Stability Analysis of a Hybrid Modular Multilevel Voltage Source Converter

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MASTER OF SCIENCE

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To my parents and Dulshan.
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Abstract

Hybrid Multilevel Converter topologies have been gaining attention in the area of High Voltage Direct Current (HVDC) transmission using Voltage Source Converters (VSCs) mainly due to their improved output voltage quality, resiliency to ac and dc faults, lower converter losses and smaller converter-footprint when compared with the conventional multilevel and modular multilevel configurations. Among these different hybrid converters the configuration studied in this thesis research is a novel low-loss VSC topology which combines H-bridge converters that are soft-switched with cascaded strings of multilevel cells, providing the wave-shaping function. This particular arrangement enables reduction of switching losses in the converter and utilizes a triplen harmonic addition modulation scheme for voltage control.

The stability evaluation of the selected converter configuration is the prime objective of this thesis research. A linearized small-signal model is developed and the ‘stability in small’ is evaluated by applying small perturbations to the active and reactive power references of the converter. The validation of the developed model is performed against a detailed non-linear Electro-Magnetic Transient (EMT) simulation model.

The stability criterion based on Lyapunov’s first method has been applied on eigenvalues (modes) which are the frequency domain attributes obtained from the developed state-space model. Further the impact of the system strength parametrized by the short-circuit ratio on the stability and performance of the converter has been analysed by selecting two case studies that focus on a strong and a weak ac network connected to the converter. The sensitivity of the modes that define the stability of the converter, for the variation in Phase-Locked Loop (PLL) gains
and measurement delays of instantaneous voltages and currents of the decoupled $dq$ control scheme have been studied which provides a better insight to its performance.

The power handling capability of this converter topology has been analysed which is an attribute that describes the applicability of this configuration as a prospective candidate for long-distance power transmission using HVDC VSC schemes. The restraints imposed on the power transfer of the converter by the topology related constraints along with the network attributes such as the system strength are studied in this analysis.

In general, this thesis evaluates stability of the converter, factors that have an impact on instabilities and the power carrying capability restrained by the system strength and converter limitations of a novel hybrid modular multilevel voltage source converter topology. This provides an insight to the viability of implementing the configuration in long distance HVDC transmission, with varying ac network conditions.
List of Symbols

\( t \) \hspace{1cm} \text{Time}
\( \omega \) \hspace{1cm} \text{Angular frequency of the power system}
\( \omega_0 \) \hspace{1cm} \text{Base angular frequency of the power system}
\( v_t, i_t \) \hspace{1cm} \text{Instantaneous voltage and current at the converter terminal}
\( \hat{v}_t \) \hspace{1cm} \text{Peak value of the terminal voltage}
\( \vec{V} \) \hspace{1cm} \text{Vector form of voltage } V
\( v_s \) \hspace{1cm} \text{Thevenin Equivalent Voltage}
\( P_x, Q_x \) \hspace{1cm} \text{RMS values of active power and reactive power}
\( V_x, I_x \) \hspace{1cm} \text{Phasor quantities of } v, i
\( v_{ta}, v'_{ta} \) \hspace{1cm} \text{Instantaneous values of voltage of phase a of ac side and converter side of the transformer}
\( v_{aCL}, v^\lambda_{bCL}, v^\lambda_{cCL} \) \hspace{1cm} \text{Instantaneous voltages synthesized by chain-link converters of phase a, phase b and phase c}
\( V_G \) \hspace{1cm} \text{DC-link voltage}
\( v_{dc}, i_{dc} \) \hspace{1cm} \text{Composite voltage across the chain-link converters and dc current}
\( R_s, L_s \) \hspace{1cm} \text{R and L values of the Thevenin equivalent impedance}
\( L \) \hspace{1cm} \text{De-coupling inductance of vector-control system; ideally } L = L_s
\( R_{dc}, L_{dc} \) \hspace{1cm} \text{DC line resistance and filter inductance}
\( C_{\text{cell}}, v_{\text{cap}} \) \hspace{1cm} \text{Submodule cell capacitance and instantaneous cell voltage}
\( n_c \) \hspace{1cm} \text{Number of submodules per phase}
\( \delta \) \hspace{1cm} \text{Actual phase angle of } v_s
\( \delta_m \) \hspace{1cm} \text{Estimated phase angle of } v_s
\( K_P, K_I \) \hspace{1cm} \text{Proportional and integral gains of a PI controller}
\( T \) \hspace{1cm} \text{Time constant of a single pole delay block}
\( \lambda \) \hspace{1cm} \text{Eigenvalue}
\( f, \zeta \) \hspace{1cm} \text{Frequency and damping of the eigenvalue (mode)}
Subscript \((ref)\) Reference value
Subscript \((m)\) Measured value
Subscripts \(R, I\) Real and imaginary components
Subscripts \(d, q\) Direct and quadrature components
Subscript 0 Initial value
<table>
<thead>
<tr>
<th>Abbreviations</th>
<th>Description</th>
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<tbody>
<tr>
<td>HVDC</td>
<td>High Voltage Direct Current</td>
</tr>
<tr>
<td>HVAC</td>
<td>High Voltage Alternating Current</td>
</tr>
<tr>
<td>CSC</td>
<td>Current Source Converter</td>
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<tr>
<td>LCC</td>
<td>Line Commutated Converter</td>
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<tr>
<td>IGBT</td>
<td>Insulated Gate Bi-polar Transistor</td>
</tr>
<tr>
<td>PWM</td>
<td>Pulse Width Modulation</td>
</tr>
<tr>
<td>VSC</td>
<td>Voltage Source Converter</td>
</tr>
<tr>
<td>MMC</td>
<td>Modular Multilevel Converter</td>
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<tr>
<td>HB</td>
<td>Half Bridge</td>
</tr>
<tr>
<td>FB</td>
<td>Full Bridge</td>
</tr>
<tr>
<td>HMC</td>
<td>Hybrid Multilevel Converter</td>
</tr>
<tr>
<td>PCC</td>
<td>Point of Common Coupling</td>
</tr>
<tr>
<td>AAC</td>
<td>Alternate Arm Converter</td>
</tr>
<tr>
<td>EMTDC</td>
<td>Electro-Magnetic Transients including DC</td>
</tr>
<tr>
<td>RMS</td>
<td>Root Mean Squared</td>
</tr>
<tr>
<td>PI</td>
<td>Proportional - Integral</td>
</tr>
<tr>
<td>SRF-PLL</td>
<td>Synchronous Reference Frame-Phase Locked Loop</td>
</tr>
<tr>
<td>MI</td>
<td>Modulation Index</td>
</tr>
<tr>
<td>SCR</td>
<td>Short Circuit Ratio</td>
</tr>
<tr>
<td>FFT</td>
<td>Fast Fourier Transform</td>
</tr>
<tr>
<td>SSO</td>
<td>Sub Synchronous Oscillations</td>
</tr>
<tr>
<td>PF</td>
<td>Power - Factor</td>
</tr>
</tbody>
</table>
Contents

