

The Design Of A Data Base For  
The Canadian Grain Trade

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by  
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## A B S T R A C T

In recent years software designers have directed more attention to the use of the computer-centered data base for generalized information systems. The research reported in this thesis involves the development of a data base which crosses corporate boundaries within the Canadian Grain Trade in order to facilitate the acquisition and dissemination of information. A quantitative study has been conducted on the overhead incurred by the data base handler when the data accessing function is separated from the problem program. Previous work on information privacy has been extended to better satisfy the needs of a data base system.

A C K N O W L E D G M E N T S

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## CHAPTER 1

### The Data Base and Information Systems

#### 1.1 Introduction

Although powerful machines exist for dealing with large masses of business data, it is becoming increasingly evident that few organizations are using this potential resource to its full capabilities. The early pioneering dream of the computer as the nerve center of the business complex quickly gave way to the more immediately feasible and economically viable realities of data processing.

Information system development efforts have essentially utilized a direct approach in which an eventual user identified his requirements and system designers developed a system to meet these needs. Unfortunately the information that management requires varies according to its current needs. It is only through use that the limitations and deficiencies of a system are discovered and only then that new requirements and new applications become apparent.

In general, the data files associated with an information system are oriented to specific functional areas within the organization. Because each data file was specifically designed to satisfy the needs of the applications it served, the same items of information can often be found in numerous files and in differing formats. This variance in the format of common data means that applications programs must be

tailored to specific data organizations.

This approach to data management requires that each program in the system contain a description of the information files and fields to be processed in addition to a description of the processing required. As soon as the slightest change occurs, even the movement by one position of a single item of information, the affected programs, and perhaps many others in the system, require programmer attention prior to incorporating the change. Thus in order to satisfy management's dynamic requirements for information, applications programs often are in an almost perpetual state of change, adding appreciably to the overall cost of information processing.

In recent years the advent of less expensive terminal equipment and direct access storage devices has revived the concept of the computer as the nerve center of the business. System designers are adopting an on-line interactive approach to information systems through which the user himself can query his data files.

The prime goal in designing an on-line management information system is to make available, on request, any fact or combination of facts about the business. Conventional information systems are unable to provide this generalized facility because their files have been specifically organized for data processing.

With the aim of reducing many of the problems associated

with the development and maintenance of on-line information systems a new class of information systems, known as computer-centered data base systems, has been evolving and is finding application in commercial environments.

A data base can be regarded as an interrelated collection of data items in which duplication is kept to a minimum. The data base provides the foundation upon which the information system is built. This approach tends to ignore the functional boundaries within an organization and provides for the integration, or sharing, of common data between all applications.

As depicted in figure 1.1 below, applications programs are interfaced with the data base by a data base handler with the result that data accessing is no longer a function of each individual application program.

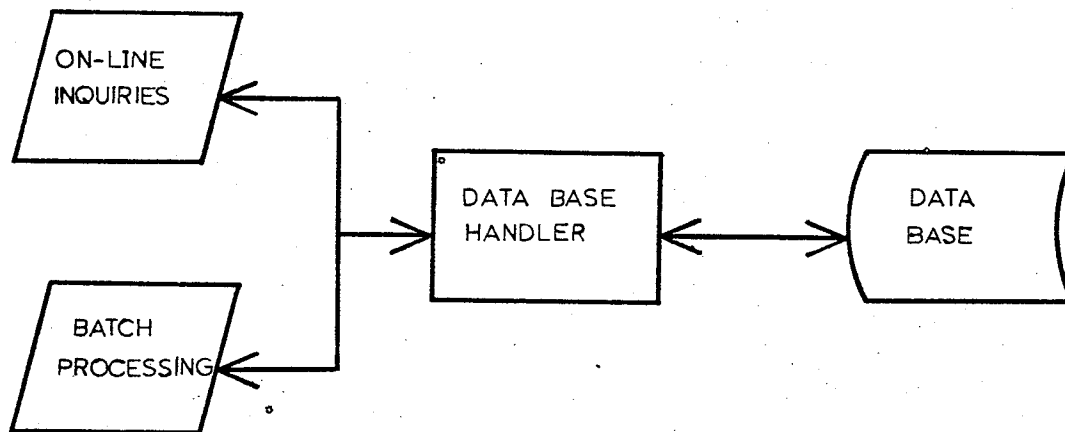


fig.1.1 ACCESSING THE DATA BASE

The data base handler is comprised of access methods, which are used to read or write data in the data base, and directories, or indices, which are used to map the logical data name to its physical storage location. Normally a request for information would be received in the form of a parameter list. The data base handler would use its directories to convert the parameter list into a record address and would then select the appropriate access methods to read, or write, the desired data.

The computer-centered data base system is the result of applying this concept to an information system. Since data accessing is handled by the system, rather than by each individual application program, these programs are rendered independent of the data base. Thus, alterations can be made to the structure of the data base, or to individual application programs, without adversely affecting the overall information system.

The ability to handle general purpose on-line inquiries is inherent in the data base approach because the inquiry handling facility must only translate the request into an appropriate parameter list and the data base handler looks after locating the desired information.

## 1.2 Information Systems that Cross Corporate Boundaries

Since every business firm is involved in constant inter-connection and intercommunication with other organizations, an

individual firm's information system can be considered as being only one part of a much larger overall system. In many cases a given functional area of a business is more involved with activities pertaining to outside organizations than with other areas of its own organization.

Traditionally business has used paper documents as the medium to transmit information and to provide evidence of a transaction. This has necessitated the production of the document as output from one system and the transcription to some more usable form by the receiving system.

It is becoming increasingly necessary for management to consider new possibilities for disseminating data outside their own corporate boundaries. Many organizations are now communicating by means of punched cards or magnetic tape.

Depending on the extent to which a group of independent organizations are involved in processing and exchanging common information, it is possible to define a data base system which ignores the corporate boundaries as well as the functional boundaries within each organization. The Canadian Grain Trade is one industry group in which member companies depend heavily on each other.

### 1.3 The Canadian Grain Trade

The Canadian Grain Trade is composed of a number of organizations each of which has its own distinct function to perform

in the movement of grain from the producers to the market. For the purposes of this thesis the term "grain trade" refers only to those organizations involved in the grain movement from the producer to a terminal location. Figure 1.2 portrays this grain movement.

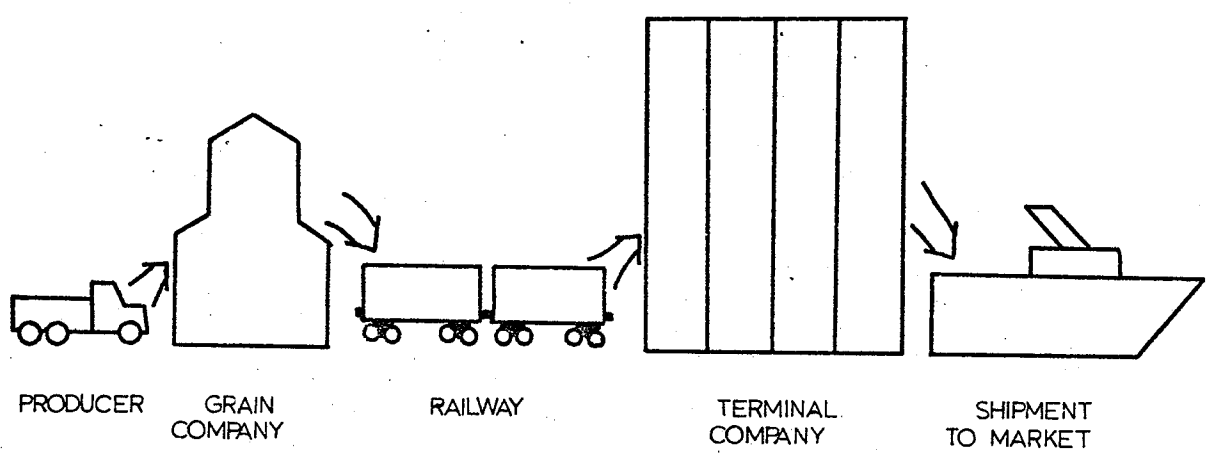


fig.1.2 FLOW OF GRAIN

Associated with this physical handling of grain there is an interaction of these organizations and two government agencies on an information handling basis in order to make this movement possible through the provision of the necessary information for accounting, control, and planning purposes.

The organizations making up the Canadian Grain Trade and their functions are:

### 1.3.1 The Canadian Wheat Board

The Canadian Wheat Board is an agency of the federal government representing the interests of the producers. It is responsible for the interprovincial and foreign marketing of wheat, oats and barley. In addition it attempts to give equitable delivery opportunities to grain producers through a quota system and at the year end, through a system of pooling, distributes the profits from each grain among its producers.

### 1.3.2 Grain Companies

The grain companies are private or cooperative entities which own and operate the approximately 5,000 country grain elevators in Western Canada. Their function is to purchase grain from the producers and store it for later shipment to terminal locations. For transactions involving wheat, oats, or barley these companies act as agents of The Canadian Wheat Board.

### 1.3.3 Terminal Companies

The terminal companies are private, cooperative, or governmental entities which operate the terminal grain elevators. Their function is to clean and store grain while awaiting shipment to its final destination. In many cases terminal companies are owned by grain companies.

### 1.3.4 The Board of Grain Commissioners

The Board of Grain Commissioners is an arm of the



Federal Department of Agriculture, which, in addition to carrying out grain research, performs three functions for the grain trade.

- a) The certification of the grade and weight of grain primarily at terminal locations but also to some extent at country locations.
- b) The registration of warehouse receipts for grain stored at terminal locations.
- c) The compilation of statistical reports on grain inventories and movements.

#### 1.3.5 The Railways

The railways are responsible for the movement of grain from the country elevator locations to the terminal elevator locations and, to a lesser extent, from one terminal location to another. This movement of grain represents the railways largest single commodity movement constituting approximately 30% of their ton-miles.

#### 1.4 Organization of the Thesis

As a means to clarify the interrelationships between information requirements and sources, a model of the present information flow through the grain trade has been developed and is presented in chapter 2. Chapter 3 is a discussion of what information items should be part of a common data base. A qualitative discussion of how this proposed data base could be used to better satisfy the requirements for information within the grain trade is presented in chapter 4. The results of a study of the internal workings of a data base handler

capable of managing this proposed data base is presented in chapter 5. Chapter 6 examines the problem of information privacy within the data base and proposes methods of shielding it from unauthorized examination. The thesis terminates with a summary, conclusions, and suggestions for further research in chapter 7.

## CHAPTER 2

### The Flow of Information Through the Grain Trade

#### 2.1 Introduction

A business data processing system can be defined as being the procedures by which data is gathered and transformed into the information required to adequately carry out the functions and operations of the business. All businesses, whether large or small, have their own data processing systems either systematically designed, or evolved over time. Through these systems data is collected, analyzed and organized into the information required by accounting, control, and planning functions.

Each of the organizations making up the Canadian Grain Trade has its own system through which its unique information requirements are satisfied. Since much of this information must be passed on to other organizations within the trade, the overall system is a collection of these many smaller systems.

In the analysis of such a system, the intricacy of the inter-relationships among the many components makes the use of some modelling technique necessary. The most popular such technique, that of charting, has as its purpose the consolidation of that information in a form such that correlations and sequential flow can be readily observed.

For the purposes of this thesis, an integrated procedures flow chart has been used to depict the flow of documents through the grain

trade. Since these documents are the carriers of information, such a flow chart serves as a vehicle for connecting information requirements with information sources.

The flow chart was found to be insufficient in itself but the inclusion of tables, graphs, and narrative description provided much more meaning to the overall model.

## 2.2 A Chart of the Information Flow

In figure 2.1 a procedures flow chart is presented which depicts the major flow of information through the grain trade. Appendix A described the mechanics of this type of chart and appendix B contains samples of the more important documents referred to.

This chart is by no means a detailed model of the information flow within each organization but is sufficient to show the reporting between organizations and the major functions within each organization.

## 2.3 Highlights of the System

The overall information system in use in the grain trade can be segmented into five distinct areas.

### 2.3.1 Grain Companies

The grain companies, through their country elevator systems, deal directly with the producers to purchase grain or take it into storage, subject to Wheat Board quota restrictions. In addition advances can be issued to producers if they meet the Wheat Board requirements. Grain is shipped by the grain companies to terminal elevators when they are

country elevator

grain placed in storage

issue storage receipt

storage receipt presented for redemption

grain purchase

advance

shipping order (from head office)

prepare weekly 101 report

grain letter file

weekly 101 file

up-date

to producer

to trucker

to producer

to producer

to producer

to producer

to railway

to railway

to producer

to producer

to producer

to producer

to producer

prepare storage report

grain letter

prepare stored purchase report

post to grain letter

prepare report of purchases

post to grain letter

issue a producer's certificate

post to permit book

issue advance

load box car

prepare shipping report

prepare bill of lading & waybill

prepare bill of lading & waybill

prepare bill of lading & waybill

prepare bill of lading & waybill

prepare bill of lading & waybill

prepare bill of lading & waybill

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

to producer

post to grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

grain letter

to grain company head office

verify storage report

pull matching receipt

prepare summary purchase report

verify purchase report

prepare advance summary

prepare report of advances paid

set up intransit record

verify shipment report

delete from intransit

outturn (from terminal)

issue cheque to cover unload charges

warehouse receipt (from terminal)

warehouse receipt (from terminal)

warehouse receipt (from terminal)

shipping order

(from wheat brd)

assign to elevators

destroy

prepare daily storage summary

prepare summary purchase report

verify purchase report

prepare advance summary

prepare report of advances paid

set up intransit record

verify shipment report

delete from intransit

outturn (from terminal)

issue cheque to cover unload charges

warehouse receipt (from terminal)

warehouse receipt (from terminal)

warehouse receipt (from terminal)

to country elevator

prepare daily storage summary

prepare daily grain statement

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare weekly report to board of grain

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

prepare monthly stock report

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

to board of grain

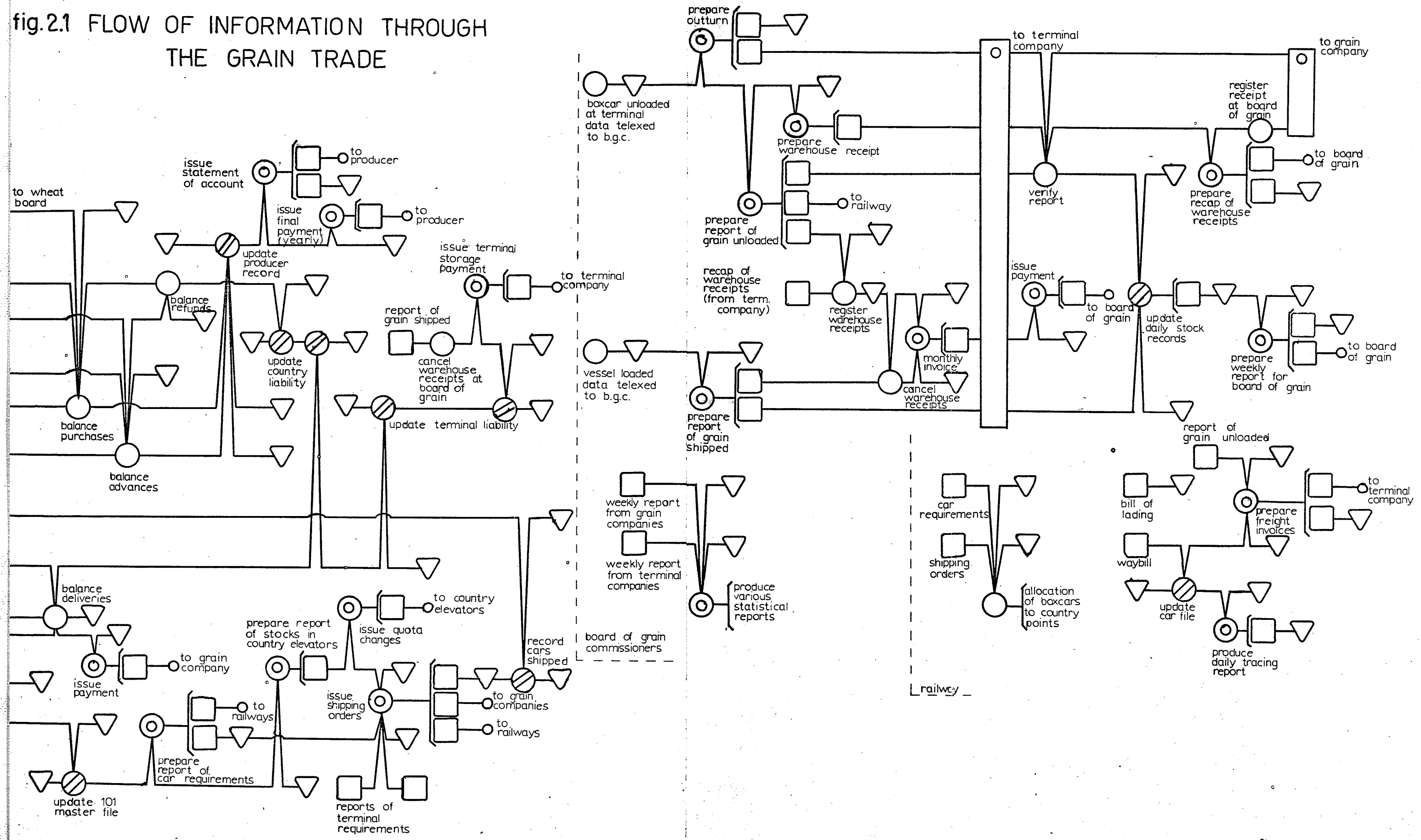
to board of grain

warehouse receipt (from terminal)

warehouse receipt (from terminal)

warehouse receipt (from terminal)

fig.2.1 FLOW OF INFORMATION THROUGH THE GRAIN TRADE



instructed to do so by the Wheat Board.

On a daily basis, a country elevator manager completes reports on each phase of the days business, summarizes these on his daily grain letter, and forwards it to his head office.

The head office verifies these reports, as well as the reports of grain unloaded at terminal elevators, and consolidates them to obtain an overall picture of the days business. Transactions involving Wheat Board grain are reported to the Wheat Board on a daily basis. Once a week a report on country operations is issued to the Board of Grain Commissioners.

Each country elevator manager maintains a detailed record of his current stock position and a copy of this is also maintained in the head office. In addition, the head office maintains records, based on transactions received, on the stocks purchased, shipped and delivered to terminal elevators to ensure control over their whole operation.

### 2.3.2 Terminal Companies

The terminal companies, on a daily basis, receive reports from the Board of Grain Commissioners on grain received at, and grain shipped from, their terminal elevators. This information is summarized and consolidated to produce current stock records. Unloads are reported to the appropriate grain companies and shipments to the Wheat Board. Once a week a summary of the weeks operations is issued to the Board of Grain Commissioners.

### 2.3.3 The Canadian Wheat Board

Based on reports received from the grain and terminal companies the Wheat Board maintains records on grain purchased from producers, grain delivered to terminals, and grain shipped from terminals so that country and terminal stock positions can be produced and evaluated. Detailed records are also maintained on each producer's deliveries so that final payments can be issued at the end of the crop year.

Delivery quotas are issued on the basis of available space in the country, and shipping orders are issued to grain companies on the basis of terminal requirements and country stocks.

### 2.3.4 The Railways

Through the use of Wheat Board shipping orders and statement of car requirements, the railways move boxcars to the country points. While a car is in transit control is maintained over it through their car tracing systems. When a car is unloaded at a terminal elevator the official weight is obtained from the Board of Grain Commissioners and freight expense invoices are issued to the terminal companies.

### 2.3.5 The Board of Grain Commissioners

The Board of Grain Commissioners inspects grain entering and leaving terminal elevators in order to assign official weights and grades to it. Records are maintained of these transactions for use in



registering and cancelling warehouse receipts. Once a month terminal companies are invoiced for inspection, weighing, and registration services.

The weekly reports received from the terminal and grain companies covering their past week's operations are used in the preparation of statistical reports.

### 2.3.6 Summary of Information Requirements

Table 2.1 summarizes the information requirements of the various members of the grain trade.

### 2.4 Reporting Delay

Since there are widespread physical distances between many of the elements making up the grain trade, extensive use is made of the surface mail system to move information through it. Inherent in this reporting mode is a delay which is a function of the distance the mail must travel and the quality of service given these various areas. Coupled with this is a further delay while information is being processed prior to being released to its next recipient.

Figure 2.2 \* relates the percentages of the documents received with the number of days delay required to distribute these documents through the grain trade. It is to be noted that even after eight days have elapsed 6.4% of the documents are still outstanding.

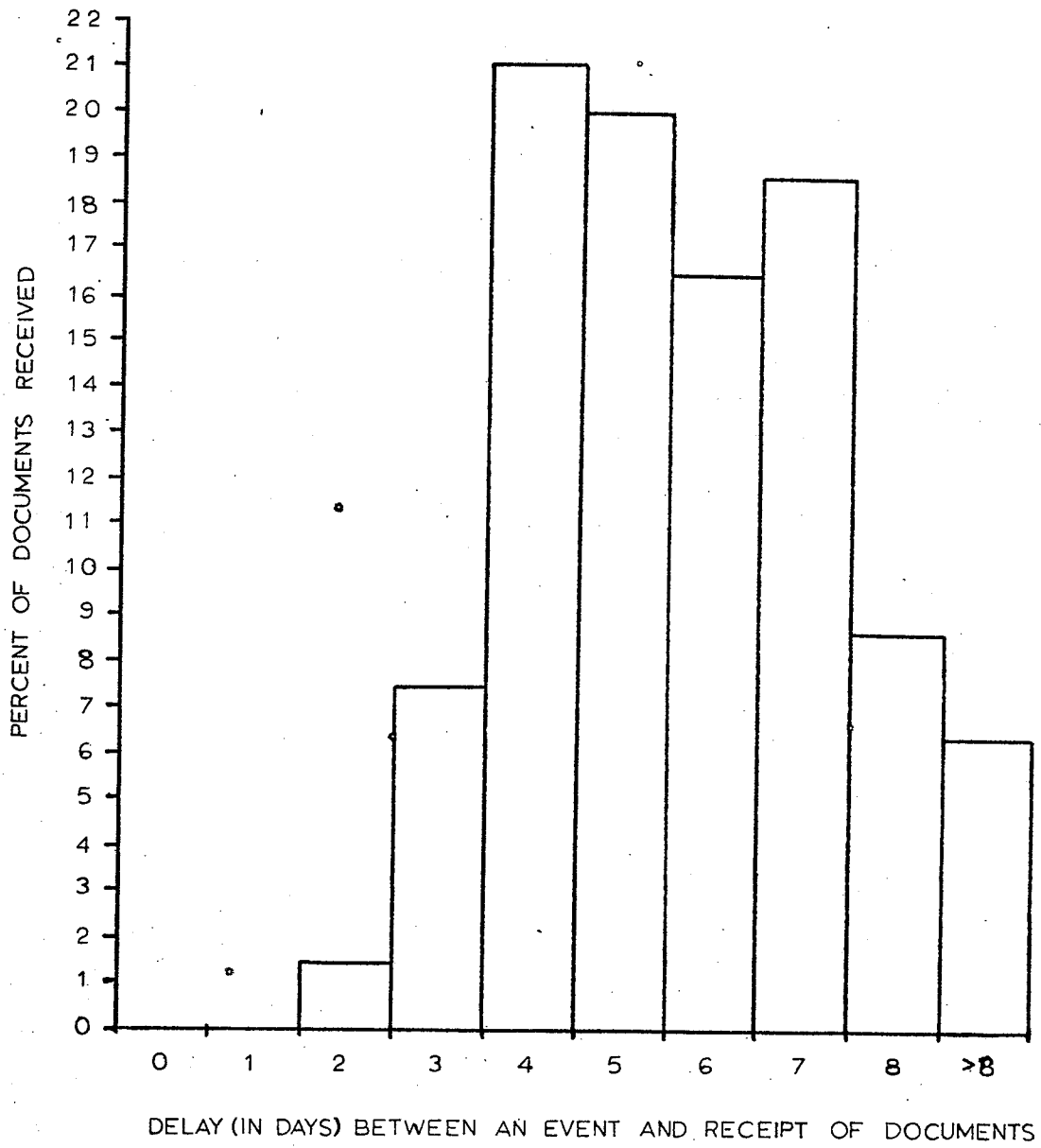
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\* Based on information contained in Interim Report of the Task Force on Carload Grain Shipment Reporting, MIS Committee of the Grain Transportation Technical Group, February 1968.

Table 2.1 Information Requirements

Record Type	Grain Company	Terminal Company	Wheat Board	Railway	Board of Grain Commissioners
Country Receipts	Daily		Daily		
Country Stocks	Daily		Daily		Weekly
Country Shipments	Daily		Daily	Daily	Weekly
In Transit	Daily		Daily	Daily	Weekly
Terminal Unloads	Daily	Daily	Daily	Daily	Daily
Terminal Stocks		Daily	Daily		Weekly
Terminal Shipments		Daily	Daily		Daily

fig.2.2 REPORTING DELAY



On the average between five and six days transpire before the information needs corresponding to a days business are satisfied.

