B_5 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2x_3, x_4) \notin B_2$ :

Let  $x_3 = x_2$ . Then  $g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)$  and any one in  $B_2$  is  $x_1x_2x_4$  except that

$$g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) = g_1(x_1, x_4, x_2) = g_1(x_1, x_2, x_4)$$

and

$$g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) = g_1(x_4, x_1, x_2) = g_3(x_1, x_2, x_4).$$

But by assumption (T3.1),  $g_1(x_1, x_2x_3, x_4) \neq g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3)$ .

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.32. *The polynomials in  $B_2$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ .  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and others are equal to  $x_1x_2x_3$  except that

$$g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) = g_1(x_2, x_1, x_3) = g_2(x_1, x_2, x_3).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.33. *The following polynomials in  $B_{11}$  are distinct:*

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4, & \quad g_1(x_2, x_1, x_3)x_4, & \quad g_1(x_3, x_1, x_2)x_4, \\ g_1(x_2, x_3, x_4)x_1, & \quad g_1(x_3, x_4, x_2)x_1, & \quad g_1(x_3, x_4, x_1)x_2. \end{aligned}$$

PROOF. Let  $x_4 = x_1$ .  $g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3)$  and the others are equal to  $x_1x_2x_3$ . Similarly, the rest can be easily checked.  $\square$

LEMMA 4-2.34. *The polynomials in  $B_5$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2x_3, x_4)$  is different from the others:

Let  $x_3 = x_2$ . We have

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4);$$

by Lemma 4-2.27 or Lemma 4-2.25 or (4-2.3.B),

$$g_1(x_1, x_2x_4, x_3) = x_1x_2x_4,$$

$$g_1(x_1, x_3x_4, x_2) = x_1x_2x_4,$$

$$g_1(x_2, x_1x_4, x_3) = x_1x_2x_4,$$

$$g_1(x_3, x_1x_4, x_2) = x_1x_2x_4,$$

$$g_1(x_4, x_1x_2, x_3) = x_1x_2x_4,$$

$$g_1(x_4, x_1x_3, x_2) = x_1x_2x_4;$$

by Lemma 4-2.26,

$$g_1(x_2, x_1x_3, x_4) = \begin{cases} x_1x_2x_4 \\ g_1(x_2, x_1, x_4) = g_2(x_1, x_2, x_4), \end{cases}$$

$$g_1(x_2, x_3x_4, x_1) = \begin{cases} x_1x_2x_4 \\ g_1(x_2, x_4, x_1) = g_2(x_1, x_2, x_4), \end{cases}$$

$$g_1(x_3, x_1x_2, x_4) = \begin{cases} x_1x_2x_4 \\ g_1(x_2, x_1, x_4) = g_2(x_1, x_2, x_4); \end{cases}$$

$$g_1(x_3, x_2x_4, x_1) = \begin{cases} x_1x_2x_4 \\ g_1(x_2, x_4, x_1) = g_2(x_1, x_2, x_4); \end{cases}$$

and

$$g_1(x_4, x_2x_3, x_1) = g_1(x_4, x_2, x_1) = g_3(x_1, x_2, x_4).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

By Lemmas from 4-2.28 to 4-2.34, the polynomials in  $B_0 \cup B_{11} \cup B_2 \cup B_3$  are pairwise distinct so  $p_4(\mathfrak{A}) \geq 31$  under (T3) and (T3.1).

4-2.3.2.  $p_4 \geq 19$  under (T3.2) and two varieties. Before continuing discussion, we give two general lemmas.

LEMMA 4-2.35. Under (T3),

$$g_1(g_1(x, y, z), y, z) = \begin{cases} xyz \\ g_1(x, y, z). \end{cases}$$

PROOF. By Lemma 4-2.25, it is easy to see that  $g_1(g_1, y, z)$  is essentially ternary. If  $g_1(g_1, y, z) = g_2$ , then

$$g_2 = g_2y = g_1(g_1, y, z)y = g_1yz = xyz,$$

a contradiction. If  $g_1(g_1, y, z) = g_3$ , then

$$g_3 = g_3z = g_1(g_1, y, z)z = g_1yz = xyz,$$

a contradiction.  $\square$

LEMMA 4-2.36. If  $g_1(g_1(x, y, z), y, z) = xyz$ , then

$$g_1(x_1x_2, x_3, x_4) \neq g_1(x_1, x_3, x_4)x_2,$$

$$g_1(x_1x_2, x_3, x_4) \neq g_1(x_2, x_3, x_4)x_1.$$

PROOF. We only need prove the first one. To do this, assume that

$$g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4)x_2.$$

Then

$$g_1(g_1(x, y, z), y, z) = g_1(xg_1(x, y, z), y, z) = g_1(x, y, z)g_1(x, y, z) = g_1(x, y, z),$$

contradicting the assumption; so completing the proof by Lemma 4-2.35.  $\square$

Now suppose that condition (T3.2) is satisfied in the following.

LEMMA 4-2.37. *The condition (T3.2) implies  $g_1(x, xy, z) = xyz$ .*

PROOF. By Lemma 4-2.26, we need show that  $g_1(x, xy, z) \neq g_1(x, y, z)$ . If  $g_1(x, xy, z) = g_1(x, y, z)$ , then, letting  $x_2 = x_1$  in (T3.2),

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_1x_3, x_4) = g_1(x_1, x_3, x_4)$$

and

$$g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4) = x_1x_4g_1(x_1, x_3, x_4) = x_1x_3x_4,$$

so, by (T3),

$$g_1(x_1, x_3, x_4) = x_1x_3x_4$$

by assumption of Lemma 4-2.37, a contradiction.  $\square$

Note that there are two subcases  $g_1(g_1(y, z), y, z) = xyz$  and  $g_1(g_1(y, z), y, z) = g_1$  from Lemma 4-2.35. For the first case, we have  $p_4 \geq 31$ .

LEMMA 4-2.38. *Suppose that  $g_1(g_1(x, y, z), y, z) = xyz$  and (T3.2). Then the polynomials in  $B_0 \cup B_1 \cup B_2 \cup B_4$  are pairwise distinct, so  $p_4 \geq 31$  in this case.*

PROOF. From the proof of Lemmas 4-2.28 to 4-2.34, we need show that the polynomials in  $B_1 \cup B_4$  are pairwise distinct and

$$B_4 \cap (B_1 \cup B_2) = \emptyset.$$

(1) The polynomials in  $B_1$  are pairwise distinct:

Let  $x_4 = x_1$ . Then

$$\begin{aligned}
g_1(x_1, x_2, x_3)x_4 &= g_1(x_1, x_2, x_3), & g_1(x_2, x_3, x_1)x_4 &= x_1x_2x_3, \\
g_1(x_3, x_1, x_2)x_4 &= x_1x_2x_3, & g_1(x_2, x_3, x_4)x_1 &= x_1x_2x_3, \\
g_1(x_3, x_4, x_2)x_1 &= x_1x_2x_3, & g_1(x_4, x_2, x_3)x_1 &= g_1(x_1, x_2, x_3), \\
g_1(x_3, x_4, x_1)x_2 &= x_1x_2x_3, & g_1(x_4, x_1, x_3)x_2 &= x_1x_2x_3, \\
g_1(x_1, x_3, x_4)x_2 &= x_1x_2x_3, & g_1(x_4, x_1, x_2)x_3 &= x_1x_2x_3, \\
g_1(x_1, x_2, x_4)x_3 &= x_1x_2x_3, & g_1(x_2, x_4, x_1)x_3 &= x_1x_2x_3.
\end{aligned}$$

So  $g_1(x_1, x_2, x_3)x_4$  is different from others except for  $g_1(x_4, x_2, x_3)x_1$ . Now we will show that  $g_1(x_1, x_2, x_3)x_4 \neq g_1(x_4, x_2, x_3)x_1$ . Since

$$x \geq g_1(x, y, z) > xyz,$$

there exist  $a, b, c \in A$  such that

$$a \geq e = g_1(a, b, c) > abc.$$

Let  $x_4 = e$  and  $(x_1, x_2, x_3) = (a, b, c)$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(a, b, c)$$

and by assumption,

$$g_1(x_4, x_2, x_3)x_1 = g_1(e, b, c)a = g_1(g_1(a, b, c), b, c)a = (abc)a = abc.$$

So  $g_1(x_1, x_2, x_3)x_4 \neq g_1(x_4, x_2, x_3)x_1$ .

Applying permutations in  $S_4$  to the above, (1) is complete by Lemma 4-1.15 and Lemma 4-1.16.

(2) The polynomials in  $B_4$  are pairwise distinct:

Let  $x_2 = x_1$ . Then, by Lemma 4-2.26,

$$\begin{aligned}
g_1(x_1x_2, x_3, x_4) &= g_1(x_1, x_3, x_4), & g_1(x_1x_3, x_2, x_4) &= x_1x_3x_4, \\
g_1(x_1x_4, x_2, x_3) &= x_1x_3x_4, & g_1(x_2x_3, x_1, x_4) &= x_1x_3x_4, \\
g_1(x_2x_4, x_1, x_3) &= x_1x_3x_4, & g_1(x_3x_4, x_1, x_2) &= x_1x_3x_4.
\end{aligned}$$

So  $g_1(x_1x_2, x_3, x_4)$  is distinct from others. Applying permutations in  $S_4$ , (2) is complete.

$$(3) B_1 \cap B_4 = \emptyset:$$

First,  $g_1(x_1x_2, x_3, x_4) \notin B_1$ . Let  $x_2 = x_1$ . It is easy to check that  $g_1(x_1x_2, x_3, x_4)$  is different from the polynomials in  $B_1$  except for  $g_1(x_2, x_3, x_4)x_1$  and  $g_1(x_1, x_3, x_4)x_2$ . But by assumption and Lemma 4-2.36,  $g_1(x_1x_2, x_3, x_4)$  is different from  $g_1(x_2, x_3, x_4)x_1$  and  $g_1(x_1, x_3, x_4)x_2$ . Applying permutations in  $S_4$ , (3) is complete.

$$(4) B_2 \cap B_4 = \emptyset:$$

Let  $x_2 = x_1$ . It is easy to check that  $g_1(x_1x_2, x_3, x_4)$  is different from the polynomials in  $B_2$ . Applying permutations in  $S_4$ , (4) is complete.  $\square$

We suppose that (T3.2) and the following condition (T3.3) are satisfied in the remainder of this subsection

$$(T3.3) \quad g_1(g_1(x, y, z), y, z) = g_1(x, y, z).$$

We will see that there exist only two varieties of ternary reducts in this case.

LEMMA 4-2.39.  $g_1(g_1(x, y, z), s, t) = xyz$ , where  $s, t \in \{x, y, xy, xz, yz, xyz\}$  or only one of  $s$  and  $t$  is  $x$ .

PROOF.  $g_1(g_1, x, y) = g_1(xg_1, x, y) = g_1xy = xyz$  by Lemma 4-2.37 and (T3). Similarly, by Lemmas 4-2.26-4-2.27-4-2.37, we have

$$\begin{aligned} g_1(g_1, x, z) &= xyz, & g_1(g_1, x, xy) &= xyz, & g_1(g_1, x, xz) &= xyz, \\ g_1(g_1, x, yz) &= xyz, & g_1(g_1, y, xy) &= xyz, & g_1(g_1, y, yz) &= xyz, \\ g_1(g_1, z, xz) &= xyz, & g_1(g_1, z, yz) &= xyz, & g_1(g_1, t, xyz) &= xyz, \end{aligned}$$

where  $t \in \{x, y, z, xy, xz, yz, xyz\}$ . By the above and (T3.2),

$$g_1(g_1, y, xz) = g_1(g_1, y, x)g_1(g_1, y, z) = (xyz)g_1 = xyz.$$



Similarly,

$$\begin{aligned} g_1(g_1, z, xy) &= xyz, & g_1(g_1, xy, xz) &= xyz, \\ g_1(g_1, xy, yz) &= xyz, & g_1(g_1, xz, yz) &= xyz. \end{aligned}$$

The others are trivial. □

LEMMA 4-2.40.

$$g_1(g_1, g_2, z) = \begin{cases} xyz \\ g_1 \end{cases}$$

and

$$g_1(g_1, g_2, s) = xyz,$$

where  $s \in \{x, y, xy, xz, yz, xyz, g_1, g_2\}$ .

PROOF. If  $g_1(g_1, g_2, z) = g_2$ , then

$$g_2 = g_1(g_1, g_2, z)g_2 = g_1g_2z = xyz,$$

a contradiction. If  $g_1(g_1, g_2, z) = g_3$ , then

$$g_3 = g_1(g_1, g_2, z)g_3 = g_1(g_1, g_2, z)g_3z = g_1g_2g_3z = xyz,$$

a contradiction. So

$$g_1(g_1, g_2, z) = \begin{cases} xyz \\ g_1 \end{cases}$$

since it is essentially ternary by Theorem 3-1.2.

$$g_1(g_1, g_2, x) = g_1(xg_1, x, g_2) = xg_1g_2 = xyz.$$

$$g_1(g_1, g_2, y) = g_1(g_1, yg_2, y) = g_1g_2y = xyz.$$

Similarly, we can prove the others by (T3.2) or (4-2.3.B). □

LEMMA 4-2.41.

$$g_1(g_1, g_2, g_3) = \begin{cases} xyz \\ g_1 \end{cases}$$

PROOF. If  $g_1(g_1, g_2, g_3) = g_3$ , then

$$g_3 = g_1(g_1, g_2, g_3)g_3 = g_1g_2g_3 = xyz,$$

a contradiction. Similarly,  $g_1(g_1, g_2, g_3) \neq g_2$ . By Theorem 3-1.2, it is essentially ternary. So the proof is complete.  $\square$

By Lemma 4-2.40 and permutation  $(yz)$ , we have

LEMMA 4-2.42.

$$g_1(g_1, y, g_3) = g_1(g_1, g_3, y) = \begin{cases} xyz \\ g_1 \end{cases}$$

and

$$g_1(g_1, s, g_3) = xyz, \text{ where } s \in \{x, z, xy, xz, yz, xyz, g_1, g_3\}.$$

LEMMA 4-2.43.

$$\begin{aligned} g_1(y, g_1, z) &= \begin{cases} xyz \\ g_2, \end{cases} & g_1(z, g_1, y) &= \begin{cases} xyz \\ g_3, \end{cases} \\ g_1(x, g_1, x) &= g_1, & g_1(s, g_1, t) &= xyz, \end{aligned}$$

where  $s, t \in \{x, y, xy, xz, yz, xyz\}$ ,  $\{s, t\} \neq \{y, z\}$  and  $\{s, t\} \neq \{x\}$ .

PROOF. By (T3.2),

$$g_1(y, g_1, z) = g_1(y, xg_1, z) = g_1(y, x, z)g_1(y, g_1, z) = g_2(x, y, z)g_1(y, g_1, z)$$

which implies

$$g_1(y, g_1, z) = \begin{cases} xyz \\ g_2. \end{cases}$$

By permutation  $(yz)$ ,

$$g_1(z, g_1, y) = \begin{cases} xyz \\ g_3. \end{cases}$$

The proof of others is similar to that of Lemma 4-2.39.  $\square$

LEMMA 4-2.44.

$$g_1(z, g_1, g_2) = \begin{cases} xyz \\ g_3, \end{cases} \quad g_1(s, g_1, g_2) = xyz,$$

where  $s \in \{x, y, xy, xz, yz, xyz\}$ .

PROOF. If  $g_1(z, g_1, g_2) = g_1$ , then

$$g_1 = g_1(z, g_1, g_2)g_1 = zg_1g_2 = xyz,$$

a contradiction. Similarly,  $g_1(z, g_1, g_2) \neq g_2$ . So

$$g_1(z, g_1, g_2) = \begin{cases} xyz \\ g_3. \end{cases}$$

The proof of others is similar to that of Lemma 4-2.39.  $\square$

By applying permutations  $(yz)$  and  $(xz)$ , respectively, we have

LEMMA 4-2.45.

$$g_1(y, g_1, g_3) = \begin{cases} xyz \\ g_2, \end{cases} \quad g_1(s, g_1, g_3) = xyz,$$

where  $s \in \{x, z, xy, xz, yz, xyz\}$ ;

$$g_1(x, g_2, g_3) = \begin{cases} xyz \\ g_1, \end{cases} \quad g_1(s, g_2, g_3) = xyz,$$

where  $s \in \{y, z, xy, xz, yz, xyz\}$ .

LEMMA 4-2.46. *If  $g_1(x, g_2, z) = g_1$ , then*

$$\begin{aligned} g_1(x, y, g_3) &= g_1, & g_1(x, g_2, g_3) &= g_1, & g_1(g_1, y, g_3) &= g_1, \\ g_1(g_1, g_2, z) &= g_1, & g_1(g_1, g_2, g_3) &= g_1. \end{aligned}$$

PROOF. By permutation  $(yz)$ ,  $g_1(x, g_2, z) = g_1$  is equivalent to  $g_1(x, y, g_3) = g_1$ .  
By substituting  $g_3$  for  $z$  in  $g_1(g_1, y, z) = g_1$ ,

$$\begin{aligned} g_1 &= g_1(x, y, g_3) && \text{(by the equivalent condition)} \\ &= g_1(g_1(x, y, g_3), y, g_3) \\ &= g_1(g_1, y, g_3). && \text{(substitution by the equivalent condition)} \end{aligned}$$

By permutation  $(yz)$ ,  $g_1(g_1, g_2, z) = g_1$ .