Acknowledgements ii

Abstract iv

List of Symbols vi

Abbreviations viii

Contents ix

List of Tables xiii

List of Figures xiv

1 Introduction 1

1.1 Background ...................................................... 1

1.2 Developments involving VSC-HVDC technology .................. 2

1.3 Development of Hybrid VSC Topologies ......................... 3

1.3.1 HMC with AC side Cascaded H-bridge Cells ................. 4

1.3.2 HMC with DC side Cascaded Cells ......................... 5

1.3.3 Alternate Arm Converter (AAC) .......................... 6

1.3.4 HMC with Half or Full-Bridge Cells across the DC Link . 7

1.3.4.1 Converter Topology and Basic Operation ............... 8

1.3.4.2 Voltage Control using Triplen Harmonic Injection . 12
1.4 Research Objectives .................................................. 14
1.5 Thesis Outline ...................................................... 16

2 Modelling of the Hybrid Modular Multilevel VSC 18
2.1 Electro-magnetic Transient Modelling of the Test System ..... 19
2.2 Development of Linearized Small-signal Model ................. 20
  2.2.1 AC System Model .............................................. 22
  2.2.2 Phase-Locked Loop Model ................................ 24
  2.2.3 Measurement Filter Model ................................ 26
  2.2.4 Axis Transformation ........................................ 28
  2.2.5 Control System Model ...................................... 30
    2.2.5.1 Small-signal Model of the AC Control System ... 31
    2.2.5.2 Small-signal Model of the DC Control System ... 35
  2.2.6 DC System Model ............................................ 39
  2.2.7 Overall State-Space Model of the Hybrid Modular Multilevel Converter ......................................... 41
2.3 Validation of the Overall State-Space Model of the Converter ... 44
  2.3.1 Disturbance applied to the active power reference ....... 45
  2.3.2 Disturbance applied to the reactive power reference ... 46
2.4 Modified Overall State-Space Model of the Hybrid Modular Multi-level Converter ......................................... 47
  2.4.1 Validation of the Modified Small-Signal Model of the Converter 50
    2.4.1.1 Disturbance applied to the active power reference 50
    2.4.1.2 Disturbance applied to the reactive power reference 50

3 Stability Analysis of the Hybrid Modular Multilevel VSC 53
3.1 Eigenproperties and Stability Criterion .......................... 54
  3.1.1 Eigenvalues ................................................. 54
  3.1.2 Eigenvectors ................................................. 56
  3.1.3 Participation Factor ....................................... 57
3.2 Eigenvalue Analysis of the Test System ............................. 57
   3.2.1 Analysing the impact of the system strength on the stability of the converter .......................................................... 58
   3.2.2 Participation Factor Analysis ......................................... 60
3.3 Sensitivity of the Small-Signal Stability of the Converter to Controller Gains ......................................................... 64
   3.3.1 Impact of the PLL gains .............................................. 64
   3.3.2 Sensitivity to measurement delays of dq voltages and currents 66
3.4 Impact of Transformer Saturation on Converter Performance with Low SCR ................................................................. 76

4 Power Transfer Capability of the Hybrid Multilevel Converter 82
   4.1 Calculation of the active power delivered by the converter ...... 84
   4.2 Constraints imposed on maximum power deliverable by the converter .......................................................... 85
      4.2.1 Limits imposed by the maximum current through the converter 86
      4.2.2 Limits imposed by the converter voltage ....................... 86
      4.2.3 Impact of the system strength on the power carrying capability of the converter ............................................... 87
   4.3 Evaluation of power handling capability for different mode of converter operation ......................................................... 88
      4.3.1 Power handling capability of the converter with constant reactive power supply .................................................. 88
      4.3.2 Power handling capability with reactive power absorbed by the converter ......................................................... 92
      4.3.3 Power handling capability of the converter with constant power factor operation .................................................. 94

5 Conclusions, Contributions and Future Work 98
   5.1 Conclusions ................................................................. 98
   5.2 Contributions .............................................................. 100
List of Tables

2.1 Simulation Parameters ........................................ 19
2.2 State variables of the small-signal model of the converter ........ 42
2.3 Control inputs of the small-signal model of the converter .......... 42
2.4 PI controller gains of the test system .......................... 44

3.1 Oscillatory modes for ac system strengths of SCR=4.0 and SCR=2.0 59
3.2 Order of states of the small-signal model ........................ 61
3.3 Classification of modes for SCR=4.0 ............................. 62
3.4 Classification of modes for SCR=2.0 ............................. 62
3.5 Time constants of the measurement filters of outer and inner-loop controls ........................................ 69
3.6 Order of states for the modified converter small-signal model to include measurement delays ......................... 70
3.7 Oscillatory modes of the modified state-space model with the converter connected to a moderately strong ac system .......... 72
3.8 Oscillatory modes of the modified state-space model with the converter connected to a weak ac system ..................... 74

4.1 Test system parameters ........................................ 89
List of Figures

1.1 Three phase circuit arrangement of the hybrid modular multilevel VSC ........................................... 9
2.1 Schematic of the test system for the hybrid modular multilevel VSC 19
2.2 PLL block diagram .................................................. 25
2.3 Measurement filter block ........................................... 26
2.4 RI-$dq$ transformation ................................................ 28
2.5 Decoupled $dq$ control scheme ................................. 30
2.6 PI controller block ..................................................... 32
2.7 Capacitor voltage control scheme (outer-loop) ............. 37
2.8 DC link current control scheme (inner-loop) ............... 37
2.9 Equivalent circuit of the DC system ............................ 39
2.10 15th order small signal model of the hybrid modular multilevel VSC 43
2.11 Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$ ..................... 45
2.12 Variation in reactive power ($\Delta Q_{(ac)}$) for a small perturbation in respective reference $Q_{(ref)}$ ............................ 46
2.13 Modified small signal model of the hybrid modular multilevel VSC . 49
2.14 Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$ ..................... 51
2.15 Variation in reactive power ($\Delta Q_{(ac)}$) for a small perturbation in respective reference $Q_{(ref)}$ ............................ 51
3.1 Comparison of results for the converter connected to a moderately strong ac system (SCR=4.0) (I) Variation in active power for a small disturbance in $P_{(ref)}$ (II) Variation in reactive power for a small disturbance in $Q_{(ref)}$ .................................................. 59
3.2 Comparison of results for the converter connected to a moderately strong ac system (SCR=2.0) (I) Variation in active power for a small disturbance in $P_{(ref)}$ (II) Variation in reactive power for a small disturbance in $Q_{(ref)}$ .................................................. 60
3.3 Participation factors of oscillatory modes for SCR=4.0 ........... 61
3.4 Participation factors of oscillatory modes for SCR=2.0 ........... 63
3.5 Trajectories of critical modes (second mode enlarged) for a change in PLL gains with moderately high SCR (=4.0) of the connected ac network ................................................................. 65
3.6 Trajectories of critical modes (second mode enlarged) for a change in PLL gains with weak SCR (=2.0) of the connected ac network . 65
3.7 Measurement filter block ......................................................... 67
3.8 Inner-loop control with measurement delays included ............ 68
3.9 Modified 19th order small signal model of the hybrid modular multilevel VSC ................................................................. 71
3.10 Participation factors of the oscillatory mode for SCR=4.0 ...... 73
3.11 Participation factors of the oscillatory mode for SCR=2.0 ...... 75
3.12 Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$ with the turns ratio of the transformer between ac side to converter side set to 1:1.1 ......................... 76
3.13 Low frequency oscillatory component of the variation in reactive power ($Q_{(ac)}$) in steady state with the turns ratio of the transformer between ac side to converter side set to 1:1.1 ................. 77
3.14 Comparison of results for the turns ratio of the transformer changed
to 1:1 between ac side and converter side (I) a small disturbance
applied to $P_{(ref)}$ (II) a small disturbance applied to $Q_{(ref)}$ . . . . . . . 79