## 2.5 Data Volumes With Respect to Seasonal Fluctuations

In general, there are four principle events which are of interest to the grain trade — receipts at country elevators, shipments from country elevators, receipts at terminal elevators, and shipments from terminal elevators. The seasonal volumes of these events were investigated and although they are highly dependent on crop conditions in the country and world market conditions, there are definite seasonal fluctuations.

Figure 2.3 plots average grain deliveries to country elevators with time and figure 2.4 plots average unload rate at terminal elevators with time. The time coordinate is measured in units of weeks into the crop year where the crop year begins on August first.

Shipments from country elevators follows the same path that the terminal unloads do except a shipment would precede an unload by approximately 1.5 weeks. Detailed information on terminal shipments was not available but here again the plot would be very closely related to the terminal unload plot.

## 2.6 An Analysis of the Content of Transactions

An investigation was conducted into the grains to which transactions pertain and the results of this study are summarized in table 2.2. This study was based on the grain trade as a whole. In actual fact there are slight regional differences throughout the country.

fig.2.3 AVERAGE GRAIN DELIVERIES  
(1965-1967 CROP YEARS)

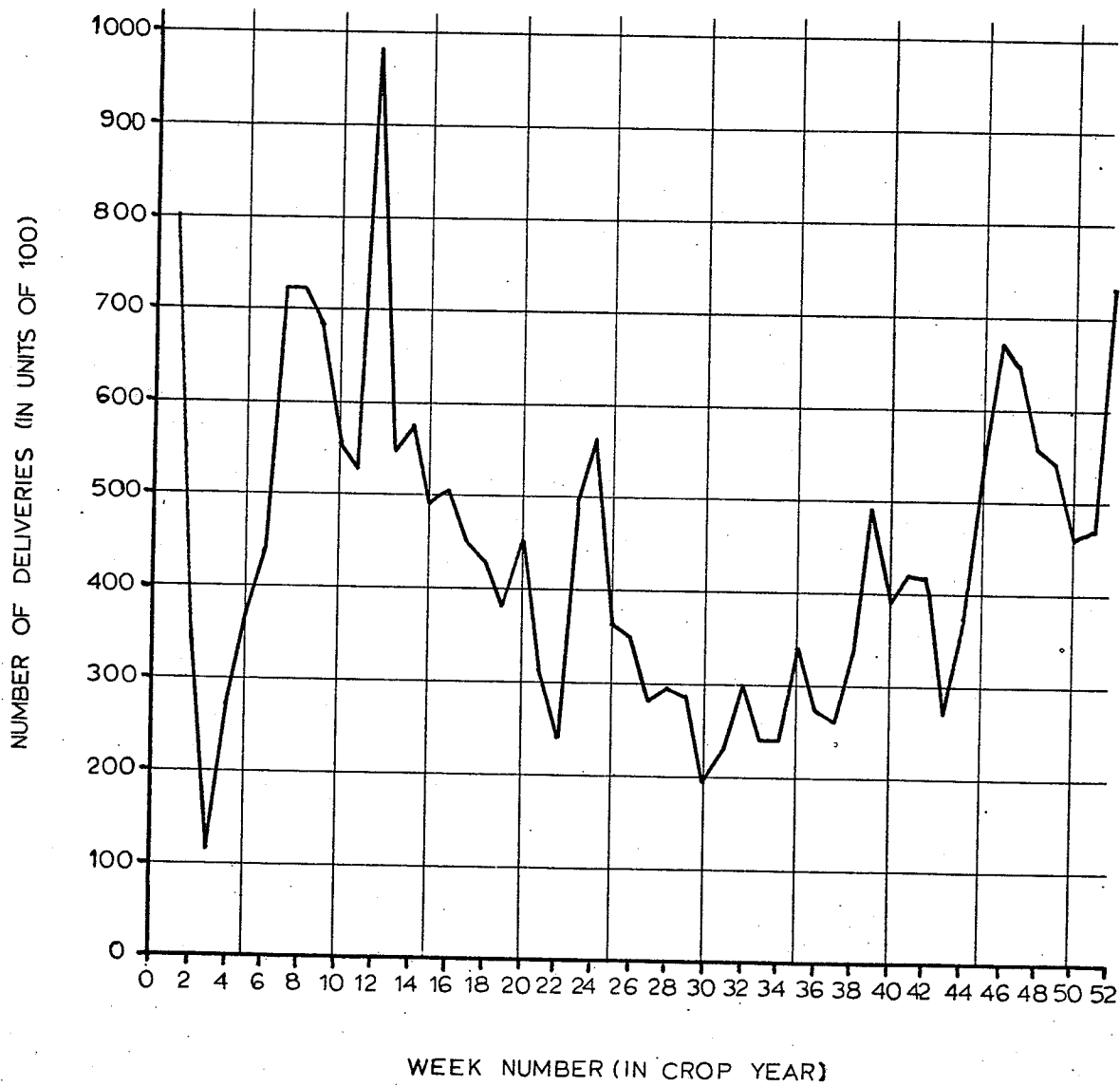


fig.2.4 AVERAGE UNLOAD RATE  
(1966-1967 CROP YEARS)

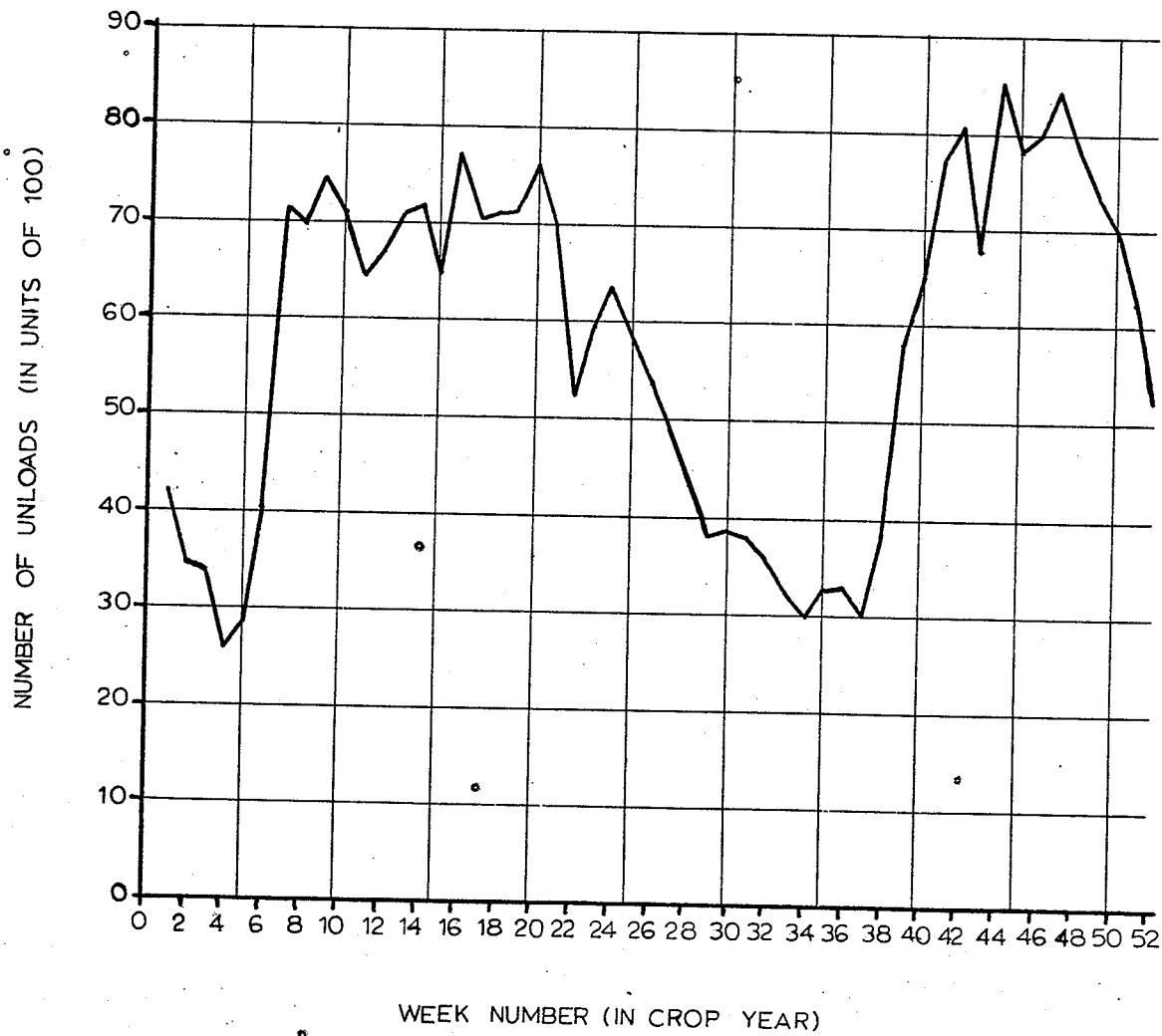


Table 2.2  
Frequency of Usage of Grains

Grain	% of Transactions
Wheat	76
Barley	12
Oats	4
Durum	3
Flax	2
Rapeseed	2
Rye	1

This analysis was extended to determine, for each grain, the relative importance of its various grades and the results are summarized in table 2.3.

In the case of individual grades not only are there regional differences to consider but also crop conditions. This table is an average of the 1963 to 1967 crop years. Thus, depending on the weather conditions the grades of grain produced in a given year will not necessarily match this table. Only those grades whose volumes were greater than 5% of the transactions for a given grain were reported in this table.

Table 2.3 Frequency of Usage of Grades

Grain	Grade	% of Grain
Wheat	2 Northern	33
	3 Northern	24
	4 Northern	11
	Tough	11
	1 Northern	10
	Others	11
Barley	1 Feed	50
	3 CW 6 Row	15
	Tough	14
	2 Feed	10
	Others	11
Oats	1 Feed	40
	Ex 3 CW	28
	Ex 1 Feed	18
	Others	14
Durum	3 CW	36
	Ex 4 CW	20
	2 CW	17
	4 CW	9
	1 CW	6
	Others	12
Flax	1 CW	84
	Tough	10
	Others	6
Rapeseed	1 Cr	94
	Others	6
Rye	3 CW	43
	2 CW	42
	Others	15



## CHAPTER 3

### The Composition of the Data Base

#### 3.1 Introduction

In order to be reasonably sure of being able to satisfy a need for information, the data base should contain that information which is most often encountered in daily processing and decision making. It would be uneconomic for the data base to contain all of the information required in daily processing because the inclusion of seldom used data items can significantly increase the overall size of the data base. It is still possible for a system to operate on conventional files at the same time as it is using the data base and in this way the data base can be regarded as a generalized master file serving many applications areas.

When a data base is extended to cross corporate boundaries, the problem of what information items should be part of the data base and which ones should be maintained by the individual participants becomes complex due to the greater number of requirements which must be satisfied. It is necessary to select only those items of information which will be of greatest value to the largest number of users.

In the case of the grain trade, there is a need for information for accounting and control purposes and another need for information for planning purposes. Although the required data

originates at the same sources, separate reporting schemes exist depending on its ultimate use. Throughout the grain trade the accounting and control applications vary significantly. Basically, the maintenance of planning information is the same through the trade.

This need for such similar information for planning purposes is reflected in the data base which is oriented towards this function and leaves the maintenance of the accounting applications to the individual users. If the data base was to contain information more closely related to the needs of the accounting applications, the benefits would not be commensurate with the increased complexity resulting from the more diverse requirements of the accounting applications.

Because the information systems of the various members of the grain trade can be considered as being made up of three distinct application areas — the country elevator system, the terminal elevator system, and the in-transit system — so also does the data base. In addition, since all members make use of the same basic transactions, the philosophy adopted here was to provide facilities in the data base for the collection of this data and its later dissemination to those who have a need for it.

### 3.2 The Country Elevator Sector

The country elevator segment of the data base contains information to be used in evaluating the current situation in the

country elevator system. This segment contains, for each country elevator, the current stock status, the year-to-date receipts, and the year-to-date shipments for each of the twenty-two most frequently used grades and grains outlined in section 2.6, in addition to this same information in summary form for each of the seven grains. Provision is made for an overflow area in which information pertaining to any of the less common grades can be stored. If future activity warrants it, permanent provision must be made in the base for some of these grades, possibly at the expense of some now considered as being permanent. Fields are also provided for the elevator capacity, the present stocks on hand, and the estimated stocks still in the producers' possession. This same record format is repeated in summary form at the station level, the company level and for the overall country operation.

Within the data base it is necessary that the records comprising this segment of the data be organized in such a manner that it is possible to sequentially access every record, every station record, or every record corresponding to a given company in addition to being able to directly access any record given its station and company.

### 3.3 The Terminal Elevator Sector

The terminal elevator segment of the data base contains information to be used in evaluating the current situation in the

terminal elevator system. The field structure of records in this segment makes use of the same information items as do the records in the country sector with the exception that there is no estimate of stocks to be delivered. This record format occurs for each terminal elevator and is repeated in summary form at the terminal location level and at the company level within each location.

It is necessary that the records making up this segment of the data base be organized in such a manner that it is possible to sequentially access every record, every terminal location record, every company record, or every elevator record in addition to being able to directly access any record given the location, company, and elevator corresponding to it.

#### 3.4 The In-Transit Sector

The in-transit sector of the data base contains information to be used in the evaluation and control of grain movements from the country elevator system to the terminal elevator system. For each boxcar of grain these records provide fields for information items describing the railway, the boxcar number, the shipping company, the station of origin, the date loaded, the destination, the grain, grade, and weight of the shipment. In addition space is provided for information describing the present location of each boxcar.

It is necessary that the records making up this segment of the data base be organized in such a manner that it is possible to

sequentially access every transit record, every record corresponding to a given company, to a given destination, or to a given shipping order number and to directly access any record given the number of the boxcar corresponding to it.

### 3.5 The Transactions Sector

The transactions segment of the data base provides a facility in which to accumulate transactions information prior to updating the other segments and disseminating it to the grain trade. Because there are five different transaction types there are five different formats for these records. It is necessary that the records comprising this segment be organized in such a manner that it is possible to sequentially access every record, every record of a given type, or every record of a given type for a given company.

#### 3.5.1 Country Receipts

The country receipts records contain summary information pertaining to the acquisition of grain from producers at a country elevator. Within these records fields are provided for recording the date, company, station, grain, grade, and weight of grain received. In updating the data base these records increment the appropriate records in the country segment.

#### 3.5.2 Country Shipments

The country shipments records contain information pertaining to the shipment of grain from a country elevator. Within

these records fields are provided for recording the date, company, station, grain, grade, weight of grain shipped, railway, boxcar number, destination and shipping order number. In updating the data base these records reduce the appropriate records in the country segment and initiate the establishment of a record in the in-transit segment covering each shipment.

### 3.5.3 Boxcar Locations

The boxcar location records contain information describing the current location of each of the boxcars presently in transit. These records have fields for recording the railway, boxcar number, shipping company, and the present location of the car and are used to update the present location field of the transit records.

### 3.5.4 Terminal Receipts

Terminal receipt, or unload, records contain information pertaining to the unloading of a boxcar at a terminal elevator. Within these records fields are provided for recording the date, terminal company, terminal elevator, grain, grade, weight of grain, shipping company, station of origin, railway, boxcar number, the percent dockage and the percent moisture found in the grain at the time of unloading. In updating the data base these records are used to increment the appropriate records in the terminal segment and to delete the appropriate records from the in-transit segment.

### 3.5.5 Terminal Shipments

Terminal shipment records contain information pertaining to the loading of a vessel at a terminal elevator for shipment to market. Within these records fields are provided for recording the date, terminal company, terminal elevator, grain, grade, weight of grain shipped, name of the vessel, and destination of the shipment. In updating the data base these records reduce the appropriate records in the terminal segment.

### 3.6 A Formal Definition of the Data Base

The following four pages contain a formal definition of this data base such as might be presented to a processor from which the data base handler would be automatically constructed. Because specifications could not be obtained for any available systems of describing data bases, the one presented here is hypothetical but yet sufficient to describe the data base. Appendix C describes the terms used and their usage in describing the data base.

START DATA BASE DEFINITION  
 DATA BASE NAME IS GRAIN-TRADE

1 SEGMENTNAME IS COUNTRY  
 STRUCTURE IS SEQUENTIAL BY COMPANY BY STATION  
 STRUCTURE IS INDEXED ON COMPANY AND STATION  
 STRUCTURE IS LIST(FORWARD)  
 2 RECORD IS COUNTRY-TOTAL  
 RECCRD OCCURES ONCE IN COUNTRY  
 LINKED TO STATION(NEXT)  
 3 GRAIN-DATA  
 4 STOCKS  
 5 WHEAT  
 6 ALL SIZE 9 NUMERIC  
 6 1N SIZE 9 NUMERIC  
 6 2N SIZE 9 NUMERIC  
 6 3N SIZE 9 NUMERIC  
 6 4N SIZE 9 NUMERIC  
 6 TOUGH SIZE 9 NUMERIC  
 5 DURUM  
 6 ALL SIZE 9 NUMERIC  
 6 1CW SIZE 9 NUMERIC  
 6 2CW SIZE 9 NUMERIC  
 6 3CW SIZE 9 NUMERIC  
 6 4CW SIZE 9 NUMERIC  
 6 EX4CW SIZE 9 NUMERIC  
 5 OATS  
 6 ALL SIZE 9 NUMERIC  
 6 1FEED SIZE 9 NUMERIC  
 6 EX1FEED SIZE 9 NUMERIC  
 6 EX3CW SIZE 9 NUMERIC  
 5 BARLEY  
 6 ALL SIZE 9 NUMERIC  
 6 1FEED SIZE 9 NUMERIC  
 6 2FEED SIZE 9 NUMERIC  
 6 3CW6ROW SIZE 9 NUMERIC  
 6 TOUGH SIZE 9 NUMERIC  
 5 RYE  
 6 ALL SIZE 9 NUMERIC  
 6 2CW SIZE 9 NUMERIC  
 6 3CW SIZE 9 NUMERIC  
 5 FLAX  
 6 ALL SIZE 9 NUMERIC  
 6 1CW SIZE 9 NUMERIC  
 6 TOUGH SIZE 9 NUMERIC  
 5 RAPESEED  
 6 ALL SIZE 9 NUMERIC  
 6 1CR SIZE 9 NUMERIC  
 OVERFLOW AREA  
 5 GRAIN SIZE 1 NUMERIC



6 GRADE SIZE 5 NUMERIC  
 6 QUANTITY SIZE 9 NUMERIC  
 4 RECEIPTS COPY STOCKS  
 4 SHIPMENTS COPY STOCKS  
 4 ELEVATOR-CAPACITY SIZE 9 NUMERIC  
 4 ELEVATOR-SPACE-USED SIZE 9 NUMERIC  
 3 ESTIMATED-TO-COME SIZE 9 NUMERIC  
 2 RECORD IS COMPANY-TOTAL  
 REPEATING GROUP IN COUNTRY-TOTAL  
 LINKED TO COMPANY(SAME)  
 3 COMPANY SIZE 2 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 2 RECORD IS STATION-TOTAL  
 REPEATING GROUP IN COUNTRY-TOTAL  
 LINKED TO STATION(NEXT)  
 3 STATION SIZE 6 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 2 RECORD IS COMPANY-TOTAL  
 REPEATING GROUP IN STATION-TOTAL  
 LINKED TO COMPANY(SAME)  
 3 COMPANY SIZE 2 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 1 SEGMENTNAME IS TERMINAL  
 STRUCTURE IS SEQUENTIAL BY ELEVATOR BY COMPANY BY LOCATION  
 STRUCTURE IS INDEXED ON ELEVATOR AND COMPANY AND LOCATION  
 STRUCTURE IS LIST(FORWARD)  
 2 RECORD IS LOCATION-TOTAL  
 REPEATING GROUP IN TERMINAL  
 LINKED TO LOCATION(NEXT)  
 3 LOCATION SIZE 6 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 2 RECORD IS COMPANY-TOTAL  
 REPEATING GROUP IN LOCATION-TOTAL  
 LINKED TO COMPANY(NEXT)  
 3 COMPANY SIZE 2 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 2 RECORD IS ELEVATOR-DETAIL  
 REPEATING GROUP IN COMPANY-TOTAL  
 LINKED TO ELEVATOR(NEXT)  
 3 ELEVATOR SIZE 2 NUMERIC  
 3 GRAIN-DATA COPY GRAIN-DATA IN COUNTRY-TOTAL  
 1 SEGMENTNAME IS TRANSIT  
 STRUCTURE IS UNORDERED  
 STRUCTURE IS INDEXED ON CAR-NUMBER  
 STRUCTURE IS LIST(FORWARD, BACK)  
 2 RECORD IS TRANSIT-DETAIL  
 REPEATING GROUP IN TRANSIT  
 LINKED TO TRANSIT-DETAIL(NEXT)

LINKED TO COMPANY(SAME)  
 LINKED TO DESTINATION(SAME)  
 LINKED TO SHIPPING-ORDER(SAME)

3 RAILWAY	SIZE 1 NUMERIC
3 CAR-NUMBER	SIZE 6 NUMERIC
3 COMPANY	SIZE 2 NUMERIC
3 STATION	SIZE 6 NUMERIC
2 DATE-LOADED	SIZE 4 NUMERIC
3 LAST-LOCATION	SIZE 6 NUMERIC
3 DESTINATION	SIZE 6 NUMERIC
3 GRAIN	SIZE 1 NUMERIC
3 GRADE	SIZE 5 NUMERIC
3 WEIGHT	SIZE 5 NUMERIC
3 SHIPPING-ORDER	SIZE 4 NUMERIC

1 SEGMENTNAME IS TRANSACTIONS  
 STRUCTURE IS UNORDERED  
 STRUCTURE IS LIST(FORWARD)

2 TRANSACTION-TYPE SIZE 1 NUMERIC  
 VALUE 1 RECORD IS COUNTRY-SHIPMENTS  
 VALUE 2 RECORD IS COUNTRY-SHIPMENTS  
 VALUE 3 RECORD IS TERMINAL-RECEIPTS  
 VALUE 4 RECORD IS TERMINAL-SHIPMENTS  
 VALUE 5 RECORD IS CAR-LOCATIONS

2 RECORD IS COUNTRY-RECEIPTS  
 RECORD OCCURS RANDOMLY

LINKED ON TRANSACTION-TYPE(SAME)

LINKED ON TRANSACTION-TYPE(SAME) AND COMPANY(SAME)

3 DATE	SIZE 4 NUMERIC
3 COMPANY	SIZE 2 NUMERIC
3 STATION	SIZE 6 NUMERIC
3 DETAIL	REPEATING GROUP
4 GRAIN	SIZE 1 NUMERIC
4 GRADE	SIZE 5 NUMERIC
4 WEIGHT	SIZE 5 NUMERIC

2 RECORD IS COUNTRY-SHIPMENTS  
 RECORD OCCURS RANDOMLY

LINKED ON TRANSACTION-TYPE(SAME)

LINKED ON TRANSACTION-TYPE(SAME) AND COMPANY(SAME)

3 DATE	SIZE 4 NUMERIC
3 COMPANY	SIZE 2 NUMERIC
3 STATION	SIZE 6 NUMERIC
3 GRAIN	SIZE 1 NUMERIC
3 GRADE	SIZE 5 NUMERIC
3 WEIGHT	SIZE 5 NUMERIC
3 RAILWAY	SIZE 1 NUMERIC
3 CAR-NUMBER	SIZE 6 NUMERIC
3 DESTINATION	SIZE 6 NUMERIC
3 ORDER-NUMBER	SIZE 4 NUMERIC

2 RECORD IS TERMINAL-RECEIPTS

RECORD OCCURS RANDOMLY  
 LINKED ON TRANSACTION-TYPE(SAME)  
 LINKED ON TRANSACTION-TYPE(SAME) AND COMPANY(SAME)

3	DATE	SIZE 4	NUMERIC
3	COMPANY	SIZE 2	NUMERIC
3	TERMINAL	SIZE 4	NUMERIC
3	GRAIN	SIZE 1	NUMERIC
3	GRADE	SIZE 5	NUMERIC
3	WEIGHT	SIZE 5	NUMERIC
3	SHIPPER	SIZE 2	NUMERIC
3	STATION	SIZE 6	NUMERIC
3	RAILWAY	SIZE 1	NUMERIC
3	CAR-NUMBER	SIZE 6	NUMERIC
3	DOCKAGE	SIZE 3	NUMERIC
3	MOISTURE	SIZE 3	NUMERIC

2 RECORD IS TERMINAL-SHIPMENTS

RECORD OCCURS RANDOMLY

LINKED ON TRANSACTION-TYPE(SAME)

LINKED ON TRANSACTION-TYPE(SAME) AND COMPANY(SAME)

3	DATE	SIZE 4	NUMERIC
3	COMPANY	SIZE 2	NUMERIC
3	TERMINAL	SIZE 4	NUMERIC
3	GRAIN	SIZE 1	NUMERIC
3	GRADE	SIZE 5	NUMERIC
3	WEIGHT	SIZE 5	NUMERIC
3	VESSEL	SIZE 10	ALPHABETIC
3	DESTINATION	SIZE 10	ALPHABETIC

2 RECORD IS CAR-LOCATIONS

RECORD OCCURS RANDOMLY

LINKED ON TRANSACTION-TYPE(SAME)

LINKED ON TRANSACTION-TYPE(SAME) AND COMPANY(SAME)

3	COMPANY	SIZE 2	NUMERIC
3	RAILWAY	SIZE 1	NUMERIC
3	CAR-NUMBER	SIZE 6	NUMERIC
3	LOCATION	SIZE 6	NUMERIC

END DATA BASE DEFINITION

## CHAPTER 4

### Employment of the Common Data Base

#### 4.1 Introduction

The functional requirements of an item of information determine the relative importance of the factors to be considered in acquiring it. Information which is used for planning purposes stresses timeliness at the expense of accuracy, while information which is used for accounting functions stresses accuracy at the expense of timeliness. The requirements for control information fall somewhere between those for planning and accounting with more emphasis placed on detail. In general, the resources expended in acquiring information reflect the priorities placed upon these factors.