From  $g_1(g_1, y, g_3) = g_1$ ,

$$\begin{aligned} g_1 &= g_1(x, g_2, z) && \text{(by assumption of Lemma 4-2.46)} \\ &= g_1(g_1(x, g_2, z), g_2, g_3(x, g_2, z)) && \text{(substituting } g_2 \text{ for } y \text{ in } g_1(g_1, y, g_3) = g_1) \\ &= g_1(g_1, g_2, g_3) && \text{(by assumption of Lemma 4-2.46)} \end{aligned}$$

since  $g_3(x, g_2, z) = g_3$  by permutation  $(xz)$  in  $g_1(x, g_2, z) = g_1$ .

At the beginning of our proof, we have  $g_1(x, y, g_3) = g_1$ , that is,

$$g_2(y, x, g_3(x, y, z)) = g_2(y, x, z).$$

Exchanging  $x$  for  $y$ ,  $g_2(x, y, g_3) = g_2$ . Now from  $g_1(x, g_2, z) = g_1$ ,

$$\begin{aligned} g_1 &= g_1(x, y, g_3) && \text{(by the equivalent condition above)} \\ &= g_1(x, g_2(x, y, g_3), g_3) && \text{(substituting } g_3 \text{ for } z \text{ in } g_1(x, g_2, z) = g_1) \\ &= g_1(x, g_2, g_3) && \text{(by the previous result)} \end{aligned}$$

□

LEMMA 4-2.47. If  $g_1(x, g_2, z) = xyz$ , then

$$\begin{aligned} g_1(x, y, g_3) &= xyz, & g_1(x, g_2, g_3) &= xyz, & g_1(g_1, y, g_3) &= xyz, \\ g_1(g_1, g_2, z) &= xyz, & g_1(g_1, g_2, g_3) &= xyz. \end{aligned}$$

PROOF. By permutation  $(yz)$ ,  $g_1(x, g_2, z) = xyz$  is equivalent to  $g_1(x, y, g_3) = xyz$ .

If  $g_1(g_1, g_2, z) = g_1$ , then

$$\begin{aligned} g_1 &= g_1(g_1, y, z) && \text{(by (T3.3))} \\ &= g_1(g_1(g_1, y, z), g_2(g_1, y, z), z) && \text{(substituting } g_1 \text{ for } x \text{ in } g_1(g_1, g_2, z) = g_1) \\ &= g_1(g_1, g_2(g_1, y, z), z). && \text{(by the first identity or (T3.3))} \end{aligned}$$

By permutation  $(xy)$  in  $g_1(x, g_2, z) = xyz$ ,  $g_1(y, g_1, z) = xyz$ , that is,

$$(G1) \quad g_2(g_1, y, z) = xyz.$$

Then by permutation  $(yz)$ ,

$$(G2) \quad g_3(g_1, y, z) = xyz.$$

So  $g_1 = g_1(g_1, xyz, z) = xyz$  by the above, a contradiction. Therefore,

$$g_1(g_1, g_2, z) = xyz$$

from Lemma 4-2.42. By permutation  $(yz)$ ,  $g_1(g_1, y, g_3) = xyz$ .

If  $g_1(x, g_2, g_3) = g_1$ , then

$$\begin{aligned} g_1 &= g_1(g_1, y, z) && \text{(by (T3.3))} \\ &= g_1(g_1, g_2(g_1, y, z), g_3(g_1, y, z)) && \text{(substituting } g_1 \text{ for } x \text{ in } g_1(x, g_2, g_3) = g_1) \\ &= g_1(g_1, xyz, xyz) && \text{(by (G1) and (G2))} \\ &= xyz, \end{aligned}$$

a contradiction. So  $g_1(x, g_2, g_3) = xyz$ .

If  $g_1(g_1, g_2, g_3) = g_1$ , then, similarly to the previous paragraphs,

$$\begin{aligned} g_1 &= g_1(g_1, y, z) \\ &= g_1(g_1(g_1, y, z), g_2(g_1, y, z), g_3(g_1, y, z)) \\ &= g_1(g_1, xyz, xyz) \\ &= xyz, \end{aligned}$$

a contradiction. So  $g_1(g_1, g_2, g_3) = xyz$ .

**NOTE:** for later use, we give a direct proof of the following identities

$$g_1(x, g_2, g_3) = xyz$$

and

$$g_1(g_1, g_2, g_3) = xyz.$$

Indeed,

$$\begin{aligned} g_1(x, g_2, g_3) &= g_1(x, g_2y, g_3) && \text{(by (T3))} \\ &= g_1(x, g_2, g_3)g_1(x, y, g_3) && \text{(by (T3.2))} \\ &= g_1(x, g_2, g_3)xyz && \text{(by the first identity in the lemma )} \\ &= g_1(x, g_2, g_3)g_1g_2 && \text{(by (T3))} \\ &= xg_2g_3g_1 && \text{(by (T3))} \\ &= xyz. && \text{(by (T3))} \end{aligned}$$

and

$$\begin{aligned} g_1(g_1, g_2, g_3) &= g_1(g_1, g_2y, g_3) \\ &= g_1(g_1, g_2, g_3)g_1(g_1, y, g_3) && \text{(by (T3.2))} \\ &= g_1(g_1, g_2, g_3)xyz && \text{(by the third identity in the lemma)} \\ &= g_1g_2g_1(g_1, g_2, g_3) && \text{(by (T3))} \\ &= xg_2g_3g_1 && \text{(by (T3))} \end{aligned}$$

$$= xyz. \quad (\text{by (T3)})$$

□

Thus, from the conditions (T3.2) and (T3.3), we consider two varieties  $K_1$  and  $K_2$  of algebras of type  $\langle 2, 3 \rangle$  respectively satisfying the following identities

$$\begin{array}{ll}
 \text{(K1)} & \begin{array}{l}
 xx = x, \quad xy = yx, \\
 (xy)z = x(yz), \quad g_1(x, y, z) = g_1(x, z, y), \\
 g_1(x, y, y) = xy, \quad g_1(x, x, y) = xy, \\
 g_1(x, y, z)x = g_1(x, y, z), \quad g_1(x, y, z)y = xyz, \\
 g_1(x, y, z)g_2(x, y, z) = xyz, \quad g_1(xy, y, z) = xyz, \\
 g_1(x, yz, z) = xyz, \quad g_1(g_1(x, y, z), y, z) = g_1(x, y, z), \\
 g_1(x, g_2(x, y, z), z) = g_1(x, y, z), \\
 g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4).
 \end{array}
 \end{array}$$

and

$$\begin{array}{ll}
 \text{(K2)} & \begin{array}{l}
 xx = x, \quad xy = yx, \\
 (xy)z = x(yz), \quad g_1(x, y, z) = g_1(x, z, y), \\
 g_1(x, y, y) = xy, \quad g_1(x, x, y) = xy, \\
 g_1(x, y, z)x = g_1(x, y, z), \quad g_1(x, y, z)y = xyz, \\
 g_1(x, y, z)g_2(x, y, z) = xyz, \quad g_1(xy, y, z) = xyz, \\
 g_1(x, yz, z) = xyz, \quad g_1(g_1(x, y, z), y, z) = g_1(x, y, z), \\
 g_1(x, g_2(x, y, z), z) = xyz, \quad g_1(g_1, g_2, z) = xyz, \\
 g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4).
 \end{array}
 \end{array}$$

where  $g_2(x, y, z) = g_1(y, x, z)$ , and  $g_3(x, y, z) = g_1(z, y, x)$ . The free algebras  $F_{K_i}(3)$  generated by three elements in  $K_i$  is as in Figure 9 and the values of the operations are the same as in lemmas from 4-2.39 to 4-2.47. By the proofs of the lemmas from

4-2.39 to 4-2.47, (K1) and (K2) are closed, respectively, and we have the results of those lemmas.

Since  $g_1(x, y, z) > xyz$ , there exist  $a, b, c \in A$  such that

$$a \geq e_1 = g_1(a, b, c) > abc,$$

which implies

$$a \parallel b \parallel c$$

by Lemmas 4-2.37 and 4-2.27, so

$$e_1 \not\geq b, c.$$

Otherwise, for example, if

$$e_1 \geq b,$$

then, by (T3.3),

$$\begin{aligned} e_1 &= g_1(a, b, c) \\ &= g_1(e_1, b, c) \\ &= g_1(e_1, e_1 b, c) \\ &= e_1 bc \\ &= abc, \end{aligned}$$

a contradiction. Let

$$e_2 = g_1(b, a, c) = g_2(a, b, c)$$

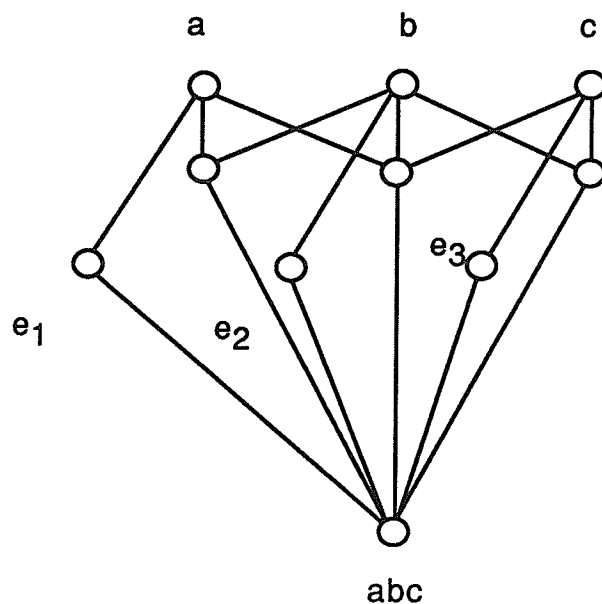
and

$$e_3 = g_1(c, a, b) = g_3(a, b, c).$$

Similarly,

$$e_2 \not\geq a, c; \quad e_3 \not\geq b, c.$$



FIGURE 14. The semilattice structure of  $A(a,b,c)$ 

Consider the subalgebra  $A(a,b,c)$  generated by  $\{a,b,c\}$ . Since

$$b \geq e_2 \geq abc \quad \text{and} \quad c \geq e_3 \geq abc,$$

$$abe_3 = ae_2c = ae_2e_3 = e_1bc = e_1be_3 = e_1e_2c = e_1e_2e_3 = abc.$$

By (T3), it is easy to check that

$$e_1b = e_1c = abc, \quad e_2a = e_2c = abc, \quad e_3a = e_3b = abc.$$

Therefore, the semilattice structure of

$$A(a,b,c) = \{a,b,c,e_1,e_2,e_3,ab,ac,bc,abc\}$$

is shown as in Figure 14.

In  $\mathbf{K}_1$ , by Lemma 4-2.46, we have

$$\begin{aligned} g_1(e_1,b,c) &= g_1(e_1,e_2,c) \\ &= g_1(e_1,b,e_3) \\ &= g_1(a,e_2,c) \end{aligned}$$

$$\begin{aligned}
&= g_1(a, e_2, e_3) \\
&= g_1(a, b, e_3) \\
&= g_1(e_1, e_2, e_3) \\
&= g_1(a, b, c) \\
&= e_1 \\
&> abc.
\end{aligned}$$

Similarly to the previous paragraph,

$$e_1 \parallel e_2 \parallel e_3$$

and

$$e_i > abc,$$

where  $i = 1, 2, 3$ . (otherwise, for example, if  $e_2 = abc$ , then  $g_1(e_1, e_2, e_3) = abc$ , a contradiction.) Consider the partition over  $A(a, b, c)$ :

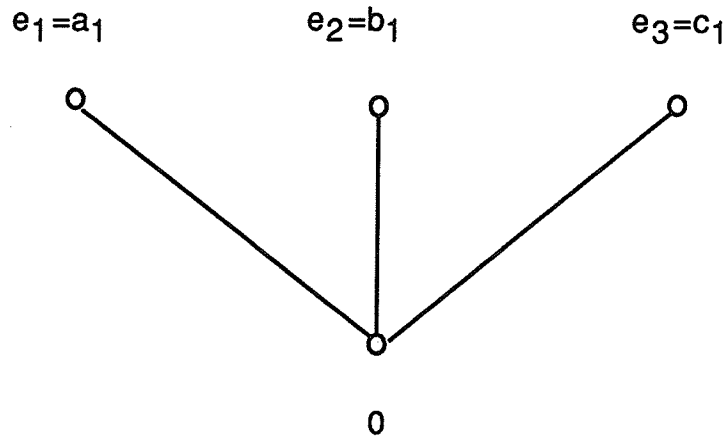
$$\{\{a, e_1\}, \{b, e_2\}, \{c, e_3\}, \{ab, ac, bc, abc\}\}.$$

It is easy to see that it forms a congruence relation  $\theta_1$  over  $A(a, b, c)$ . Thus,  $A(a, b, c)/\theta_1$  is isomorphic to a four-element algebra  $Z_1 = \langle \{0, a_1, b_1, c_1\}; \cdot, f_1 \rangle$  in  $\mathbf{K}_1$  (see Figure 15), where “ $\cdot$ ” is semilattice operation such that  $a_1, b_1, c_1$  are pairwise incomparable and 0 is the smallest element, and  $f_1$  is a ternary operation defined by

$$f_1(x, y, z) = \begin{cases} a_1, & \text{if } (x, y, z) = (a_1, b_1, c_1) \text{ or } (a_1, c_1, b_1); \\ b_1, & \text{if } (x, y, z) = (b_1, a_1, c_1) \text{ or } (b_1, c_1, a_1); \\ c_1, & \text{if } (x, y, z) = (c_1, a_1, b_1) \text{ or } (c_1, b_1, a_1); \\ xyz, & \text{otherwise.} \end{cases}$$

Therefore, we have

LEMMA 4-2.48. *For every algebra  $\mathfrak{A}$  in  $\mathbf{K}_1$ , if it represents  $\langle 0, 1, 1, 4 \rangle$ , then  $\mathfrak{A}$  contains a subalgebra such that  $Z_1$  is a homomorphic image of this subalgebra.*

FIGURE 15.  $Z_1$ 

In  $K_2$ ,

$$g_1(e_1, b, c) = g_1(a, b, c) = e_1 > abc$$

and by Lemma 4-2.47,

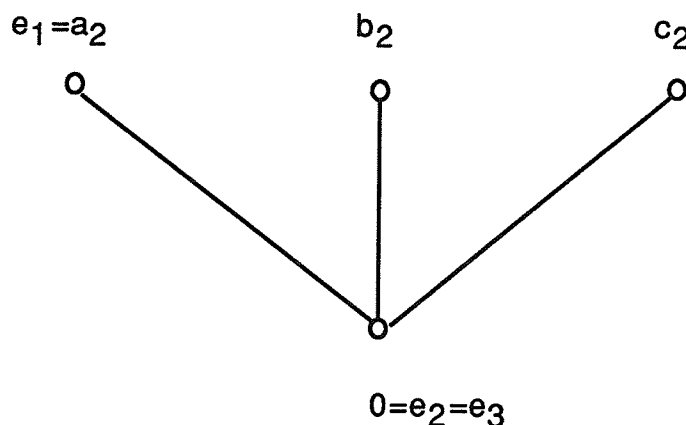
$$\begin{aligned} g_1(e_1, e_2, c) &= g_1(e_1, b, e_3) \\ &= g_1(a, e_2, c) \\ &= g_1(a, e_2, e_3) \\ &= g_1(a, b, e_3) \\ &= g_1(e_1, e_2, e_3) \\ &= abc. \end{aligned}$$

So

$$b > e_2, \quad \text{and} \quad c > e_3.$$

For example, if  $b = e_2$ , then

$$g_1(a, e_2, c) = g_1(a, b, c) > abc,$$

FIGURE 16.  $Z_2$ 

a contradiction. Consider the partition on  $A(a, b, c)$ :

$$\{\{a, e_1\}, \{b\}, \{c\}, \{e_2, e_3, ab, ac, bc, abc\}\}.$$

It forms a congruence relation  $\theta_2$  over  $A(a, b, c)$ . Thus,  $A(a, b, c)/\theta_2$  is isomorphic to a four-element algebra  $Z_2 = \langle \{0, a_2, b_2, c_2\}; \cdot, f_2 \rangle$  in  $\mathbf{K}_2$  (see Figure 16), where “ $\cdot$ ” is semilattice operation such that  $a_2, b_2, c_2$  are pairwise incomparable and 0 is the smallest, and  $f_2$  is a ternary operation defined by

$$f_2(x, y, z) = \begin{cases} a_2, & \text{if } (x, y, z) = (a_2, b_2, c_2) \text{ or } (a_2, c_2, b_2); \\ xyz, & \text{otherwise.} \end{cases}$$

Therefore, we have

LEMMA 4-2.49. *For every algebra  $\mathfrak{A}$  in  $\mathbf{K}_2$ , if it represents  $\langle 0, 1, 1, 4 \rangle$ , then  $\mathfrak{A}$  contains a subalgebra such that  $Z_2$  is a homomorphic image of this subalgebra.*

In the following, we will prove that  $p_4(Z_i) = 19$ ,  $i = 1, 2$ .

As before, it is easy to check

LEMMA 4-2.50. *The polynomials in  $B_0 \cup B_{11} \cup B_2$  are pairwise distinct over  $Z_i$  and  $F_{K_i}(3)$ ,  $i = 1, 2$ .*

Obviously,  $Z_i$  is a homomorphic image of  $F_{K_i}(3)$ . The following lemmas show that there is no other essentially 4-ary polynomials over  $F_{K_i}(3)$ , so

$$p_4(Z_i) = p_4(F_{K_i}(3)) = 19, i = 1, 2.$$

It is routine to check the following lemmas.