3.15 Comparison of results for the turns ratio of the transformer changed
to 1.1:1 between ac side and converter side (I) a small disturbance
applied to $P_{(ref)}$ (II) a small disturbance applied to $Q_{(ref)}$ . . . . . . . 79

3.16 Comparison of results for SCR=4.0 (I) a small disturbance applied
to $P_{(ref)}$ (II) a small disturbance applied to $Q_{(ref)}$ . . . . . . . 80

4.1 Schematic of the test system for the hybrid modular multilevel VSC 83

4.2 Variation of $V_t$ with active power delivered for a converter connected
to a strong ac network with SCR=4.0; constant $Q$ operation . . . . 90

4.3 Variation of $V_t$ with active power delivered for a converter connected
to a weak ac network with SCR=2.0; constant $Q$ operation . . . . 91

4.4 Comparison of results for $P_{max}$ . . . . . . . . . . . . . . . . . . . . . . . . . . 92

4.5 Variation of $V_t$ with active power delivered for a converter connected
to a strong ac network with SCR=4.0; $Q$ absorbed from the network 93

4.6 Variation of $V_t$ with active power delivered for a converter connected
to a weak ac network with SCR=2.0; $Q$ absorbed from the network 94

4.7 Variation of $V_t$ with active power delivered for a converter connected
to a strong ac network with SCR=4.0; constant PF operation . . . 95

4.8 Variation of $V_t$ with active power delivered for a converter connected
to a weak ac network with SCR=2.0; constant PF operation . . . . 96
Chapter 1

Introduction

1.1 Background

High Voltage Direct Current (HVDC) transmission has gained attention in the current Power System configuration, evolving through decades to overcome the engineering and reliability challenges that arise in long distance power transmission using High Voltage Alternating Current (HVAC). Various factors drive the emergence of Distributed Generation including the need for reducing green house gas emissions from electricity generation which has been identified as the major contributor of global temperature rise, utilization of waste energy resources, fuel diversification have created a requirement to facilitate the grid integration of renewable energy resources including wind and solar Photovoltaic (PV). In such situations, HVDC plays an important role in accommodating this large penetration of renewable power such as offshore wind farms to the main power system. This otherwise would be challenging without causing stability issues in the connected ac system due to the intermittent nature of these technologies.
Early HVDC transmission schemes were on the basis of Current Source Converters (CSC) incorporating mercury arc valves later replaced with thyristors, referred to as Line Commutated Converters (LCC). Besides better power ratings with higher efficiency, these converters are vulnerable to ac short circuits with their inherent commutation failure problem. Moreover, LCC requires the support of connected ac network for its operation hence inhibits black start of the converter as well as integration with weak ac grids. Voltage Source Converter- High Voltage DC (VSC-HVDC) topologies hence gained attraction over conventional CSCs favoured by the semiconductor development. This further provided benefits including independent control of active and reactive power, provision of voltage support to weak ac grids, ac side short-circuit proof feature and improved power quality performance with minimal requirement of filtering. Further the VSC configuration facilitates the implementation of multi-terminal HVDC schemes since reversal of flow does not result in a reversal of the polarity of the DC voltage [1] [2] [3] [4]. VSC-HVDC technology has enabled connection of off-shore wind generation to the mainland power system using HVDC links, overcoming the stability constraints in HVAC transmission.

1.2 Developments involving VSC-HVDC technology

VSC-HVDC technology has been an extensively discussed research topic with the interest of improving the power ratings of the converters making it a competitive candidate in high power DC applications while minimizing switching losses hence improving the converter efficiency. These developments have evolved the VSCs from its basic form of two-levels which employ a large number of series connected
Insulated-Gate Bipolar Transistors (IGBTs) to multilevel converter configurations and most recent development of Modular Multilevel Converters (MMC) [5]. The former group of converter is referred to as controllable switch type VSCs and uses high frequency Pulse Width Modulation (PWM) techniques. The latter group of converters also referred to as controllable voltage source type VSC is gaining attention in the current context of HVDC power transmission which is aimed at improved ac side waveform quality to eliminate the harmonic filter requirement and improved converter efficiency with smaller converter footprint and overall cost. Additionally the low $\frac{dv}{dt}$ enables the use of transformers with less insulation requirement [1] [6] [7].

The MMC topology incorporates hundreds of independently controllable Half-Bridge (HB) or Full-Bridge (FB) cells per arm, referred to as submodules which generate a staircase voltage that closely approximate the sinusoidal waveform with low harmonic distortion. Further this configuration offers the advantage of reduced switching losses since it does not rely on high frequency PWM and scalability due to the modular design which makes it easy to handle high voltage applications [8].

1.3 Development of Hybrid VSC Topologies

Extensive research is being conducted on further optimization of the key performance indices of VSC-HVDC schemes which mainly include output voltage quality, resiliency to ac and dc faults, and lower conversion losses hence improved conversion efficiency [9]. Hybrid converters that combine the advantages of conventional two-level VSC configuration with the modular multilevel topology have gained interest at the present and novel converter configurations are being developed for this purpose. Current research has been mainly focused on hybrid converter topologies
which include Hybrid Multilevel Converter (HMC) with ac side cascaded H-bridge cells [3] [5] [6] [7], HMC with dc side cascaded cells [9] [10] [11], alternate arm converter [1] [2] [8] [11] and HMC with half or full bridge cascaded cells connected across the dc link [4] [11] [12].

1.3.1 HMC with AC side Cascaded H-bridge Cells

The topology consists of two main developments different from one another depending on the main power stage of the converter, which is responsible for the control of the voltage at the terminals and power transfer between ac and dc sides. The wave-shaping circuit which is the low power stage comprising of series connected FB submodules acts as an active filter stage and attenuates the harmonic voltages generated by the main power stage. The main power stage is switched at low frequency in order to minimize losses in the converter.

One configuration out of the two utilizes the conventional two-level VSC as its main power stage which is connected to the ac terminal with series connected cascaded FB cells [3] [5]. This configuration has a smaller converter footprint compared to other hybrid topologies and utilizes one fourth of the number of FB submodules compared to the equivalent modular multilevel converter configuration. Further the converter is also capable of blocking dc-faults. The second uses cascaded HB cells in main power stage, connected in series with FB cells of a similar arrangement to the previous topology [6] [7].