Since the information which is contained in this data base will be primarily used for operational planning, and to a lesser extent for control purposes, the timeliness factor must take precedence over all others. As reported in Chapter 2, there is a considerable delay in gathering data because of the utilization of the surface mail system and the fact that data is delayed in processing prior to being passed on to its next recipient.

Information which is available for planning and control purposes in the grain trade does not now reflect the current situation. It is imperative that the data which is used to update

the data base be acquired with a minimum of delay. In order to achieve this end, telecommunications facilities must be exploited.

It is to be noted that many of the transactions which are used to update the data base are complete and accurate enough that they can also be used for accounting applications, or at least to initiate accounting procedures. By gathering data at the close of business each day, the data base will never reflect a situation more than one working day old and basic transactions can be made available for accounting purposes during the morning of the day after the transaction occurred.

There are five types of transactions which must be acquired in order to make full use of the data base.

#### 4. 1. 1 Country Receipts

It would be necessary for each elevator manager to report, in summary form, his daily receipts. Because of the large number of country elevators and the relatively small change produced in the country stocks by each days receipts, a report every two days would possibly be more suitable. Beyond updating the data base this transaction would be of little value for accounting purposes.

#### 4. 1. 2 Country Shipments

Every day during which an elevator manager loads a boxcar of grain for shipment, it would be necessary for him to

report the details of the transaction. Besides updating the data base, this transaction would be of considerable value for accounting purposes.

#### 4.1.3 Terminal Receipts

At the close of business each day, it would be necessary for each terminal company to complete a report on each boxcar of grain that was unloaded into their facilities. The data provided by this transaction could be utilized in accounting applications in addition to updating the data base.

#### 4.1.4 Terminal Shipments

Every day during which grain from a terminal elevator is loaded into a vessel for shipment it would be necessary for the terminal company to report the details on this transaction. In addition to its utility as far as the data base is concerned, terminal shipment information would also be of considerable value for accounting purposes.

#### 4.1.5 Boxcar Locations

The railways presently have available daily reports concerning the locations of their freight cars. This transaction would merely consist of making this information available to the data base. Although of immense value to the intransit segment of the data base, this information would be of no value to accounting procedures.

#### 4.2 Data Collection Media

The telecommunications facilities which are used to gather the data to update the data base must be geared to the volume of data which will be collected at any given point in the network.

For data originating at country elevators, namely the country receipts and shipments, there are two feasible methods by which this data could be sent to the data base. Since the volume of data which any given elevator would be sending is rather low, the elevator manager could telephone his report to some data gathering center from which telex or teletype facilities could be used to transmit the reports. Through the use of the touch-tone telephone, it would be possible for each manager to transmit his own report from his elevator.

For data originating at terminal elevators, namely the terminal receipts and shipments, the volume of data would be large enough to warrant the use of telex or teletype facilities at each terminal location, if not in each terminal elevator.

The data concerning boxcar locations is already received by railway offices through a telecommunications network and acquiring it for the data base would require selecting the pertinent records and transcribing them to magnetic tape or punched cards.

### 4.3 Possible Data Base Configurations

The number of possible ways of configuring the data base is unlimited. Three increasingly sophisticated data base configurations, all of which presuppose a comprehensive data gathering network, are proposed with differences lying only in the manner by which the data base is accessed. It is assumed that features shown to be present in any one of the configurations could be combined with features found in some other.

#### 4.3.1 Accessing Data Through Hardcopy Reports

This configuration, which is illustrated in figure 4.1, uses the data base as a report generating medium. Once the data base has been updated reports can be produced for each user concerning the aspect of the data base which interests him. The transactions can also be drawn off in the form of punched cards, magnetic tape, or hardcopy listings for use in accounting procedures. These reports and transactions could conceivably be released to their recipients each morning.

#### 4.3.2 Accessing Data Through On-line Inquiries

Figure 4.2 illustrates this configuration. Through their own terminal devices the users of the system would be able to directly query the data base in order to obtain the information that they require rather than being forced to utilize a somewhat inflexible hardcopy report. The transactions however, would continue to be



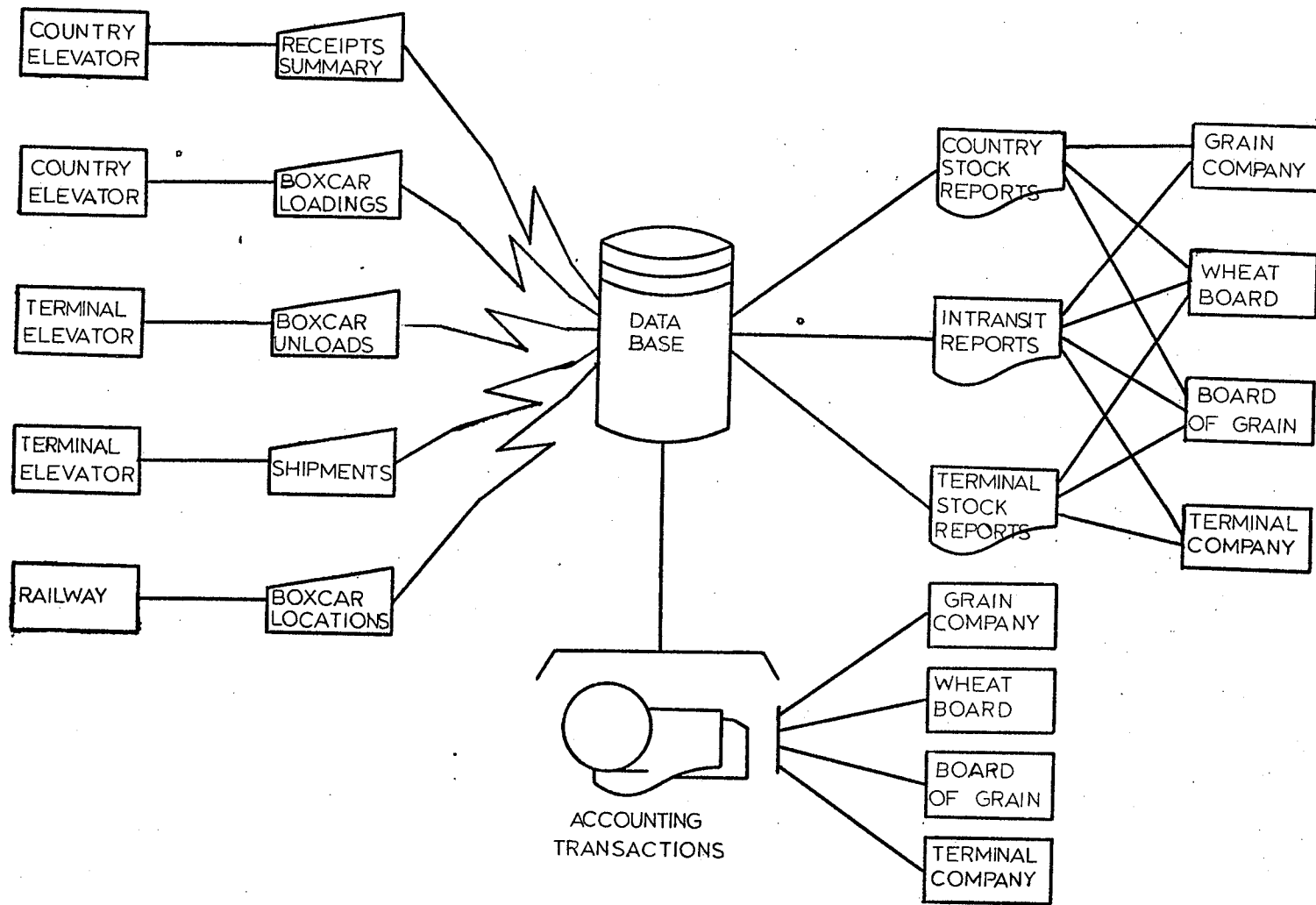


fig.41 DATA BASE PRODUCING REPORTS

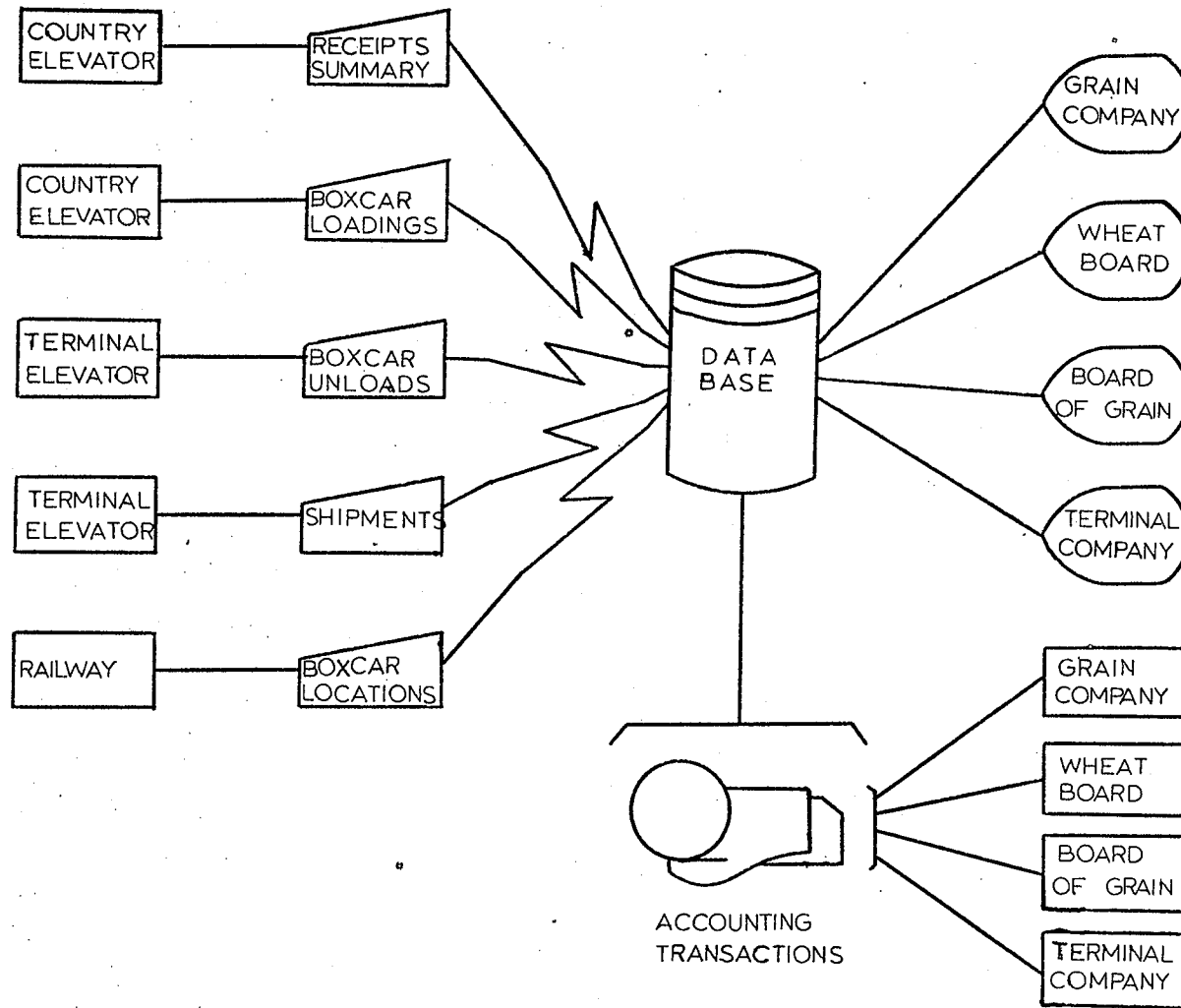


fig.4.2 DATA BASE ANSWERING INQUIRIES

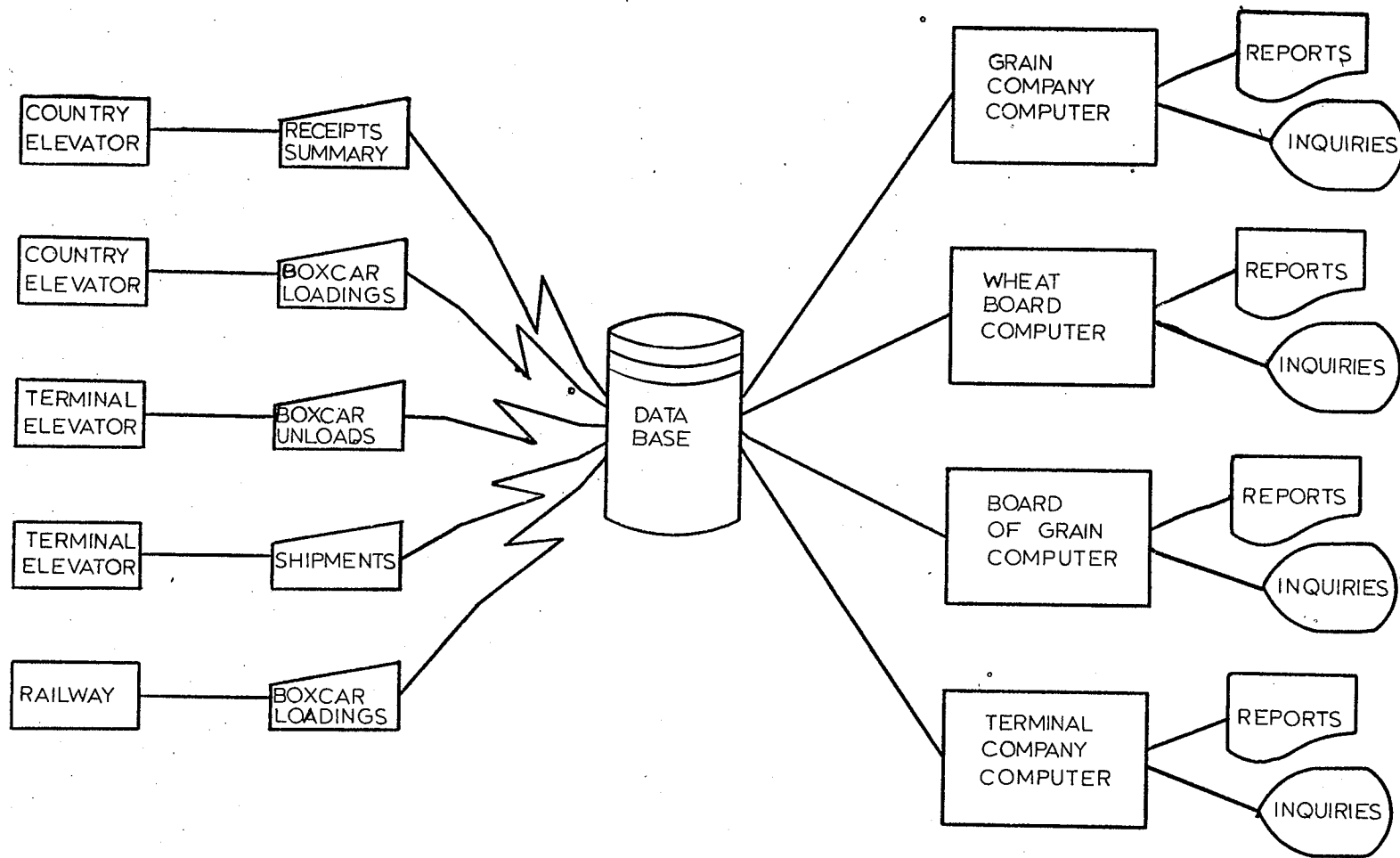


fig.4.3 DATA BASE FEEDING USER COMPUTERS

released in the form of punched cards, magnetic tape, or hardcopy reports as required by each user. This configuration raises the possibility of using the computer associated with the data base as a time-sharing facility through the on-line entry of simple commands from the remote terminals.

#### 4.3.3 Accessing Data Through Computer Communications

This configuration, which is illustrated in figure 4.3, permits a user's computer to communicate directly with the data base. On-line inquiries would be permitted either directly to the data base, or through the user's own computer. The need to reproduce transactions could be eliminated by directing them straight into a user's accounting routines as they are required. Through the use of this type of configuration, the possibility of sharing workloads between computers is brought forth.

#### 4.4 Accuracy in the Data Base

Although accuracy is not the prime requisite of information used for planning purposes, it must nevertheless be taken into consideration. Although slight errors in the reporting of a single day's business would be inconsequential in themselves, over a period of time they would create an extremely erroneous base upon which to make decisions. Furthermore, since many of the transactions used in updating the data base will be later used in accounting applications, every effort must be taken to ensure the

accuracy of transaction data.

It is possible for errors to arise during the transmission of transaction data either through human error in operating the keyboard of the terminal device or through machine errors arising during the transmission of the signal. Since the data base will only be updated once a day after having received the day's transactions, an editing operation can be invoked to filter off the erroneous ones.

The transactions editor can be used to check the codes representing the company, station, terminal, grain, grade and other descriptive information to ensure that they are legitimate. It can perform checks for reasonableness to ensure that the quantitative data in each transaction falls within certain predetermined limits. The editor can also be used to accumulate the values of specific fields in a record, or in a batch of records, in order to balance them to some control total received as part of the transmission.

In order to satisfy the needs of the editor, control information must be produced in the same manner in which the editor will later check it. Thus, in the transmission of terminal receipts and shipments, the control data would consist of the grain code, grade code, and total received or shipped for each grain and grade at each terminal. In the transmission of country receipts and shipments, where it is unlikely that there will be more than

one item of information associated with each grain and grade, the transmission of control data for each country elevator would amount to an almost complete retransmission of the whole record. This difficulty could be overcome by using a longitudinal check consisting of a single digit representing the units position of the sum of some, or all, of the digits in the record. While not eliminating 100% of the errors, this technique will draw attention to the majority of them. Since the data pertaining to car locations will have undergone editing operations prior to being released by the railways, it can be considered as being free of errors.

Periodically it will be necessary to compare the contents of the data base to the real situation and reconcile any differences in order to ensure as high a degree of accuracy as possible. The users of the data base must be provided with a facility which will enable them to make adjustments to the contents of the data base when errors are detected.

## CHAPTER 5

### Organization of the Data Base

#### 5.1 Introduction

The file which has been structured for data processing does not have the flexibility required by a data base system. It provides the simplest possible way of retrieving that file by itself but it lacks an efficient means of locating individual data in any combination or in any other sequence. A data base, in addition to satisfying the needs of data processing, must also provide for the efficient retrieval of individual data.

In order to provide such flexibility it is necessary to maintain the data base in a direct access storage, rather than in a sequential access storage as is usually the case in data processing applications. In general, data in a direct access storage can be organized in one of four data structures.

##### 5.1.1 Unordered Structure

Each logical record is stored in a position relative to the other records in order of its arrival in the computing system. This type of organization has no unique control identification and therefore must be accessed serially, front to back. An unordered structure facilitates the handling of relatively large and highly volatile data bases.

### 5.1.2 Sequential Structure

Each logical record is stored in a position relative to the other records according to a specified sequence, the basis of which is some attribute, or set of attributes, of the record. In order to retrieve any given data element, the entire file must be accessed serially, starting at the beginning until the desired record is found. A sequential data structure facilitates the data processing applications of a data base.

### 5.1.3 Indexed Structure

An indexed structure is used in conjunction with an unordered or a sequential data organization as a means to locate specific records without searching the whole data base. An index, or directory, is maintained which contains the key of every record along with the record address. In order to access any given record, the directory must be searched in order to obtain the address of the record from which the desired information can be retrieved directly. This organization lends itself readily to a rather stable data base of somewhat limited size because of the necessity of maintaining the directories as well as the data records.

### 5.1.4 List Structure

A list structure is also used in conjunction with an unordered or a sequential data organization. Pointers, or links, which are stored as part of the data records, are used to chain



together data records which are logically related without altering the physical location of the records. Through the use of multiple pointers it is possible to define multiple logical relationships independent of the physical arrangement. A list structure deals effectively with the problem of multi-key access to a chain of related records in a data base.

## 5.2 The Data Base Structure

In reality, the structure assigned to the components of the data base is not limited to any one of the structures outlined in section 5.1 but rather, is a hybrid of two or more of these systems. Since this data base can be considered as being made up of four components — country records, terminal records, transit records, and transaction records — different structures have been assigned to each component in order to provide the greatest overall flexibility.

### 5.2.1 Structure of Country Records

As shown in figure 5.1, the country records make use of the sequential, indexed, and list structures. Sequentially, the first record is an overall record for the country component and is followed by overall records for each company. This group is followed, in station sequence, by similar groups which are made up of a station record followed by company records for each company active at that station.

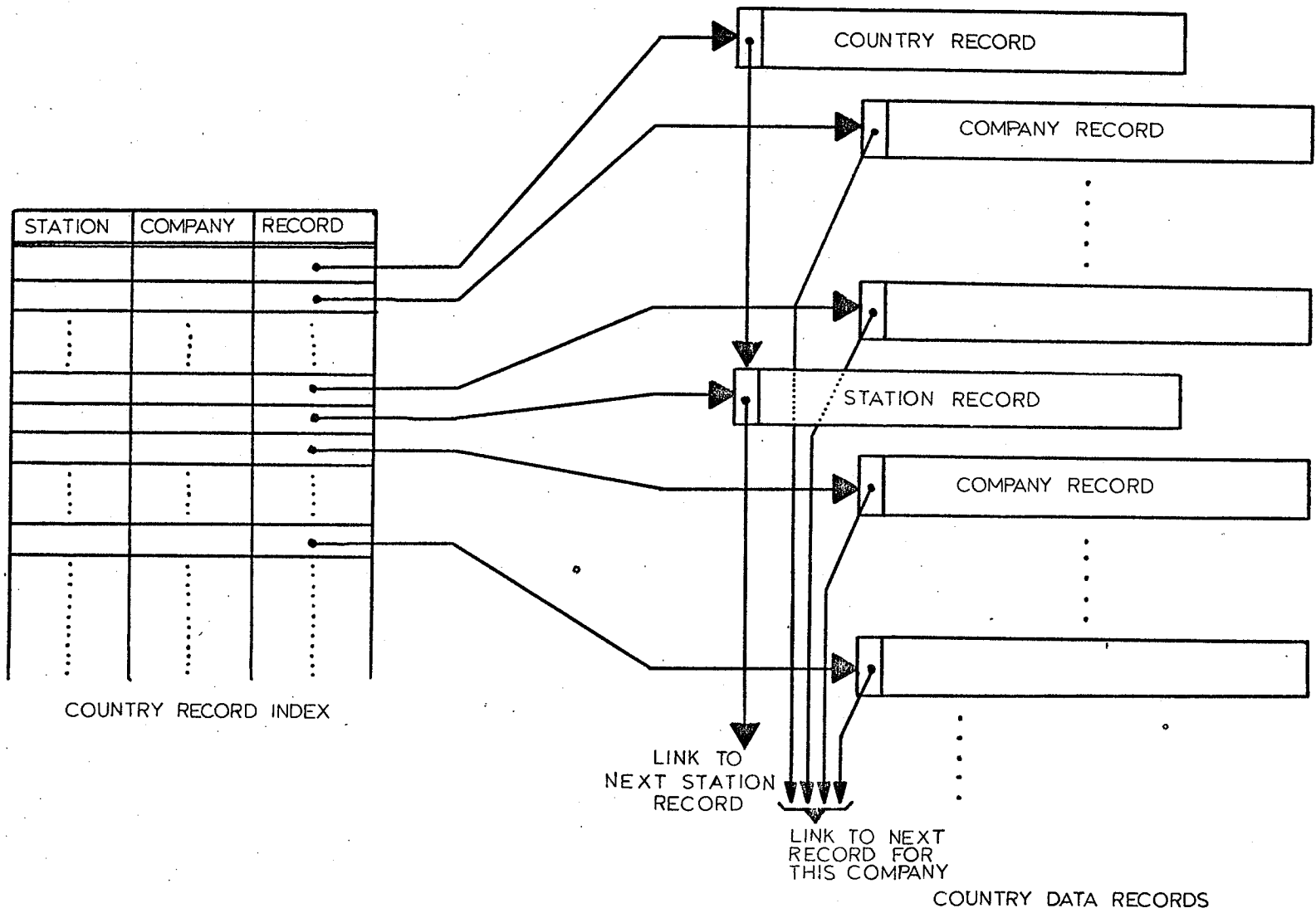


fig.51 STRUCTURE OF COUNTRY RECORDS

A country record index is maintained which can be searched by station and/or by company to yield the address associated with the desired record.

Every record contains a link to some logically related record further ahead in sequence. The overall country record is linked to the first station record and each station record is linked to the next sequential station record. Each overall company record is linked to the first company record corresponding to that company and these in turn are linked to the next sequential record for that company.