LEMMA 4-2.51. *Over  $F_{K_1}(3)$ , we have*

$$g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4)x_2 = g_1(x_2, x_3, x_4)x_1,$$

$$g_1(x_2, g_1(x_1, x_2, x_3), x_4) = g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4),$$

$$g_1(x_4, g_1(x_1, x_2, x_3), x_2) = x_4g_1(x_3, x_1, x_2),$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4) = x_1x_2x_3x_4.$$

From this Lemma and the previous identities which defined  $\mathbf{K}_1$ , we have

LEMMA 4-2.52. *There are only 19 essentially 4-ary polynomials  $B_0 \cup B_{11} \cup B_2$  over  $F_{K_1}(3)$ , so*

$$p_4(Z_1) = p_4(F_{K_1}(3)) = 19.$$

Similarly, we have

LEMMA 4-2.53. *Over  $F_{K_2}(3)$ , we have*

$$g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4)x_2 = g_1(x_2, x_3, x_4)x_1,$$

$$g_1(x_2, g_1(x_1, x_2, x_3), x_4) = x_1x_2x_3x_4,$$

$$g_1(x_4, g_1(x_1, x_2, x_3), x_2) = x_1x_2x_3x_4,$$

$$g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)g_1(x_1, x_3, x_4) = x_1x_2x_3x_4.$$

LEMMA 4-2.54. *There are only 19 essentially 4-ary polynomials  $B_0 \cup B_{11} \cup B_2$  over  $F_{K_2}(3)$ , so*

$$p_4(Z_2) = p_4(F_{K_2}(3)) = 19.$$

REMARK . *By using the program written by J. Berman, we also have*

$$p_4(Z_i) = p_4(F_{K_i}(3)) = 19, i = 1, 2$$

*because*

$$|F_{Z_i}(4)| = |F_{F_{K_i}(3)}(4)| = 45.$$

*and*

$$p_5(Z_i) = p_5(F_{K_i}(3)) = 151, i = 1, 2$$

*because*

$$|F_{Z_i}(5)| = |F_{F_{K_i}(3)}(5)| = 301.$$

From Lemma 4-2.48 and Lemma 4-2.49,

LEMMA 4-2.55. *Under the assumption of this subsection,  $p_4 \geq 19$ .*

Let  $H_1$  and  $H_2$  be the subvarieties of  $K_1$  and  $K_2$ , respectively, such that they satisfy the identities in Lemma 4-2.51 and Lemma 4-2.53, respectively. Then, from the previous results and their proofs, we have the following result.

THEOREM 4-2.2. *For every algebra  $\mathfrak{A}$  with more than three elements in  $H_1$  or  $H_2$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 19 \rangle$ .*

PROOF. The reason is that  $B_0 \cup B_{11} \cup B_2$  is closed. □

4-2.4.  $p_4 \geq 31$  under condition (T4). In this subsection, suppose that all  $g'_i$ 's satisfy (T4). We will prove that  $p_4 \geq 31$ .

LEMMA 4-2.56.

$$g_1(x, y, x) = g_1(x, x, y) = xy, \quad g_1(x, y, y) = \begin{cases} y, \\ xy. \end{cases}$$

PROOF. Let  $z = x$  in  $g_1(x, y, z)x = xyz$ . We have  $g_1(x, y, x)x = xy$ .

If  $g_1(x, y, x) = x$ , then  $x = g_1(x, y, x)x = xy$ , a contradiction. If  $g_1(x, y, x) = y$ , then, letting  $z = x$  in  $g_1(x, y, z)z = g_1(x, y, z)$ ,

$$g_1(x, y, x)x = g_1(x, y, x)$$

so  $yx = y$ , a contradiction.

If  $g_1(x, y, y) = x$ , then, letting  $z = y$  in  $g_1(x, y, z)z = g_1(x, y, z)$ ,

$$xy = g_1(x, y, y)y = g_1(x, y, y) = x,$$

a contradiction. □

LEMMA 4-2.57.  $g_1(x, xy, y) = xy$  (i.e.,  $g_1(x, y, xy) = xy$ ).

PROOF. If  $g_1(x, xy, y) = x$ , then  $g_1(x, xy, y)x = x$ . On the other hand, by  $g_1(x, y, z)x = xyz$ ,

$$g_1(x, xy, y)x = x(xy)y = xy,$$

a contradiction.

If  $g_1(x, xy, y) = y$ , then, by  $g_1(x, y, z)y = g_1(x, y, z)$ ,

$$xy = y(xy) = g_1(x, xy, y)(xy) = g_1(x, xy, y) = y,$$

a contradiction. □

LEMMA 4-2.58.  $g_1(x, xy, z) = xyz$ .

PROOF. By lemmas 4-2.56 and 4-2.57, it is easy to check that  $g_1(x, xy, z)$  is essentially ternary.

If  $g_1(x, xy, z) = g_1(x, y, z)$ , then

$$g_1(x, y, z) = g_1(x, xy, z) = g_1(x, xy, z)(xy) = g_1(x, y, z)xy = xyz,$$

a contradiction.

If  $g_1(x, xy, z) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_1(x, xy, z) = g_1(x, xy, z)xy = g_2(x, y, z)yx = (xyz)x = xyz,$$

a contradiction.

If  $g_1(x, xy, z) = g_3(x, y, z)$ , then

$$g_3(x, y, z) = g_1(x, xy, z) = g_1(x, xy, z)z = g_3(x, y, z)z = xyz,$$

a contradiction. □

LEMMA 4-2.59.  $g_1(xy, x, y) = xy$ .

PROOF. If  $g_1(xy, x, y) = x$ , then, substituting  $xy$  for  $y$ ,

$$x = g_1(xy, x, xy) = xy$$

by Lemma 4-2.56, a contradiction.

If  $g_1(xy, x, y) = y$ , then, substituting  $xy$  for  $x$ ,

$$y = g_1(xy, xy, y) = xy$$

by Lemma 4-2.56, a contradiction. □

LEMMA 4-2.60.

$$g_1(x, y, yz) = \begin{cases} yz \\ xyz. \end{cases}$$



PROOF. By Lemma 4-2.56 and Lemma 4-2.57, it is easy to check that  $g_1(x, y, yz)$  depends on  $y$  and  $z$ . If it does not depend on  $x$ , then

$$g_1(x, y, yz) = yz.$$

Suppose that  $g_1(x, y, yz)$  is essentially ternary. If  $g_1(x, y, yz) = g_1(x, y, z)$ , then

$$\begin{aligned} g_1(x, y, z) &= g_1(x, yz, y) \\ &= g_1(x, yz, (yz)y) \quad (\text{by assumption}) \\ &= g_1(x, yz, yz) \\ &= \begin{cases} yz \\ xyz, \end{cases} \quad (\text{by Lemma 4-2.56}) \end{aligned}$$

a contradiction. If  $g_1(x, y, yz) = g_2(x, y, z)$ , then

$$xyz = g_2(x, y, z)y = g_1(x, y, yz)y = g_1(x, y, yz) = g_2(x, y, z),$$

a contradiction. If  $g_1(x, y, yz) = g_3(x, y, z)$ , then

$$xyz = g_3(x, y, z)yz = g_1(x, y, yz)yz = g_1(x, y, yz) = g_3(x, y, z),$$

a contradiction. □

LEMMA 4-2.61. *We have the following two equivalence conditions:*

- (1)  $g_1(x, y, y) = y \iff g_1(x, y, yz) = yz$ .
- (2)  $g_1(x, y, y) = xy \iff g_1(x, y, yz) = xyz$ .

PROOF. Suppose that  $g_1(x, y, yz) \neq yz$ . Then, by Lemma 4-2.60,  $g_1(x, y, yz) = xyz$ . Let  $z = y$ . Then  $g_1(x, y, y) = xy$ . So the necessity of (1) is true. However, the inverse is trivial.

To prove the necessity of (2), assume that  $g_1(x, y, y) = xy$ . By Lemma 4-2.60, we only need show that  $g_1(x, y, yz)$  depends on  $x$ . Indeed, letting  $z = y$ ,

$$g_1(x, y, yz) = g_1(x, y, y) = xy$$

so it depends on  $x$ . □

LEMMA 4-2.62.

$$g_1(xy, y, z) = \begin{cases} yz \\ xyz \\ g_1(x, y, z) \end{cases}$$

and if  $g_1(x, y, y) = xy$ , then

$$g_1(xy, y, z) = \begin{cases} xyz \\ g_1(x, y, z). \end{cases}$$

PROOF. By Lemma 4-2.56 and Lemma 4-2.57, it is easy to show that  $g_1(xy, y, z)$  depends on  $y$  and  $z$ . If it does not depend on  $x$ , then  $g_1(xy, y, z) = yz$ . Suppose that it is essentially ternary. If  $g_1(xy, y, z) = g_2(x, y, z)$ , then

$$g_2(x, y, z) = g_1(xy, y, z) = g_1(xy, y, z)y = g_2(x, y, z)y = xyz,$$

a contradiction. If  $g_1(xy, y, z) = g_3(x, y, z)$ , then

$$g_3(x, y, z) = g_1(xy, y, z) = g_1(xy, y, z)z = g_3(x, y, z)z = xyz,$$

a contradiction. If  $g_1(x, y, y) = xy$ , then, letting  $z = y$ ,

$$g_1(xy, y, z) = g_1(xy, y, y) = xy$$

so  $g_1(xy, y, z)$  depends on  $x$ . □

We will prove that the polynomials in  $B_0 \cup B_1 \cup B_4 \cup B_5$  are pairwise distinct. So  $p_4 \geq 31$ .

LEMMA 4-2.63.  $B_0 \cap (B_1 \cup B_4 \cup B_5) = \emptyset$ .

PROOF. It is trivial. □

LEMMA 4-2.64.  $B_1 \cap B_4 = \emptyset$ .

PROOF. (1)  $g_1(x_1x_2, x_3, x_4) \notin B_1$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4)$  and, by the previous lemmas,

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_3x_4, & g_1(x_2, x_1, x_3)x_4 &= x_1x_3x_4, \\ g_1(x_3, x_1, x_2)x_4 &= \begin{cases} x_1x_4, \\ x_1x_3x_4, \end{cases} & g_1(x_2, x_3, x_4)x_1 &= g_1(x_1, x_3, x_4)x_1 = x_1x_3x_4, \end{aligned}$$

$$\begin{aligned} g_1(x_3, x_4, x_2)x_1 &= g_1(x_3, x_4, x_1) = g_2(x_1, x_3, x_4), \\ g_1(x_4, x_2, x_3)x_1 &= g_1(x_4, x_1, x_3) = g_3(x_1, x_3, x_4), \\ g_1(x_3, x_4, x_1)x_2 &= g_1(x_3, x_4, x_1) = g_2(x_1, x_3, x_4), \\ g_1(x_4, x_1, x_3)x_2 &= g_1(x_4, x_1, x_3) = g_3(x_1, x_3, x_4), \end{aligned}$$

$$\begin{aligned} g_1(x_1, x_3, x_4)x_2 &= x_1x_3x_4, & g_1(x_4, x_1, x_2)x_3 &= \begin{cases} x_1x_3, \\ x_1x_3x_4, \end{cases} \\ g_1(x_1, x_2, x_4)x_3 &= x_1x_3x_4, & g_1(x_2, x_4, x_1)x_3 &= x_1x_3x_4. \end{aligned}$$

(2) By lemmas 4-1.15 and 4-1.16, our claim is complete by applying permutations in  $S_4$  to (1).  $\square$

LEMMA 4-2.65.  $B_1 \cap B_5 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2x_3, x_4) \notin B_1$ :

Let  $x_3 = x_2$ . Then  $g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4)$  and

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= \begin{cases} x_2x_4, \\ x_1x_2x_4, \end{cases} & g_1(x_2, x_3, x_1)x_4 &= x_1x_2x_4, \\ g_1(x_3, x_1, x_2)x_4 &= x_1x_2x_4, & g_1(x_2, x_3, x_4)x_1 &= x_1x_2x_4, \end{aligned}$$

$$\begin{aligned}
g_1(x_3, x_4, x_2)x_1 &= x_1x_2x_4, & g_1(x_4, x_2, x_3)x_1 &= \begin{cases} x_1x_2, \\ x_1x_2x_4, \end{cases} \\
g_1(x_3, x_4, x_1)x_2 &= x_1x_2x_4, & g_1(x_4, x_1, x_3)x_2 &= g_3(x_1, x_2, x_4), \\
g_1(x_4, x_1, x_2)x_3 &= g_3(x_1, x_2, x_4), & g_1(x_2, x_4, x_1)x_3 &= x_1x_2x_4.
\end{aligned}$$

Let  $x_4 = x_2$ . Then

$$g_1(x_1, x_3, x_4)x_2 = g_1(x_1, x_3, x_2),$$

and by Lemma 4-2.60

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2x_3, x_2) = \begin{cases} x_2x_3 \\ x_1x_2x_3. \end{cases}$$

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_4)x_3 = g_1(x_1, x_2, x_3)$$

and by Lemma 4-2.60

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2x_3, x_3) = \begin{cases} x_2x_3 \\ x_1x_2x_3. \end{cases}$$

(2) By lemmas 4-1.15 and 4-1.16, our claim is complete by applying permutations in  $S_4$  to (1). □

LEMMA 4-2.66.  $B_4 \cap B_5 = \emptyset$ .

PROOF. (1)  $g_1(x_1x_2, x_3, x_4) \notin B_5$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4)$  and by Lemma 4-2.56, Lemma 4-2.58 and Lemma 4-2.60,

$$\begin{aligned}
g_1(x_1, x_2x_3, x_4) &= g_1(x_1, x_1x_3, x_4) = x_1x_3x_4, \\
g_1(x_1, x_2x_4, x_3) &= g_1(x_1, x_1x_4, x_3) = x_1x_3x_4, \\
g_1(x_1, x_3x_4, x_2) &= g_1(x_1, x_3x_4, x_1) = x_1x_3x_4,
\end{aligned}$$

$$g_1(x_2, x_1x_3, x_4) = g_1(x_1, x_1x_3, x_4) = x_1x_3x_4,$$

$$g_1(x_2, x_1x_4, x_3) = g_1(x_1, x_1x_4, x_3) = x_1x_3x_4,$$

$$g_1(x_2, x_3x_4, x_1) = g_1(x_1, x_3x_4, x_1) = x_1x_3x_4,$$

$$g_1(x_3, x_1x_2, x_4) = g_1(x_3, x_1, x_4) = g_2(x_1, x_3, x_4),$$

$$g_1(x_3, x_1x_4, x_2) = g_1(x_3, x_1x_4, x_1) = \begin{cases} x_1x_4 \\ x_1x_3x_4, \end{cases}$$

$$g_1(x_3, x_2x_4, x_1) = g_1(x_3, x_1x_4, x_1) = \begin{cases} x_1x_4 \\ x_1x_3x_4, \end{cases}$$

$$g_1(x_4, x_1x_2, x_3) = g_1(x_4, x_1, x_3) = g_3(x_1, x_3, x_4),$$

$$g_1(x_4, x_1x_3, x_2) = g_1(x_4, x_1x_3, x_1) = \begin{cases} x_1x_3 \\ x_1x_3x_4, \end{cases}$$

$$g_1(x_4, x_2x_3, x_1) = g_1(x_4, x_1x_3, x_1) = \begin{cases} x_1x_3 \\ x_1x_3x_4. \end{cases}$$

(2) By lemmas 4-1.15 and 4-1.16, our claim is complete by applying permutations in  $S_4$  to (1). □

LEMMA 4-2.67. *The polynomials in  $B_1$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = x_3$ . Then

$$g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3),$$

$$g_1(x_2, x_3, x_1)x_4 = g_2(x_1, x_2, x_3),$$

$$g_1(x_3, x_1, x_2)x_4 = x_1x_2x_3,$$

$$g_1(x_2, x_3, x_4)x_1 = \begin{cases} x_1x_3 \\ x_1x_2x_3, \end{cases}$$

$$g_1(x_3, x_4, x_2)x_1 = x_1x_2x_3,$$

$$g_1(x_4, x_2, x_3)x_1 = x_1x_2x_3,$$

$$g_1(x_3, x_4, x_1)x_2 = x_1x_2x_3,$$

$$g_1(x_4, x_1, x_3)x_2 = x_1x_2x_3,$$

$$g_1(x_1, x_3, x_4)x_2 = \begin{cases} x_2x_3 \\ x_1x_2x_3, \end{cases}$$

$$g_1(x_4, x_1, x_2)x_3 = x_1x_2x_3,$$

$$g_1(x_2, x_4, x_1)x_3 = g_1(x_2, x_3, x_1) = g_2(x_1, x_2, x_3).$$

Let  $x_3 = x_2$ . Then

$$g_1(x_1, x_2, x_3)x_4 = \begin{cases} x_2x_4 \\ x_1x_2x_4, \end{cases}$$

and

$$g_1(x_1, x_2, x_4)x_3 = g_1(x_1, x_2, x_4).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.68. *The polynomials in  $B_4$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1x_2, x_3, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then, by Lemma 4-2.62,

$$g_1(x_1x_2, x_3, x_4) = g_1(x_1, x_3, x_4),$$

$$g_1(x_1x_3, x_2, x_4) = g_1(x_1x_3, x_1, x_4) = \begin{cases} x_1x_4 \\ x_1x_3x_4 \\ g_2(x_1, x_3, x_4), \end{cases}$$

$$g_1(x_1x_4, x_2, x_3) = g_1(x_1x_4, x_1, x_3) = \begin{cases} x_1x_3 \\ x_1x_3x_4 \\ g_3(x_1, x_3, x_4), \end{cases}$$

$$g_1(x_2x_3, x_1, x_4) = g_1(x_1x_3, x_1, x_4) = \begin{cases} x_1x_4 \\ x_1x_3x_4 \\ g_2(x_1, x_3, x_4), \end{cases}$$

$$g_1(x_2x_4, x_1, x_3) = g_1(x_1x_4, x_1, x_3) = \begin{cases} x_1x_3 \\ x_1x_3x_4 \\ g_3(x_1, x_3, x_4), \end{cases}$$

$$g_1(x_3x_4, x_1, x_2) = g_1(x_3x_4, x_1, x_1) = \begin{cases} x_1 \\ x_1x_3x_4. \end{cases}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.69. *The polynomials in  $B_5$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2x_3, x_4)$  is different from others:

Let  $x_3 = x_2$ . Then, by Lemma 4-2.56, Lemma 4-2.58 and Lemma 4-2.60,

$$g_1(x_1, x_2x_3, x_4) = g_1(x_1, x_2, x_4),$$

$$g_1(x_1, x_2x_4, x_3) = g_1(x_1, x_2x_4, x_2) = \begin{cases} x_2x_4 \\ x_1x_2x_4, \end{cases}$$

$$g_1(x_1, x_3x_4, x_2) = g_1(x_1, x_2x_4, x_2) = \begin{cases} x_2x_4 \\ x_1x_2x_4, \end{cases}$$

$$g_1(x_2, x_1x_3, x_4) = g_1(x_2, x_1x_2, x_4) = x_1x_2x_4,$$

$$g_1(x_2, x_1x_4, x_3) = g_1(x_2, x_1x_4, x_2) = x_1x_2x_4,$$

$$g_1(x_2, x_3x_4, x_1) = g_1(x_2, x_2x_4, x_1) = x_1x_2x_4,$$

$$g_1(x_3, x_1x_2, x_4) = g_1(x_2, x_1x_2, x_4) = x_1x_2x_4,$$

$$g_1(x_3, x_1x_4, x_2) = g_1(x_2, x_1x_4, x_2) = x_1x_2x_4,$$

$$g_1(x_3, x_2x_4, x_1) = g_1(x_2, x_2x_4, x_1) = x_1x_2x_4,$$

$$g_1(x_4, x_1x_2, x_3) = g_1(x_4, x_1x_2, x_2) = \begin{cases} x_1x_2 \\ x_1x_2x_4, \end{cases}$$

$$g_1(x_4, x_1x_3, x_2) = g_1(x_4, x_1x_2, x_2) = \begin{cases} x_1x_2 \\ x_1x_2x_4, \end{cases}$$

$$g_1(x_4, x_2x_3, x_1) = g_1(x_4, x_2, x_1) = g_3(x_1, x_2, x_4).$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

**4-2.5.  $p_4 \geq 31$  under condition (T5).** In this subsection, suppose that all  $g_i$ 's satisfy (T5) and represent  $\langle 0, 1, 1, 4 \rangle$ . We will prove that  $p_4(\mathfrak{A}) \geq 31$ .