The former has several drawbacks which include high voltage stresses in switches of the two-level VSC and high inrush current from the ac network when the DC side capacitors charge, following a pole-to-pole short-circuit of DC terminals [6] [9]. Although, this inrush current in the restoration stage following a fault condition
is less compared to that of an Alternate Arm Converter (AAC); an alternative topology which is discussed in a latter part of this section. The main power stage generates an output voltage of only two levels thus contains a higher content harmonics which has to be filtered by the cascaded FB cells. This would also require tuned filters to eliminate 5\textsuperscript{th} and 7\textsuperscript{th} harmonics that might arise due to the mis-synchronization of the two power stages [6] [9]. The two-level converter being the low power stage should be capable of tracking the rate of change of voltage for proper synchronization with its active filter stage. [6].

The latter configuration thus utilizes a cascaded HB cell MMC arrangement as the main power stage which is responsible for the control of magnitude and phase of the fundamental voltage at the Point of Common Coupling (PCC) thus regulating active and reactive power requirements of the converter connected ac system. The cascaded series FB cell arrangement acts as a low power stage which attenuates the harmonics of the main power stage, providing active filtering. This converter has achieved reduced conversion losses compared to MMC configuration with FB cells. Further the HB cells provide controlled re-charging of the dc cable capacitance followed by a dc side fault clearance while the FB cells remain blocked [6].

### 1.3.2 HMC with DC side Cascaded Cells

A single phase of this converter topology combines a H-bridge converter with cascaded FB cells connected in series at the dc terminals [9] [10] [11]. The H-bridge converter comprises of series connected strings of IGBTs. The overall rating of the VSC is shared between the series IGBT valves and multilevel converter thus a fewer number of cells would be required. This is advantageous since the converter has a smaller footprint due to reduced number of cell capacitors which is a governing factor of the size and weight of the submodule. Further this converter
is inherently capable of blocking dc-faults due to the series connected FB cells where the cell capacitors would provide an opposing voltage to the ac side voltage thereby eliminating the ac current contribution to the fault current during a short-circuit across the dc terminals. The ability to generate negative voltages enable this converter to continue operation while being connected to the network during a fault in the dc side even though the dc voltage has collapsed.

The H-bridge converter which is the main power stage of this converter topology is switched at fundamental frequency and near zero voltage. The converter hence has achieved a reduction in converter losses due to switching and performs with improved efficiency.

1.3.3 Alternate Arm Converter (AAC)

The AAC is a hybrid between a modular multilevel converter comprising of FB cells and the two-level configuration in the form of series connected IGBT valves referred to as the ‘director switch’. Further, this topology could be considered as a combination of the conventional CSC which uses switches to direct the phase currents to upper or lower arm. The FB cells that construct the multilevel topology is responsible for constructing the converter voltage from that of the dc-link [1] [2] [8] [11].

This configuration requires half the number of FB cells compared to the HB or FB MMC configuration but the twice the number when compared with the HMC with ac side cascaded cells. The series connected IGBTs are soft switched in order to reduce semiconductor losses thereby making the topology more efficient.

The upper and lower arm of each converter phase is operated in a complementary manner and operates for half the time of the fundamental period while blocking
the full dc voltage during the other half period. Successful current commutation between the upper and lower arms is achieved by introducing an overlap period around the zero voltage level. This alternating operation of the converter arms reduces the switching losses, which is less than that of the HMC with ac-side cascaded cells, which has a smaller footprint compared to the AAC. Further, with the presence of FB cells in each arm the converter could control the phase current during the a collapse of dc side voltage hence posses the capability of dc fault ride-through.

The major drawback of this converter configuration has been identified to be the large inrush current the converter arm experiences during the overlap period. Further the series connected IGBTs should be provided with switching characteristics that are identical with equal voltage sharing between the semiconductor devices which is a disadvantage of this topology. Although this converter is resilient to dc-side faults, restoring the submodule capacitor voltages to their pre-fault value while recovering from a short circuit across the dc terminals is slower than a conventional MMC and HMC with ac side cascaded cells.

1.3.4 HMC with Half or Full-Bridge Cells across the DC Link

A single phase of this novel hybrid converter configuration combines a chain-link of cascaded HB or FB submodules at the dc terminals of a H-bridge arrangement. The chain-link converters provide the wave-shaping function which generates a rectified sinusoid of the desired ac side voltage from several levels of dc voltage. The H-bridge switches ‘direct’ this synthesized voltage to the converter connected ac network and comprise of series connected IGBT valves which reduce the voltage stresses on an individual switch. The chain-link converters are switched using a
traditional multilevel modulation strategy, synthesizing a rectified sinusoidal voltage waveform repeating twice a cycle. This enables the series connected switches of the H-bridge to be switched at fundamental frequency and zero point of the voltage imposed on them. [4] [11].

Such arrangement enables the chain-link converters, where the hard switching occur to be connected outside of the main power path thus operating at only a fraction of the line current while the main power stage that comprises of H bridges to be soft switched at fundamental frequency. Further, the overall rating of the converter is being shared between the series connected H-bridge IGBT valves and the chain-link cells. Thus this particular converter configuration associates with less switching losses and at the same time less ratings for the submodules when compared to the alternative hybrid converter configurations discussed in this section [11]. This is advantageous in terms of increasing the conversion efficiency and at the same time to have a smaller converter footprint, making this topology an ideal candidate for long distance high power transmission and connecting off-shore wind generation to the inland power system. In comparison with HMC with ac side cascaded cells which is the widely adapted hybrid converter topology having a small footprint, this configuration has the same number of cells per arm as the former for the same ac side and dc-link voltages whereas minimal switching losses compared to that. This thesis is based on the converter configuration of HMC with half-bridge cells connected across the DC link and the following sections provides a brief introduction to the topology and the basic operation of the converter.

1.3.4.1 Converter Topology and Basic Operation

The schematic of the converter considered is given in Fig. 1.1. A phase of the converter comprises of cascaded half-bridge submodules connected across the dc
terminals of an H-bridge arrangement, referred to as the chain-link converters. These chain-link converters provide the wave-shaping function and synthesize a rectified sinusoid of the required ac side demand in voltage steps. The H-bridge arrangement which comprises of series connected IGBT valves unfolds the negative cycle of this generated voltage imposed on its dc terminals and ‘directs’ to the converter connected ac network. Three of these single phase converters are cascaded in series at their dc terminals to develop a three phase converter as illustrated in Fig. 1.1. The transformer provides isolation between the ac side and

\[ V_{G} \]

\[ L_{dc} \]

\[ R_{dc} \]

\[ v_{dc} \]

\[ v_{cap} \]

\[ C_{cell} \]

\[ V_{omp} \]

\[ v_{CL} \]

\[ v_{L} \]

\[ v_{a} \]

\[ v_{b} \]

\[ v_{c} \]

\[ R_{s} \]

\[ L_{s} \]

\[ v_{ta} \]

\[ v_{tL} \]

\[ v_{tR} \]

\[ 1:r \]

\[ v'_{ta} \]

\[ H-bridge \]

\[ series\ connected\ IGBTs \]

\[ chain-link\ converter\ of\ phase\ B \]

\[ half-bridge\ submodule \]

\[ DC\ transmission\ line \]

\[ DC\ transmission\ line \]

Figure 1.1: Three phase circuit arrangement of the hybrid modular multilevel VSC; redrawn from Fig.1 in [4]¹

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the converter side.