Using this structure it is possible to sequentially access all records in the country segment of the data base, all station records, or all records corresponding to a given company and to directly access the record corresponding to a given company at a given station.

#### 5.2.2 Structure of Terminal Records

As shown in figure 5.2 the terminal records also make use of the sequential, indexed, and list structures. Records are grouped by terminal location. Sequentially, the first record of a group is an overall record for the given terminal location and contains a pointer to link it to the next terminal location record. Within each terminal location group there are records corresponding to each company active at that location. These company records are

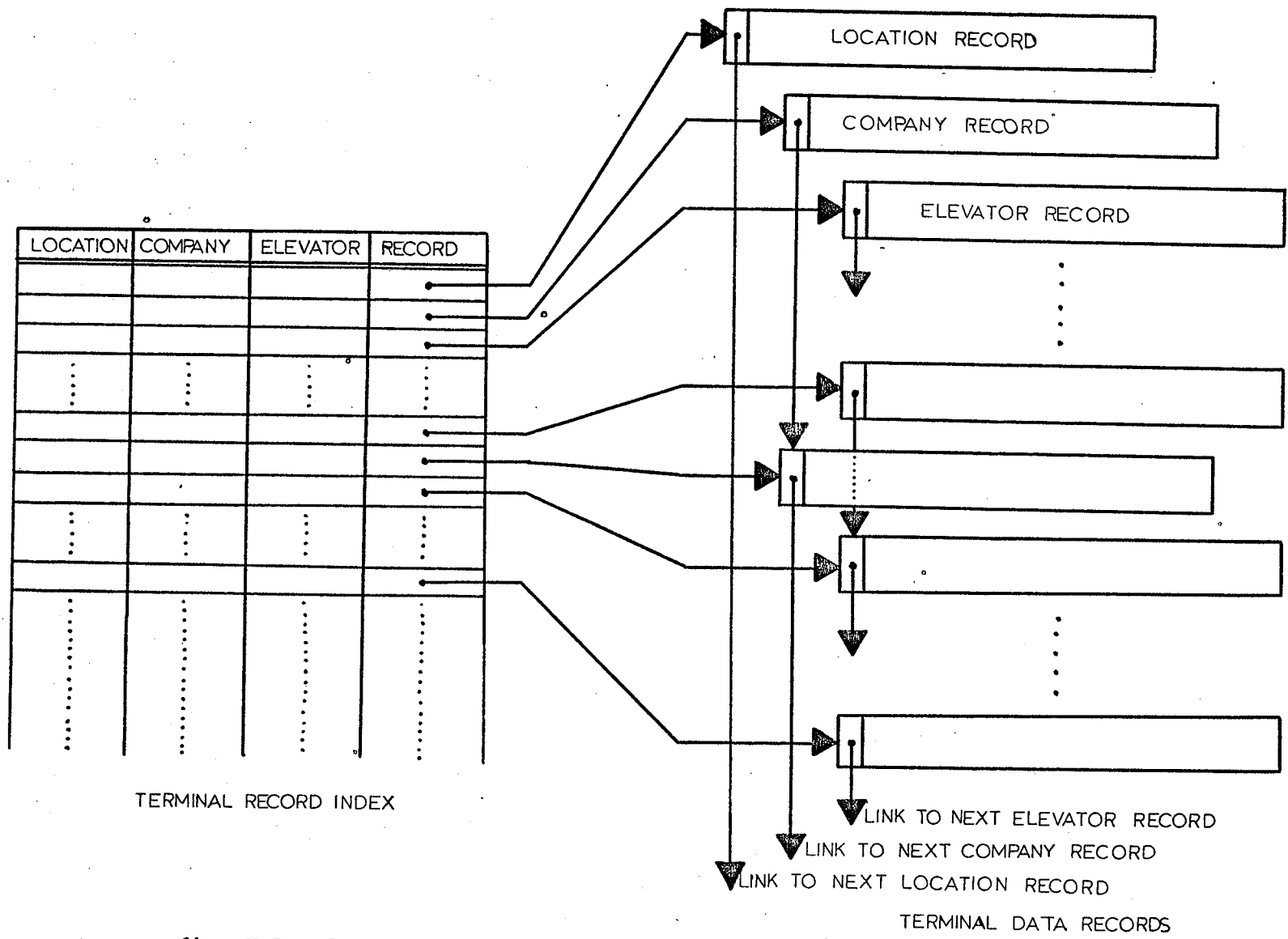


fig.5.2 STRUCTURE OF TERMINAL RECORDS

also linked together. Associated with each company record is a group of records, one for each terminal elevator operated by the given company. These elevator records are also linked together.

A terminal record index is maintained which can be searched by terminal location and/or by company and/or by elevator to yield an address associated with the desired record.

By using this structure it is possible to sequentially access all terminal records, all terminal location records, all company records, or all elevator records and to directly access the records corresponding to a given elevator of a given company at a given terminal location.

### 5.2.3 Structure of Transit Records

As shown in figure 5.3 the transit records make use of the unordered, indexed, and list structures. Each transit record is placed in the data base in the order in which it was created. A car record index is maintained to permit the direct location of the record corresponding to a given boxcar.

The transit component of the data base is not of a relatively constant size as are the country and terminal components and this is reflected in the more complex list structure it uses. Each transit record contains four pairs of pointers. These pointers occur in pairs so that a link back as well as ahead can be maintained. This is necessary so that on completion of a shipment the record

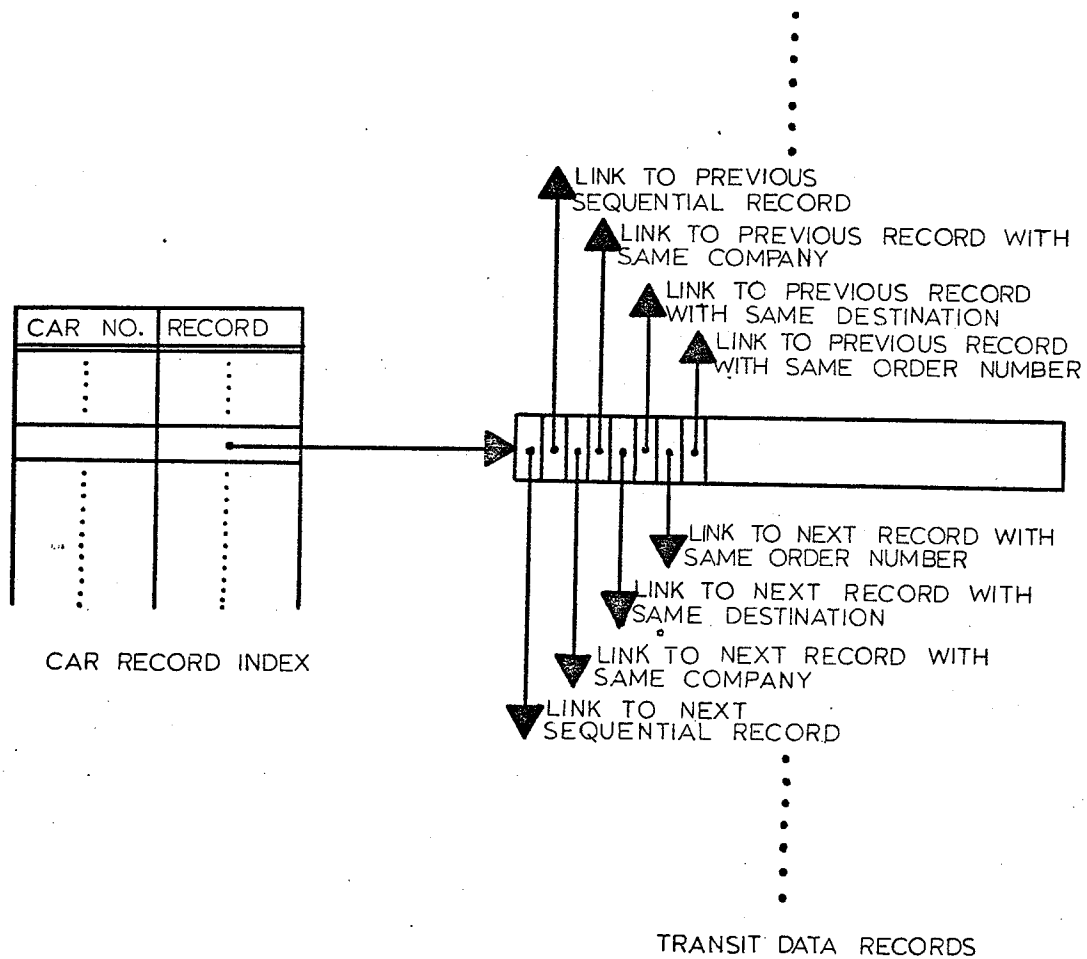


fig.5.3 STRUCTURE OF TRANSIT RECORDS

ORDER NO.	START	END
⋮	⋮	⋮

ORDER CHAIN INDEX

COMPANY	START	END
⋮	⋮	⋮

COMPANY CHAIN INDEX

DESTINATION	START	END
⋮	⋮	⋮

DESTINATION CHAIN INDEX

fig.5.4 TRANSIT CHAIN ENTRY INDICES

corresponding to it can be deleted and the back lines used to adjust the forward links in previous records so that a bridge is constructed across the gap. A garbage collector will be required to keep track of these unused spaces.

One pair of pointers is used to chain all transit records together. A second pair of pointers is used to chain together the records corresponding to a given company. All records corresponding to the same destination are chained together by a third pair of pointers. A fourth pair of pointers is used to chain together all records corresponding to the company destination, and shipping order chains.

Through the use of this structure it is possible to sequentially access every transit record, every transit record corresponding to a given company, to a given destination, or to a given shipping order as well as to directly access any transit record given the number of the boxcar corresponding to it.

#### 5.2.4 Structure of Transaction Records

As shown in figure 5.5, the transaction records make use of the unordered and list structures. Each transaction record is placed in the data base in the order in which it is received by the system. This segment of the data base is extremely volatile as it is used to accumulate the days transactions and store them until the data base is updated and facsimiles of these transactions are dispersed to those in the grain trade who require them.



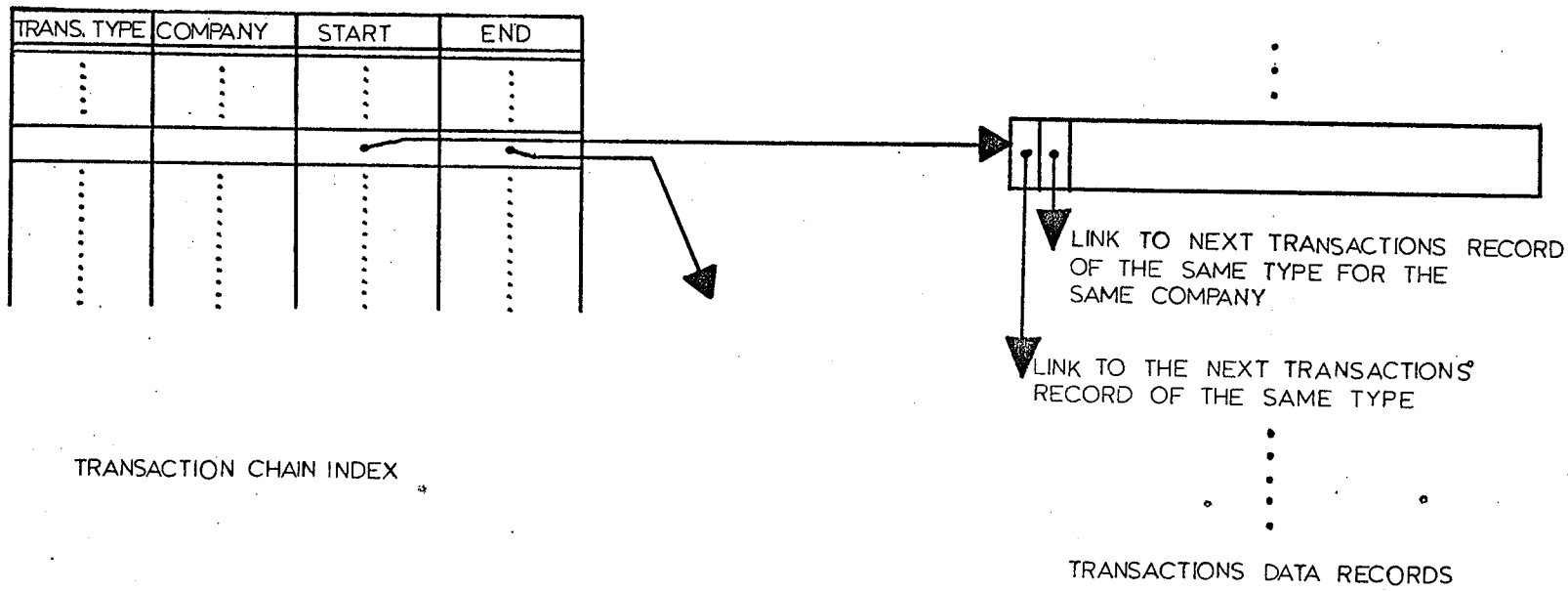


fig.55 STRUCTURE OF TRANSACTION RECORDS

Every transaction record contains two links. The first points to the next transaction of the same type while the second points to the next transaction of the same type for the same company. An index is maintained giving the first and last members of a specific chain type.

By using this structure, it is possible to sequentially access every transaction record, every transaction record of a given type, or every transaction record of a given type for a given company. It is not anticipated that there will be any desire to directly access specific transaction records.

### 5.3 Structure of the Data Base Handler

Because this data base has not been organized specifically for data processing the accessing of its members is not as simple and straightforward as in a conventional information system. For this reason, in addition to the desire to maintain applications programs independent of the data base, a data base handler is used to interface the data base with the applications programs.

In figure 5.6 the composition of the data base handler is shown. The record directories, which have been described in more detail in section 5.2, are used by the data base handler logic to find the address of the desired record, or the address of the start of the desired record chain. The access methods are program

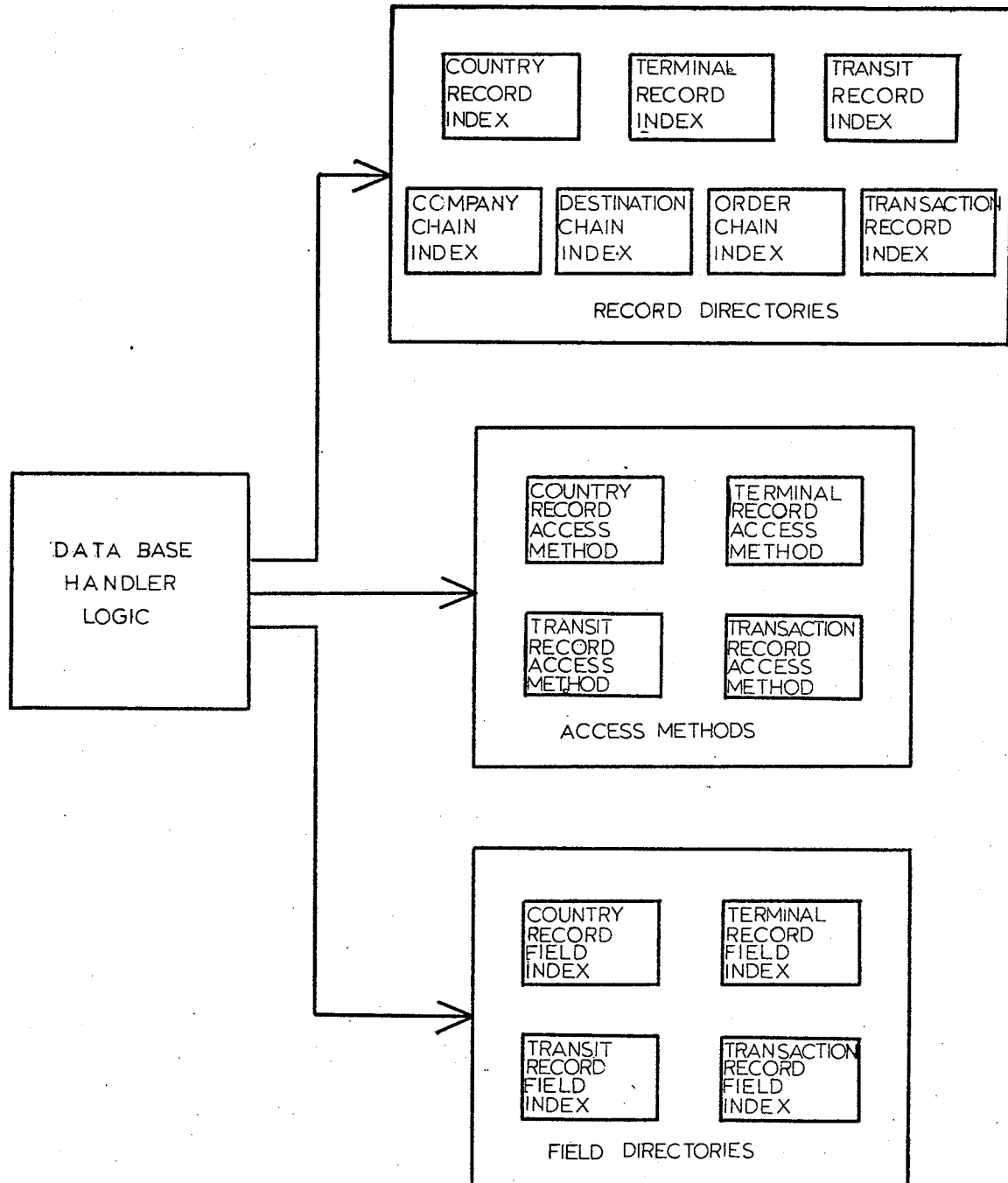


fig.5.6  
COMPOSITION OF THE DATA BASE HANDLER

modules, one for each record type, which when supplied with the record address will read or write the desired information. The field directories, a sample of which is shown in figure 5.7, provide a tabular encoding of the field description of each record. Once the record has been read into the processing unit, the data base handler can access any desired field of information by adding its relative address to the starting location of the record. The format entry provides the handler with information as to how the data is stored and the length entry provides it with the length of the field.

The logic of the data base handler, which is presented in figure 5.8, consists of four parallel sets of operations — one for each component of the data base. In order to find an element of data the data base handler uses the appropriate record directory to find the address of the desired record, uses the appropriate access method to retrieve the desired record, and the appropriate field directory to locate the desired data element, or elements. If more of a chain of records is desired the field directories are used to locate the link to the next logical record, which is then accessed. When completed, control is returned to the calling program.

In writing a record, the field directory is used to format the record prior to searching the record directory for the record address, and the access method writes rather than reads the record.

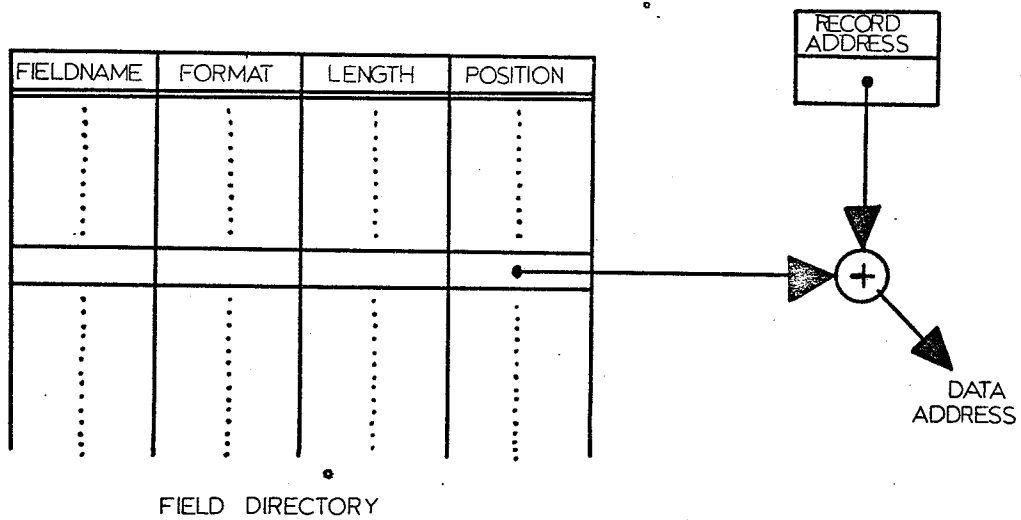


fig.5.7 DETERMINING DATA ADDRESSES

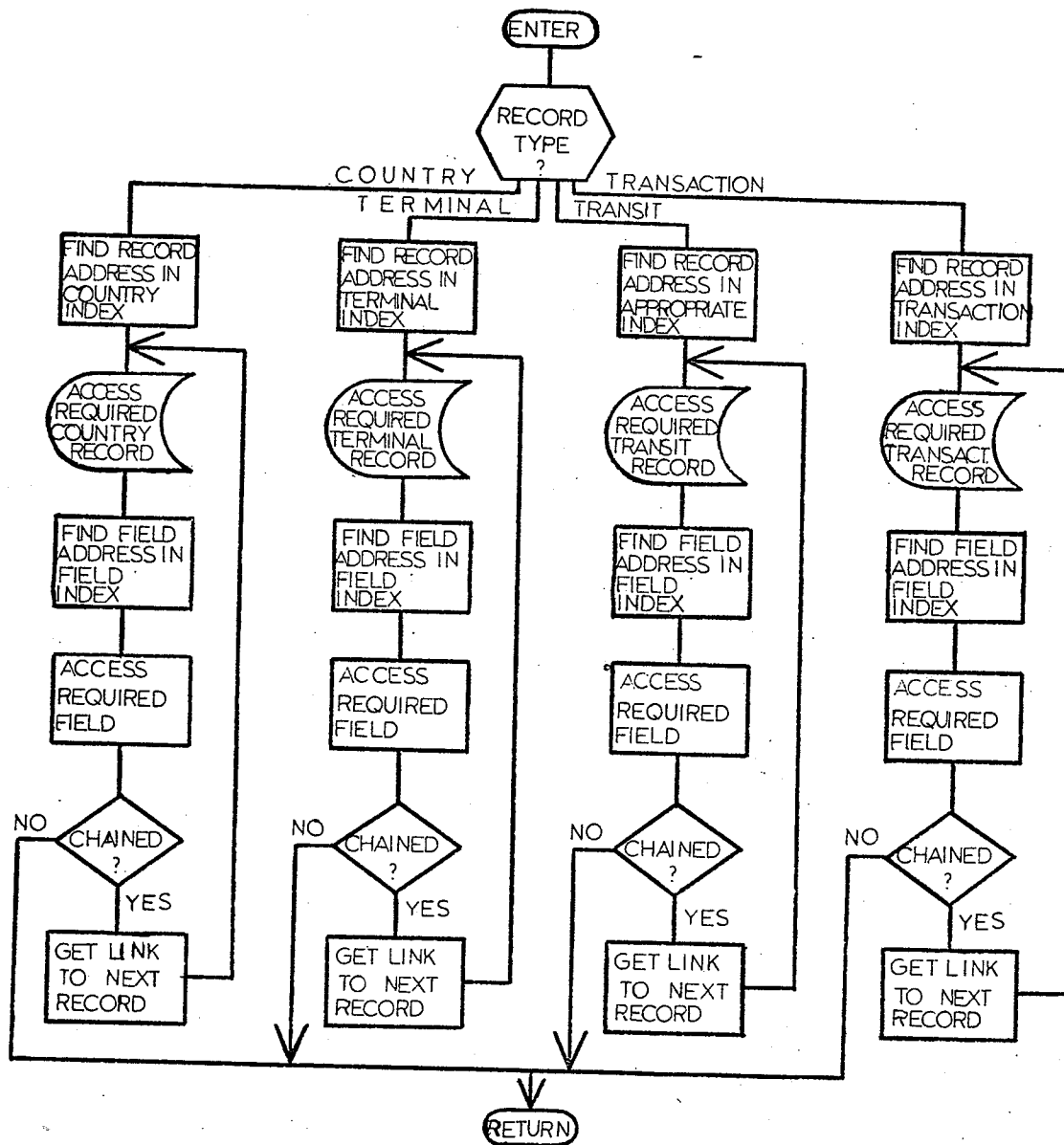


fig. 5.8 DATA BASE HANDLER LOGIC

#### 5.4 Performance of the Data Base Handler

A small but representative data base consisting of a total of five companies operating a total of forty-one country elevators at thirteen country stations, a total of twelve terminal elevators at two terminal locations, and twenty-nine boxcars in transit was created through the use of a random number generator. The transactions aspect of the data base was ignored in this study because it was felt that its structural features have been demonstrated by the other components of the data base.

Using the logic of figure 5.8, the data base handler was implemented on an IBM System 360/40 using the FORTRAN programming language. The data base was stored on an IBM 2314 disk storage unit.

A series of requests were presented to this handler in order to study its performance. These requests assumed the form of a series of parameters describing the application area, the record or records, and the fields desired as would be used in a crude information retrieval language. A representative number of these requests and the data retrieved by the data base handler is presented in appendix D.

Because these requests were received in an English-like format it was necessary to convert them into an internal numerical form for ease of use by the data base handler. An average of 14 milliseconds with a standard deviation of 2 milliseconds was

consummed by this translation process. It must be noted that in a real situation this translation time would only be incurred when answering on-line inquiries because requests received from applications programs would already be in the required numerical form.