LEMMA 4-2.70.  $g_1(x, x, y) = g_1(x, y, x) = xy, \quad g_1(x, y, y) = y.$

PROOF. If  $g_1(x, y, x) = x$ , then, by (T5),

$$x = xx = g_1(x, y, x)x = xy,$$

a contradiction. If  $g_1(x, y, x) = y$ , then, by (T5),

$$y = yy = g_1(x, y, x)y = xy,$$

a contradiction. Therefore,  $g_1(x, y, x) = xy$ .

If  $g_1(x, y, y) = x$ , then, by (T5),

$$x = xx = g_1(x, y, y)x = xy,$$

a contradiction. If  $g_1(x, y, y) = xy$ , then, by (T5),

$$xy = g_1(x, y, y)y = yy = y,$$

a contradiction. Hence  $g_1(x, y, y) = y$ .  $\square$



LEMMA 4-2.71.  $g_1(xy, x, y) = g_1(xy, y, x) = xy$ ,  $g_1(x, xy, y) = xy$ .

PROOF. If  $g_1(xy, x, y) = x$ , then, by (T5),

$$x = g_1(xy, x, y)x = xy,$$

a contradiction. If  $g_1(xy, x, y) = y$ , then, by (T5),

$$y = g_1(xy, x, y)y = xy,$$

a contradiction. Therefore,  $g_1(xy, x, y) = xy$ .

If  $g_1(x, xy, y) = x$ , then, by (T5),

$$x = xx = g_1(x, xy, y)x = x(xy)y = xy,$$

a contradiction. If  $g_1(x, xy, y) = y$ , then, by (T5),

$$y = g_1(x, xy, y)y = (xy)y = xy,$$

a contradiction. Therefore,  $g_1(x, xy, y) = xy$ . □

LEMMA 4-2.72.  $g_1(x, xy, z) = xyz$ .

PROOF. It is easy to check that  $g_1(x, xy, z)$  is essentially ternary.

If  $g_1(x, xy, z) = g_1(x, y, z)$ , then, by (T5),

$$xyz = g_1(x, xy, z)z = g_1(x, y, z)z = yz,$$

a contradiction. If  $g_1(x, xy, z) = g_2(x, y, z)$ , then

$$xyz = x(xy)z = g_1(x, xy, z)x = g_2(x, y, z)x = xz,$$

a contradiction. If  $g_1(x, xy, z) = g_3(x, y, z)$ , then

$$x(xy)z = g_1(x, xy, z)x = g_3(x, y, z)x = xy,$$

a contradiction. Hence  $g_1(x, xy, z) = xyz$ . □

LEMMA 4-2.73.

$$g_1(xy, y, z) = \begin{cases} yz \\ g_1(x, y, z). \end{cases}$$

PROOF. It is easy to check that  $g_1(x, xy, z)$  depends on  $y$  and  $z$ . If it is not essentially ternary, then  $g_1(xy, y, z) = yz$ .

Suppose that  $g_1(xy, y, z)$  is essentially ternary. If  $g_1(xy, y, z) = g_2(x, y, z)$ , then, by (T5),

$$yz = g_1(xy, y, z)y = g_2(x, y, z)y = xyz,$$

a contradiction. If  $g_1(xy, y, z) = g_3(x, y, z)$ , then

$$yz = g_1(xy, y, z)z = g_3(x, y, z)z = xyz,$$

a contradiction. If  $g_1(xy, y, z) = xyz$ , then

$$xyz = g_1(xy, y, z)y = yz,$$

a contradiction. Thus,  $g_1(xy, y, z) = g_1(x, y, z)$ . □

LEMMA 4-2.74.  $g_1(x, y, yz) = yz$ .

PROOF. It is easy to check that  $g_1(x, y, yz)$  depends on  $y$  and  $z$ . We only need show that it is impossible that  $g_1(x, y, yz)$  be essentially ternary.

Assume that  $g_1(x, y, yz)$  is essentially ternary. If  $g_1(x, y, yz) = g_1(x, y, z)$ , then

$$\begin{aligned} g_1(x, y, z) &= g_1(x, y, yz) \\ &= g_1(x, yz, y) \\ &= g_1(x, yz, (yz)y) \\ &= g_1(x, yz, yz) \\ &= yz \end{aligned}$$

by Lemma 4-2.70, a contradiction. If  $g_1(x, y, yz) = g_2(x, y, z)$ , then

$$xyz = g_1(x, y, yz)x = g_2(x, y, z)x = xz,$$

a contradiction. If  $g_1(x, y, yz) = g_3(x, y, z)$ , then

$$xyz = g_1(x, y, yz)x = g_3(x, y, z)x = xy,$$

a contradiction. If  $g_1(x, y, yz) = xyz$ , then

$$xyz = g_1(x, y, yz)y = yz,$$

a contradiction. □

We will prove that the polynomials in  $B_0 \cup B_1 \cup B_2 \cup B_3$  are pairwise distinct. So  $p_4 \geq 31$ .

LEMMA 4-2.75.  $B_0 \cap (B_1 \cup B_2 \cup B_3) = \emptyset$ .

PROOF. It is trivial. □

LEMMA 4-2.76.  $B_1 \cap B_2 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_1$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and by (T5) and previous lemmas,

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_2x_3, & g_1(x_2, x_3, x_1)x_4 &= x_1x_3, & g_1(x_3, x_1, x_2)x_4 &= x_1x_2x_3, \\ g_1(x_2, x_3, x_4)x_1 &= x_3x_1, & g_1(x_3, x_4, x_2)x_1 &= x_1x_2x_3, & g_1(x_4, x_2, x_3)x_1 &= x_1x_2x_3, \\ g_1(x_3, x_4, x_1)x_2 &= x_1x_2x_3, & g_1(x_4, x_1, x_3)x_2 &= x_1x_2x_3, & g_1(x_1, x_3, x_4)x_2 &= x_2x_3, \\ g_1(x_4, x_1, x_2)x_3 &= x_1x_2x_3, & g_1(x_1, x_2, x_4)x_3 &= x_2x_3, & g_1(x_2, x_4, x_1)x_3 &= x_1x_3. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

LEMMA 4-2.77.  $B_1 \cap B_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) \notin B_1$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_2, x_3)$  and

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_3x_4, & g_1(x_2, x_3, x_1)x_4 &= x_1x_3x_4, & g_1(x_3, x_1, x_2)x_4 &= x_1x_4, \\ g_1(x_2, x_3, x_4)x_1 &= x_1x_3x_4, & g_1(x_3, x_4, x_2)x_1 &= x_1x_4, & g_1(x_4, x_2, x_3)x_1 &= x_1x_3, \\ g_1(x_3, x_4, x_1)x_2 &= x_1x_4, & g_1(x_4, x_1, x_3)x_2 &= x_1x_3, & g_1(x_1, x_3, x_4)x_2 &= x_1x_3x_4, \\ g_1(x_4, x_1, x_2)x_3 &= x_1x_3, & g_1(x_1, x_2, x_4)x_3 &= x_1x_3x_4, & g_1(x_2, x_4, x_1)x_3 &= x_1x_3x_4. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.78.  $B_2 \cap B_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) \notin B_2$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4)$  and

$$\begin{aligned} g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= x_1x_3x_4, & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= x_1x_3x_4, \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= x_1x_3x_4, & g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= x_1x_3x_4, \\ g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= x_1x_3x_4, & g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) &= x_1x_3x_4, \\ g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) &= x_1x_4, & g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) &= x_1x_4, \\ g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= g_2(x_1, x_3, x_4), & g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) &= x_1x_3, \\ g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) &= x_1x_3, & g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) &= g_3(x_1, x_3, x_4). \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.79. *The polynomials in  $B_1$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = x_1$ . Then

$$g_1(x_1, x_2, x_3)x_4 = x_1x_2x_3, \quad g_1(x_2, x_3, x_1)x_4 = x_1x_3, \quad g_1(x_3, x_1, x_2)x_4 = x_1x_2,$$

$$\begin{aligned}
g_1(x_2, x_3, x_4)x_1 &= x_1x_3, & g_1(x_3, x_4, x_2)x_1 &= x_1x_2, & g_1(x_4, x_2, x_3)x_1 &= x_1x_2x_3, \\
g_1(x_3, x_4, x_1)x_2 &= x_1x_2, & g_1(x_4, x_1, x_3)x_2 &= x_1x_2x_3, & g_1(x_1, x_3, x_4)x_2 &= x_1x_2x_3, \\
g_1(x_4, x_1, x_2)x_3 &= x_1x_2x_3, & g_1(x_1, x_2, x_4)x_3 &= x_1x_2x_3, & g_1(x_2, x_4, x_1)x_3 &= x_1x_3.
\end{aligned}$$

Let  $x_4 = x_2$ . Then

$$\begin{aligned}
g_1(x_4, x_1, x_3)x_2 &= x_1x_2x_3, & g_1(x_4, x_1, x_2)x_3 &= x_1x_2x_3, \\
g_1(x_4, x_2, x_3)x_1 &= x_1x_2x_3, & g_1(x_1, x_2, x_3)x_4 &= x_2x_3.
\end{aligned}$$

Let  $x_4 = g_1(x_1, x_2, x_3)$ . Then  $g_1(x_1, x_2, x_3)x_4 = g_1(x_1, x_2, x_3)$ . If

$$g_1(x_1, x_2, x_4)x_3 = g_1(x_1, x_2, g_1(x_1, x_2, x_3))x_3 = g_1(x_1, x_2, x_3),$$

then

$$g_1(x_1, x_2, x_3) = g_1(x_1, x_2, g_1(x_1, x_2, x_3))g_1(x_1, x_2, x_3)x_3 = (x_2g_1(x_1, x_2, x_3))x_3 = x_2x_3,$$

a contradiction. If

$$g_1(x_1, x_3, x_4)x_2 = g_1(x_1, x_3, g_1(x_1, x_2, x_3))x_2 = g_1(x_1, x_2, x_3),$$

then

$$g_1(x_1, x_2, x_3) = g_1(x_1, x_3, g_1(x_1, x_2, x_3))g_1(x_1, x_2, x_3)x_2 = (3g_1(x_1, x_2, x_3))x_2 = x_2x_3,$$

a contradiction.

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.80. *The polynomials in  $B_2$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ . Then

$$\begin{aligned}
g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= x_2x_3, \\
g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= x_2x_3, & g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= x_1x_3, \\
g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= x_1x_3, & g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) &= g_2(x_1, x_2, x_3),
\end{aligned}$$

$$\begin{aligned}
g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) &= x_1x_2x_3, & g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) &= x_1x_2x_3, \\
g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= x_1x_2x_3, & g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) &= x_1x_2x_3, \\
g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) &= x_1x_2x_3, & g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) &= x_1x_2x_3.
\end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.81. *The polynomials in  $B_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then

$$\begin{aligned}
g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) &= g_1(x_1, x_3, x_4), \\
g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) &= g_1(x_3, x_4, x_1)x_1x_4 = x_1x_4, \\
g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) &= g_1(x_3, x_1, x_4)x_1x_4 = x_1x_4, \\
g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) &= g_1(x_4, x_1, x_3)x_1x_3 = x_1x_3, \\
g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) &= g_1(x_4, x_3, x_1)x_1x_3 = x_1x_3, \\
g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) &= x_1.
\end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

**4-2.6.**  $p_4 \geq 31$  under condition (T6). In this subsection, suppose that all  $g_i$ 's satisfy (T6). We will prove that  $p_4(\mathfrak{A}) \geq 31$ .

LEMMA 4-2.82.  $g_1(x, x, y) = g_1(x, y, x) = xy$ ,  $g_1(x, y, y) = xy$ .

PROOF. If  $g_1(x, y, x) = x$ , then  $g_1(x, y, x)x = x$ . On the other hand,

$$g_1(x, y, x)x = xy$$

from  $g_1(x, y, z)x = xyz$  by letting  $z = x$ , a contradiction. If  $g_1(x, y, x) = y$ , then, similarly,

$$y = g_1(x, y, x)y = xy,$$

a contradiction.

If  $g_1(x, y, y) = x$ , then

$$x = g_1(x, y, y)x = xy,$$

a contradiction. If  $g_1(x, y, y) = y$ , then

$$y = g_1(x, y, y)y = xy,$$

a contradiction. □

LEMMA 4-2.83.  $g_1(x, xy, y) = xy, \quad g_1(xy, y, x) = xy.$

PROOF. If  $g_1(x, xy, y) = x$ , then

$$x = xx = g_1(x, xy, y)x = x(xy)y = xy,$$

a contradiction. If  $g_1(x, xy, y) = y$ , then

$$y = yy = g_1(x, xy, y)y = x(xy)y = xy,$$

a contradiction.

If  $g_1(xy, x, y) = x$ , then

$$x = xx = g_1(xy, x, y)x = (xy)xy = xy,$$

a contradiction. If  $g_1(xy, x, y) = y$ , then

$$y = yy = g_1(xy, x, y)y = (xy)xy = xy,$$

a contradiction. □

We will prove that the polynomials in  $B_0 \cup B_1 \cup B_2 \cup B_3$  are pairwise distinct. So  $p_4(\mathfrak{A}) \geq 31$ .

LEMMA 4-2.84.  $B_0 \cap (B_1 \cup B_2 \cup B_3) = \emptyset.$

PROOF. It is trivial.  $\square$

LEMMA 4-2.85.  $B_1 \cap B_2 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) \notin B_1$ :

Let  $x_4 = x_3$ . Then  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) = g_1(x_1, x_2, x_3)$  and by (T6) and previous lemmas,

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_2x_3, & g_1(x_2, x_3, x_1)x_4 &= x_1x_2x_3, & g_1(x_3, x_1, x_2)x_4 &= x_1x_2x_3, \\ g_1(x_2, x_3, x_4)x_1 &= x_1x_2x_3, & g_1(x_3, x_4, x_2)x_1 &= x_1x_2x_3, & g_1(x_4, x_2, x_3)x_1 &= x_1x_2x_3, \\ g_1(x_3, x_4, x_1)x_2 &= x_1x_2x_3, & g_1(x_4, x_1, x_3)x_2 &= x_1x_2x_3, & g_1(x_1, x_3, x_4)x_2 &= x_1x_2x_3, \\ g_1(x_4, x_1, x_2)x_3 &= x_1x_2x_3, & g_1(x_1, x_2, x_4)x_3 &= x_1x_2x_3, & g_1(x_2, x_4, x_1)x_3 &= x_1x_2x_3. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.86.  $B_1 \cap B_3 = \emptyset$ .

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) \notin B_1$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_2, x_3)$  and

$$\begin{aligned} g_1(x_1, x_2, x_3)x_4 &= x_1x_3x_4, & g_1(x_2, x_3, x_1)x_4 &= x_1x_3x_4, & g_1(x_3, x_1, x_2)x_4 &= x_1x_3x_4, \\ g_1(x_2, x_3, x_4)x_1 &= x_1x_3x_4, & g_1(x_3, x_4, x_2)x_1 &= x_1x_3x_4, & g_1(x_4, x_2, x_3)x_1 &= x_1x_3x_4, \\ g_1(x_3, x_4, x_1)x_2 &= x_1x_3x_4, & g_1(x_4, x_1, x_3)x_2 &= x_1x_3x_4, & g_1(x_1, x_3, x_4)x_2 &= x_1x_3x_4, \\ g_1(x_4, x_1, x_2)x_3 &= x_1x_3x_4, & g_1(x_1, x_2, x_4)x_3 &= x_1x_3x_4, & g_1(x_2, x_4, x_1)x_3 &= x_1x_3x_4. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.87.  $B_2 \cap B_3 = \emptyset$ .



PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) \notin B_2$ :

Let  $x_2 = x_1$ . Then  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4)$  and

$$\begin{aligned}
g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= x_1x_3x_4, & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= x_1x_3x_4, \\
g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= x_1x_3x_4, & g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= x_1x_3x_4, \\
g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= x_1x_3x_4, & g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) &= x_1x_3x_4, \\
g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) &= x_1x_3x_4, & g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) &= x_1x_3x_4, \\
g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= g_2(x_1, x_3, x_4), & g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) &= x_1x_3x_4, \\
g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) &= x_1x_3x_4, & g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) &= g_3(x_1, x_3, x_4).
\end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.88. *The polynomials in  $B_1$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)x_4$  is different from others:

Let  $x_4 = g_1(x_1, x_2, x_3)$ . Then

$$\begin{aligned}
g_1(x_1, x_2, x_3)x_4 &= g_1(x_1, x_3, x_4), & g_1(x_2, x_3, x_1)x_4 &= x_1x_2x_3, \\
g_1(x_3, x_1, x_2)x_4 &= x_1x_2x_3.
\end{aligned}$$

If  $g_1(x_2, x_3, g_1(x_1, x_2, x_3))x_1 = g_1(x_2, x_3, x_4)x_1 = g_1(x_1, x_2, x_3)x_4 = g_1(x_1x_2x_3)$ , then

$$\begin{aligned}
g_1(x_1, x_2, x_3) &= g_1(x_2, x_3, g_1(x_1, x_2, x_3))g_1(x_1, x_2, x_3)x_1 \\
&= x_2x_3g_1(x_1, x_2, x_3)x_1 \\
&= x_1x_2x_3,
\end{aligned}$$

a contradiction. If  $g_1(x_3, x_4, x_2)x_1 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned}
g_1(x_1, x_2, x_3) &= g_1(x_3, g_1(x_1, x_2, x_3), x_2)x_1g_1(x_1, x_2, x_3) \\
&= x_1x_2x_3g_1(x_1, x_2, x_3)
\end{aligned}$$

$$= x_1x_2x_3,$$

a contradiction. If  $g_1(x_4, x_2, x_3)x_1 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(g_1(x_1, x_2, x_3), x_2, x_3)x_1g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_3, x_4, x_1)x_2 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(x_3, g_1(x_1, x_2, x_3), x_1)x_2g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_4, x_1, x_3)x_2 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(g_1(x_1, x_2, x_3), x_1, x_3)x_2g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_1, x_3, x_4)x_2 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(x_1, x_3, g_1(x_1, x_2, x_3))x_2g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_4, x_1, x_2)x_3 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(g_1(x_1, x_2, x_3), x_1, x_2)x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_1, x_2, x_4)x_3 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(x_1, x_2, g_1(x_1, x_2, x_3))x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction. If  $g_1(x_2, x_4, x_1)x_3 = g_1(x_1, x_2, x_3)x_4$ , then

$$\begin{aligned} g_1(x_1, x_2, x_3) &= g_1(x_2, g_1(x_1, x_2, x_3), x_1)x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3g_1(x_1, x_2, x_3) \\ &= x_1x_2x_3, \end{aligned}$$

a contradiction.

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.89. *The polynomials in  $B_2$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4)$  is different from others:

Let  $x_4 = x_3$ . Then

$$\begin{aligned} g_1(x_1, x_2, x_3)g_1(x_1, x_2, x_4) &= g_1(x_1, x_2, x_3), & g_1(x_1, x_3, x_2)g_1(x_1, x_3, x_4) &= x_1x_2x_3, \\ g_1(x_1, x_4, x_2)g_1(x_1, x_4, x_3) &= x_1x_2x_3, & g_1(x_2, x_3, x_4)g_1(x_2, x_3, x_1) &= x_1x_2x_3, \\ g_1(x_2, x_4, x_1)g_1(x_2, x_4, x_3) &= x_1x_2x_3, & g_1(x_2, x_1, x_3)g_1(x_2, x_1, x_4) &= g_2(x_1, x_2, x_3), \\ g_1(x_3, x_1, x_2)g_1(x_3, x_1, x_4) &= x_1x_2x_3, & g_1(x_3, x_2, x_1)g_1(x_3, x_2, x_4) &= x_1x_2x_3, \\ g_1(x_3, x_4, x_1)g_1(x_3, x_4, x_2) &= x_1x_2x_3, & g_1(x_4, x_1, x_2)g_1(x_4, x_1, x_3) &= x_1x_2x_3, \\ g_1(x_4, x_2, x_3)g_1(x_4, x_2, x_1) &= x_1x_2x_3, & g_1(x_4, x_3, x_1)g_1(x_4, x_3, x_2) &= x_1x_2x_3. \end{aligned}$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16.  $\square$

LEMMA 4-2.90. *The polynomials in  $B_3$  are pairwise distinct.*

PROOF. (1)  $g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4)$  is different from others:

Let  $x_2 = x_1$ . Then

$$g_1(x_1, x_3, x_4)g_1(x_2, x_3, x_4) = g_1(x_1, x_3, x_4),$$

$$g_1(x_2, x_4, x_1)g_1(x_3, x_4, x_1) = g_1(x_3, x_4, x_1)x_1x_4 = x_1x_3x_4,$$

$$g_1(x_1, x_2, x_4)g_1(x_3, x_2, x_4) = g_1(x_3, x_1, x_4)x_1x_4 = x_1x_3x_4,$$

$$g_1(x_1, x_2, x_3)g_1(x_4, x_2, x_3) = g_1(x_4, x_1, x_3)x_1x_3 = x_1x_3x_4,$$

$$g_1(x_2, x_3, x_1)g_1(x_4, x_3, x_1) = g_1(x_4, x_3, x_1)x_1x_3 = x_1x_3x_4,$$

$$g_1(x_3, x_1, x_2)g_1(x_4, x_1, x_2) = x_1x_3x_4.$$

(2) Applying permutations in  $S_4$  to (1), our proof is complete by Lemma 4-1.15 and Lemma 4-1.16. □

## CHAPTER 5

### Conclusions and Conjectures

#### 5-1. Conclusions

From the previous chapters, we have the following results.

**THEOREM 5-1.1.** *If an algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4 \rangle$ , then  $p_4(\mathfrak{A}) \geq 19$ . Thus,*

$$p_n(\mathfrak{A}) \geq 2^n + 2^{n-2} - 1,$$

*for all  $n \geq 4$ .*

The last statement of Theorem 5-1.1 is directly from Theorem 10 in [28] by mathematical induction.

**THEOREM 5-1.2.** *There are two varieties  $\mathbf{K}_i$  of type  $\langle 2, 3 \rangle$  and two four-element algebras  $Z_i \in \mathbf{K}_i$ ,  $i = 1, 2$ , such that*

*(1) for any algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  not in  $\mathbf{K}_i$  for  $i = 1, 2$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  if and only if  $\mathfrak{A}$  is a nontrivial join algebra.*

*(2) both  $Z_i$  represents  $\langle 0, 1, 1, 4, 19, 151 \rangle$  and every algebra  $\mathfrak{A} \in \mathbf{K}_i$  representing  $\langle 0, 1, 1, 4 \rangle$  contains a subalgebra such that  $Z_i$  is a homomorphic image of this subalgebra.*

**PROOF.** The necessity of (1) is trivial. Conversely, suppose that  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$ , not in  $\mathbf{K}_1 \cup \mathbf{K}_2$ . Then the essentially ternary polynomials over  $\mathfrak{A}$  satisfy (T2) and then the proof is complete by Theorem 4-2.1.

(2) is Lemma 4-2.48 and Lemma 4-2.49. □

**THEOREM 5-1.3.** *For an algebra  $\mathfrak{A} = \langle A; \cdot, g \rangle$  of type  $\langle 2, 3 \rangle$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 19 \rangle$  iff  $\mathfrak{A}$  has more than three elements and belongs to  $\mathbf{H}_1 \cup \mathbf{H}_2$ .*

PROOF. The sufficiency is Theorem 4-2.2. For the necessity, suppose that  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 19 \rangle$ . Then the ternary operation  $g$  is essential and  $|\text{Inv}(g)| = 2$  and  $\mathfrak{A} \in \mathbf{K}_1 \cup \mathbf{K}_2$  by the previous sections. Without loss of generality, assume that  $\text{Inv}(g) = \langle (23) \rangle$ , i.e.,  $g = g_1$ . If  $\mathfrak{A}$  has less than or equal three elements, then, by condition (4-2.3.B), Lemma 4-2.23, Lemma 4-2.25, Lemma 4-2.26 and Lemma 4-2.27, it is impossible that  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 19 \rangle$ .

Since the essentially 4-ary polynomials in  $B_0 \cup B_{11} \cup B_2$  are pairwise distinct, there are only 19 essentially 4-ary polynomials  $B_0 \cup B_{11} \cup B_2$ . We will prove that either the identities of Lemma 4-2.51 or the identities of Lemma 4-2.53 hold.

$$(1) \quad g(x_1x_2, x_3, x_4) = x_1g(x_2, x_3, x_4):$$

Obviously,  $g(x_1x_2, x_3, x_4) \notin B_0$ . Let  $x_2 = x_1$ . Then  $g(x_1x_2, x_3, x_4) = g(x_1, x_3, x_4)$  and

$$\begin{aligned} g(x_1, x_2, x_3)g(x_1, x_2, x_4) &= x_1x_3x_4, & g(x_1, x_3, x_2)g(x_1, x_3, x_4) &= x_1x_3x_4, \\ g(x_1, x_4, x_2)g(x_1, x_4, x_3) &= x_1x_3x_4, & g(x_2, x_3, x_4)g(x_2, x_3, x_1) &= x_1x_3x_4, \\ g(x_2, x_4, x_1)g(x_2, x_4, x_3) &= x_1x_3x_4, & g(x_2, x_1, x_3)g(x_2, x_1, x_4) &= x_1x_3x_4, \\ g(x_3, x_1, x_2)g(x_3, x_1, x_4) &= x_1x_3x_4, & g(x_3, x_2, x_1)g(x_3, x_2, x_4) &= x_1x_3x_4, \\ g(x_3, x_4, x_1)g(x_3, x_4, x_2) &= g_2(x_1, x_3, x_4), & g(x_4, x_1, x_2)g(x_4, x_1, x_3) &= x_1x_3x_4, \\ g(x_4, x_2, x_3)g(x_4, x_2, x_1) &= x_1x_3x_4, & g(x_4, x_3, x_1)g(x_4, x_3, x_2) &= g_3(x_1, x_3, x_4). \end{aligned}$$

Therefore,  $g(x_1x_2, x_3, x_4) \notin B_2$ . Similarly,  $g(x_1x_2, x_3, x_4) \notin B_{11} - \{x_1 \cdot g(x_2, x_3, x_4)\}$ . Thus,  $g(x_1x_2, x_3, x_4) = x_1g(x_2, x_3, x_4)$ .

$$(2) \quad g(x_1, x_2, x_3)g(x_1, x_2, x_4)g(x_1, x_3, x_4) = x_1x_2x_3x_4:$$

Let  $x_4 = x_1$ . Then

$$g(x_1, x_2, x_3)g(x_1, x_2, x_4)g(x_1, x_3, x_4) = x_1x_2x_3, \quad g(x_1, x_2, x_3)x_4 = g(x_1, x_2, x_3).$$

Similarly, it is easy to check that

$$g(x_1, x_2, x_3)g(x_1, x_2, x_4)g(x_1, x_3, x_4) \notin B_{11} \cup B_2.$$

$$(3) \quad \mathfrak{A} \in \mathbf{K}_1 \implies \mathfrak{A} \in \mathbf{H}_1:$$

We want to prove

$$(h1) \quad g(x_2, g(x_1, x_2, x_3), x_4) = g(x_2, x_1, x_3)g(x_2, x_1, x_4),$$

$$(h2) \quad g(x_4, g(x_1, x_2, x_3), x_2) = x_4g(x_3, x_1, x_2).$$

By Theorem 3-1.2,  $g(x_2, g(x_1, x_2, x_3), x_4)$  and  $g(x_4, g(x_1, x_2, x_3), x_2)$  are essentially 4-ary.

Let  $x_4 = x_3$ ,

$$g(x_2, g(x_1, x_2, x_3), x_4) = g(x_2, g(x_1, x_2, x_3), x_3) = g_2(x_1, x_2, x_3)$$

because  $g_1(y, g_1(x, y, z), z) = g_2(x, y, z)$  from  $g_1(x, g_2, z) = g_1$  in (K1) and every one in  $B_{11}$  is  $x_1x_2x_3$  except for  $g_1(x_3, x_1, x_2)x_4 = g_3(x_1, x_2, x_3)$ , and any one in  $B_2$  is  $x_1x_2x_3$  except for

$$g(x_2, x_1, x_3)g(x_2, x_1, x_4) = g_2(x_1, x_2, x_3).$$

So (h1) holds since  $p_4 = 19$ .

Let  $x_4 = x_3$ ,

$$g(x_4, g(x_1, x_2, x_3), x_2) = g(x_3, g(x_1, x_2, x_3), x_2) = g(x_3, x_1, x_2) = g_3(x_1, x_2, x_3)$$

since  $g_1(z, g_1(x, y, z), y) = g_3(x, y, z)$  from  $g_1(x, g_2, z) = g_1$  in (K1), and anyone in  $B_{11}$  is  $x_1x_2x_3$  except for

$$x_4g(x_3, x_1, x_2) = g_3(x_1, x_2, x_3),$$

and each in  $B_2$  is  $x_1x_2x_3$  except for

$$g(x_2, x_1, x_3)g(x_2, x_1, x_4) = g_2(x_1, x_2, x_3).$$

So (h2) holds.

(4)  $\mathfrak{A} \in \mathbf{K}_2 \implies \mathfrak{A} \in \mathbf{H}_2$ :

We want to prove

$$(k1) \quad g(x_2, g(x_1, x_2, x_3), x_4) = x_1x_2x_3x_4,$$

$$(k2) \quad g(x_4, g(x_1, x_2, x_3), x_2) = x_1x_2x_3x_4.$$

Let  $x_4 = x_1$ . Then

$$\begin{aligned} g(x_1, x_2, x_3)x_4 &= g(x_1, x_2, x_3), \\ g(x_2, x_3, x_1)g(x_2, x_3, x_4) &= g(x_2, x_3, x_1), \\ g(x_3, x_2, x_1)g(x_3, x_2, x_4) &= g(x_3, x_2, x_1), \\ g(x_2, g(x_1, x_2, x_3), x_4) &= g(x_2, x_1g(x_1, x_2, x_3), x_1) = g(x_1, x_2, x_3)x_1x_2 = x_1x_2x_3, \\ g(x_4, g(x_1, x_2, x_3), x_2) &= g(x_1, x_1g(x_1, x_2, x_3), x_2) = x_1x_2x_3. \end{aligned}$$

Let  $x_4 = x_2$ . Then

$$\begin{aligned} g(x_2, x_3, x_1)x_4 &= g(x_2, x_3, x_1), \\ g(x_1, x_3, x_2)g(x_1x_3x_4) &= g(x_1, x_3, x_2), \\ g(x_3, x_1, x_2)g(x_3, x_1, x_4) &= g(x_3, x_1, x_2), \\ g(x_2, g(x_1, x_2, x_3), x_4) &= g(x_2, g(x_1, x_2, x_3), x_2) = g(x_1, x_2, x_3)x_2 = x_1x_2x_3, \\ g(x_4, g(x_1, x_2, x_3), x_2) &= g(x_2, g(x_1, x_2, x_3), x_2) = g(x_1, x_2, x_3)x_2 = x_1x_2x_3, \end{aligned}$$

Let  $x_4 = x_3$ . Then, from  $g_1(x, g_2, z) = xyz$  in (K2),

$$\begin{aligned} g(x_2, g(x_1, x_2, x_3), x_4) &= g(x_2, g(x_1, x_2, x_3), x_3) = x_1x_2x_3, \\ g(x_4, g(x_1, x_2, x_3), x_2) &= g(x_3, g(x_1, x_2, x_3), x_2) = x_1x_2x_3, \\ g(x_3, x_1, x_2)x_4 &= g(x_3, x_1, x_2), \\ g(x_1, x_2, x_3)g(x_1x_2x_4) &= g(x_1, x_2, x_3), \\ g(x_2, x_1, x_3)g(x_2, x_1, x_4) &= g(x_1, x_2, x_3). \end{aligned}$$

Let  $x_2 = x_1$ . Then

$$\begin{aligned} g(x_2, x_3, x_4)x_1 &= g(x_1, x_3, x_4), \\ g(x_3, x_4, x_1)g(x_3, x_4, x_2) &= g(x_3, x_4, x_1), \\ g(x_4, x_3, x_1)g(x_4, x_3, x_2) &= g(x_4, x_3, x_1), \end{aligned}$$



$$g(x_2, g(x_1, x_2, x_3), x_4) = g(x_1, x_1x_3, x_4) = x_1x_3x_4,$$

$$g(x_4, g(x_1, x_2, x_3), x_2) = g(x_4, x_1x_3, x_1) = x_1x_3x_4.$$

Let  $x_3 = x_1$ . Then

$$g(x_3, x_4, x_2)x_1 = g(x_1, x_4, x_2),$$

$$g(x_2, x_4, x_1)g(x_2, x_4, x_3) = g(x_2, x_4, x_1),$$

$$g(x_4, x_2, x_1)g(x_4, x_2, x_3) = g(x_4, x_2, x_1),$$

$$g(x_2, g(x_1, x_2, x_3), x_4) = g(x_2, x_1x_2, x_4) = x_1x_2x_4,$$

$$g(x_4, g(x_1, x_2, x_3), x_2) = g(x_4, x_1x_2, x_2) = x_1x_2x_4.$$

Let  $x_3 = x_2$ . Then

$$g(x_3, x_4, x_1)x_2 = g(x_2, x_4, x_1),$$

$$g(x_1, x_4, x_2)g(x_1, x_4, x_3) = g(x_1, x_4, x_2),$$

$$g(x_4, x_1, x_2)g(x_4, x_1, x_3) = g(x_4, x_1, x_2),$$

$$g(x_2, g(x_1, x_2, x_3), x_4) = g(x_2, x_1x_2, x_4) = x_1x_2x_4,$$

$$g(x_4, g(x_1, x_2, x_3), x_2) = g(x_4, x_1x_2, x_2) = x_1x_2x_4.$$

Therefore, (k1) and (k2) hold. □

**THEOREM 5-1.4.** *For any algebra  $\mathfrak{A} = \langle A; \cdot, f \rangle$  of type  $\langle 2, 3 \rangle$  with property: either  $f(x, x, y) = x$  or  $f(x, y, x) = x$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  iff it is a nontrivial join algebra.*

**PROOF.** The reason is that only (T2) satisfy the property:  $f(x, x, y) = f(x, y, x) = x$  and  $p_4 \leq 30$ , and then apply Theorem 4-2.1. However, the condition either  $f(x, x, y) = x$  or  $f(x, y, x) = x$  is sufficient to get our result. □

**THEOREM 5-1.5.** *If nontrivial algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  represents  $\langle 0, 1, 1, 4, 27 \rangle$ , then  $\mathfrak{A}$  is a nontrivial join algebra or  $\mathfrak{A} \in \mathbf{K}_1 \cup \mathbf{K}_2$ . Thus, if algebra  $\mathfrak{A}$  represents*

$\langle 0, 1, 1, 4, 27 \rangle$ , then  $\mathfrak{A}$  has a ternary reduct which is a nontrivial join algebra or in  $\mathbf{K}_1 \cup \mathbf{K}_2$ .