For the expected operation of the multilevel converters it is necessary to have equally distributed charges hence capacitor voltages among the chain-link converter cells which is achieved through a suitable balancing algorithm. A simple capacitor balancing algorithm has been incorporated in this topology which considers the polarity of the phase current, voltage of the capacitors and the target ac side voltage to be synthesized by the cells thereby rotating its position in the waveform following a sorting mechanism [13]. A proper capacitor balancing algorithm ensures that at steady state there will be no net capacitor charging or discharging therefore the active power exchange with the chain-link converter cells are sufficient to supply only the losses [4].

With a 1:r transformer ratio between the ac side and the converter side, the three phase voltages appearing on the ac terminals of the converter could be expressed in the form given in Equation (1.1).

\[
v_{tx} = \frac{1}{r} \hat{v}_t (\sin(\omega t - \frac{2\pi n}{3})) \quad \text{for } (x, n) = \{(a, 0), (b, 1), (c, 2)\} \quad (1.1)
\]

The voltage appearing at the converter side of the transformer is hence given by the Equation (1.2).

\[
v'_{tx} = \hat{v}_t (\sin(\omega t - \frac{2\pi n}{3})) \quad \text{for } (x, n) = \{(a, 0), (b, 1), (c, 2)\} \quad (1.2)
\]

Thus the voltage to be synthesized by the chain-link converters and applied at the dc terminals of the H-bridge converters is expressed in the form given in Equation (1.3).

\[
v_{CL}^x = |\hat{v}_t| \sin(\omega t - \frac{2\pi n}{3}) \quad \text{for } (x, n) = \{(a, 0), (b, 1), (c, 2)\} \quad (1.3)
\]
where $\hat{v}_t$ refers to the peak converter voltage which is an output of the ac side converter control scheme obtained by $\hat{v}_t = \sqrt{v_{td}^2 + v_{tq}^2}$. The chain-link converters are modulated with the reference signals given in Equation (1.3); utilizing a traditional multilevel modulation scheme [4][14].

As shown in Fig. 1.1, the dc-link voltage $v_{dc}$ is the composite chain-link phase voltages as obtained from Equation (1.4).

$$v_{dc} = v_{aCL} + v_{bCL} + v_{cCL} \quad (1.4)$$

The mean chain-link phase voltage $\bar{v}_{CL}$ can be obtained using Equation (1.3) and is given by Equation (1.5) from which the mean composite chain-link voltage $\bar{v}_{dc}$ can be obtained as given in Equation (1.6).

$$\bar{v}_{CL} = \frac{2\hat{v}_t}{\pi} \quad (1.5)$$

$$\bar{v}_{dc} = \frac{6\hat{v}_t}{\pi} \quad (1.6)$$

In steady state $\bar{v}_{dc}$ is equal to the dc grid voltage $V_G$. The modulation index ($MI$) of the converter can be defined by following the convention for two-level VSCs which is given in Equation (1.7).

$$MI = \frac{\hat{v}_t}{\bar{v}_{dc}/2} \quad (1.7)$$

The above equation can be simplified by substituting for $\bar{v}_{dc}$ from Equation (1.6) and is given by Equation (1.8).

$$MI = \frac{2\pi}{6} \approx 1.05 \quad (1.8)$$
1.3.4.2 Voltage Control using Triplen Harmonic Injection

Equation (1.8) reveals that the $MI$ of the converter is fixed for the above explained mode of operation in which the ratio between the ac-side voltage and dc side voltage is a constant for a specified local grid voltage $V_G$. This becomes an unfavourable condition for voltage control of the converter connected ac network. Since the converter voltage magnitude $\hat{v}_t$ cannot be varied without affecting the dc-side voltage, this inhibits the reactive power compensation capability of the converter. Thus [4] proposes a voltage control technique to overcome this constraint of the converter configuration, while enabling the H-bridge arrangement to be maintained soft-switched. This does not incorporate high frequency PWM techniques to modify the desired ac-side voltage thereby maintaining minimal switching losses which has been emphasized as a main advantage of this topology over its alternative configurations. The methodology presented incorporates modifying of the target ac voltage by the addition of triplen harmonics. Through this mechanism the ratio of mean rectified ac-side voltage to the fundamental is altered.

The case of third harmonic voltage injection has been considered hence the chain-link converters are modulated using reference signals which comprise of the fundamental sinusoid modified by adding the third harmonic voltage component. This third harmonic voltage added provides a dc component of voltage that can be controlled to positively or negatively compensate for the changes in the rectified ac voltage reference over each half cycle. This enables the variation of the ac-side voltage magnitude in the presence of fixed dc-link voltage which was not possible with the basic control configuration explained in section 1.3.4.1. Further the triplen harmonics comprise only of the zero sequence component thus will not appear in the line-to-line voltage on the ac side. This is advantageous since the H-bridge converters are still allowed to be switched at line-frequency on the zero
voltage imposed on them without adding distortions to the line-voltages.

The modified ac voltage at the converter side of the transformer with third harmonic compensation is thus given by Equation (1.9) where \( \alpha_3 \) denotes the magnitude of the third harmonic compensation added. The amount of triplen harmonic compensation to be added is obtained through a cascaded arrangement of PI controllers which has been explained in section 2.2.5.2.

\[
v_{tx}' = \hat{v}_t[sin(\omega t - \frac{2\pi n}{3}) + \alpha_3 sin(3\omega t)] \quad for \ (x, n) = \{(a, 0), (b, 1), (c, 2)\} \quad (1.9)
\]

Hence the phase voltages to be synthesized by the chain-link converters are given in Equation (1.10) which will be used as the modulating signal for the HB sub-modules.

\[
v_{CL}' = \hat{v}_t|sin(\omega t - \frac{2\pi n}{3}) + \alpha_3 sin(3\omega t)| \quad for \ (x, n) = \{(a, 0), (b, 1), (c, 2)\} \quad (1.10)
\]

With the addition of the third harmonic voltage to the desired ac-side fundamental sinusoid, the mean chain-link phase voltage \( \bar{v}_{CL} \) and the mean composite chain-link voltage \( \bar{v}_{dc} \) will be modified as given in Equation (1.11) and (1.12) respectively.

\[
\bar{v}_{CL} = \frac{2\hat{v}_t}{\pi}(1 + \alpha_3/3) \quad (1.11)
\]

\[
\bar{v}_{dc} = \frac{6\hat{v}_t}{\pi}(1 + \alpha_3/3) \quad (1.12)
\]

As given from Equation (1.12), the magnitude of the ac-side voltage can be controlled continuously by adjusting the magnitude of the third harmonic voltage compensation \( \alpha_3 \) while maintaining a constant mean composite chain-link voltage \( \bar{v}_{dc} \). Thus the modulation index will now be modified as expressed in Equation (1.13). This further explains the ability to vary the modulation index thereby the converter voltage magnitude \( \hat{v}_t \) for regulating the reactive power exchange with
the converter connected ac network, at the presence of a constant dc side voltage.