An average of 16 milliseconds with a standard deviation of 3 milliseconds was required by the data base handler to convert these parameters into record and field addresses. It must be noted here that this conversion time is only incurred when a request is received and does not apply to every record when a chain is asked for because the address of the next member in the chain is obtained from the current one.

In accessing these records it was found that an average of 36 milliseconds with a standard deviation of 1 millisecond was required to bring the desired information into main storage. The data base occupied only 3 consecutive cylinders of the disk. If it had been spread further across the pack this average time would be greater because of the greater distance traversed by the disk access arm.

Thus when answering an on-line request for information the data base handler would provide this information in less than one second which is well within a user's tolerance level. When acting as an interface between an application program and the data base a certain amount of time is consumed in determining where the desired information is located. However, this is a function which is normally



handled by each application program.

## CHAPTER 6

### The Problem of Information Privacy

#### 6.1 Introduction

The term information privacy refers to information which is isolated, or free, from unauthorized observation and in the context of this thesis pertains to the limitation of access to information stored within a data base to only those individuals authorized to have such access.

As the number of computing systems which share their resources among several users increases, so also does the risk that information pertaining to one user will be revealed, either accidentally or deliberately, to another user.

In a commercial environment a great deal of information is considered to be company-confidential because it relates to the success, failure, or state-of-health of the company. Such private information will always have some value to an outside party, and it must be expected that unauthorized access will be attempted on computing systems handling such information. Thus it is assumed that, at least in principle, the problem of information privacy exists and that measures must be taken to ensure the integrity of the system.

At present privacy is not a problem to the members of the grain trade because their private information does not leave their

premises unless they allow it to. Outsiders desiring access to any of this information now must obtain permission to do so or else acquire it illegally. However, if this information was to be stored in a common data base to which all concerned have access it most definitely would be necessary to implement protective facilities to ensure that the users do not obtain information which they are not entitled to have.

In providing such a protective facility the prime consideration is that the computing system establish the identity of the person with whom it is conversing and verify his right to have access to the areas of the data base he has requested. Of lesser importance is the consideration that the computer room staff be prevented from disclosing information from the data base or providing details on system workings.

Babooram (10) studied the problem of information privacy in shared resource computing systems and presented several methods of providing protection. This work has been used as a basis for outlining a privacy facility for the data base and the reader is referred to it for specific details.

## 6.2 Verifying the User's Right to Access the Data Base

Under the system developed by Babooram, every user is assigned a user number through which the computing system can identify who is conversing with it. When a communication is

initiated the identity of the terminal operator is verified as being legitimate by finding a directory record corresponding to this user. The hardware address of the terminal is obtained and a check is made with information in the user's directory record to ensure that the given user is allowed to use the terminal. Once checked out, this user is only allowed to access records which are linked to his directory record.

The logic of Babooram's control system was modified so as to better satisfy the requirements of the data base problem. This modified method makes use of two tables which are shown in figure 6.1. The device list pointer table provides, for each terminal device associated with the system, a starting and an ending address of the device list corresponding to each terminal. The device list table contains the user number and a corresponding security code for each user allowed to use any given terminal device.

The logic of this modified method is diagrammed in figure 6.2. When a communication is initiated the hardware address of the terminal is used to locate, through the device list pointer table, the list of user numbers corresponding to the authorized users of the given terminal. This list is searched to determine whether the terminal operator is authorized to use this terminal and if so, his security code is acquired.

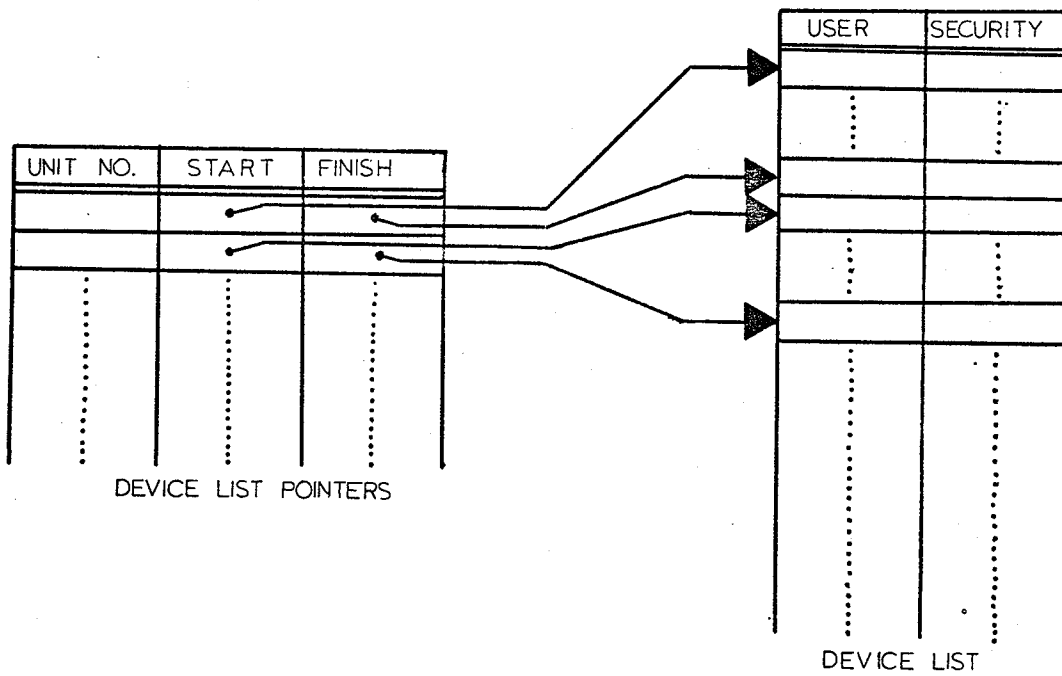


fig.6.1 SECURITY TABLES

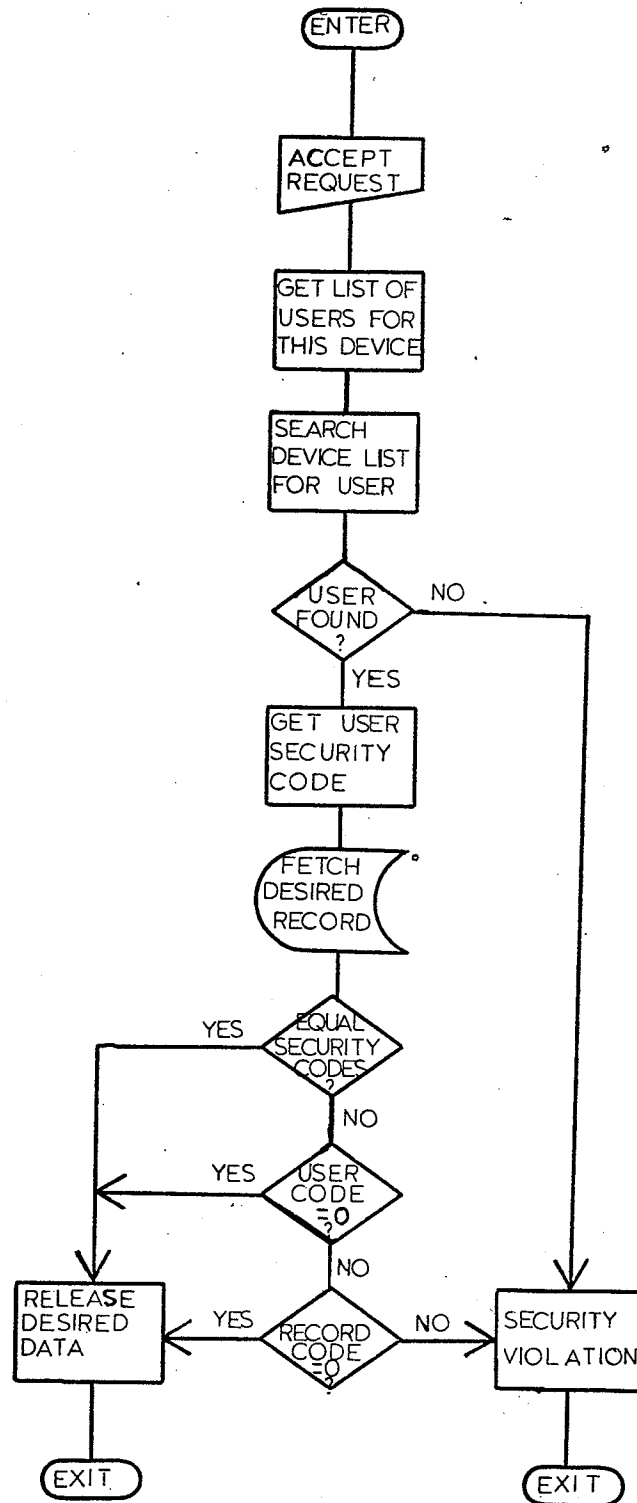


fig. 6.2 ACCEPTANCE LOGIC

Every record making up the data base is also assigned a security code, and this is stored as part of the record. Once the user has been verified as being legitimate he may then ask to see any record in the data base but is only allowed access to those records in which the security code is equal to his own.

In addition, this control system provides for two exceptional cases. If a record has been assigned a security code of zero then it may be accessed by any user and hence is available to anyone allowed to use the system.

Using this control system companies are allowed full access privileges to their own data but may obtain only selected information from the remainder of the data base.

### 6.3 Results of a Test of Access Authorization

In order to demonstrate the capabilities of this access control system a series of nine requests for information were presented to it and the resulting system actions printed out and presented as exhibit 6.1.

In communication 1 (of exhibit 6.1) the user was not allowed access to the data base because he was not recognized as being authorized to use the terminal. In all other cases, the terminal operators were recognized as authorized users of the terminals and were allowed to proceed.

In communications 2, 6, and 8 the authorized users were

1 INQUIRY RECEIVED FROM USER \*\*GL AT TERMINAL UNIT 202  
THIS USER IS NOT AUTHORIZED TO USE THIS TERMINAL  
SECURITY VIOLATION - DESIRED INFORMATION CAN NOT BE RELEASED  
COMMUNICATION CANCELLED

2 INQUIRY RECEIVED FROM USER IHE. AT TERMINAL UNIT 203  
USER MAY PROCEED USING SECURITY CODE 18  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 37  
SECURITY CODE FOR THIS RECORD IS 88  
SECURITY VIOLATION - DESIRED INFORMATION CAN NOT BE RELEASED  
COMMUNICATION CANCELLED

3 INQUIRY RECEIVED FROM USER A\*B/ AT TERMINAL UNIT 201  
USER MAY PROCEED USING SECURITY CODE 99  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 7  
SECURITY CODE FOR THIS RECORD IS 99  
SECURITY CHECK SATISFIED - DESIRED INFORMATION CAN BE RELEASED

4 INQUIRY RECEIVED FROM USER 2CB7 AT TERMINAL UNIT 203  
USER MAY PROCEED USING SECURITY CODE 0  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 25  
SECURITY CODE FOR THIS RECORD IS 99  
SECURITY CHECK SATISFIED - DESIRED INFORMATION CAN BE RELEASED

5 INQUIRY RECEIVED FROM USER V&Q\* AT TERMINAL UNIT 202  
USER MAY PROCEED USING SECURITY CODE 88  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 46  
SECURITY CODE FOR THIS RECORD IS 0  
SECURITY CHECK SATISFIED - DESIRED INFORMATION CAN BE RELEASED

EXHIBIT 6.1A



6 INQUIRY RECEIVED FROM USER &-BB AT TERMINAL UNIT 201  
USER MAY PROCEED USING SECURITY CODE 72  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 49  
SECURITY CODE FOR THIS RECORD IS 9  
SECURITY VIOLATION - DESIRED INFORMATION CAN NOT BE RELEASED  
COMMUNICATION CANCELLED

7 INQUIRY RECEIVED FROM USER &-BB AT TERMINAL UNIT 201  
USER MAY PROCEED USING SECURITY CODE 72  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 27  
SECURITY CODE FOR THIS RECORD IS 72  
SECURITY CHECK SATISFIED - DESIRED INFORMATION CAN BE RELEASED

8 INQUIRY RECEIVED FROM USER &-BB AT TERMINAL UNIT 202  
USER MAY PROCEED USING SECURITY CODE 9  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 27  
SECURITY CODE FOR THIS RECORD IS 72  
SECURITY VIOLATION - DESIRED INFORMATION CAN NOT BE RELEASED  
COMMUNICATION CANCELLED

9 INQUIRY RECEIVED FROM USER &-BB AT TERMINAL UNIT 202  
USER MAY PROCEED USING SECURITY CODE 9  
REQUEST IS FOR INFORMATION IN RECORD NUMBER 49  
SECURITY CODE FOR THIS RECORD IS 9  
SECURITY CHECK SATISFIED - DESIRED INFORMATION CAN BE RELEASED

EXHIBIT 61B

not allowed access to the information they had requested because the security code of the record containing that information did not correspond to their own security codes.

In communications 3, 7, and 9 the authorized users were allowed access to the requested information because it was part of a record in which the security code corresponded to that of the user.

Communication 4 is an example of a user who is permitted to access any information in the data base because his security code is zero.

Communication 5 is an example of a record which is available to every authorized user because its security code is zero.

Communications 6 through 9 demonstrate that it is possible for one user to be authorized to use more than one terminal and also that the information which he has access to does not necessarily have to be the same for each terminal.

#### 6.4 Ensuring Computer Room Protection

Although sophisticated protection schemes can be developed to guard against unauthorized data acquisition, the possibility always exists that computer room personnel could be enticed into using stand alone utility software to copy, or print out, the data base in order to ascertain its contents or develop an understanding of its internal workings.

In order to circumvent this weakness Babooram demonstrated how critical data, such as is used for identification purposes, can be stored in a scrambled form and if nefariously printed out would be devoid of meaning unless the infiltrator was able to break the code.

For the purposes of this data base problem this scrambling concept has been extended to take into consideration the internal structure of the IBM System /360 in order to provide a scrambled code which is extremely unintelligible when printed out but which yields the added benefits of retaining the collating sequence and providing storage savings of up to 25% on the fields that are scrambled. Using this approach would enable scrambled information to be operated upon in this form within the computing system. Only when a new input is received in character format or when an output is desired in character format is a conversion necessary.

If the lower case alphabets are ignored, the character set of the IBM System /360 is made up of 63 members each represented by a unique combination of 8 binary digits, or bits. Since a field of 8 bits can be in any one of 256 unique states it is possible to translate each member of the character set from an 8 bit binary number in the range 74 to 249 into a 6 bit binary number in the range 0 to 63. The result is that the contents of four

System /360 character positions, or bytes, can be scrambled and compressed into three bytes.

Under this scrambling system it is virtually impossible to break the code even if the scrambled data is printed out in hexadecimal form because it does not respect the byte boundaries of the 360. Thus, depending on where a given character is located, and what characters are located on either side of it, the printed format changes.

#### 6.5 Results of a Test of this Scrambling Technique

In order to study the performance of this scrambling technique a passage of textual information was scrambled and then unscrambled. Exhibit 6.2 shows, at the top of the page, the original passage printed in character format and, at the bottom of the page, the same passage printed in hexadecimal format. Exhibit 6.3 shows the same passage after being processed by the scrambling algorithm. It is to be noted how devoid of meaning it is and the extreme difficulty encountered in relating it to the original. After being operated on by an unscrambling algorithm the original character configuration was obtained demonstrating that information is not adversely affected by these operations.

It was observed that a character string of length  $M$ , when compressed in this manner, results in a storage saving of  $N$  characters where

FOURSCORE AND SEVEN YEARS AGO OUR FATHERS BROUGHT FORTH UPON THIS CONTINENT A NEW NATION, CONCEIVED IN LIBERTY, AND DEDICATED TO THE PROPOSITION THAT ALL MEN ARE CREATED EQUAL. NOW WE ARE ENGAGED IN A GREAT CIVIL WAR, TESTING WHETHER THAT NATION, OR ANY NATION SO CONCEIVED AND SO DEDICATED, CAN LONG ENDURE. WE ARE MET ON A GREAT BATTLE-FIELD OF THAT WAR. WE HAVE COME TO DEDICATE A PORTION OF THAT FIELD AS FINAL RESTING-PLACE FOR THOSE WHO HERE GAVE THEIR LIVES THAT THAT NATION MIGHT LIVE. IT IS ALTOGETHER FITTING AND PROPER THAT WE SHOULD DO THIS. BUT IN A LARGER SENSE WE CANNOT DEDICATE, WE CANNOT CONSECRATE, WE CANNOT HALLOW THIS GROUND. THE BRAVE MEN, LIVING AND DEAD, WHO STRUGGLED, HAVE CONSECRATED IT FAR ABOVE OUR POWER TO ADD OR DETRACT. THE WORLD WILL LITTLE NOTE NOR LONG REMEMBER WHAT WE SAY HERE, BUT IT CAN NEVER FORGET WHAT THEY DID HERE. IT IS FOR US THE LIVING, RATHER, TO BE D

C6D6E4D9E2C3D6D9C540C1D5C44DE2C5E5C5D54DE8C5C1D9E240C1C7D64D  
D6E4D940C6C1E3C8C5D9E240C2D9D6E4C7CAE340C6D6D9E3C84DE4D7D6C5  
E3C8C9E240C3D6D5E3C9D5C5D5E340C140D5C5E640D5C1E3C9D6D5B840C3  
D6D5C3C5C9E5C5C440C9D540D3C9C2C5D9E3E8B840C1D5C440C4C5C4C9C3  
C1E3C5C440E3D640E3C8C540D7D9D6D7D6E2C9E3C9D6D54DE3C8C1E340C1  
D3D340D4C5D540C1D4C540C3D9C5C1E3C5C440C5D8E4C1D34840D5D6E64D  
E6C540C1D9C540C5D5C7C1C7C5C440C9D540C140C7D9C5C1E340C3C9E5C9  
D340E6C1D9E6B4DE3C5E2E3C9D5C740F6C8C5E3C8C5D940E3C8C1E340D5C1  
E3C9D6D5B840D6D940C1D5E840D5C1E3C9D6D54DE2D640C3D6D5C3C5C9E5  
C5C440C1D5C440E2D640C4C5C4C9C3C1E3C5C46840C3C1D540D3D6D5C74D  
C5D5C4E4D9C54840E6C540C1D9C540D4C5E340D6D540C140C7D9C5C1E340  
C2C1E3E3D3C56C6C9C5D3C440D6C640E3C8C1E340E6C1D94840E6C540C8  
C1E5C540C3D6D4C540E3D640C4C5C4C9C3C1E3C540C140D7D6D9E3C9D6D5  
40D6C640E3C8C1E340C6C9C5D3C440C1E240C6C9D5C1D340D9C5E2E3C9D5  
C76D07D3C1C3C540C6D6D940E3C8D6E2C540E6C8D640C8C5D9C540C7C1E5  
C540E3C8C5C9D940D3C9E5C5E240E3C8C1E340E3C8C1E340D5C1E3C9D6D5  
40D4C9C7C8E340D3C9E5C54840C9E340C9E240C1D3E3D6C7C5E3C8C5D940  
C6C9E3E3C9D5C740C1D5C440D7D9D6D7C5D940E3C8C1E340E6C540E2C8D6  
E4D3C440C4D640E3C8C9E24840C2E4E340C9D540C140D3C1D9C7C5D940E2  
C5D5E2C540E6C540C3C1D5D5D6E340C4C5C4C9C3C1E3C56840E6C540C3C1  
D5D5D6E340C3D6D5E2C5C3D9C1E3C56840E6C540C3C1D5D5D6E340C8C1D3  
D3D6E640E3C6C9E240C7D9D6E4D5C44B40E3C8C540C2D9C1E5C540D4C5D5  
6840D3C9E5C9D5C740C1D5C440C4C5C1C46840E6C8D640E2E3D9E4C7C7C3  
C5C46840C8C1E5C540C3D6D5E2C5C3D9C1E3C5C440C9E340C6C1D940C1C2  
D6E5C540D6E4D940D7D6E6C5D940E3D640C1C4C440D6D940C4C5E3D9C1C3  
E34840E3C8C540E6D6D7D3C44DE6C9D3D340D3C9E3E3D3C540D5D6E3C540  
D5D6D940D3D6D5C740D9C5D4C5D4C2C5D940E6C8C1E340E6C540E2C1E840  
C8C5D9C56840C2E4E340C9E340C3C1D540D5C5E5C5D940C6D6D9C7C5E340  
E6C8C1E340E3C8C5E840C4C9C440C8C5D9C54640C9E340C9E240C6D6D940  
E4E240E3C8C540D3C9E5C9D5C76840D9C1E3C8C5D96840E3D640C2C540C4

B\$%5-%@FY8. AD %L%4F/UE. OH\$DX%4G%W&S&HZ2Y##YDY A-Y00 S \$BGL  
 BF,&-#A-Y500@ T ITL#,% \$/ @Y0,X B# DX##&#WQ,&- 0W,A9WB7YA#  
 A\$ ,X AD ,--#S\* EC\$LO SC/@ T F#FG\$8GTB9#E##BX 00/CQ DX%BY\$8&\$  
 00Y &%A3BF,&- 6-0WG G AD B- 97T5# 9 0,#WWH #G 10#E0\$10X# Z  
 F#FG\$8G\$0Z OH R Z#.S, 1,##ECS G#LR B# 97T5# AD#W.TW#Z#.S, -  
 %9 A# B0\$Q. 6YYD#W P#B\$ 0# @0SUH 10/ G#0XTOITA# 0W,BY\$8&\$00Y  
 B8/6 W.GBB#&-\$-#/#Y 0HTOYYDFY&&%WX%BY\$80 BQZ#X#0-,&#8A.,B0  
 %I\$2P%BPY501@G\$S&,AX %0,@ 1@G\$S&,A-Y57%,X-CP#50YW S,ZZD.S&-/  
 21Y&- ,10\*1@ BZY ITRO/AC AX\$9 1&- 0./EX H\$AD0W. 6F,@ T&H\$0F#  
 XG#W# ## 0.ZA7 B\$ 9#% #BBY C-%R 1&Z#-#,R0YWX+S\$ --/BGX#7 00S  
 . 1@.\$0H 14 2##& 0,#Y G%8&%E##FW,BY 0GT&H 10#& T4HZ0. BY BY0  
 &H#BF,I##B# 10

829BECB5DA6C7C06F878085FC1FA0CCDF6EC8406E1A40A6F80081B8A27EC  
 B4072CABF86268082982E880BEAA68BA28ED01DA688A3A1FA2E01B0287F1  
 0286EE8E9A10010A6875F8F07DE023A009A371FB2EC001BA1E01E7DE&CD  
 6EE7DE02EA408A27C0AACAL6AAL03EE8E9A00BA26EE0184A60277E801881F  
 010B1FEE7DE01FAEE6E6080A29C40C5F018B1E01EA216E17DE023A006C0  
 86C708B80763023980C58B1002E7ED8A3A2103189F8A27EC02E898880A1B  
 8A3A68400A6C01BA330226EE8E9A00869010A6875F8F07DE01BA1E02DA4C  
 79F7A375889F7900106E8026A688407E87AF81F080C5F018B1F0277EED29  
 A006C086C708B807188AE99F3A08DF99E0298008A26EE0316EC080C5F022  
 6F07C076990F02EA4079F7A375889F01802AA6C8A3A680298008A26EE020  
 8DE97E012840823A1098001F8E8E8E84EAAL6007C0829B008A2A607C0C62  
 A4089FB1F0216F07C08A27E30009A3C1FB408A26EE02E898880A1B8A3A68  
 0278E18AE0268F07C20238808ED0189AEAL7EE89FB008238AE8E88406E8  
 78CAACAL6A7EC02E898880C5F0208A98E67807A902E8A3B4201CBEE023AC0  
 6C09988217EC0207F885F0317C0758A28A6E01E7DE8006EE7D00317C0758  
 A28A6E010A6885F76C6EE7D00317C0758A28A6E0226E69A9C408A28E0021  
 B29BE878202E83F01CB18C1F0277E84009A3C23A2101BA1E01E70B790031  
 8A9020BACBE18667DE400898C1F010A6885F76C6EE7DE02388081880060C  
 A707C0A6F800AA9C5F8008A901879E029800C79FBAC6D088202E89F031A6C  
 99E0318E69809A3BAE99F028A6E7CCA29B009A9A2102C7E77E771FB00C62  
 6EE0317C0858CC089FB1F40072FB808EE0106E80287F07EC020A6C85FB80  
 C626EE02E89FCC07A378089FB1F0808EE02384C829B008ED02E89F0268F0  
 8E885002C6EE89FB1002EA4071FC1E00

$$N = (M+3)/4 \quad \dots (i)$$

Logically this is so because, in order to synchronize the scrambled characters with the internal 360 byte structure, it is necessary to compress the contents of four bytes into three.

It follows that the storage saving can be related to the size of the original character string by the relationship

$$4N \leq M \leq 4N+3 \quad \dots (ii)$$

The cost C, in microseconds of System /360 Model 40 processor time, associated with scrambling a character string of length M is given by

$$C = 204M \quad \dots (iii)$$

where 204 is the time required to scramble one character

By combining relations (ii) and (iii), the scrambling cost can be related to the resultant storage saving through the relationship

$$814 \leq C \leq 816N+612 \quad \dots (iv)$$

Thus, when used as a storage saving technique, relations (i) and (iv) can be used to evaluate the trade-offs between processor time and storage savings that are possible with this scrambling method.