**THEOREM 5-1.6.** *Let  $\mathfrak{J}$  be the variety of join algebras and algebra  $\mathfrak{A}$  has no ternary reduct equivalent to some algebra in  $\mathbf{K}_i$  for  $i = 1, 2$ . Then  $\mathfrak{A}$  is equivalent to a nontrivial join algebra iff  $\mathfrak{A}$  represents the  $p_n$ -sequence  $\langle 0, 1, 1, 4, 27, \dots, p_n(\mathfrak{J}), \dots \rangle$ .*

**PROOF.** Since  $J_2$  generates  $\mathfrak{J}$ , we have the sufficiency. Conversely, by assumption,  $p_4(\mathfrak{A}) \geq 27$ . Since  $\mathfrak{A}$  represents the  $p_n(\mathfrak{J})$ ,  $p_4(\mathfrak{A}) = 27$ . By Theorem 5-1.2 (2),  $\mathfrak{A}$  contains a ternary reduct equivalent to a nontrivial join algebra; therefore,  $\mathfrak{A}$  is equivalent to this nontrivial join algebra.  $\square$

## 5-2. Conjectures

Naturally, we have the following conjectures:

**(Q1)**  $\langle 0, 1, 1, 4, 19 \rangle$  has the minimal extension property.

**(Q2)** For any algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$ ,  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 4, 27 \rangle$  if and only if  $\mathfrak{A}$  is a nontrivial join algebra.

**(Q3)** For any algebra  $\mathfrak{A}$ ,  $\mathfrak{A}$  represents the  $p_n$ -sequence of nontrivial join algebra if and only if  $\mathfrak{A}$  is equivalent to a nontrivial join algebra, that is, the free spectra decides the variety of join algebras.

## Part 2

On the  $p_n$ -sequence  $\langle 0, 1, 1, 7 \rangle$

## CHAPTER 6

### On the minimal extension of the $p_n$ -sequence $\langle 0, 1, 1, 7 \rangle$

In this chapter, we show that the  $p_n$ -sequence  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of algebras with a proper non-associative binary operation. This generalizes the result of J. Galuszka and gives a partial solution of problem 18 raised by G. Grätzer and J. Kisielewicz whether  $\langle 0, 1, 1, 7 \rangle$  has the minimal extension property. This result reduces the problem to the class of algebras with a semilattice operation.

#### 6-1. Main result

It is well-known that ([29], [25]):

*The sequence  $\langle 0, 1, 1, 7, \dots, p_n(\mathfrak{B}), \dots \rangle$  is the minimal extension of the sequence  $\langle 0, 1, 1, 7 \rangle$  in the class of groupoids, where  $\mathfrak{B}$  is the four-element Park algebra.*

The question was raised in G. Grätzer and J. Kisielewicz [29] (Problem 18): Does  $\langle 0, 1, 1, 7 \rangle$  (in classic notation,  $\langle 0, 0, 1, 7 \rangle$ ) have the minimal extension property? In this part, we will show that

**THEOREM 6-1.1.**  *$\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of all algebras with a proper non-associative binary operation.*

**COROLLARY 6-1.1.**  *$\langle 0, 1, 1, 7 \rangle$  has the minimal extension property in the class of algebras with a commutative non-associative binary operation.*

Thus, our result generalizes the case of groupoids. Note that the sequence  $\langle p_n(\mathfrak{B}) \rangle$  is not the minimal extension of the sequence  $\langle 0, 1, 1, 7 \rangle$  (see the section *Comments*).

Let  $S_n$  be the symmetric group on  $n$  letters  $\{1, 2, \dots, n\}$ . For every essentially  $n$ -ary polynomial  $p = p(x_1, x_2, \dots, x_n)$ , recall that the *symmetric group* of  $p$  is

$$\text{Inv}(p) = \{\alpha \in S_n \mid p = p^\alpha\},$$

where  $p = p^\alpha$  means that  $p(x_1, x_2, \dots, x_n) = p(x_{1\alpha}, x_{2\alpha}, \dots, x_{n\alpha})$ . Define an equivalence relation  $\sim$  over the set  $\text{Term}_n^e(\mathfrak{A})$  of all essentially  $n$ -ary polynomials as follows:

$$p \sim q \iff p = q^\alpha \quad \text{for some } \alpha \in S_n.$$

Let  $\tilde{f}_1, \tilde{f}_2, \dots, \tilde{f}_m$  be all equivalence classes containing  $f_1, f_2, \dots, f_m$  as their representatives, respectively. Then

$$p_n(\mathfrak{A}) = |\text{Term}_n^e(\mathfrak{A})| = \sum_{i=1}^m \frac{n!}{|\text{Inv}(f_i)|}.$$

### 6-2. Proof of main result

Assume that algebra  $\mathfrak{A} = \langle A; F \rangle$  represents  $\langle 0, 1, 1, 7 \rangle$  and the essentially binary term operation (polynomial)  $x \cdot y$  is nonassociative. Then  $x(yz)$ ,  $y(zx)$ , and  $z(xy)$  are pairwise distinct essentially ternary polynomials. By J. Galuszka [25] or J. Dudek [14], either the idempotent groupoid  $\langle A; \cdot \rangle$  is *distributive Steiner*, i.e., it satisfies

$$(6-2.1) \quad (xy)y = x \text{ and } (xy)z = (xz)(yz),$$

or it is a *near semilattice* (i.e., it satisfies  $(xy)y = xy$ ) and the following identities hold

$$(6-2.2) \quad ((xy)z)x = (xy)z,$$

$$(6-2.3) \quad ((xy)(yz))(zx) = ((yz)(zx))(xy),$$

$$(6-2.4) \quad (((xy)z)((yz)x))((zx)y) = (((yz)x)((zx)y))((xy)z),$$

$$(6-2.5) \quad ((xy)z)((yz)x) = (xy)(yz)$$

since  $p_3(\mathfrak{A}) < 9$ . Furthermore, if  $\langle A; \cdot \rangle$  is distributive Steiner, then

$$(6-2.6) \quad \text{Term}_3^e(A; \cdot) = \{x(yz), y(zx), z(xy)\}.$$

If  $\langle A; \cdot \rangle$  is a near semilattice, then

$$(6-2.7) \quad \text{Term}_3^e(A; \cdot) = \{x(yz), y(zx), z(xy), (xy)(xz), \\ (yz)(yx), (zx)(zy), ((xy)(yz))(zx)\}$$

Notice that for a non-distributive Steiner groupoid, we have at least nine essentially ternary polynomials

$$\begin{array}{ccc} (xy)z & (yz)x & (zx)y \\ (xy)(xz) & (yz)(yx) & (zx)(zy) \\ ((xy)(yz))(zx) & ((yz)(zx))(xy) & ((zx)(xy))(yz). \end{array}$$

The cancellation law holds for distributive Steiner groupoids.

Let  $g_1(x, y, z)$ ,  $g_2(x, y, z)$ ,  $g_3(x, y, z)$ , and  $g_4(x, y, z)$  be the other four essentially ternary polynomials different from  $x(yz)$ ,  $y(zx)$ ,  $z(xy)$  over  $\mathfrak{A}$ . We have five cases:

- (1) all  $\tilde{g}_i$ 's are equal;
- (2) exactly three of  $\tilde{g}_i$ 's are equal;
- (3) two of  $\tilde{g}_i$ 's are equal and the other two are equal too;
- (4) two of  $\tilde{g}_i$ 's are equal but the other two are not equal;
- (5) none of the  $\tilde{g}_i$ 's are equal.

The proof of Main Theorem will be completed in several steps. We will eliminate the cases (1), (3), (4), and (5).

**6-2.1. Case (1) is impossible.** If case (1) holds, then

$$7 = \frac{6}{|\text{Inv}(x(yz))|} + \frac{6}{|\text{Inv}(g_i)|} = 3 + \frac{6}{|\text{Inv}(g_i)|},$$

$$|\text{Inv}(g_i)| = \frac{6}{4},$$

which is impossible.

**6-2.2. Case (2) implies that  $\langle A; \cdot \rangle$  is non-Steiner.** By case (2), we can assume that  $g_4$  is symmetric and  $|\text{Inv}(g_i)| = 2$  for  $i = 1, 2, 3$ . Since

$$\tilde{g}_1 = \tilde{g}_2 = \tilde{g}_3,$$

$$g_i^{(jk)} \notin \{x(yz), y(xz), z(xy)\}$$

for any permutation  $(jk)$ ; so

$$g_i^{(jk)} \in \{g_1, g_2, g_3\}.$$

Just like in class  $\mathbf{S}_2$ , we have

LEMMA 6-2.1.

$$\text{Inv}(g_1), \text{Inv}(g_2) \text{ and } \text{Inv}(g_3)$$

are pairwise distinct.

Thus, we can assume that

$$(6^*) \quad \text{Inv}(g_1) = \langle(23)\rangle, \text{Inv}(g_2) = \langle(13)\rangle, \text{Inv}(g_3) = \langle(12)\rangle.$$

Similarly to Lemma 4-1.1, we have

LEMMA 6-2.2.

$$(6-2.8) \quad \begin{aligned} g_1^{(12)} &= g_2, & g_1^{(13)} &= g_3, \\ g_2^{(12)} &= g_1, & g_2^{(23)} &= g_3, \\ g_3^{(13)} &= g_1, & g_3^{(23)} &= g_2. \end{aligned}$$

PROOF. Obviously

$$g_1^{(12)} \notin \{x(yz), y(zx), z(xy), g_1, g_4\}.$$

If  $g_1^{(12)} = g_3$ , then

$$g_3(x, y, z) = g_1(y, x, z) = g_1(y, z, x) = g_3(z, y, x),$$

which implies  $(13) \in \text{Inv}(g_3)$ , a contradiction. Therefore,

$$g_1^{(12)} = g_2.$$

By applying permutations to  $g_1^{(12)} = g_2$ , we obtain (6-2.8) immediately.  $\square$

If  $\langle A; \cdot \rangle$  is Steiner, then it must be distributive (otherwise,  $p_3(\mathfrak{A}) \geq 9$ ). Now we assume that  $\langle A; \cdot \rangle$  is distributive Steiner. Consider  $g_4(x, y, z)x$ . We will find a contradiction.

If  $g_4(x, y, z)x = x$ , then by Steiner property

$$x = xx = (g_4x)x = g_4,$$

a contradiction. Similarly, by multiplying  $x$ ,

$$g_4(x, y, z)x \notin \{y, z, xy, xz, yz, x(yz), y(xz), z(xy)\}.$$

If  $g_4(x, y, z)x = g_2$ , then

$$x = (xg_4)g_4 = g_2g_4,$$

but the left hand side is symmetric in  $x$  and  $z$ , a contradiction. Similarly,  $g_4(x, y, z)x \neq g_3$ .

Now let us prove that  $g_4(x, y, z)x \neq g_1$ . Assume that

$$(6-2.9) \quad g_4(x, y, z)x = g_1.$$

Then

$$(6-2.10) \quad x = (g_4x)g_4 = g_1g_4.$$

By applying permutations  $(xy)$  and  $(xz)$  to (6-2.9) and (6-2.10),

$$(6-2.11) \quad g_4(x, y, z)y = g_2, \quad g_4(x, y, z)z = g_3,$$

$$(6-2.12) \quad g_2g_4 = y, \quad g_3g_4 = z.$$

From (6-2.10),

$$(6-2.13) \quad xg_1 = (g_1g_4)g_1 = g_4.$$

From (6-2.11),

$$(6-2.14) \quad g_2(x, y, z)y = g_4, \quad g_3(x, y, z)z = g_4.$$

Consider the ternary polynomials

$$(6-2.15) \quad g_1(g_2g_3), \quad g_2(g_3g_1), \quad \text{and} \quad g_3(g_1g_2).$$

We will prove that the polynomials in (6-2.15) are essentially ternary. First of all, we have

$$(6-2.16) \quad g_i g_j \neq g_4 \text{ for all } i \neq j.$$



Obviously,  $i \neq 4$  and  $j \neq 4$  by the cancellation law. If  $g_1g_2 = g_4$ , then

$$g_2 = (g_1g_2)g_1 = g_1g_4.$$

Since  $(g_1g_4)^{(23)} = g_1g_4$ ,  $(23) \in \text{Inv}(g_2)$ , contradicting the assumption that

$$\text{Inv}(g_2) = \langle (13) \rangle.$$

Similarly,

$$g_1g_3 \neq g_4 \text{ and } g_2g_3 \neq g_4.$$

If  $g_1(g_2g_3) = x$ , then

$$g_2g_3 = (g_1(g_2g_3))g_1 = xg_1 = g_4$$

by (6-2.13), contradicting (6-2.16). If  $g_1(g_2g_3) = y$ , then

$$g_2g_3 = (g_1(g_2g_3))g_1 = yg_1$$

so

$$yg_1 = g_2g_3 = zg_1$$

by permutation  $(yz)$ . By the cancellation,  $y = z$ , a contradiction. Similarly,

$$g_1(g_2g_3) \notin \{z, xy, xz\}.$$

If  $g_1(g_2g_3) = yz$ , then

$$\begin{aligned} (g_2g_3)(g_2g_3) &= g_2g_3 \\ &= (g_1(g_2g_3))g_1 && \text{(by Steiner property)} \\ &= (yz)g_1 \\ &= (yz)(xg_4) && \text{(by (6-2.9))} \\ &= ((yz)x)((yz)g_4) && \text{(by distributivity)} \\ &= (x(yz))((yg_4)(zg_4)) \\ &= (x(yz))(g_2g_3), && \text{(by (6-2.11))} \end{aligned}$$

so by the cancellation law

$$g_2g_3 = x(yz).$$

Therefore

$$\begin{aligned} g_1 &= (g_1(x(yz)))(x(yz)) \\ &= (g_1(g_2g_3))(x(yz)) \\ &= (yz)(x(yz)) \\ &= x, \end{aligned}$$

a contradiction. Thus,  $g_1(g_2g_3)$  is essentially ternary and then so are  $g_2(g_3g_1)$  and  $g_3(g_1g_2)$ .

Note that  $g_1(g_2g_3) = g_4$  is impossible. Indeed, If

$$g_1(g_2g_3) = g_4.$$

Then by the symmetry of  $g_4$

$$g_1(g_2g_3) = g_2(g_3g_1) = g_4,$$

so

$$\begin{aligned} g_1g_1 &= g_1 \\ &= (g_1(g_2g_3))(g_2g_3) \\ &= (g_2g_3)g_4 \\ &= (g_2g_3)(g_2(g_1g_3)) \\ &= ((g_2g_3)g_2)((g_2g_3)(g_1g_3)) \\ &= g_3(g_3(g_1g_2)) && \text{(by Steiner property and distributivity)} \\ &= g_1g_2, \end{aligned}$$

and then

$$g_1 = g_2,$$

a contradiction.