\[
MI = \frac{2\pi}{6(1 + \alpha_3/3)} \quad (1.13)
\]

The voltage generated by the half-bridge submodules is of magnitude 0 or \(v_{cap}\) whereas it is not capable of generating negative voltages. Hence the allowable third harmonic compensation magnitude is restricted to a range given in Equation (1.14) [15].

\[
-\frac{1}{3} \leq \alpha_3 \leq 1 \quad (1.14)
\]

Therefore applying the above limits to Equation (1.13) yields the allowable range for the modulation index of the converter controls as given in Equation (1.15).

\[
0.785 \leq MI \leq 1.178 \quad (1.15)
\]

### 1.4 Research Objectives

This thesis is based on a novel hybrid modular multilevel converter topology which is a prospective candidate for HVDC transmission using voltage source converters. This configuration improves performance indices of a converter such as quality of the output voltage and current, reduces converter losses hence improves efficiency and modularity, scalability are offered at a smaller converter footprint. The motivation behind this thesis was to assess the converter’s ‘stability in small’. This evaluates the controls related stability issues which might cause implications on the large power system to which the converter is connected to, followed by a small disturbance.
Hybrid converter configurations are gaining attention over conventional multi-level VSCs such as the regular MMC topology thus extensive research is being conducted in order to analyse the performance of these novel converters. This includes evaluating their viability to be adopted in bulk power dc transmission over long distances and development of multi-terminal dc grids thus reducing stability issues in interconnected large power systems. Integrating these novel topologies with ac networks having different system strengths could impose challenges due to their stability constraints, which needs in depth analysis. Analysing the impact of the system strength on the performance of the converter was identified to be advantageous when adapting novel topologies to facilitate the large penetration of renewable energy generation. As an example integration of an off-shore wind farm with the mainland power network imposes a challenging situation due to the weak system strength along with the intermittent nature of the technology. A stability evaluation of the selected converter configuration under different strengths of the connected ac network was motivated through this observed requirement.

Further, the evaluation of the practical power transfer limits of a converter taking into account the constraints imposed by the configuration along with the connected network provides a better insight to its performance. The thesis has selected this as another objective, identifying shortcomings of the existing studies performed on obtaining the power transfer limits of voltage source converters with varying strengths for the ac network.

The main objectives explained above have led to the following additional objectives listed below:

1. Development of a small-signal (linear) model to evaluate the stability of the converter in the presence of small disturbances of the system variables.
Chapter 1. Introduction

The developed small-signal model needs to be validated against a detailed, non-linear EMT simulation to verify its accuracy.

2. Utilizing the eigenvalue technique for frequency domain analysis on the state-space obtained for the converter with varying system strengths of the connected ac network. This would identify stability constraints due to insufficient damping of the oscillatory modes followed by a small perturbation in the inputs to the controllers. The stability of the converter will be further investigated by performing a sensitivity study to evaluate the impact of controller gains and measurement delays on the oscillatory stability of the converter.

3. Evaluating the practically achievable power carrying capability of the topology, constrained by the current and voltage ratings of the hybrid multilevel converter.

1.5 Thesis Outline

The thesis develops a linearized small-signal model of the novel hybrid multilevel converter configuration that has been proposed for HVDC transmission upon which a detailed eigenvalue analysis and a sensitivity study have been performed in order to assess the stability of the converter. The power transfer limits obtained for the restraints presented by the ratings and operational limits of the converter are evaluated for different system strengths of the connected ac system. The outline of the thesis is summarized below.

Chapter 2 presents the derivation of the linearized small-signal model of the converter. The state-space models for each subsystem have been developed and combined to obtain the overall state-space form of the converter. The model
derived has been validated against a detailed non-linear EMT model developed on PSCAD/EMTDC through which the accuracy of the derivations have been verified. Further the derived model is modified to accommodate the variations of the dc-link voltage and this modified model has been validated against the non-linear EMT model.

Chapter 3 presents the results of the detailed eigenvalue analysis performed on the state-space model obtained for the hybrid modular multilevel converter in Chapter 2. A sensitivity study which analyses the impacts of the controller parameters including PLL gains and measurement delays of the $dq$-decoupled control scheme, on the stability of the converter have been evaluated in detail. Further, a discussion on the importance of a small-signal analysis to identify un-modelled dynamics of the converter is included to this chapter. This would at same time lead to design considerations such as selecting the appropriate transformer winding voltage ratio with the converter connected to a weak ac system.

Chapter 4 evaluates the power carrying capability of the converter connected to ac networks having different system strengths, considering the restraints imposed by the ratings and operational limits of this particular hybrid modular multilevel converter configuration.

Chapter 5 summarises the overall conclusions of the thesis and elaborates the contributions. Further, the chapter proposes future work that could be implemented using the developed small-signal model in order to improve the performance of this novel converter configuration.
Chapter 2

Modelling of the Hybrid Modular Multilevel VSC

A detailed analysis has to be conducted on the novel hybrid converter topology in order to evaluate its performance and stability attributes, which is a pre-requisite in controller design problems. Two approaches have been utilized in the thesis for this assessment. An Electromagnetic Transient (EMT) simulation analyses the transient response of the system using detailed non-linear models while a small-signal analysis assesses the stability of the system for small perturbations such as an increment of the load delivered by the converter, using linearized state-space form. The former is achieved through a detailed model of one station of a point-to-point configuration of the proposed hybrid modular multilevel VSC configuration and the associated control schemes using PSCAD/EMTDC software tool. The latter is developed on MATLAB and the validation of the linearized small signal model of the converter station and controls against the detailed EMT model has been presented in this chapter.
2.1 Electro-magnetic Transient Modelling of the Test System

A schematic of the test system modelled in PSCAD/EMTDC is illustrated in Fig. 2.1 with the simulation parameters given in Table 2.1, as specified in [4].

![Schematic of the test system for the hybrid modular multi-level VSC](image)

Figure 2.1: Schematic of the test system for the hybrid modular multi-level VSC

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>DC grid voltage</td>
<td>20 kV</td>
</tr>
<tr>
<td>AC grid voltage (L-L RMS)</td>
<td>11 kV</td>
</tr>
<tr>
<td>AC grid frequency</td>
<td>50 Hz</td>
</tr>
<tr>
<td>Transformer ratio (ac side:converter side)</td>
<td>1:1.1</td>
</tr>
<tr>
<td>No. of cells per phase $n_c$</td>
<td>9 cells</td>
</tr>
<tr>
<td>Cell capacitance $C_{cell}$</td>
<td>4 mF</td>
</tr>
<tr>
<td>Mean cell capacitor voltage $v_{cap(ref)}$</td>
<td>1163 V</td>
</tr>
<tr>
<td>AC line inductance</td>
<td>2.3 mH</td>
</tr>
<tr>
<td>Line resistance</td>
<td>10.37 mΩ</td>
</tr>
<tr>
<td>DC filter inductance</td>
<td>22.06 mH</td>
</tr>
</tbody>
</table>

The MMC library available in PSCAD which includes equivalent cell components with the associated modulation techniques for multilevel schemes were incorporated in modelling this topology. The chain-link converter which contains half-bridge submodules utilizes phase-shifted Pulse Width Modulation (PWM) where these cells are switched at 100 Hz resulting in an effective switching frequency of
900 Hz with 9 cells per phase. The H-bridges containing series strings of IGBTs are switched at fundamental frequency at the zero voltage imposed on them.