## CHAPTER 7

## Summary, Conclusions, and Recommendations for Further Research

7.1 Summary

A model was developed of the basic flow of information through the grain trade and based upon this model a common computer-centered data base, made up primarily of information used for planning and control purposes, was proposed. Three methods, each of increasing sophistication, of utilizing this proposed data base were outlined.

A data base handler, which was designed to access specific information in the data base when provided with a few parameters defining the desired information, was developed. A small, but representative, data base was generated in order to evaluate the performance of the data base handler.

The problem of information privacy in the data base was investigated. Procedures which were conceived for restricting access to the data base and for scrambling critical information in the data base were proposed and evaluated.

7.2 Conclusions

It was concluded that information which is used for planning and control purposes in the grain trade, as well as the basic transactions associated with this information, can be maintained in a common data base and through the implementation of appropriate security measures, which were demonstrated as being feasible, there should be no fear of



one company's confidential data being revealed to another company.

Although this security system was implemented at the organization level, there is no reason why it could not be extended to provide similar protection at the individual level if so desired.

The adoption of a common computer-centered data base updated through a comprehensive telecommunications data gathering network would enable the members of the grain trade to have an up-to-date reservoir of information for planning and control purposes as well as access to basic accounting transactions no later than one day after their occurrence.

It was found that the separation of the data accessing from the application programs through the use of a data base handler does not appreciably degrade a system's performance. While it is true that the address computation function does consume some time, this operation must be performed either by the data base handler or by the applications program. Therefore, if the benefits of having programs independent of the data base are also taken into consideration, the use of a data base handler will enhance the overall system performance.

It was concluded that the scrambling of information, in addition to its security implications, is a practical and, in many cases, a highly desirable vehicle for reducing the size of a data base.

### 7.3 Suggestions for Futher Research

The research reported in this thesis, while useful in itself, is not terminal. In the following paragraphs several additional areas of

investigation, related to data base systems in general and the grain trade in particular, are proposed.

In the area of implementing a common computer-centered data base system in the grain trade there are two areas of research which will demand attention.

The first is in the area of developing a comprehensive telecommunications network to gather data for the data base and would involve a simulation study of various telecommunications equipment and configurations in order to obtain a system which maximizes benefits and minimizes costs.

The second is in the area of computer-computer interfaces and would involve the development of hardware and/or software means to interface the various computers in the grain trade to the data base so that any given computer could communicate directly with the data base.

In the area of generalized data base systems there are three areas of research in which more work is required.

The first is in the area of software for establishing data bases. A data description language is required which would enable a system designer to describe his data base in familiar terms and a processor is required to use the data description language to produce the data base complete with linkages, directories, and access methods.

The second area of research involves the development of methods by which programs, written in high level languages, can

access members of the data base by supplying the name of the desired data element.

The third area of research would involve the development of a generalized inquiry facility which would enable a user to pose questions to his data base in an English-like language, rather than as an unmeaningful and somewhat inflexible parameter list.

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## APPENDIX A






### The Procedure Flow Chart

#### A.1 Introduction

In describing a procedure, charts provide one of the most effective means of communication because through the adoption of representative symbols, pages of written description can be eliminated. The procedure flow chart is a symbolic, or pictorial, representation of the flow of information through an organization.

#### A.2 The Symbols

Four basic symbols are used in the procedure flow chart.

- 
 Operation - Indicates that some action is taking place.  
 There are two major actions which warrant special refinements to this basic operation symbol.
  - 
 - Indicates the origin or creation of a record.
  - 
 - Indicates information being added to a record.
- 
 Transportation - Indicates the movement of information from one place to another.
- 
 Storage - Identifies an inactive point, either permanent or temporary, in the information flow.



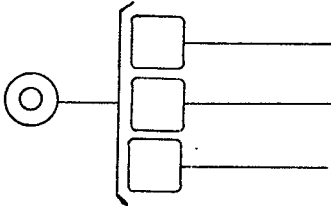
Document

- Represents the document which is the bearer of the information.

### A.3 Using the Symbols

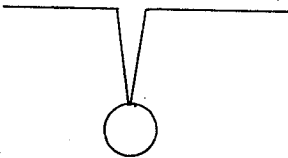
The sequence of events affecting a given document are connected by a straight line and the direction of flow is from left to right. A document or record can not change lines unless it joins another document or record.

#### Originating a Record



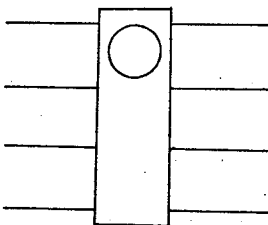
Identifies the creation of a multipart document. The lines indicate the direction that each part will take.

#### Effect on another Record



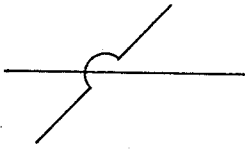
Identifies an operation in which one record is used in the creation, updating, or modification of another record.

#### Simultaneous Action



Identifies an operation which is simultaneously performed on each of the records passing through the rectangular box.

### By-pass



Indicates that one of the records is  
by-passing another without affecting it.

### A.4 Reading the Chart

Figure A.1 is a trivial example of a procedure flow chart to demonstrate how it is used. Each event is numbered and each of these numbers corresponds to the numbers in the narrative below.

- 1 - A three part document is initiated. Part one travels in one path and parts two and three travel together in another path.
- 2 - More information is entered on parts two and three.
- 3 - Parts two and three are separated.
- 4 - Part three is used to initiate a new document.
- 5 - This new document is placed into a file.
- 6 - Parts one and three have more information entered on them but part two by-passes this operation.
- 7 - Part three is transported to another area.



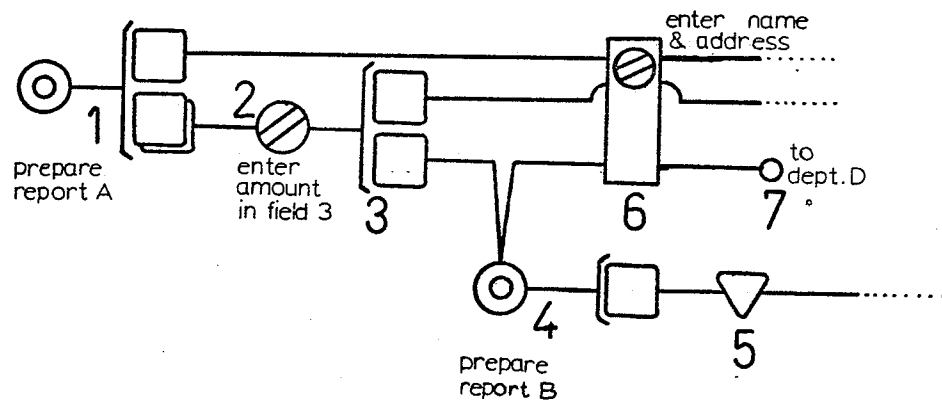


fig. A.1 USAGE OF THE PROCEDURE  
FLOW CHART

## A P P E N D I X B

## Sample Documents Used in the Grain Trade

<u>Document Number</u>	<u>Document</u>
1	Storage Receipt
2	Producer's Certificate
3	Storage Report
4	Stored Purchase Report
5	Report of Grain Purchased
6	Advance Application
7	Shipping Report
8	Railway Waybill
9	Daily Grain Letter
10	Wheat Board 101 Report
11	Shipping Order
12	Summary Purchase Report
13	Advance Summary
14	Daily Grain Statement
15	Weekly Report to Board of Grain Commissioners
16	Statement of Cars Shipped
17	Terminal Outturn
18	Warehouse Receipts
19	Detail Delivery
20	Delivery Summary

<u>Document Number</u>	<u>Document</u>
21	Account Statement
22	Final Payment Cheque
23	Report of Car Requirements
24	Report of Stocks in Country Elevators
25	Report of Grain Received at Terminal
26	Recap of Warehouse Receipts
27	Daily Stock Record
28	Daily Tracing Report

Form B2-180,000-3-68-3239

STATION \_\_\_\_\_ 19 \_\_\_\_

# UNITED GRAIN GROWERS LTD.

## C 30201

RECEIVED THIS DAY  
FOR STORAGE FROM \_\_\_\_\_

SCALE RECORD IN LBS.	
GROSS WEIGHT	
WAGON WEIGHT	
GRAIN UNLOADED	
SCREENINGS ETC CLEANED OUT	
GROSS WT. AFTER CLEANING	
SHRINKAGE ALLOWANCE	
GROSS WT. TO ACCOUNT FOR	

GROSS GRAIN WEIGHT		KIND OF GRAIN	The Agreed Grade Of Which Is	SUBJECT TO DOCKAGE OF	
Bushels	Tenths			Matter Other Than Cereal Grains	Other Cereal Grains
				%	%

NET WEIGHT (IN WORDS) \_\_\_\_\_ BUSHEL \_\_\_\_\_ TENTHS

The charges payable in respect of the grain above described are to be calculated from and are as follows:

- (a) For receiving, handling and shipping the said grain, including storage and insurance against loss by fire on the last mentioned date and for fourteen days thereafter \_\_\_\_\_ cents a bushel.
- (b) For cleaning the said grain \_\_\_\_\_ cents a bushel.
- (c) For storage and insurance against loss by fire for each day or part thereof following the expiration of the fourteen days above referred to \_\_\_\_\_ of one cent a bushel.

Upon the surrender of this receipt and the payment or tender of all lawful charges in respect of the grain above described, the above quantity of grain of the grade specified will be delivered to the holder of this receipt at his own option either:

- (a) By its discharge into a railway car or other conveyance made available for loading at this elevator, or
- (b) By the substitution for this and like receipts, together covering a quantity not less than a carload lot, of a warehouse receipt for grain of the same quantity and grade, and subject only to the dockage above specified, issued in the prescribed form by a terminal elevator to which shipment of the said grain is authorized by The Canada Grain Act upon notice or otherwise.

	BUSHEL	10ths
GROSS WT. TO ACCOUNT FOR		
DOCKAGE		
NET WT. TO ACCOUNT FOR		

Graded Storage Receipt

By \_\_\_\_\_ Manager.

13041

PRODUCER'S ACCOUNT NO. 00 2158585 6 118211 CASH TICKET NO. 11029 03100  
 DAY NO. YR.

DURRANT THOMAS A

21585856

CO. NO. STN. NO. 767525009

### UNITED GRAIN GROWERS LIMITED

GRADED BY AGREEMENT IN GRAIN PRICE GROSS AMOUNT

SCALE RECORD IN LBS.	
GROSS WT	
WAGON WT	
GRAIN UNLOADED	
CLEANED	
WT AFTER	
SHRINKAGE ALLOWANCE	
GROSS WT TO ACCOUNT	

PAY TO

GROSS BUSHEL TO ACCOUNT FOR	
DOCKAGE	
NET BUSHEL	310



OTHER DEDUCTIONS

X112	118	Oats	50 7/8	156 71
------	-----	------	--------	--------

F.A.A. 1% LEVY 1 59

BAL. 156 12

OTHER DEDUCTIONS

NET AMOUNT TO PAY 156 12

AMOUNT PAYABLE (IN WORDS)

One hundred fifty six and 12/100

12/100 DOLLARS

OFFICE COPY

BY \_\_\_\_\_ ELEVATOR MANAGER

NOT NEGOTIABLE







Form AR-A

# Application for Advance Payment

# Crop Year

DATE Nov 29/68 Specified Acreage 295.

To THE CANADIAN WHEAT BOARD:

APPLICATION No. 33 ADDRESS Silverton P.O. Box No. \_\_\_\_\_ PROVINCE MAN

Delivery Point and Company No.

I/WE, the undersigned, whose signatures appear in Section 5 below, hereby apply for an Advance Payment pursuant to the Prairie Grain Advance Payments Act in respect of the grain hereinafter described to be delivered under the said permit and certify:

**Applicants giving false information are liable to a fine or imprisonment or both.**

- I/We have not received a prior advance in respect of the grain hereinafter described.
- The above described Permit Book does not bear an endorsement as provided by Sections 8 and/or 12 of the Prairie Grain Advance Payments Act.

3. Quantity of threshed grain of applicant(s) in storage (otherwise than in an elevator) eligible for delivery with respect to the above described Permit Book.

WHEAT	OATS	BARLEY	TOTAL
1500	2000	2000	5500

### 4. COMPUTATION OF ADVANCE

- Specified acreage multiplied by six (6) 6 x 295
- Less total bushels of Wheat, Oats and Barley delivered under unit quota and general acreage quotas from and including August 1st to date of this application. (Malting Barley and certain deliveries under special permits may be excluded)
- Net Bushels remaining (4(a) less 4(b))

			1720
			280
			990

(d) Grain in respect of which Advance payment is sought. (Not to exceed the lesser of total bushels in 4(c) of quantities of grain described in 3)

WHEAT	OATS	BARLEY	TOTAL
990			990

(e) Advance payment per bushel

\$1.00	40c	70c
--------	-----	-----

(f) Amount of advance payment—total to be expressed in even dollars, but not to exceed \$6,000.00

\$ 445	\$	\$	\$ 445
--------	----	----	--------

### AFFIDAVIT

I, THE UNDERSIGNED, DO HEREBY SOLEMNLY MAKE OATH AND SAY that I am the actual producer engaged in farming the lands described in the Permit Book referred to herein and that the information set forth above is true and correct.

SWORN before me at Silverton in the Province of MANITOBA this 29 day of Nov A.D. 1968

Commissioner of Oaths, etc.

My Commission Expires July 2 1969

*Merin Maryoff*  
Signature of Actual Producer.

ORIGINAL - FOR THE CANADIAN WHEAT BOARD

### 5. UNDERTAKING

I/WE, in consideration of the receipt of the advance payment herein described, hereby covenant and agree with The Canadian Wheat Board that

- I/WE, as soon as any Quota or other permission given by the Board enables me/us to do so, will, in addition to deliveries described in subsection 1(a) of Section 11 of the Prairie Grain Advance Payments Act, deliver wheat, oats or barley or any combination thereof to The Canadian Wheat Board until one-half of the initial payment therefor is equal to the advance payment(s) made to me/us.
- MY/OUR permit book shall be endorsed and pursuant to such endorsement and the Prairie Grain Advance Payments Act, The Canadian Wheat Board shall deduct or cause to be deducted from initial payments for deliveries of my/our wheat, oats or barley, one-half of such payments until the total of such deductions (together with any amounts I/We may pay to the Board in lieu of delivery of grain and in respect of the advance payment) equal the advance payment made to me/us; and
- upon any default, as described in section 13 of the Prairie Grain Advance Payments Act, I/We will repay to The Canadian Wheat Board

- the amount in default, being the amount of the Advance Payment which has not been repaid, with interest at six per cent per annum after default, or
- where, in this section the share of the advance payment to be paid to each one of us is specified, then, an amount that is in the same proportion to the amount in default as the share of the advance payment received is to the total advance payment, with interest at six per cent per annum after default.

If payment is made jointly, each recipient is jointly and severally responsible for repayment of whole of advance after default.

### CERTIFICATE OF APPROVAL

- I hereby certify that (a) I have checked the foregoing application and undertaking with care and am satisfied, to the best of my knowledge and belief that the statements made therein are true;
- the persons whose names appear as signatories in section 5 are all the persons named as producers in the above described Permit Book;
  - the Permit Book was produced to me and I endorsed therein the endorsement required by the Prairie Grain Advance Payments Act; and
  - I have approved of this application on behalf of the Board and have made the advance payment herein stated.

DATED at Silverton the 29 day of Nov A.D. 1968

*W. H. H. H.*  
Signature of Manager or Operator of Elevator.

SHARE OF ADVANCE  
Actual Producer

Actual Producer	Share of Advance
<i>Merin Maryoff</i>	\$ 445
Others Named in Permit	
(Sign here)	\$
(Sign here)	\$
(Sign here)	\$
(Sign here)	\$
(Sign here)	\$

Signatures must appear above in the same order as listed on the permit book declaration.



# CAR INVOICE

TO BE ATTACHED TO BILL OF LADING

USE FOR

## STREET and GRADED GRAIN

ONLY  
PLUMAS #1 66120

Station \_\_\_\_\_ Shipment No. 35  
 Car CN No. 511626 Destination St. Anthony  
 Initial \_\_\_\_\_  
 Date Shipped Feb 11/69 Terminal 444  
 Kind of Grain wheat Gross Bushels 2022

If more than one grain or kind of grain, show weight of each grade or grain separately.

### AS DEDUCTED FROM DAILY LETTER

Grade	Net Bushels	% Dockage
<u>Op 3</u>	<u>1971</u>	<u>2 1/2</u>
<u>Open Order</u>	<u>100</u>	
Total Net	<u>1971</u>	

EXPECTED		Record of Shipment (BUS.)
Grade	% Dock.	
<u>Op 3</u>	<u>2 1/2</u>	Gross <u>2022</u>
Moisture Test <u>18.5</u>		Dock. % <u>51</u>
If car bulkheaded, attach statement of lumber.		Net <u>1971</u>

### CAR RECORDS

Average Test Weight 58  
 Were Railway Car Liners Used?  
 Were Railway Car Doors Used for Erecting Bulkhead?

If so, how many? { Small \_\_\_\_\_  
 Large \_\_\_\_\_  
 Seal Nos. 300303-307  
 What Repairs Did You Make?

Depth Grain Loaded to Cent 124100  
 B.E. 120600  
 N.B.E. 45100

IF RAILWAY SUPPLIED LARGER CAR THAN ORDERED, GIVE CAPACITY OF CAR ORDERED

Hopper Scale Show Drafts Below

- 1 6300
- 2 6380
- 3 6370
- 4 6420
- 5 6450
- 6 6410
- 7 6430
- 8 6350
- 9 6400
- 10 6410
- 11 6380
- 12 6400
- 13 6370
- 14 6360
- 15 6390
- 16 6360
- 17 6370
- 18 6400
- 19 6340
- 20
- 21
- 22
- 23
- 24
- 25
- 26
- 27
- 28
- 29

Pro. No.

FOR OFFICE USE ONLY

### RECORD OF INSPECTIONS AND OUTTURNS

	B.E.	N.B.E.	Centre	Screenin
Inspected at				
Date Inspection Rec'd				
Date Inspected				
Grade				
Dockage				
Date Outturn Rec'd				
Date Unloaded				<u>7</u>
Elevator				
Net Weight				
Dockage				
Screenings				
Sold to				
Sales Invoice				<u>95</u>
Price				
Date				

Traffic Dept. Record

CAR DERIVED	LENGTH	CAPACITY
CAR APPLIED		

8  
105 - *Canadian Pacific* - 105

PRO NUMBER  
96

TRAINS / TRUCKS / SHIPS / PLANES / HOTELS / TELECOMMUNICATIONS  
WORLD'S MOST COMPLETE TRANSPORTATION SYSTEM

CAR INITIALS AND NUMBER				ISSUED AT				DATE		WAYBILL NUMBER	
CONTENT	TARE	SPL. ITEMS	DESTN. STN. NAME	DESTN. NO.	RR	ORIGIN NO.	RR	ORIGIN STN. NAME	SHIPPER	CONSIGNEE	CONTENTS
WAYBILL NO.	HR	TRAFFIC	COMMODITY	BILLING	DEST. RR. NO.	DEST. ZONE					

RECEIVED. SUBJECT TO THE TARIFF IN EFFECT ON DATE OF ISSUE OF THIS ORIGINAL BILL OF LADING. THE BULK GRAIN DESCRIBED BELOW, CONSIGNED AND DESTINED AS INDICATED BELOW, WHICH THE COMPANY AGREES TO CARRY TO ITS USUAL PLACE OF DELIVERY AT SAID DESTINATION, IF ON ITS ROAD, OTHERWISE TO DELIVER TO ANOTHER CARRIER ON THE ROUTE TO SAID DESTINATION, IT IS MUTUALLY AGREED, AS TO EACH CARRIER OF ALL OR ANY OF SAID BULK GRAIN OVER ANY PORTION OF SAID ROUTE TO DESTINATION, AND AS TO EACH PARTY AT ANY TIME INTERESTED IN ALL OR ANY OF SAID BULK GRAIN, THAT EVERY SERVICE TO BE PERFORMED HEREUNDER SHALL BE SUBJECT TO ALL THE CONDITIONS, WHETHER PRINTED OR WRITTEN, HEREIN CONTAINED (INCLUDING CONDITIONS ON BACK HEREOF) AND WHICH ARE AGREED TO BY THE SHIPPER AND ACCEPTED FOR HIMSELF AND HIS ASSIGNS.

STATION	PROV. / STATE	COUNTY	STATION FROM
ROUTE			

AGENT'S ROUTING "A"  
OR SHIPPER'S ROUTING "S"

CONSIGNEE AND ADDRESS

ORDER OF \_\_\_\_\_

CARE OF \_\_\_\_\_

DESTINATION \_\_\_\_\_

NOTIFY \_\_\_\_\_

AT \_\_\_\_\_

SHIPPER

<b>BULK GRAIN WAYBILL</b>	WEIGHT AGREEMENT STAMP OR WEIGHED AS BELOW	
	AT _____	
	GROSS _____	
	TARE _____	
	ALL'CE _____	
NET _____		

IF CHARGES ARE TO BE PREPAID, WRITE OR STAMP HERE "TO BE PREPAID"

BUSHELS	KIND OF GRAIN	WEIGHT	TRC	RATE	FREIGHT	ADVANCES (MISC. CHARGES)	
						CODE	AMOUNT

PREPAID AMOUNT

THE SURRENDER OF THIS ORIGINAL BILL OF LADING, PROPERLY ENDORSED, SHALL BE REQUIRED BEFORE DELIVERY OF THE BULK GRAIN WHEN CONSIGNED "TO ORDER" OR UPON APPLICATION BY THE OWNER OR CONSIGNEE FOR TERMINAL ELEVATOR DELIVERY OR WAREHOUSE RECEIPT. INSPECTION OF THE BULK GRAIN COVERED BY THE BILL OF LADING WILL NOT BE PERMITTED UNLESS PROVIDED BY LAW, OR UNLESS PERMISSION FOR INSPECTION AT THE FINAL POINT OF DESTINATION IS ENDORSED BY THE SHIPPER ON THE ORIGINAL BILL OF LADING OR GIVEN IN WRITING BY THE HOLDER THEREOF. THE SHIPPER REPRESENTS THE BULK GRAIN TO BE DRY AND SUITABLE FOR WAREHOUSING.

LOADING ORDER NO. \_\_\_\_\_

ESTIMATED GRADE \_\_\_\_\_

CLEANED TEST WEIGHT PER BUSHEL \_\_\_\_\_

MOISTURE TEST (IF TF. OR DP.) \_\_\_\_\_

ALS \_\_\_\_\_

FIRST YARD	SECOND YARD	THIRD YARD	FOURTH YARD
------------	-------------	------------	-------------

DESTINATION AGENT WILL STAMP HEREIN STATION NAME & DATE REPORTED

A

**WHEAT**

	1*	2*	3*	4*	*5	*6	Feed				1 C.W. Garnet	2 C.W. Garnet	3 C.W. Garnet			1 C.W. A.D.	2 C.W. A.D.	3 C.W. A.D.			WHEAT TOTAL	Dockage
1	Purchases Today Including H.O. Setts of S. Bin Tickets																					
2	Graded Storage Received Today																					
	TOTAL																					
3	Deduct Today's Stored Purchases of Graded Storage																					
4	TODAY'S NET RECEIPTS																					
5	Stocks on Hand Last Report																					
	TOTAL																					
6	Less Local Sales and Street Shipments Today																					
7	GRADED STOCKS ON HAND																					
8	WILL SHIP AS																					

**OATS**

**BARLEY**

	2 C.W.	X 3 C.W.	3 C.W.	X 1 Feed	1 Feed	2 Feed		TOTAL	Dockage	2 C.W. 2 Row	2 C.W. 6 Row	3 C.W. 6 Row	1 Feed	2 Feed	3 Feed		TOTAL	Dock	
1	Purchases Today including H.O. Setts of S. Bin Tickets																		
2	Graded Storage Received Today																		
	TOTAL																		
3	Deduct Today's Stored Purchases of Graded Storage																		
4	TODAY'S NET RECEIPTS																		
5	Stocks on Hand Last Report																		
	TOTAL																		
6	Less Local Sales and Street Shipments Today																		
7	GRADED STOCKS ON HAND																		
8	WILL SHIP AS																		

HOW MUCH OF THE ABOVE IS MALT..... BUS.