Now we claim that the polynomials in (6-2.15) are not in  $\{x(yz), y(zx), z(xy)\}$ . If  $g_1(g_2g_3) = x(yz)$  then by permutations

$$g_2(g_3g_1) = y(zx), \quad g_3(g_1g_2) = z(xy).$$

Thus,

$$\begin{aligned} (g_2g_3)(g_2g_3) &= g_2g_3 \\ &= (g_1(g_2g_3))g_1 && \text{(by Steiner property)} \\ &= g_1(x(yz)) \\ &= (xg_1)((g_1y)(g_1z)) \\ &= g_4((g_1y)(g_1z)) && \text{(by (6-2.13))} \\ &= ((g_4g_1)(g_4y))((g_4g_1)(g_4z)) && \text{(by distributivity)} \\ &= ((g_4g_1)g_2)((g_4g_1)g_3) && \text{(by (6-2.11))} \\ &= (g_1g_4)(g_2g_3), && \text{(by distributivity)} \end{aligned}$$

so, by the cancellation law,

$$g_2g_3 = g_1g_4,$$

then

$$x(yz) = g_1(g_2g_3) = g_1(g_1g_4) = g_4,$$

a contradiction. If  $g_1(g_2g_3) = z(xy)$ , then by permutation  $(xy)$

$$g_1(g_2g_3) = z(xy) = g_2(g_1g_3),$$

so by permutation  $(yz)$

$$g_1(g_2g_3) = g_3(g_1g_2).$$

Therefore,  $g_1(g_2g_3)$  is symmetric and then by  $p_3(\mathfrak{A}) = 7$

$$x(yz) = g_1(g_2g_3) = g_4,$$

a contradiction. If  $g_1(g_2g_3) = y(zx)$ , then

$$y(xz) = g_1(g_2g_3) = z(xy)$$

by permutation  $(yz)$ , which is impossible by non-associativity. Thus,  $g_1(g_2g_3)$  is not in  $\{x(yz), y(zx), z(xy)\}$ . This implies that  $g_2(g_3g_2)$  and  $g_3(g_1g_2)$  are not in it either.

From the arguments above, if  $g_4x = g_1$ , then  $p_3(\mathfrak{A}) \geq 10$ , a contradiction.

Thus,  $g_4x$  must be a constant polynomial, contradicting the fact that  $p_0(\mathfrak{A}) = 0$ .

**6-2.3. Case (3) is impossible.** Without loss of generality, assume that  $\tilde{g}_1 = \tilde{g}_2$  and  $\tilde{g}_3 = \tilde{g}_4$ . Then

$$|\text{Inv}(g_1)| = |\text{Inv}(g_2)| = 3 \text{ and } |\text{Inv}(g_3)| = |\text{Inv}(g_4)| = 3,$$

$$\text{Inv}(g_1) = \text{Inv}(g_2) = \text{Inv}(g_3) = \text{Inv}(g_4) = \langle(123)\rangle,$$

and

$$g_1(x, z, y) = g_2(x, y, z), \quad g_3(x, z, y) = g_4(x, y, z).$$

Consider  $h_1(x, y, z) = g_1(x, y, z)g_2(x, y, z)$  and  $h_2(x, y, z) = g_3(x, y, z)g_4(x, y, z)$ . Then they are essentially symmetric ternary, so

$$p_3(\mathfrak{A}) \geq 8,$$

a contradiction. Indeed, If  $\langle A; \cdot \rangle$  is distributive Steiner, then, by (6-2.6),

$$p_3(\mathfrak{A}) \geq 8.$$

If  $\langle A; \cdot \rangle$  is near semilattice, then, by (6-2.7),  $p_3(\mathfrak{A}) \geq 11$ .

**6-2.4. Cases (4) and (5) are impossible.** We only prove that the case (4) is impossible. The proof of case (5) is similar. By condition, we can assume that  $|\text{Inv}(g_1)| = |\text{Inv}(g_2)| = 3$  and  $|\text{Inv}(g_3)| = |\text{Inv}(g_4)| = 6$ . Consider  $\langle A; \cdot \rangle$ . If it is near semilattice, then there are seven essentially ternary polynomials as in (6-2.7) and the last one  $((xy)(yz))(zx)$  is symmetric (since  $p_3(A; \cdot) < 9$ ). Now we have at least

two essentially symmetric ternary polynomials by assumption and then  $p_3(\mathfrak{A}) \geq 8$ , contradicting the assumption that  $p_3(\mathfrak{A}) = 7$ .

Thus,  $\langle A; \cdot \rangle$  must be distributive Steiner. Then the set of ternary polynomials over  $\langle A; \cdot \rangle$  is

$$P^{(3)}(A; \cdot) = \{x, y, z, xy, xz, yz, x(yz), y(zx), z(xy)\}.$$

Consider  $g_3x$ . If  $g_3x = x$ , then

$$g_3 = (g_3x)x = xx = x,$$

a contradiction. The same proof implies that

$$g_3x \notin P^{(3)}(A; \cdot).$$

If  $g_3x = g_1$ , then

$$x = (g_3x)g_3 = g_1g_3,$$

but the right hand side satisfies that  $(g_1g_3)^{(123)} = g_1g_3$ , a contradiction. Similarly,

$$g_3x \notin \{g_2, g_3, g_4\}.$$

By the above arguments,  $g_3x$  is a constant, contradicting the assumption that  $p_0(\mathfrak{A}) = 0$ .

From the above results, only case (2) is true. Therefore,  $\langle A; \cdot \rangle$  is a near semilattice. Since  $p_3(A; \cdot) < 9$ , there are only seven essentially ternary polynomials over  $\langle A; \cdot \rangle$ , i.e., (6-2.7). Now, since  $p_3(\mathfrak{A}) = 7$ , every  $g_i$  is some one in (6-2.7) for  $i = 1, 2, 3, 4$ . Thus, by the result of J. Galuszka ([25]),

$$p_n(\mathfrak{B}) \leq p_n(A; \cdot) \leq p_n(\mathfrak{A}),$$

for  $n \geq 0$ . Since Park algebra  $\mathfrak{B}$  is in the algebraic class considered, our proof is complete.

**6-3. Comments**

The  $p_n$ -sequence  $\langle p_n(\mathfrak{B}) \rangle$  of Park four-element algebra  $\mathfrak{B}$  is not the minimal extension of the sequence  $\langle 0, 1, 1, 7 \rangle$  though we have proved that it is the minimal extension of the sequence  $\langle 0, 1, 1, 7 \rangle$  in the class of all algebras with a proper non-associative binary operation. In K. M. Koh [45], there is an algebra with a semilattice binary operation that represents  $(0, 1, 1, 7, 61)$ . However,  $p_4(\mathfrak{B}) = 232$  by using the program written by J. Berman. Thus, the open problem is reduced to considering the class of algebras with a semilattice operation.

## **Part 3**

### **Other results**

## CHAPTER 7

### Binary term operation for the sequence $\langle 0, 1, 1, 5 \rangle$

Suppose that algebra  $\mathfrak{A} = \langle A; F \rangle$  represents  $p_n$ -sequence  $\langle 0, 1, 1, 5 \rangle$ . Then there is one and only one essentially binary term operation  $x \cdot y$ . We will prove that “ $\cdot$ ” is associative. **Assuming the contrary,  $(xy)z, (yz)x$  and  $(zx)y$  are pairwise essentially ternary polynomials.** Suppose that  $h_1$  and  $h_2$  are the other two essentially ternary polynomials. We have the following two cases:

$$(7.1) \quad h_1 \notin \text{Inv}(h_2),$$

$$(7.2) \quad h_1 \in \text{Inv}(h_2).$$

LEMMA 7-0.1. *The case (7.2) is impossible.*

PROOF. If  $h_1 \in \text{Inv}(h_2)$ , then

$$\frac{6}{|\text{Inv}((xy)z)|} + \frac{6}{|\text{Inv}(h_i)|} = 5,$$

so

$$|\text{Inv}(h_i)| = 3.$$

Since  $S_3$  has only one subgroup of degree 3,  $\langle (123) \rangle$ ,

$$\text{Inv}(h_i) = \langle (123) \rangle.$$

Also, since  $|\text{Inv}((xy)z)| = 2$ ,

$$h_1(x, y, z) = h_2(x, z, y).$$

Notice that

$$h(x, y, z) = h_1(x, y, z)h_2(x, y, z)$$



is essentially symmetric ternary polynomial. Therefore,  $p_3 \geq 6$ , contradicting the assumption that  $p_3 = 5$ .  $\square$

Thus, case (7.1) holds.

LEMMA 7-0.2. *If " $\cdot$ " is nonassociative, then both of  $h_1$  and  $h_2$  are symmetric.*

PROOF. By (7.1),

$$\frac{6}{|\text{Inv}((xy)z)|} + \frac{6}{|\text{Inv}(h_1)|} + \frac{6}{|\text{Inv}(h_2)|} = 5,$$

so

$$\frac{6}{|\text{Inv}(h_1)|} + \frac{6}{|\text{Inv}(h_2)|} = 2.$$

Since

$$1 \leq |\text{Inv}(h_i)| \leq 6,$$

$$|\text{Inv}(h_i)| = 6$$

so  $h_i$  are symmetric.  $\square$

LEMMA 7-0.3.  $h_i(x, y, y) = xy$ , for  $i = 1, 2$ .

PROOF. If  $h_1(x, y, y) = x$  or  $y$ , then

$$(7-0.3.1) \quad h_1(x, y, z), \quad h_1(x, y, z)x, \quad h_1(x, y, z)y, \quad h_1(x, y, z)z$$

are pairwise essentially ternary polynomials ( the proof is the same as the case  $\langle 0, 1, 1, 4 \rangle$ . We only use the symmetry there ). So they are not totally symmetric. Next, we claim that

$$(7-0.3.2) \quad \begin{aligned} h_1(x, y, z)x &= x(yz), \\ h_1(x, y, z)y &= y(xz), \\ h_1(x, y, z)z &= z(xy). \end{aligned}$$

Since  $h_i(x, y, z)$  are symmetric, they are different from

$$h_1(x, y, z)x, \quad h_1(x, y, z)y, \quad h_1(x, y, z)z.$$

Since both  $h_1(x, y, z)x$  and  $x(yz)$  are symmetric in  $y$  and  $z$ ,  $h_1(x, y, z)x = x(yz)$ . The same reason implies that

$$h_1(x, y, z)y = (zx)y, \quad h_1(x, y, z)z = (xy)z.$$

That is, we have (7-0.3.2).

However, if  $h_1(x, y, y) = x$ , then, letting  $z = y$  in  $h_1(x, y, z)x = x(yz)$ ,

$$xy = x(yy) = h_1(x, y, y)x = xx = x,$$

a contradiction; if  $h_1(x, y, y) = y$ , then, by (7-0.3.2),

$$y = yy = h_1(x, y, y)y = h_1(y, y, x)y = (yx)y = (xy)y,$$

so

$$xy = ((xy)y)(xy) = y(xy) = y,$$

a contradiction.

Similarly,  $h_2(x, y, y) = xy$ . □

Since  $p_3 < 7$ ,  $\langle A; \cdot \rangle$  is distributive Steiner by Dudek's result [14], i.e., we have

LEMMA 7-0.4.  $(xy)y = x, \quad (xy)z = (xz)(yz)$ .

Notice that any one in (7-0.3.3) implies the other two and  $h_i(x, y, z)x$  are essentially ternary by Lemma 7-0.3. We have

LEMMA 7-0.5. *For fixed  $i = 1, 2$ , we have that*

$$h_i(x, y, z)x = h_1(x, y, z)$$

or that

$$h_i(x, y, z)x = h_2(x, y, z)$$

or that

$$h_i(x, y, z)x = x(yz), \quad h_i(x, y, z)y = (zx)y, \quad h_i(x, y, z)z = (xy)z.$$

LEMMA 7-0.6.  $h_1(x, y, z)x = h_2(x, y, z)$  and  $h_2(x, y, z)x = h_1(x, y, z)$ .

PROOF. If  $h_1(x, y, z)x = h_2(x, y, z)$ , then

$$h_2(x, y, z)x = (h_1(x, y, z)x)x = h_1(x, y, z)$$

by Lemma 7-0.4. These two identities are equivalent.

If  $h_1(x, y, z)x = h_1(x, y, z)$ , then

$$x = (h_1(x, y, z)x)h_1(x, y, z) = h_1(x, y, z)h_1(x, y, z) = h_1(x, y, z)$$

by Lemma 7-0.4, a contradiction. Similarly,

$$h_2(x, y, z)x \neq h_2(x, y, z).$$

If  $h_1(x, y, z)x = x(yz)$ , then

$$h_1(x, y, z) = (h_1(x, y, z)x)x = (x(yz))x = yz$$

by Lemma 7-0.4, a contradiction. Similarly,

$$h_1(x, y, z)x \neq y(zx), \quad z(xy).$$

□

Now we prove our main theorem in this chapter.

**THEOREM 7-0.1.** *If algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 5 \rangle$ , then the essentially binary term is associative.*

PROOF. Suppose the contrary. Consider  $h(x, y, z) = h_1(x, y, z)h_2(x, y, z)$ . Then it is essentially ternary. Thus, by previous lemmas and assumption,

$$h(x, y, z) = h_1(x, y, z) \text{ or } h_2(x, y, z).$$

If  $h(x, y, z) = h_1(x, y, z)$ , then

$$h_2(x, y, z) = (h_2(x, y, z)h_1(x, y, z))h_1(x, y, z) = h_1(x, y, z)h_1(x, y, z) = h_1(x, y, z)$$

by Lemma 7-0.4, a contradiction. Similarly,

$$h(x, y, z) \neq h_2(x, y, z).$$

□

## CHAPTER 8

### Binary term operation for the sequence $\langle 0, 1, 1, 6 \rangle$

The main result of this chapter is

**THEOREM 8-0.2.** *If algebra  $\mathfrak{A}$  represents  $\langle 0, 1, 1, 6 \rangle$ , then the essentially binary term operation is associative.*

The following lemmas will complete the proof of the theorem. Suppose the contrary. Then  $x(yz)$ ,  $y(zx)$  and  $z(xy)$  are pairwise different essentially ternary. By J. Galuszka [25], we can assume that “ $\cdot$ ” is distributive Steiner, i.e., it satisfies

$$(8.1) \quad (xy)y = x \quad \text{and} \quad (xy)z = (xz)(yz).$$

Let  $g_1(x, y, z)$ ,  $g_2(x, y, z)$  and  $g_3(x, y, z)$  be the other three essentially ternary term operations different from the above. We have three cases:

- (1) all  $\tilde{g}_i$ 's are equal;
- (2) exactly two of  $\tilde{g}_i$ 's are equal;
- (3) none of the  $\tilde{g}_i$ 's are equal.

The following lemmas show that these three cases are impossible under the assumption that “ $\cdot$ ” is non associative. Therefore, the proof of the theorem is complete.

**LEMMA 8-0.7.** *Case (2) is impossible.*

**PROOF.** By case (2), assume that  $\tilde{g}_2 = \tilde{g}_3$ . Then

$$|\text{Inv}(g_2)| = |\text{Inv}(g_3)| = 3 \quad \text{and} \quad |\text{Inv}(g_1)| = 6.$$

Since  $g_2g_3$  is symmetric,  $g_2g_3 = g_1$ . By (8.1),

$$g_2 = (g_2g_3)g_3 = g_1g_3$$

and

$$g_3 = (g_2 g_3) g_2 = g_1 g_2.$$

First, we claim that

$$(8.2) \quad g_1(x, y, y) = xy.$$

Otherwise, similarly to case of sequence  $\langle 0, 1, 1, 4 \rangle$ , the following

$$\begin{aligned} g_1(x, y, z), \quad g_1(x, y, z)x, \\ g_1(x, y, z)y, \quad g_1(x, y, z)z \end{aligned}$$

are pairwise distinct and essentially ternary.

Consider  $g_1(x, y, z)x$ . If

$$g_1(x, y, z)x = g_2(x, y, z),$$

then, by permutation  $(xyz)$ ,

$$g_1(x, y, z)z = g_1(y, z, x)z = g_2(x, y, z) = g_1(x, y, z)x,$$

a contradiction to the previous result. Similarly,

$$g_1(x, y, z)x \neq g_3(x, y, z).$$

So, similarly to case on  $\langle 0, 1, 1, 4 \rangle$ ,

$$g_1(x, y, z)x = x(yz).$$

Therefore, by (8.1),

$$g_1(x, y, z) = (g_1(x, y, z)x)x = (x(yz))x = yz,$$

a contradiction. So (8.2) holds.

Thus,  $g_1(x, y, z)x$ ,  $g_1(x, y, z)y$  and  $g_1(x, y, z)z$  are essentially ternary. If  $g_1(x, y, z)x = g_1(x, y, z)y$ , then  $g_1(x, y, z)$  is symmetric. Therefore,  $g_1(x, y, z)x = g_1(x, y, z)$  since  $p_3 = 6$ . It follows that

$$x = (g_1(x, y, z)x)g_1(x, y, z) = g_1(x, y, z)g_1(x, y, z) = g_1(x, y, z),$$

a contradiction. If  $g_1(x, y, z)x \neq g_1(x, y, z)y$ , then

$$(8.3) \quad \begin{aligned} &g_1(x, y, z), \quad g_1(x, y, z)x, \\ &g_1(x, y, z)y, \quad g_1(x, y, z)z \end{aligned}$$

are pairwise distinct. Similarly to the case of the sequence  $\langle 0, 1, 1, 4 \rangle$ ,

$$g_1(x, y, z)x = x(yz), \quad g_1(x, y, z)y = y(zx), \quad g_1(x, y, z)z = z(xy).$$

By (8.1),

$$g_1(x, y, z) = (g_1(x, y, z)x)x = (x(yz))x = yz,$$

a contradiction. □

LEMMA 8-0.8. *Case (3) is impossible.*

PROOF. By case (3), all  $g_i$ 's are symmetric. Similar to Lemma 8-0.7, the following polynomials

$$\begin{aligned} &g_1(x, y, z), \quad g_1(x, y, z)x, \\ &g_1(x, y, z)y, \quad g_1(x, y, z)z \end{aligned}$$

are pairwise distinct and essentially ternary, so  $g_1(x, y, z)x$  is only symmetric in  $y$  and  $z$ . Thus,

$$g_1(x, y, z)x = x(yz)$$

which implies  $g_1(x, y, z) = yz$ , a contradiction. □

LEMMA 8-0.9. *Case (1) is impossible.*

PROOF. By (1),  $|\text{Inv}(g_i)| = 2$  for  $i = 1, 2, 3$ . First, for any permutation  $(ij)$ ,

$$g_k^{(ij)} \notin \{x(yz), y(xz), z(xy)\}.$$

For example, suppose that  $\text{Inv}(g_1) = \langle\langle 23 \rangle\rangle$ . If  $g_1(y, x, z) = x(yz)$ , then interchanging  $x$  and  $z$ ,

$$z(xy) = g_1(y, z, x) = g_1(y, x, z) = x(yz),$$

a contradiction. Similarly,  $g_1(y, x, z) \neq z(xy)$ . If  $g_1(y, x, z) = y(xz)$ , then

$$g_1(x, y, z) = x(yz),$$

a contradiction.