The mean cell capacitor voltage is maintained at the value corresponding to Equation (2.1); which follows from Equation (1.6).

\[ v_{\text{cap(ref)}} = \frac{V_G}{\frac{G}{\pi n_c}} \]  

(2.1)

A 10µs simulation time step has been used for the developed PSCAD model of the converter during this analysis.

2.2 Development of Linearized Small-signal Model

Small-signal stability of a given dynamic system is defined as “the ability to maintain synchronism when subjected to small disturbances”. A disturbance is considered to be small if linearized equations are valid to describe the response of the system [16].

A dynamic system can be described using a set of \( n \) first order, nonlinear ordinary differential equations of the form given in Equation (2.2) which is the general state-space representation of a system with \( n \) number of states and \( r \) number of inputs.

\[ \dot{X} = f(X, U) \]  

(2.2)

where

\[ X = [x_1, x_2, ..., x_n]^T \quad U = [u_1, u_2, ..., u_r]^T \quad f = [f_1, f_2, ..., f_n]^T \]

Stability of such systems when subjected to a small disturbance could be studied
by linearizing the set of nonlinear equations about an equilibrium point. This section provides a brief introduction to the linearization process of the above set of differential equations.

At an equilibrium point all these derivatives become simultaneously zero and the system is at steady state operating condition hence must satisfy Equation (2.3).

\[ \dot{X}_0 = f(X_0, U_0) = 0 \]  

where \( X_0 \) is the state vector at the initial equilibrium point.

Applying a small perturbation from the above equilibrium point would result in the new states and inputs to be \( X = X_0 + \Delta x \) and \( U = U_0 + \Delta u \) respectively and substituting them in Equation (2.2) results in Equation (2.4).

\[ \dot{X}_0 + \Delta \dot{x} = f[(X_0 + \Delta x), (U_0 + \Delta u)] \]  

Assuming the perturbation is small, the above set of equations could be linearized using Taylor series expansion and neglecting second and higher order terms; as given by Equation (2.5) - (2.6).

\[ \dot{x}_{i0} + \Delta \dot{x}_i = f_i[(X_0 + \Delta x), (U_0 + \Delta u)] \]  

\[ \dot{x}_{i0} + \Delta \dot{x}_i = f_i(X_0, U_0) + \left( \frac{\partial f_i}{\partial x_1} \Delta x_1 + \ldots + \frac{\partial f_i}{\partial x_n} \Delta x_n \right) + \left( \frac{\partial f_i}{\partial u_1} \Delta u_1 + \ldots + \frac{\partial f_i}{\partial u_r} \Delta u_r \right) \]  

Since \( \dot{x}_{i0} = f_i(X_0, U_0) = 0 \) the Equation (2.6) simplifies to Equation (2.7)

\[ \Delta \dot{x}_i = \left( \frac{\partial f_i}{\partial x_1} \Delta x_1 + \ldots + \frac{\partial f_i}{\partial x_n} \Delta x_n \right) + \left( \frac{\partial f_i}{\partial u_1} \Delta u_1 + \ldots + \frac{\partial f_i}{\partial u_r} \Delta u_r \right) \]
The partial derivatives of the above equation are evaluated at the initial equilibrium point.

Combining all linearized equations forms the state-space model of the dynamic system as in Equation (2.8).

\[
\Delta \dot{X} = A \Delta X + B \Delta U
\]  

in which,

\[
\Delta X = [\Delta x_1, \Delta x_2, ..., \Delta x_n]
\]

\[
\Delta U = [\Delta u_1, \Delta u_2, ..., \Delta u_r]
\]

\[
A = \begin{bmatrix}
\frac{\partial f_1}{\partial x_{10}} & \cdots & \frac{\partial f_1}{\partial x_{n0}} \\
\vdots & \ddots & \vdots \\
\frac{\partial f_n}{\partial x_{10}} & \cdots & \frac{\partial f_n}{\partial x_{n0}}
\end{bmatrix}
\]

\[
B = \begin{bmatrix}
\frac{\partial f_1}{\partial u_{10}} & \cdots & \frac{\partial f_1}{\partial u_{r0}} \\
\vdots & \ddots & \vdots \\
\frac{\partial f_n}{\partial u_{10}} & \cdots & \frac{\partial f_n}{\partial u_{r0}}
\end{bmatrix}
\]

The small-signal model for one station of the point-to-point hybrid modular multilevel converter is obtained using the above linearization process which is explained in Sections (2.2.1)-(2.2.6).

### 2.2.1 AC System Model

The ac network connected to the converter station is represented by a Thevenin equivalent circuit that comprises of a voltage source and a series RL impedance, connected to an ideal transformer as shown in Fig. 2.1. Applying Kirchoff’s voltage
law to the circuit shown, Equation (2.9) could be obtained.

\[ v_s - v_t = L_s \frac{di_t}{dt} + R_s i_t \]  

Equation (2.9)

In small-signal stability assessment of system instabilities due to low-frequency electromechanical oscillations, the ac network which includes transmission lines and series, shunt compensation devices are represented by the admittance matrix which could capture frequencies accurately only within the range of 0.1-2 Hz. However, small-signal instabilities in systems incorporating control interactions with fast acting devices such as HVDC schemes, the Sub-Synchronous Oscillations (SSO) are observed in the range of 5-55 Hz. Thus for accurate representation of the ac network a dynamic model needs to be incorporated [17].

This thesis hence represents the ac network connected to the converter using a dynamic network model which enables to incorporate the dynamic relationship of the RLC components in the network with the phasor quantities of voltages and currents. Substituting complex rotating phasor relationship of currents and voltages to Equation (2.9) results in Equation (2.10) which is simplified to obtain the phasor relationship given in Equation (2.11) [18].

\[
(V_s + jV_s) e^{j\omega_0 t} - (V_t + jV_t) e^{j\omega_0 t} = L_s \frac{d(I_R + jI_I)}{dt} e^{j\omega_0 t} + R_s (I_R + jI_I) e^{j\omega_0 t}
\]

Equation (2.10)

\[
(V_s + jV_s) - (V_t + jV_t) = L_s \frac{d(I_R + jI_I)}{dt} + (R_s + j\omega_0 L_s) (I_R + jI_I)
\]

Equation (2.11)

Hence the linearized state space model of the AC system in per unit terms is given by Equation (2.12).

\[
\Delta \dot{X}_{ac} = A_{ac} \Delta X_{ac} + B_{ac} \Delta U_{ac} + E_{ac} \Delta V_t
\]