**FLAX**

**RYE**

**RAPESEED**

**MISCELLANEOUS**

**SPECIAL BIN GRAIN**

	1 C.W.	2 C.W.		FLAX TOTAL	Dockage	2 C.W.	3 C.W.		RYE TOTAL	Dockage	No. 1		RAPESEED TOTAL	Dockage				Dockage			WHEAT	OATS	BARLEY	FLAX	RYE	RAPE SEED
1	Purchases Today Including H.O. Setts of S. Bin Tickets																									
2	Graded Storage Received Today																									
	TOTAL																									
3	Deduct Today's Stored Purchases of Graded Storage																									
4	TODAY'S NET RECEIPTS																									
5	Stocks on Hand Last Report																									
	TOTAL																									
6	Less Local Sales and Street Shipments Today																									
7	GRADED STOCKS ON HAND																									
8	WILL SHIP AS																									
	On Hand Last Report																									
	Received Today																									
	TOTAL																									
	Less Stored Purchases and H.O. Setts																									
	Less Shipments Today																									
	Less Re-Deliveries Today																									
	Special Bin Grain in Store																									

**TOTAL RECEIPTS TO DATE**

**ESTIMATED RECEIPTS, SHIPMENTS AND SPACE**

	BUSHELS		AVAILABLE SPACE	CARS ON ORDER	RECEIPTS IN BUS.				CARS LOADED				U.G.G. SHIPMENTS TODAY				OUTSTANDING SHIPPING ORDERS			
	GRAIN	OTHERS			Today	MISC.	To Date	GRAIN	MISC.	Today	MISC.	To Date	Car No.	Order No.	Bushels	Grade	Order No.	No. Cars	Destination	Grain
Issued Today					GRAIN	MISC.	GRAIN	MISC.	GRAIN	MISC.	GRAIN	MISC.								
Cash Tickets																				
Graded Storage Tickets																				
Special Bin Tickets																				
	TOTAL																			
Less Stored Purchased																				
Net Receipts Today																				
Brought Forward																				
NET TOTAL TO DATE																				

REMARKS ON STOCKS BY DISTRICT MANAGER										U.G.G. LTD. ONLY										
Wheat Dkgs. Taken	Today	To Date	Flax Dkgs. Taken	Today	To Date	Rape Dkgs. Taken	Today	To Date		TOTAL SPACE										
Wheat Shgs. Taken			Flax Shgs. Taken			Rape Shgs. Taken				DEAD SPACE										
Grain Cleaned			Grain Cleaned			Grain Cleaned				WORKING SPACE										
Samples Mailed			Samples Mailed			Samples Mailed				BUSHELS TO COME										
Wheat Dkgs. IN	%		Flax Dkgs. IN	%		Rape Dkgs. IN	%			ON EXISTING QUOTAS										
Wheat Dkgs. OUT	%		Flax Dkgs. OUT	%		Rape Dkgs. OUT	%			PRESENT QUOTA										

BE SURE TO SHOW ON LINE 8 THE CORRECT GRADE AT WHICH YOUR STOCKS WILL SHIP

MANAGER

6

97

# ELEVATOR WEEKLY REPORT

TO: COUNTRY OPERATIONS DEPT.  
The Canadian Wheat Board

STATION BEAUSEJOUR  
COMPANY UNITED GRAIN CHURCHES LIMITED

60556

HOUSE No.	76
RAILWAY	C.P.R.

REPORT ALL BUSHELS BOTH RECEIPTS AND STOCKS IN EVEN HUNDREDS.

As at Friday FEB 14 1969

NOTE: Any revised figures mark "corrected."

	Spring Wheat	Durum Wheat	Oats	Over Quota Milling & Seed Oats	Over Quota Milling & Pearling Barley	Other Grades Barley	Flaxseed	Rye	Rapeseed
(1) TOTAL RECEIPTS (Net Bushels Only) (Carried from line 3 of last report)	79100		9300			1700	9800		
(2) RECEIPTS SINCE LAST REPORT (Net Bus. Only) INCLUDING S. to G. SPEC. BIN and GRADED STORAGE	400		3300			200	100		
(3) TOTAL RECEIPTS (Net Bushels Only) (Carry to line 1 of next report)	79500		12600			1900	9900		

DO NOT INCLUDE IN ABOVE FIGURES GRAIN UNLOADED FROM RAILWAY CARS OR TRUCKED IN FROM OTHER ELEVATORS

## TOTAL STOCKS AS BINNED INCLUDING GRAIN IN ANNEXES

WHEAT Group No. 1	NET BUSHELS ONLY			No. of Car Lots	OATS Group No. 4	NET BUSHELS ONLY		No. of Car Lots
	Straight	Tough	Damp			Straight	Tough Damp	
1 Nor.					3 C.W. & Higher Grades	800		
2 Nor.	11400			24	X1 Feed			
3 Nor.	11400	500		9	1 Feed	3400	1100	2
4 Nor.	9700	8100	1500	3	2 Feed			
Subject to Grade or Spec. Bin					3 Feed & Lower Grades			
NET BUS. TOTALS	63600	2600	1500		Subject to Grade or Special Bin			
Total of Group No. 1	67700				NET BUS. TOTALS	4600	1100	
Group No. 2					Total of Group No. 4	5700		
No. 5	300				BARLEY Group No. 5			
No. 6					1, 2 & 3 C.W. 2 Row			
Feed					1, 2 & 3 C.W. 6 Row			
Lower Grade Incl. Rejected					1 Feed	1900		
1 A.R.W.					2 Feed			
2 A.W.					3 Feed & Lower Grades			
All other A.W.					Over Quota Milling & Pearling Barley			
Total All Grades Garnet					Subject to Grade or Special Bin			
All Grades Soft W-Spring					NET BUS. TOTALS	1900		
NET BUS. TOTALS	300				Total of Group No. 5	1900		
Total of Group No. 2	300				FLAXSEED Group No. 6			
Durum Wheat Group No. 3					1 C.W.	3000	500	1
1 & 2 C.W. Durum					Total of Group No. 6	8500		
3 C.W. Durum					RYE Group No. 7			
Es. 4 C.W. Durum					3 C.W.	1000		
4 C.W. Durum					Total of Group No. 7	1000		
Subject to Grade or Spec. Bin					RAPESEED Group No. 8			
All other Durums					Total of Group No. 8			
NET BUS. TOTALS								
Total of Group No. 3								

REGISTERED WORKING CAPACITY 93000  
(Elevators & Annexes)

### SUMMARY of STOCKS

TOTAL GROUP No. 1	67700
" " " 2	300
" " " 3	5400
" " " 4	5700
" " " 5	1900
" " " 6	8500
" " " 7	1000
" " " 8	

DKGE. SCRGs., CORN } 2500  
BUCKWHEAT, PEAS, }  
MUSTARD, ETC. }  
TOTAL (10) 81600

IT IS VERY IMPORTANT to show Space now available for further receipts

11400 Bushels

IF NO SPACE PLEASE MARK "NIL"

Please indicate Estimate Balance to come from your Customers on Quota in effect this date.

10000 Bushels

IF NO BALANCE PLEASE MARK "NIL"

Also show additional quantity to come from your Customers when the Delivery Quota is increased by 1 Bushel.

33000 Bushels

FOR BALANCE DELIVERABLE ESTIMATES PLEASE REFER TO INSTRUCTIONS ON COVER "L"

### TOTAL SHIPMENTS FOR THE SEASON

	WHEAT	DURUM	OATS	Over Quota OATS	Over Quota BARLEY	OTHER BARLEY	FLAX-SEED	RYE	RAPE-SEED	TOTAL
(A) Total cars carried from last 101 report	36		8			1	2			47
(B) Cars shipped since last report			1			1	2			4
(C) TOTAL cars shipped since August 1	36		9			2	4			51

### RECORD OF ORDERS

Shipping orders on hand	9					1				10
Orders placed with Rly. for cars	9					1				10

IMPORTANT—This report to be made up as at the close of business each FRIDAY and MAILED in time TO REACH your HEAD OFFICE NOT LATER THAN MONDAY.

Elevator Manager's Signature D.L. Kubanski

# The Canadian Wheat Board

423 MAIN STREET  
WINNIPEG 2, CANADAUnited Grain Growers Ltd.,  
Winnipeg, Manitoba.

September 24th, 1968.

Attention: Mr. J. Welsh.

Dear Sirs:

Re: Shipments to the Lakehead  
Ex' Saskatchewan Stations

From stations situated in the province of Saskatchewan, please arrange for shipment to the Lakehead, all Carlots of Wheat grading No. 5 Wheat, No. 6 & Feed Wheat, Rejected Mixed Heated, Sample Grades etc., presently in store, using the following order numbers for this purpose:

All Carlots	No. 5 Wheat	Shipping Order No. 4014
All Carlots	No. 6 & Feed Wheat	Shipping Order No. 4015
All Carlots	Rej. Mxd. Htd., Sple. grades of wheat etc.	Shipping Order No. 4016

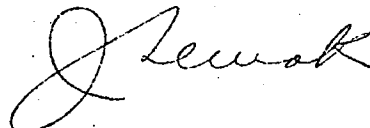
Please submit your list of stations, showing the number of cars of each grade allocated to each under the respective order numbers, and as shipments are made, report same to this office on form 21A, quoting the above order numbers.

These order numbers should also be shown on your delivery reports when submitted for settlement.

NOTE: As further carlots of the above grades accumulate, please submit your list, on a weekly basis, for our consideration.

Yours very truly,

THE CANADIAN WHEAT BOARD,



Shipments, Country Operations.

### The Canadian Wheat Board WINNIPEG - MANITOBA

### DAILY SUMMARY OF STREET DELIVERIES

## G R A I N

Your Report No. ....

Date ..... 19 .....

Co. ....

Co. Permit No. ....

**Manitoba and Saskatchewan**

GRADE CODE	GRADE	NET BUSHELS							
		MANITOBA	TENTHS	SASK.	TENTHS	TOTAL	TENTHS		
TOTAL NET BUSHELS									

FOR BOARD USE ONLY

This form is a summary of  
the grades itemized on form  
No. 5.

Certified Correct:

\_\_\_\_\_  
For the above Company

THE CANADIAN WHEAT BOARD  
DAILY SUMMARY OF ADVANCES AND/OR REFUNDS

COMPANY.....CO. PERMIT No.....DATE.....

CROP YEAR

**ADVANCES TO PRODUCERS**

ADVANCES PAID:

REPORT No.		AMOUNT DUE COMPANY				AMOUNT DUE BOARD			
	MANITOBA								
	SASKATCHEWAN								
	ALBERTA								

ADJUSTMENTS:

REPORT No.		AMOUNT DUE COMPANY				AMOUNT DUE BOARD			
	MANITOBA								
	SASKATCHEWAN								
	ALBERTA								
TOTAL									

DUE COMPANY LESS DUE BOARD

AMOUNT PAYABLE TO COMPANY

--	--	--	--	--	--	--	--	--	--

**REFUNDS FROM PRODUCERS**

GRAIN	CLASS OF PURCHASE	PROVINCE	REPORT No.	AMOUNT DUE BOARD				AMOUNT DUE COMPANY			

ADJUSTMENT REPORTS:

GRAIN	CLASS OF PURCHASE	PROVINCE	REPORT No.	AMOUNT DUE BOARD				AMOUNT DUE COMPANY			

ADJUSTMENTS BY ERROR SLIP:

GRAIN	ERROR SLIP No.			AMOUNT DUE BOARD				AMOUNT DUE COMPANY			
TOTAL											

DUE BOARD LESS DUE COMPANY

AMOUNT RECEIVABLE FROM COMPANY

--	--	--	--	--	--	--	--	--	--

NET AMOUNT PAYABLE TO COMPANY

NET AMOUNT RECEIVABLE FROM COMPANY

--	--	--	--	--	--	--	--	--	--

SIGNED ON BEHALF OF COMPANY

**UNITED GRAIN GROWERS LIMITED**

Vancouver Memo Contracts

Deferred \_\_\_\_\_  
Open \_\_\_\_\_

**GRAIN DEPARTMENT STATEMENT**

Office at \_\_\_\_\_

Date \_\_\_\_\_

19\_\_\_\_

Over-Quota Barley \_\_\_\_\_

Cars on Order Port Arthur \_\_\_\_\_

Cars on Order Vancouver \_\_\_\_\_

FLAX RYE RAPESEED

MARKET POSITION							IN ELEVATOR							STREET STOCKS					IN TRANSIT				
WHEAT	OATS	BARLEY	FLAX	RYE	RAPESEED		WHEAT	DURUM	OATS	BARLEY	FLAX	RYE	RAPESEED	WHEAT	DURUM	OATS	BARLEY	FLAX	RYE	RAPESEED			
Street Purchases						Balance Forward								Balance Forward									
Special Purchases						Cash Tickets Today								Shipment Today									
Transfers						TOTAL								TOTAL									
O/S Tickets						Shipments Today								Sales Today									
Old in Transit																							
O/S P. & W.						BALANCE								BALANCE									
						Farmers (East and West)								Farmers									
						In Elevators								Line									
TOTAL LONG																							
Street Sales						Balance Forward								Balance Forward									
Street Contracts						Stored Today								Stored Today									
Special Sales						TOTAL								TOTAL									
O/S Tickets						Surrenders Today								Surrenders Today									
Clear. House Bal.						BALANCE								BALANCE									
						Spec. Bin Cars																	
						In Transit																	
TOTAL SHORT																							
Long																							
Short																							

SPECIAL BIN

FARMERS' STOCKS

GRADED

East Vanc.  
Cons. Vanc.

PURCHASES							SALES						
Wheat	Durum	Oats	Barley	Flax	Rye	RapeSeed	Street Sales	Special Sales	On-Street Contracts	Spot	Prev. Short Position	Hedges On	

WHEAT BOARD POSITION

RECEIPTS TODAY

TOTAL THIS SEASON

NON BOARD GRAIN

TOTAL HANDLING

Season	WHEAT BOARD POSITION				Wheat	Durum	Oats	Barley	Flax	Rye	RapeSeed	TOTAL	NON BOARD GRAIN			TOTAL HANDLING		
	WHEAT	DURUM	OATS	BARLEY									Receipts	Total This Year	Board	This Year	Last Year	
Purchases													Wheat			Board		
Deliveries													Oats			Flax		
BALANCE													Barley			Rye		
																RapeSeed		
													TOTAL			Non Board		
																TOTAL		
In Elevators																MUSTARD		
In Transit																		
Due Wheat Board																		
Purchases Today																		
Deliveries Today																		
Shipments Today																		

CASH SUMMARY	Cash Paymaster	\$ _____
	O/S Cash Tickets	\$ _____
	O/S Advance on Stored Grain	\$ _____

Original.....  
Checked.....

74

102





COUNTRY ELEVATOR WEEKLY REPORT

Company.....

Address.....

Week Ending.....

Index No.....  
FORM B-845 REVISED 4-55

(Read Instructions on Back)

GRAIN AND PROVINCE	PLATFORM LOADINGS (A)	TOTAL ON HAND LAST REPORT (B)	PAST WEEK'S PRIMARY RECEIPTS (C)	RE-SHIPMENT AND TRANSFER REC'TS (D)	PAST WEEK'S PRIMARY SHIPMENTS (E)	RE-SHIPMENT AND TRANSFER SHIPMENTS (F)	TOTAL ON HAND IN ELEVATOR (G)
<b>WHEAT</b> (Other than Durum)		NET BUS.	NET BUS.	NET BUS.	NET BUS.	NET BUS.	NET BUS.
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>DURUM WHEAT</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>OATS</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>BARLEY</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>RYE</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>FLAXSEED</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							
<b>RAPSEED</b>							
MANITOBA							
SASKATCHEWAN							
ALBERTA							
TOTAL							

DISTRIBUTION OF PRIMARY SHIPMENTS ONLY (COLUMN (E) ABOVE)

	LOCAL SALES EX-ELEVATOR — INCLUDING SEED FEED OR LOCAL CRISTING, ETC.	CARLOTS TO TERMINALS AT FORT WILLIAM OR FORT ARTHUR	CARLOTS ALL-RAIL DIRECT FROM WEST TO EAST OF LAKEHEAD	CARLOTS TO MILLS MALTERS, DISTILLERS OR OTHER MANUFACTURERS	CARLOTS TO TERMINALS AT PACIFIC COAST	SHIPMENTS TO U.S.A.	CARLOTS TO GOVERNMENT & OTHER INTERIOR TERMINALS WEST OF THE LAKEHEAD (SHOW CHICAGO SHIPMENTS SEPARATELY)
Wheat (not Durum)							
DURUM WHEAT							
OATS							
BARLEY							
RYE							
FLAXSEED							
RAPSEED							

AMOUNT OF FIRE INSURANCE IN FORCE ON GRAIN IN STORE AS ABOVE \$.....  
OR IS THE INSURANCE EFFECTED BY MEANS OF AN "OPEN" GRAIN INSURANCE POLICY?.....

I declare that this is a true and correct statement of the quantity of both purchased and stored grain received, shipped and in store in the above named elevator at the close of business WEDNESDAY OF EACH WEEK.

I have personal knowledge of the matters herein deposed to and I make this solemn declaration conscientiously believing the same to be true, and knowing that it is of the same force and effect as if made under oath, and by virtue of "The Canada Evidence Act".

Dated.....19.....

SIGNATURE OF PERSON MAKING THE REPORT

This form duly completed and certified must reach the Chief Statistician, Board of Grain Commissioners, Winnipeg, Man.

BY THE FOLLOWING SATURDAY WITHOUT FAIL



• TERMINAL ELEVATOR OUTTURN •

DATE ISSUED	GRAIN	GRADE DESCRIPTION	NET BUSHEL
21/03/68	WHEAT	1 NORTHERN	2,028.8

DATE UNLOADED	CONSIGNEE	STATION	TERMINAL	VIA
21/03/68	MANITOBA PL	NIVERVILLE	MAN POOL 1-PORT ARTHUR	CP248906

SCALE WEIGHT	GROSS BUSHEL	SHRKG.	DOCK. %	DOCK. BUS.	SCRGS. RET.	MOIST. %	M-S %	CHARGES		
								CLEANING	IN/WGH.	OTHER
124,880	2,081.3	.5	2.5	52.0				4.50		

THE ABOVE SHOWS DETAILS OF GRAIN UNLOADED INTO THE TERMINAL NAMED ABOVE, AND INDICATES ANY CHARGES PAYABLE IN CONNECTION THEREWITH.

**TOTAL AMOUNT PAYABLE 4.50**

TERMINAL ELEVATOR WAREHOUSE RECEIPT

No. 25180

DATE OF ISSUE	GRAIN	GRADE DESCRIPTION	NET BUSHEL
5/12/67	WHEAT	TOUGH 3 NORTHERN	***1,848.2
UNLOAD OR STRGE PD. TO DATE	CONSIGNEE	TERMINAL	CAR NUMBER
5/12/67	PIONEER GRN	RICHARDSON-PORT ARTHUR	CN511107 15.3

Received in store in our terminal named above, subject to the order of the above named consignee, Canadian grain of grade and quantity as shown hereon. Like grade and quantity will be delivered to the holder hereof upon surrender of this receipt properly endorsed and on payment of all lawful charges due to the above named terminal.

*This receipt not valid unless Signed, Countersigned and Registered*

Signed

Counter-signed

FOR CANCELLATION ONLY		
1 SHIPMENT	DATE CANCELLED	DRYING LOSS %
2 ARTIFICIAL DRYING	NEW GRADE	CHARGES
3 NATURAL DRYING		
4 CONSOLIDATION	AMOUNT OF SPLIT	CUSTOMER CODE
5 SPLIT		
6 STOCK ADJUSTMENT		
7 GRADE CHANGE		
8 GRADE PROMOTION		
9 C.A.P.		

Registered as to Weight and Grade

**DAILY REPORT  
OF  
STREET DELIVERIES**

Prices Checked	
Check'd with Doc's	
Station No's.	
Compt. Checked	
Cards Punched	

**THE CANADIAN WHEAT BOARD**  
WINNIPEG GRAIN MANITOBA



**Manitoba and Saskatchewan**

Your Report No. ....  
 Sheet No. ....  
 Date ..... 19 ....  
 Company .....  
 Company Permit No. ....

SHIPPING ORDER No.	GROSS WEIGHT In Pounds	LOADING DATE			W.H.R. No. OF CAR No.	UNLOAD DATE			TERMINAL	EX. STATION		NET BUSHEL	Grade Code	GRADE	Fixed Price	GROSS VALUE	DEDUCTIONS				ADDITIONS					
		Day	Mo.	Yr.		Day	Mo.	Yr.		NAME	No.						Storage	Days	Freight	C. Charges	Days	Int. Term. Div. Prem.	Freight Differential	Rate		
<b>TOTALS</b>																										

Net Amount Payable

# THE CANADIAN WHEAT BOARD DELIVERY SUMMARY

COMPANY UNITED GRAIN CROVERS LTD.

DATE \_\_\_\_\_

MARK CLASS OF DELIVERY PLAINLY	BARLEY		OATS		WHEAT	
	BUSHELS	NET AMOUNT PAYABLE	BUSHELS	NET AMOUNT PAYABLE	BUSHELS	NET AMOUNT PAYABLE
GRAIN WO - BO	ERROR SLIP No.	DUE COMPANY X - 20	DUE BOARD No. X - 20	TOTAL MONEY ALL GRAINS		
				ERRORS ADD		
				ERRORS DEDUCT		
				NET AMOUNT PAYABLE		
	<b>TOTAL</b>			APPROVED _____		

THE CANADIAN WHEAT BOARD					STATEMENT OF ACCOUNT ADVANCE PAYMENT			
20	1	1 MAY 26/69	62976	GLADSTONE	MAN	82075326	JUN 04/69	
C	SH.	LAST TRANSACTION	STN. NO.	STATION NAME	PERMIT NO.			
PRODUCER'S NAME AND NUMBER		ADVANCE REFUND	CO. NO.	YEAR/APP. NO. OR P.C. NO.	DATE DAY MO. YR.		VALUE	+
SINGLE HOWARD J		0082075326	ADV 35	68/661	101268		20300	-
SINGLE HOWARD J		0082075326	RFD 35	329278	140469		11900-	-
SINGLE HOWARD J		0082075326	RFD 35	329453	260569		8400-	-
AR-E					RETRD			

DO NOT WRITE OR STAMP IN THIS SCANNING AREA  
NEGOTIABLE WITHOUT CHARGE AT ANY CHARTERED BANK IN CANADA. NOT NEGOTIABLE OUTSIDE CANADA

The Canadian Wheat Board

423 MAIN ST. • WINNIPEG • MANITOBA

FINAL PAYMENT  
CATS

X- 008613

PAY TO THE ORDER OF

AMOUNT

\$
¢

TO THE  
BANK OF MONTREAL  
PORTAGE AVE. AT MAIN ST.  
WINNIPEG 1, MAN.

NON NEGOTIABLE

GRADE DESCRIPTION	DELIVERIES BUSHELS	RATE		AMOUNT	
		1/10	¢	DEC.	\$

STATION NAME

STATION NO.

TOTAL AMOUNT

PRODUCER'S NO.

CHEQUE NO.

DAY MO. YR.

THE CANADIAN WHEAT BOARD

NOT NEGOTIABLE-DETACH & RETAIN

1967-68 FINAL CATS  
COUNCIL OF CANADIAN  
WHEAT PRODUCERS

THE CANADIAN WHEAT BOARD  
STATEMENT OF ELEVATOR STOCKS, SPACE AND CAR REQUIREMENTS  
(THOUSANDS OF BUSHELS)

Table with columns: PAGE, DATE, RLY, No. OF SHORNS WHEAT QUOTA, No. OF ELEVATORS, TOTAL WAREHOUSE CAPACITY, TOTAL STOCKS ALL GRAINS, SPACE NOW AVAILABLE, BALANCE DELIVERABLE ON QUOTA, CARS REQUIRED FOR AUTHORIZED DELIVERIES (A-F), ORDERS OUTSTANDING, % DEL. (PREVIOUS WEEK), CARS SHIPPED (PREVIOUS WEEK). Rows include elevators like BRANDON DIV, NAPINKA, WOOD BAY, etc.