Similarly to the case of the sequence  $\langle 0, 1, 1, 4 \rangle$ , we can assume that

$$\text{Inv}(g_1) = \langle (23) \rangle, \quad \text{Inv}(g_2) = \langle (13) \rangle, \quad \text{Inv}(g_3) = \langle (12) \rangle.$$

Thus, interchanging  $x$  and  $y$ ,

$$g_1(y, x, z) = g_2(x, y, z) \text{ or } g_3(x, y, z).$$

Without loss of generality, assume that  $g_1(y, x, z) = g_2(x, y, z)$ . Then we have (1), (2) and (3) of Lemma 4-1.1.

Consider  $g_1(x, y, z)x$ . If  $g_1(x, y, z)x = g_2(x, y, z)$ , then

$$g_2(x, z, y) = g_1(x, z, y)x = g_1(x, y, z)x = g_2(x, y, z)$$

which implies  $(23) \in \text{Inv}(g_2)$ , a contradiction. Similarly,

$$g_1(x, y, z)x \neq g_3(x, y, z).$$

If  $g_1(x, y, z)x = g_1(x, y, z)$ , then, by (8.1),

$$x = (g_1x)g_1 = g_1g_1 = g_1,$$

a contradiction. Similarly,

$$g_1(x, y, z)x \notin \{y, z, xy, yz, xz\}.$$

If  $g_1(x, y, z)x = x$ , then

$$g_1 = (g_1x)x = xx = x,$$

a contradiction. If  $g_1(x, y, z)x = x(yz)$ , then

$$g_1 = (g_1x)x = (x(yz))x = yz,$$

a contradiction. If  $g_1(x, y, z)x = y(xz)$ , then

$$y(xz) = g_1(x, y, z)x = g_1(x, z, y)x = z(xy),$$



contradicting the non-associativity. Similarly,  $g_1(x, y, z)x \neq z(xy)$ .

□

## CHAPTER 9

### Two results on Koh's and Dudek's

Here we find some result about the  $p_n$ -sequence  $\langle 0, 1, 1, 2 \rangle$  and a simple proof of Dudek's result.

#### 9-1. Koh's result on $\langle 0, 1, 1, 2 \rangle$

In [45], K. M. Koh proved that *there exist two varieties  $\mathfrak{K}_1$  and  $\mathfrak{K}_2$  of type  $\langle 2, 3 \rangle$  such that a ternary algebra  $\mathfrak{A}$  represents sequence  $\langle 0, 1, 1, 2 \rangle$  if and only if  $\mathfrak{A}$  is equivalent to an algebra with more than one element belonging to  $\mathfrak{K}_1$  or  $\mathfrak{K}_2$* . The version here can be found in G. Grätzer and A. Kisielewicz [29]. In Koh's paper, eight basic examples were given, four in  $\mathfrak{K}_1$  and the other four in  $\mathfrak{K}_2$ , denoted by  $I(j)$  and  $II(j)$ ,  $j = 1, 2, 3, 4$ , respectively (pp. 22–25 K. M. Koh in [45]). He proved the following result:

*Let algebra  $\mathfrak{A}$  of type  $\langle 2, 3 \rangle$  represent  $\langle 0, 1, 1, 2 \rangle$ . If  $\mathfrak{A} \in \mathfrak{K}_1$ , then  $\mathfrak{A}$  contains one of the  $I(j)$ 's ( $j = 1, 2, 3, 4$ ) as a subalgebra. If  $\mathfrak{A} \in \mathfrak{K}_2$ , then  $\mathfrak{A}$  contains one of the  $II(j)$ 's ( $j = 1, 2, 3, 4$ ) as a subalgebra.*

Now we conclude that the converse of the result above is also true.

LEMMA 9-1.1.  *$I(j) \notin \mathfrak{K}_2$  and  $II(j) \notin \mathfrak{K}_1$ , for every  $j = 1, 2, 3, 4$ .*

THEOREM 9-1.1. *Let  $\mathfrak{A} = \langle A, \cdot, f \rangle$  be an algebra of type  $\langle 2, 3 \rangle$  which represents  $\langle 0, 1, 1, 2 \rangle$ . Then  $\mathfrak{A} \in \mathfrak{K}_1$  if and only if  $\mathfrak{A}$  contains one of the  $I(j)$ 's ( $j = 1, 2, 3, 4$ ) as a subalgebra.  $\mathfrak{A} \in \mathfrak{K}_2$  if and only if  $\mathfrak{A}$  contains one of the  $II(j)$ 's ( $j = 1, 2, 3, 4$ ) as a subalgebra.*

PROOF. Let  $\mathfrak{A}$  contain one of the  $I(j)$ 's. We consider two cases.

case 1.  $xy$  is not essentially binary:

This is impossible. For instance, if  $xy = x$ , then  $xy = x$  hold in  $I(j)$  for some  $j$ , which implies  $d = ab = a$ .

case 2.  $xy$  is essentially binary.

Since  $p_2 = 1$ ,  $xy = yx$ . Since  $p_3 = 2$ ,  $f(x, y, z)$  is essentially ternary and  $f(x, y, z) \neq xyz$ . By the argument of Koh's paper from page 4 to page 15,  $f(x, y, z)x$  is essentially ternary and

$$f(x, y, z)x = \begin{cases} xyz, \\ f(x, y, z). \end{cases}$$

Therefore,  $\mathfrak{A} \in \mathfrak{K}_1$  or  $\mathfrak{A} \in \mathfrak{K}_2$ . If  $\mathfrak{A} \in \mathfrak{K}_2$ , then  $I(j) \in \mathfrak{K}_2$  for some  $j$ , which contradicts to the Lemma 9-1.1. So  $\mathfrak{A} \in \mathfrak{K}_1$ .

Similarly, if  $\mathfrak{A}$  contains one of the  $II(j)$ 's, then  $\mathfrak{A} \in \mathfrak{K}_2$ . □

### 9-2. A simple proof of Dudek's result

In [7], Dudek has proved that *for every algebra  $\mathfrak{A} = \langle A; F \cup \{\cdot\} \rangle$  having a binary commutative idempotent and non-associative operation, for every positive integer  $n$ ,*

$$p_{n+1}(\mathfrak{A}) \geq p_n(\mathfrak{A}) + \frac{2}{3}(2^{n-1} - (-1)^{n-1}).$$

Now we give a simple proof of this result.

As in the first comment of Dudek's proof, it is very easy to show that the sequence  $a_n$ , being the number of all essentially  $n$ -ary term operations (i.e., polynomials) on  $\mathfrak{A}$  which are not term operations on the algebra  $\langle A; \cdot \rangle$ , is non-decreasing. Consequently, it is enough to prove that the inequality

$$p_{n+1}(A; \cdot) \geq p_n(A; \cdot) + \frac{2}{3}(2^{n-1} - (-1)^{n-1}).$$

holds for every  $n$ . Obviously, this is true for  $n = 1$ . For  $n \geq 2$ , by Theorem 1 in G. Grätzer and R. Padmanabhan [30],

$$p_n(A; \cdot) \geq q_n,$$

where  $q_n = \frac{1}{3}(2^n - (-1)^n)$ . Also, by (13) in G. Grätzer and R. Padmanabhan [30] [30],

$$p_{n+1}(A; \cdot) \geq p_n(A; \cdot) + 2p_{n-1}(A; \cdot)$$

so

$$\begin{aligned} p_{n+1}(A; \cdot) &\geq p_n(A; \cdot) + 2q_{n-1} \\ &= p_n(A; \cdot) + \frac{2}{3}(2^{n-1} - (-1)^{n-1}), \end{aligned}$$

completing the proof.

## Bibliography

- [1] K. A. Baker, *Congruence-distributive polynomial reducts of lattices*, Algebra Universali, 9(1979), 142–145.
- [2] J. Berman, *A proof of Lyndon's finite basis theorem*, discrete Math. 29(1980), 229–233.
- [3] J. Berman, *Free spectra of 3-element algebras*, Universal algebra and lattice theory (Puebla, 1982), Lecture Notes in Math., 1004, Springer, Berlin, New York, 10–53.
- [4] J. Berman and A. Kisielewicz, *On the number of operation in a clone*, (to appear).
- [5] W. H. Cornish, *A ternary variety generated by lattices*, Commentationes Mathematicae Universitatis Carolinae, 22, 4(1981), 773–784.
- [6] J. Dudek, *Number of algebraic operations in idempotent groupoids*, Colloq. Math. 21(1970), 169–177.
- [7] J. Dudek, *On non-associative groupoids*, Colloq. Math. 36(1976), 23–25.
- [8] J. Dudek, *On variety  $V_\infty(+, \cdot)$* , Math. Sem. Notes Kobe Univ. 10(1982), 9–15.
- [9] J. Dudek, *On bisemilattices. II*, Demonstratio Math. 15(1982), 465–475.
- [10] J. Dudek, *A characterization of distributive lattices*, Contributions to lattice theory (Szeged 1980), Colloq. Math. Soc. János Bolyai, 33(1983), North-holland, Amsterdam-New York, 325–335.
- [11] J. Dudek, *On binary polynomials in idempotent commutative groupoids*, Fund. Math. 120(1984), 187–191.
- [12] J. Dudek, *A polynomial characterization of some idempotent algebras*, Acta. Sci. Math. (Szeged) 50(1986), 25–30.
- [13] J. Dudek, *A polynomial characterization of affine spaces over  $GF(3)$* , Colloq. Math. 50(1986), 167–191.
- [14] J. Dudek, *On minimal extension of sequences*, Algebra Universalis 23(1986), 308–312.
- [15] J. Dudek, *A polynomial characterization of nondistributive modular lattices*, Colloq. Math. 55(1988), 195–212.
- [16] J. Dudek, *On idempotent commutative groupoids*, Dissertations Math. 286(1989), 1–52.

- [17] J. Dudek, *The unique minimal clone with three essentially binary operations*, Algebra Universalis 27(1990), 261–269.
- [18] J. Dudek, *Dedekind's numbers characterize distributive lattices*, Algebra Universalis, 28(1991), 36–39.
- [19] J. Dudek, *The minimal extension of the sequence  $\langle 0, 0, 3 \rangle$* , Algebra Universalis, 29(1992), 419–436.
- [20] J. Dudek, *A characterization of modular lattices*, (1993),
- [21] J. Dudek,  *$p_n$ -Sequences. The minimal extension of sequences*, Manuscript.
- [22] J. Dudek and A. Kisielewicz, *Totally commutative semigroups*, J. Austral. Math. Soc. Ser. A (to appear).
- [23] J. Dudek and A. Romanowska, *Bisemilattice with four essentially binary polynomials*, Contributions to lattice theory (Szeged 1980), Colloq. Math. Soc. János Bolyai, 33(1983), North-Holland, Amsterdam-New York, 337–359.
- [24] J. Galuszka, *Algebra with unique essentially  $n$ -ary operations*, Algebra Universalis 27(1990), 243–247.
- [25] J. Galuszka, *A characterization of commutative and idempotent groupoids*, manuscript.
- [26] E. Fried and G. Grätzer, *A nonassociative extension of the class of distributive lattices*, Pacific J. Math. 49(1973), 59–78.
- [27] G. Grätzer, *Universal Algebra*, Second edition, Springer-Verlag, New York-Heidelberg-Berlin, 1979.
- [28] G. Grätzer, *Composition of functions*, Proceedings of the conference on universal algebra (Kingston, 1969), Queen's Univ., Kingston, Ont., 1–106.
- [29] G. Grätzer and A. Kisielewicz, *A Survey of some open problems on  $p_n$ -Sequences and Free Spectra of Algebras and Varieties*, Universal Algebra and Quasigroup Theory, A. Romanowska and J. D. H. Smith (eds.), Heldermann Verlag Berlin 1992.
- [30] G. Grätzer and R. Padmanabhan, *On idempotent, commutative and nonassociative groupoids*, Proc. Amer. Math. Soc. 28 (1971), 75–80.
- [31] G. Grätzer and J. Plonka, *A characterization of semilattices*, Colloq. Math. 22(1970), 21–24.
- [32] G. Grätzer and J. Plonka, *On the number of polynomials of a universal algebra II*, Colloq. Math. 22(1970), 13–19.
- [33] G. Grätzer and J. Plonka, *On the number of polynomials of an idempotent algebra I*, Pacific J. Math. 32(1970), 697–709.
- [34] G. Grätzer and J. Plonka, *On the number of polynomials of an idempotent algebra II*, Pacific J. Math. 47(1973), 99–113.

- [35] G. Grätzer, J. Plonka and A. Sekanina, *On the number of polynomials of a universal algebra I*, Colloq. Math. 22(1970), 9–11.
- [36] R. Hickman, *Join algebras*, Commun. in Alg. 8(1980), 1653–1685.
- [37] A. Kisielwicz, *The  $p_n$ -sequences of idempotent algebras are strictly increasing*, Algebra Universalis 13(1981), 233–250.
- [38] A. Kisielwicz, *Minimal extensions of minimal representable sequences*, Algebra Universalis 22(1986), 244–252.
- [39] A. Kisielwicz, *On idempotent algebras with  $p_n = 2n$* , Algebra Universalis 23(1986), 313–323.
- [40] A. Kisielwicz, *Characterization of  $p_n$ -sequences for nonidempotent algebras*, J. Algebra 108(1987), 102–115.
- [41] A. Kisielwicz, *A solution of Dedekind's problem on the number of isotone Boolean functions*, J. Reine Angew. Math. 386(1988), 139–144.
- [42] A. Kisielwicz, *Ternary clones: a problem of Fajtlowicz*, Houston J. Math. 14(1988), 515–527.
- [43] A. Kisielwicz, *On composition of idempotent functions*, Acta. Sci. Math. (Szeged) 53(1989), 217–223.
- [44] A. Kisielwicz, *The  $p_n$ -sequences of idempotent algebras are strictly increasing. II*, Algebra Universalis, 28(1991), no. 4, 453–458.
- [45] K. M. Koh, *On the number of essentially  $n$ -ary polynomials of idempotent algebras*, Ph. D. Thesis, University of Manitoba(1971).
- [46] K. M. Koh, *Idempotent algebras with one essentially binary polynomial*, Nanyang Univ. J. Part III 6(1972), 18–27.
- [47] K. M. Koh, *Idempotent algebras with three essentially binary polynomials*, Algebra Universalis, 10(1980), 232–246.
- [48] R. C. Lyndon, *Identities in two-valued calculi*, Trans. Amer. Math. Soc. 71(1951), 457–465.
- [49] R. E. Park, *A four-element algebra whose identities are not finitely based*, Algebra Universalis, 11(1980), 255–260.
- [50] J. Plonka, *Diagonal algebras*, Fund. Math. 58(1966), 309–321.
- [51] J. Plonka, *On a method of construction of abstract algebras*, Fund. Math. 61(1967), 183–189.
- [52] J. Plonka, *On free algebras and algebraic decompositions of algebras from some equational class defined by regular equations*, Algebra Universalis, 1(1971), 261–264.
- [53] J. Plonka, *On the number of polynomials of a universal algebra III*, Colloq. Math. 22(1971), 177–180.
- [54] J. Plonka, *On algebra with  $n$  distinct  $n$ -ary operations*, algebra Universalis, 1(1971), 73–79.

- [55] J. Plonka, *On algebras with at most  $n$  distinct  $n$ -ary operation*, Algebra Universalis, 1(1971), 80–85.
- [56] J. Plonka, *On the number of polynomials of a universal algebra IV*, Colloq. Math. 25(1972), 11–14.
- [57] J. Plonka, *On binary reducts of idempotent algebras*, Algebra Universalis, 3(1973), 330–334.
- [58] J. Plonka, *On the minimal extension of the sequence  $\langle 0, 0, 2, 4 \rangle$* , Algebra Universalis 3(1973), 335–340.
- [59] E. L. Post, *The two-valued iterative systems of mathematical logic*, Annals of Math. Studies No. 5(1941), Princeton Univ. Press, Princeton, N. J..
- [60] W. Sierpiński, *Sur les fonctions de plusieurs variables*, Fund. Math. 33(1945), 169–173.
- [61] K. Urbanik, *On algebraic operations in idempotent algebras*, Colloq. Math. 13(1965), 129–157.
- [62] K. Urbanik, *Remarks on symmetrical operations*, Colloq. Math. 15(1966), 1–9.
- [63] Dabin Wang, *On the  $p_n$ -sequence  $\langle 0, 1, 1, 4 \rangle$* , Manuscript.
- [64] Dabin Wang, *On the minimal extension of the sequence  $\langle 0, 1, 1, 7 \rangle$* , submitted to Algebra Universalis.