CONSIGNEE STATION CAR NO. GRN GRADE DK.% POUNDS GR.BUS. SHKGE DOK.BUS NET BUS. CLG.CHG. T&W OTHER TOT.CHG. SCRGS. MOIST

SASK WHT PL	BALGONIE	CP259214	01 2	NORTHERN	2.0	132,270	2,204.5	.5	44.1	2,159.9	4.50	4.50
SASK WHT PL	BALGONIE	CP243558	01 2	NORTHERN	2.0	128,010	2,133.5	.5	42.7	2,090.3	4.50	4.50
SASK WHT PL	INDIANHEAD	CP266966	01 2	NORTHERN	2.0	133,500	2,225.0	.5	44.5	2,180.0	4.50	4.50
SASK WHT PL	SINTALUTA	CP255584	01 2	NORTHERN	2.0	132,800	2,213.3	.5	44.3	2,168.5	4.50	4.50
SASK WHT PL	SINTALUTA	CP244018	01 2	NORTHERN	1.0	122,900	2,048.3	.5	20.5	2,027.3	4.50	4.50

WAREHOUSE RECEIPT NO. FOR 62,482.8 11.04 139.50 3,970

TOTAL 2 NORTHERN 3,823,360 63,722.7 15.5 1,224.4 62,482.8\*

SASK WHT PL	MEATH PARK	CP262213	01 3	NORTHERN	2.0	131,560	2,192.7	.5	43.8	2,148.4	4.50	4.50
SASK WHT PL	TANTALLON	CP090585	01 3	NORTHERN	2.0	132,860	2,214.3	.5	44.3	2,169.5	4.50	4.50
SASK WHT PL	GRENFELL	CP244579	01 3	NORTHERN	1.5	123,120	2,052.0	.5	30.8	2,020.7	4.50	4.50
SASK WHT PL	GRENFELL	CP244473	01 3	NORTHERN	1.5	122,640	2,044.0	.5	30.7	2,012.8	4.50	4.50

WAREHOUSE RECEIPT NO. FOR 8,351.4 18.00

SASK WHT PL	ROCANVILLE	CP243228	01 3	NORTHERN	1.5	121,650	2,027.5	.5	30.4	1,996.6	4.50	4.50
-------------	------------	----------	------	----------	-----	---------	---------	----	------	---------	------	------

WAREHOUSE RECEIPT NO. FOR 1,996.6 4.50

TOTAL 3 NORTHERN 631,830 10,530.5 2.5 180.0 10,348.0\*

SASK WHT PL	TYVAN	CP254407	01	R1N STONES	3.5	123,240	2,054.0	.5	71.9	1,981.6	10.27	4.50	14.77	3,700
-------------	-------	----------	----	------------	-----	---------	---------	----	------	---------	-------	------	-------	-------

WAREHOUSE RECEIPT NO. FOR 1,981.6 10.27 4.50 3,700

TOTAL R1N STONES 123,240 2,054.0 .5 71.9 1,981.6\*

TOTAL WHEAT 6,703,450 111,724.1 27.5 2,142.3 109,554.3\*\* 15,940\*

SASK WHT PL	THRASHER	CP240207	02	1CW DURUM	3.5	121,570	2,026.2	.5	70.9	1,954.8	10.13	4.50	14.63	3,650
SASK WHT PL	MORSE	CP253397	02	1CW DURUM	1.5	124,660	2,077.7	.5	31.2	2,046.0	9.00	4.50	4.50	3,650

WAREHOUSE RECEIPT NO. FOR 4,000.8 10.13 9.00 3,650

TOTAL 1CW DURUM 246,230 4,103.9 1.0 102.1 4,000.8\*

SASK WHT PL	DUNGRE	CP265834	02	2CW DURUM	3.0	130,640	2,177.3	.5	65.3	2,111.5	10.88	4.50	15.38	3,270
SASK WHT PL	FERLAND	CP227043	02	2CW DURUM	3.0	91,790	1,529.8	.5	45.9	1,483.4	7.65	4.50	12.15	2,290

WAREHOUSE RECEIPT NO. FOR 3,594.9 18.53 9.00 5,560

TOTAL 2CW DURUM 222,430 3,707.1 1.0 111.2 3,594.9\*

SASK WHT PL	MOOSE JAW	CP260986	02	3CW DURUM	1.5	133,930	2,232.2	.5	33.5	2,198.2	4.50	4.50
SASK WHT PL	MORSE	CP227256	02	3CW DURUM	1.0	91,250	1,520.8	.5	15.2	1,505.1	4.50	4.50

WAREHOUSE RECEIPT NO. FOR 3,703.3 9.00

TOTAL 3CW DURUM 225,180 3,753.0 1.0 48.7 3,703.3\*

SASK WHT PL	HERBERT	CP261041	02	E4CW DURUM	1.0	96,760	1,612.7	.5	16.1	1,596.1	4.50	4.50
-------------	---------	----------	----	------------	-----	--------	---------	----	------	---------	------	------

WAREHOUSE RECEIPT NO. FOR 1,596.1 4.50

TOTAL E4CW DURUM 96,760 1,612.7 .5 16.1 1,596.1\*

25

111 L. GRAIN LIMITED

REGISTRATION

TERMINAL.....  
 GRAIN.....  
 DATE.....

WAREHOUSE RECEIPT NO.	DATE IN STORE	STORAGE PAID TO	CAR NO.	GRADE						CANC. NO.

DAILY STOCK RECORD

GRAIN .....

TERMINAL .....

GRADE	BALANCE	RECEIPTS	TOTAL	SHIPMENTS	ADD	DEDUCT	BALANCE	PRICE	VALUE	



## A P P E N D I X C

### A System for the Definition of Data Bases

#### C.1 Introduction

A data base computing system requires a data description language through which a data base can be described to it. The available literature on data base systems does not contain an adequate amount of material on the data description aspect of the data base problem.

Recommendations have been made for the extension of COBOL to handle data bases <sup>(3)</sup> but no mention was made towards a means of describing the data base structure. For the purposes of this thesis a hypothetical data description language, based upon concepts found in COBOL, PL/1, and IDS <sup>(1)</sup>, was formulated and used in chapter three to show how a data base could be described to a computing system.

#### C.2 Highlights of the Language

The description of the data base is enclosed within the statements START DATA BASE DESCRIPTION and END DATA BASE DESCRIPTION in order to facilitate its automatic translation into a form which could be used by the data base handler.

Since it is possible for more than one data base to exist in a system the data base is assigned a name through the use of the statement DATA BASE NAME IS.

A data base can be considered as being made up of logically independent segments, each of which can be assigned a name through the

use of the statement `SEGMENTNAME IS`.

Each segment of a data base can be considered as being composed of records each of which can be assigned a name through the use of the statement `RECORD IS`.

The structure of records within a segment of the data base can be specified through the use of one or more `STRUCTURE IS` statements. These are followed by one of the structural types `UNORDERED`, `INDEXED`, `SEQUENTIAL`, or `LIST`. The unordered structure requires no further parameters but the other three must be clarified.

An indexed structure requires the specification of the fields on which indexing can occur. A sequential structure requires the specification of the fields on which it is sequenced. The list structure requires clarification as to whether forward or forward and back linkage is to be used.

The specification of the occurrence of individual records within a segment can be specified as being a single occurrence, a random occurrence, or a repeating group.

If a segment has been assigned the structure list, then each record must specify the field, or fields, on which they are linked and the conditions under which linkage is to be established.

Each record is composed of fields which are assigned names and attributes in a manner similar to that found in COBOL or PL/1. An overflow area can be specified by the use of the statement

OVERFLOW AREA followed by its structure.

## A P P E N D I X D

### Information Retrieved from the Data Base

#### D. 1 Introduction

This appendix displays the results of a series of selected requests made of the data base to demonstrate the type of requests that can be satisfied by the data base handler.

In each report the first line is a reproduction of the request as received by the data base handler. One or more groups of information follow each request. Within each of these groups the first line identifies the record accessed and the remainder is the fields requested from that record.

The grain data from records in the country or terminal segments appears as three separate numbers. The first represents the current stock position, the second the year to date receipts and the third the year to date shipments.

Examples are given of the record accessing capabilities and the field accessing capabilities.

#### D. 2 Record Accessing

The following index points out the report or reports which exhibit the accessing of a specific record or series of records.

Record Type	Report
Country Segment	
specific company at a specific station	1, 6



Record Type	Report
each company at a specific station	2
each station	3
each company (overall)	4
each station occurrence of a specific company	5
country total	7
specific company (overall)	8
specific station	9
each country elevator	10
Transit Segment	
specific boxcar	11, 12
each occurrence of a specific company	13
each occurrence of a specific destination	14
each occurrence of a specific order number	15
Terminal Segment	
each elevator at a specific location	16
specific elevator for a specific company	17
each company at a specific location	18
specific company at a specific location	19

### D.3 Field Accessing

The following index points out the report or reports which exhibit the accessing of a specific field or group of fields within a record.

Field Type	Report
Country/Terminal Segment	
specific grade of a specific grain	1, 5, 18
each grade of a specific grain	2
specific grain total	3, 8, 10
elevator capacity	4
each grain total	6, 9
entire record	7, 17
space in use	16
each grade for each grain	19
Transit Segment	
entire record	11
destination	12
last reported location	13
grain	14
station of origin	15

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APPLICATION#CNTRY,STN#ATWATER,COMP#FEDERAL,GRAIN#RAPESEED,GRADE#LCR

STATION #	ATWATER,	COMPANY #	FEDERAL
RAPESEED LCR		39123	61959 64397

APPLICATION#CNTRY,STN#ELIE,COMP#EACH,GRAIN#OATS,GRADE#EACH

STATION #	ELIE, COMPANY #	FEDERAL	
OATS 1FEED	59422	56126	53236
OATS EX1FEED	122862	65547	40343
OATS EX3CW	73643	106755	62233 .

STATION #	ELIE, COMPANY #	PIONEER	
OATS 1FEED	46076	47684	71900
OATS EX1FEED	44967	85462	91884
OATS EX3CW	68972	72792	34560

STATION #	ELIE, COMPANY #	SASKPOOL	
OATS 1FEED	101925	74512	83454
OATS EX1FEED	64434	104383	86551
OATS EX3CW	54344	53893	75390

STATION #	ELIE, COMPANY #	UNITED	
OATS 1FEED	47617	44407	88466
OATS EX1FEED	70232	65299	56986
OATS EX3CW	57671	108868	40056

## APPLICATION#CNTRY,STN#EACH,GRAIN#FLAX

STATION #	ATWATER, COMPANY #	ALL	
FLAX TOTAL	685663	731044	808486
STATION #	BENARD, COMPANY #	ALL	
FLAX TOTAL	676263	439251	495516
STATION #	COLBY, COMPANY #	ALL	
FLAX TOTAL	654127	511164	528551
STATION #	DROPMORE, COMPANY #	ALL	
FLAX TOTAL	627797	482696	615313
STATION #	ELIE, COMPANY #	ALL	
FLAX TOTAL	614287	576376	624648
STATION #	GOLDEN, COMPANY #	ALL	
FLAX TOTAL	267383	234978	263481
STATION #	HOMWOOD, COMPANY #	ALL	
FLAX TOTAL	567530	580306	474797
STATION #	LANCER, COMPANY #	ALL	
FLAX TOTAL	375547	281986	435579
STATION #	MELVILLE, COMPANY #	ALL	
FLAX TOTAL	300206	285116	226571
STATION #	OAKVILLE, COMPANY #	ALL	
FLAX TOTAL	259250	246448	271240
STATION #	PENNANT, COMPANY #	ALL	
FLAX TOTAL	553059	492066	517968
STATION #	SUCCESS, COMPANY #	ALL	
FLAX TOTAL	269096	216703	269418
STATION #	WROXTON, COMPANY #	ALL	
FLAX TOTAL	130314	93336	111436

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## APPLICATION#CNTRY,COMP#EACH,CAPACITY

STATION # ALL , COMPANY # FEDERAL  
ELEVATORCAPACITY 12403701

STATION # ALL , COMPANY # NATIONAL  
ELEVATORCAPACITY 11227438

STATION # ALL , COMPANY # PIONEER  
ELEVATORCAPACITY 14048268

STATION # ALL , COMPANY # SASKPOOL  
ELEVATORCAPACITY 16094638

STATION # ALL , COMPANY # UNITED  
ELEVATORCAPACITY 110529??

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APPLICATION#CNTRY,STN#EACH,COMP#UNITED,GRAIN#WHEAT,GRADE#TOUGH

STATION #	ATWATER,	COMPANY #	UNITED	
WHEAT TOUGH		71791	60254	51366

STATION #	BENARD,	COMPANY #	UNITED	
WHEAT TOUGH		76447	73215	64918

STATION #	COLBY,	COMPANY #	UNITED	
WHEAT TOUGH		103739	37893	33958

STATION #	ELIE,	COMPANY #	UNITED	
WHEAT TOUGH		81854	26026	74123

STATION #	HOMEWOOD,	COMPANY #	UNITED	
WHEAT TOUGH		55630	67312	70984

STATION #	LANCER,	COMPANY #	UNITED	
WHEAT TOUGH		82821	38145	55444

STATION #	PENNANT,	COMPANY #	UNITED	
WHEAT TOUGH		75300	78434	78900

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APPLICATION#CNTRY,STN#HOMEWCOD,COMP#PIONEER,GRAIN#EACH

STATION #	HOMEWOOD,	COMPANY #	PIONEER
WHEAT TOTAL	312658	344579	388112
DURUM TOTAL	399510	383904	403435
OATS TOTAL	194640	202619	194274
BARLEY TOTAL	246271	260638	339709
FLAX TOTAL	79849	118380	142107
RYE TOTAL	105248	106142	137619
RAPESEED TOTAL	45862	90341	72179



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## APPLICATION#CNTRY

STATION #	ALL	COMPANY #	ALL
WHEAT TOTAL	14545423	15072037	14058783
WHEAT 1N	2644657	2744918	2649045
WHEAT 2N	2859430	2632021	2849579
WHEAT 3N	2982483	2896996	2611041
WHEAT 4N	2877512	3155023	2806202
WHEAT TOUGH	2663478	3102347	2642167
DURUM TOTAL	14302101	14290008	14639274
DURUM 1CW	2834442	2678584	2905209
DURUM 2CW	2726930	2636598	2973121
DURUM 3CW	2874746	2659809	2675042
DURUM 4CW	2711352	2967074	2938821
DURUM EX4CW	2599669	2825021	2705847
OATS TOTAL	8852832	8878476	8768348
OATS 1FEED	2829468	2947859	2793400
OATS EX1FEED	2842176	2844767	2944494
OATS EX3CW	2823240	2854709	2685211
BARLEY TOTAL	11870411	11464155	11878032
BARLEY 1FEED	2921952	2648797	2769565
BARLEY 2FEED	2878818	2780122	2971831
BARLEY 3CW&ROW	2760393	2741097	2906380
BARLEY TOUGH	2961601	2888314	2755051
FLAX TOTAL	6110836	5264806	5754440
FLAX 1CW	2755620	2514867	2841960
FLAX TOUGH	3151189	2550213	2695884
RYE TOTAL	5474711	5859250	5657514
RYE 2CW	2777475	2787522	2820050
RYE 3CW	2536402	2838484	2689551
RAPESEED TOTAL	2794113	2798865	2689139
RAPESEED 1CR	2682516	2715695	2596115
ELEVATORCAPACITY	66575177		
TOTAL SPACE USED	63950427		

APPLICATION#CNTRY,COMP#NATIONAL,GRAIN#DURUM

STATION #	ALL	, COMPANY #	NATIONAL
DURUM TOTAL	2375893	2448040	2539332

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## APPLICATION#CNTRY,STN#SUCCESS,GRAIN#EACH

STATION #	SUCCESS,	COMPANY #	ALL
WHEAT TOTAL	648750	673021	717822
DURUM TOTAL	684863	656783	717410
OATS TOTAL	443688	439244	354148
BARLEY TOTAL	628509	404357	611174
FLAX TOTAL	269096	216703	269418
RYE TOTAL	302718	291793	218004
RAPESEED TOTAL	96215	149489	152425

## APPLICATION#CNTRY,STN#EACH,COMP#EACH,GRAIN#RYE

STATION #	ATWATER,	COMPANY #	FEDERAL
RYE TOTAL	149092	132685	124076
STATION #	ATWATER,	COMPANY #	NATIONAL
RYE TOTAL	132628	141013	167344
STATION #	ATWATER,	COMPANY #	PIONEER
RYE TOTAL	152074	170329	120113
STATION #	ATWATER,	COMPANY #	SASKPCOL
RYE TOTAL	91373	127214	96861
STATION #	ATWATER,	COMPANY #	UNITED
RYE TOTAL	182809	84328	127095
STATION #	BENARD,	COMPANY #	FEDERAL
RYE TOTAL	90614	161428	152401
STATION #	BENARD,	COMPANY #	NATIONAL
RYE TOTAL	81506	135211	133896
STATION #	BENARD,	COMPANY #	SASKPCOL
RYE TOTAL	185074	142981	172415
STATION #	BENARD,	COMPANY #	UNITED
RYE TOTAL	155081	162657	187614
STATION #	COLBY,	COMPANY #	NATIONAL
RYE TOTAL	171100	145299	139622
STATION #	COLBY,	COMPANY #	PIONEER
RYE TOTAL	127445	160485	135327
STATION #	COLBY,	COMPANY #	SASKPCOL
RYE TOTAL	144985	211517	73420
STATION #	COLBY,	COMPANY #	UNITED
RYE TOTAL	97809	55998	113562
STATION #	DROPMORE,	COMPANY #	FEDERAL
RYE TOTAL	140415	147003	166828
STATION #	DROPMORE,	COMPANY #	NATIONAL
RYE TOTAL	120788	181075	140572
STATION #	DROPMORE,	COMPANY #	PIONEER
RYE TOTAL	153684	142204	125330

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STATION #	DROPMORE,	COMPANY #	SASKPCOL	
RYE TOTAL	92491	97477	87262	
STATION #	ELIE,	COMPANY #	FEDERAL	
RYE TOTAL	97683	111957	145279	
STATION #	ELIE,	COMPANY #	PIONEER	
RYE TOTAL	140394	160318	163578	
STATION #	ELIE,	COMPANY #	SASKPOOL	
RYE TOTAL	161634	169863	175797	
STATION #	ELIE,	COMPANY #	UNITED	
RYE TOTAL	148827	162616	145438	
STATION #	GOLDEN,	COMPANY #	PIONEER	
RYE TOTAL	142026	198298	154188	
STATION #	GOLDEN,	COMPANY #	SASKPCOL	
RYE TOTAL	142564	176327	99707	
STATION #	HOMEWOD,	COMPANY #	NATIONAL	
RYE TOTAL	189154	126546	143491	
STATION #	HOMEWOD,	COMPANY #	PIONEER	
RYE TOTAL	105248	106142	137619	
STATION #	HOMEWOD,	COMPANY #	SASKPCOL	
RYE TOTAL	81373	126786	125667	
STATION #	HOMEWOD,	COMPANY #	UNITED	
RYE TOTAL	158672	130081	107366	
STATION #	LANCER,	COMPANY #	FEDERAL	
RYE TOTAL	103821	135778	80138	
STATION #	LANCER,	COMPANY #	PIONEER	
RYE TOTAL	114011	136379	100524	
STATION #	LANCER,	COMPANY #	UNITED	
RYE TOTAL	139647	176436	137882	
STATION #	MELVILLE,	COMPANY #	FEDERAL	
RYE TOTAL	62415	164319	117901	
STATION #	MELVILLE,	COMPANY #	SASKPCOL	
RYE TOTAL	148482	161008	88023	

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STATION # OAKVILLE, COMPANY # NATIONAL			
RYE TOTAL	115073	170452	133283

STATION # OAKVILLE, COMPANY # SASKPOOL			
RYE TOTAL	124771	109186	144532

STATION # PENNANT, COMPANY # FEDERAL			
RYE TOTAL	103230	114822	189191

STATION # PENNANT, COMPANY # PIONEER			
RYE TOTAL	114044	111986	156198

STATION # PENNANT, COMPANY # SASKPOOL			
RYE TOTAL	138712	104688	127018

STATION # PENNANT, COMPANY # UNITED			
RYE TOTAL	156674	144545	141310

STATION # SUCCESS, COMPANY # NATIONAL			
RYE TOTAL	122849	147600	135354

STATION # SUCCESS, COMPANY # PIONEER			
RYE TOTAL	179869	144193	82650

STATION # WROXTON, COMPANY # FEDERAL			
RYE TOTAL	104295	85010	180856

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APPLICATION#TRANS,CAR#249970

CAR NUMBER	249970
GRAIN	FLAX
GRADE	2N
QUANTITY	4280
DATE LOADED	152
ORIGIN	ELIE
LAST LOCATION	ATWATER
DESTINATION	LAKEHEAD
SHIPPING COMPANY	PIONEER
ORDER NUMBER	2588

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APPLICATION#TRANS,CAR#223759,DEST

CAR NUMBER	223759
DESTINATION	LAKEHEAD



## APPLICATION#TRANS,COMP#UNITED,LOC

CAR NUMBER	223239
LAST LOCATION	OAKVILLE

CAR NUMBER	252231
LAST LOCATION	ATWATER

CAR NUMBER	264471
LAST LOCATION	ELIE

CAR NUMBER	249099
LAST LOCATION	ELIE

CAR NUMBER	268875
LAST LOCATION	WROXTON

CAR NUMBER	245798
LAST LOCATION	SUCCESS

CAR NUMBER	227133
LAST LOCATION	ELIE

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## APPLICATION#TRANS, DEST#PACIFIC, GRAIN

CAR NUMBER GRAIN	262708 FLAX
CAR NUMBER GRAIN	252231 WHEAT
CAR NUMBER GRAIN	258838 RYE
CAR NUMBER GRAIN	264471 OATS
CAR NUMBER GRAIN	251158 DURUM
CAR NUMBER GRAIN	264056 OATS
CAR NUMBER GRAIN	140319 DURUM
CAR NUMBER GRAIN	249099 FLAX
CAR NUMBER GRAIN	258338 FLAX
CAR NUMBER GRAIN	259808 OATS
CAR NUMBER GRAIN	268875 DURUM
CAR NUMBER GRAIN	269334 OATS
CAR NUMBER GRAIN	262604 FLAX
CAR NUMBER GRAIN	264470 RYE
CAR NUMBER GRAIN	254375 RYE

APPLICATION#TRANS, ORDER#2640, STN

CAR NUMBER 221259  
ORIGIN GOLDEN

CAR NUMBER 264471  
ORIGIN LANCER

CAR NUMBER 258338  
ORIGIN GOLDEN

CAR NUMBER 262604  
ORIGIN HOMEWOOD

CAR NUMBER 264470  
ORIGIN WROXTON

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APPLICATION#TERM,LOC#LAKEHEAD,CCMP#EACH,ELEV#EACH,SPACE

LOCATION # LAKEHEAD, COMPANY # NATIONAL, ELEVATOR # HOUSE1  
TOTAL SPACE USED 5441780

LOCATION # LAKEHEAD, COMPANY # NATIONAL, ELEVATOR # HOUSE2  
TOTAL SPACE USED 5593330

LOCATION # LAKEHEAD, COMPANY # NATIONAL, ELEVATOR # HOUSE3  
TOTAL SPACE USED 5616239

LOCATION # LAKEHEAD, COMPANY # SASKPOOL, ELEVATOR # HOUSE1  
TOTAL SPACE USED 5595760

LOCATION # LAKEHEAD, COMPANY # SASKPOOL, ELEVATOR # HOUSE2  
TOTAL SPACE USED 5798691

LOCATION # LAKEHEAD, COMPANY # UNITED, ELEVATOR # HOUSE1  
TOTAL SPACE USED 5795157

LOCATION # LAKEHEAD, COMPANY # UNITED, ELEVATOR # HOUSE2  
TOTAL SPACE USED 5884544

APPLICATION#TERM, LOC#LAKEHEAD, COMP#UNITED, ELEV#HOUSE2

LOCATION # LAKEHEAD, COMPANY #	UNITED,	ELEVATOR #	HOUSE2
WHEAT TOTAL	1526818	1230570	1084476
WHEAT 1N	232509	285133	196766
WHEAT 2N	310426	236307	289473
WHEAT 3N	374429	216566	190483
WHEAT 4N	245179	226127	244214
WHEAT TOUGH	291570	254254	121830
DURUM TOTAL	1255793	1216303	1194902
DURUM 1CW	193810	182976	165574
DURUM 2CW	228384	212668	204985
DURUM 3CW	224891	259511	244976
DURUM 4CW	294753	324833	275805
DURUM EX4CW	231801	236315	204901
OATS TOTAL	797169	779514	803906
OATS 1FEED	234738	261081	210580
OATS EX1FEED	251472	190654	267631
OATS EX3CW	295329	297798	294776
BARLEY TOTAL	1190669	1153605	1174852
BARLEY 1FEED	233140	315222	224006
BARLEY 2FEED	295198	286172	341791
BARLEY 3CWBROW	307098	286891	302168
BARLEY TOUGH	343445	220951	261701
FLAX TOTAL	522025	418326	466330
FLAX 1CW	303441	185466	286316
FLAX TOUGH	208349	209182	180014
RYE TOTAL	389067	511428	511542
RYE 2CW	120822	212430	185453
RYE 3CW	236121	288970	306415
RAPESEED TOTAL	203003	262292	262221
RAPESEED 1CR	195196	259696	252136
ELEVATORCAPACITY	6119925		
TOTAL SPACE USED	5884544		

APPLICATION#TERM,LOC#PACIFIC,COMP#EACH,GRAIN#WHEAT,GRADE#3N

LOCATION #	PACIFIC,	COMPANY #	FEDERAL,	ELEVATOR #	ALL
WHEAT 3N	1079832	1213177	991014		

LOCATION #	PACIFIC,	COMPANY #	PIONEER,	ELEVATOR #	ALL
WHEAT 3N	347181	226517	303887		

APPLICATION#TERM,LOC#PACIFIC,COMP#PIONEER,GRAIN#EACH,GRADE#EACH

LOCATION #	PACIFIC, COMPANY #	PICNEER, ELEVATOR #	ALL
WHEAT 1N	227924	347534	237673
WHEAT 2N	224242	249152	276756
WHEAT 3N	347181	226517	303887
WHEAT 4N	235396	284949	261945
WHEAT TOUGH	255851	267644	288133
DURUM 1CW	273154	265639	264564
DURUM 2CW	240767	349645	290722
DURUM 3CW	214096	273760	277795
DURUM 4CW	185445	201053	214893
DURUM EX4CW	290857	251028	307126
OATS 1FEED	128826	218958	235569
OATS EX1FEED	260879	217092	189098
OATS EX3CW	234037	287751	268099
BARLEY 1FEED	375155	188279	260057
BARLEY 2FEED	157130	297882	287014
BARLEY 3CW&ROW	296986	246343	274905
BARLEY TOUGH	265836	214603	244772
FLAX 1CW	270726	207223	275847
FLAX TOUGH	308325	296722	290514
RYE 2CW	240533	339784	161145
RYE 3CW	291932	315350	188809
RAPESEED 1CR	169122	284572	203862