Equation (2.12)
where

\[
\Delta X_{ac} = [\Delta I_{tR}, \Delta I_{tI}]^T
\]
\[
\Delta U_{ac} = [\Delta V_{sR}, \Delta V_{sI}]^T
\]
\[
\Delta V_t = [\Delta V_{tR}, \Delta V_{tI}]^T
\]
\[
A_{ac} = \begin{bmatrix}
-\frac{R_s\omega_0}{L_s} & \omega_0 \\
-\omega_0 & -\frac{R_s\omega_0}{L_s}
\end{bmatrix}
\]
\[
B_{ac} = \begin{bmatrix}
\frac{\omega_0}{L_s} & 0 \\
0 & \frac{\omega_0}{L_s}
\end{bmatrix}
\]
\[
E_{ac} = \begin{bmatrix}
-\frac{\omega_0}{L_s} & 0 \\
0 & -\frac{\omega_0}{L_s}
\end{bmatrix}
\]

### 2.2.2 Phase-Locked Loop Model

The control system of the converter requires accurately measured phase angle of the ac bus voltage to produce voltage orders that are subsequently converted to gate pulse signals to the submodules of the chain-link converter as well as the H-bridges. Phase-locked loop is the device which is used in power system applications to measure the phase angle of the reference bus voltage. Among different PLL mechanisms incorporated under the current context, the Synchronous Reference Frame PLL (SRF-PLL) [18] is the most commonly used configuration in power system applications for control design purposes and the control block diagram is illustrated in Fig.2.2.

The PLL provides an estimate for the phase angle ($\delta_m$) which is then compared against the actual angle ($\delta$). With per-unitized voltages, the error signal between the estimated and the actual angles could be expressed as $\sin(\delta - \delta_m)$, which is passed across a PI controller to obtain the angular frequency error. The nominal
angular frequency $\omega_0$ is added to this frequency error and by integrating the resultant value provides an estimation for the phase angle ($\delta_m$). This estimated phase angle is then utilized to convert the voltages and currents which are modelled in the $RI$ domain to the synchronous $dq$ frame and incorporated in the decoupled control scheme of the converter. The conversion of converter quantities between these frames and the control circuitry are explained in sections 2.2.4 and 2.2.5.1 respectively.

The actual phase angle ($\delta$) is obtained from the real and imaginary components of the reference ac voltage $v_s$ which is $\tan^{-1}(\frac{I_s}{V_s})$

The governing dynamic equations of the SRF-PLL in per unit terms are given by Equation (2.13) and (2.14).

$$\dot{x}_{pll} = \sin(\delta - \delta_m) \quad (2.13)$$

$$\dot{\delta}_m = K_{P_{pll}}\sin(\delta - \delta_m) + K_{I_{pll}}x_{pll} + \omega_0 \quad (2.14)$$

The state-space model of the PLL is thus obtained from Equation (2.15).

$$\Delta \dot{X}_{pll} = A_{pll}\Delta X_{pll} + E_{pll}\Delta V_s \quad (2.15)$$

![PLL block diagram](image)
where,

\[
\Delta X_{\text{pll}} = [\Delta x_{\text{pll}}, \Delta \delta_m]^T \\
\Delta V_s = [\Delta V_{sR}, \Delta V_{sI}]^T \\
A_{\text{pll}} = \begin{bmatrix} 0 & -1 \\ K_{I\text{pll}} & -K_{P\text{pll}} \end{bmatrix} \\
E_{\text{pll}} = \begin{bmatrix} -\frac{V_{s0}}{V_{2\omega}} & \frac{V_{\text{ref}}}{V_{2\omega}} \\ -K_{P\text{pll}} \frac{V_{sI}}{V_{2\omega}} & K_{P\text{pll}} \frac{V_{\text{ref}}}{V_{2\omega}} \end{bmatrix}
\]

### 2.2.3 Measurement Filter Model

The outer-loop control of the de-coupled control scheme of the converter uses the measured active and reactive power quantities as inputs to generate the reference currents for the inner-loop control. The measurement transducers incorporate filters to remove noise from the measured signal in order to improve its accuracy. In EMT simulations this has been achieved by adjusting a smoothing time constant in the measuring device which introduces a delay. Thus the delay incorporated with these measurement filters has been accounted in the small-signal analysis as explained below.

A first order filter model has been used for this purpose which is shown in Fig. 2.3 and the dynamic equation associated with the above measurement filter model is given in Equation (2.16).
\[
\dot{Y}_\alpha(m) = \frac{1}{T_\alpha} (Y_\alpha - Y_\alpha(m))
\]  \hspace{1cm} (2.16)

Thus the dynamics associated with the measurement of active and reactive power supplied by the converter are given by Equation (2.17) and (2.18) respectively.

\[
\dot{P}_{(m)} = \frac{1}{T_{(pm)}} (P_s - P_{(m)})
\]  \hspace{1cm} (2.17)

\[
\dot{Q}_{(m)} = \frac{1}{T_{(qm)}} (Q_s - Q_{(m)})
\]  \hspace{1cm} (2.18)

Substituting \(P_s\) and \(Q_s\) in terms of \(RI\) frame voltage and current quantities \((V_{sR}, V_{sI}, I_{tR}, I_{tI})\) and linearizing, the state-space model of the measurement filter delays could be obtained as shown in Equation (2.19).

\[
\Delta \dot{X}_m = A_m \Delta X_m + E_m \Delta V_s + F_m \Delta I_t
\]  \hspace{1cm} (2.19)

where,

\[
\Delta X_m = [\Delta P_{(m)}, \Delta Q_{(m)}]^T \\
\Delta V_s = [\Delta V_{sR}, \Delta V_{sI}]^T \\
\Delta I_t = [\Delta I_{tR}, \Delta I_{tI}]^T \\
A_m = \begin{bmatrix}
-\frac{1}{T_{pm}} & 0 \\
0 & -\frac{1}{T_{qm}}
\end{bmatrix} \\
E_m = \begin{bmatrix}
\frac{V_{sR}}{T_{pm}} & \frac{V_{sI}}{T_{pm}} \\
\frac{V_{sR}}{T_{qm}} & -\frac{V_{sI}}{T_{qm}}
\end{bmatrix} \\
F_m = \begin{bmatrix}
\frac{I_{tR}}{T_{pm}} & \frac{I_{tI}}{T_{pm}} \\
-\frac{I_{tR}}{T_{qm}} & \frac{I_{tI}}{T_{qm}}
\end{bmatrix}
\]
2.2.4 Axis Transformation

The converter control system requires synchronous reference frame \(dq\) current and voltage components as inputs whereas the ac system components are modelled using the standard \(RI\) co-ordinate system. Thus transformations from \(RI\) frame to \(dq\) frame and vice-versa are required to combine the network and control variables of the state-space system. This transformation could be achieved as illustrated in Fig. 2.4. The phase angle \((\delta_m)\) required for this transformation is obtained from the PLL output as explained in section 2.2.2.

The \(RI\) to \(dq\) transformation and the inverse transformation matrices are as given in Equation (2.20) and (2.21).

\[
\begin{bmatrix}
x_d \\
x_q
\end{bmatrix} =
\begin{bmatrix}
cos\delta_m & sin\delta_m \\
-sin\delta_m & cos\delta_m
\end{bmatrix}
\begin{bmatrix}
x_R \\
x_I
\end{bmatrix}
\tag{2.20}
\]

\[
\begin{bmatrix}
x_R \\
x_I
\end{bmatrix} =
\begin{bmatrix}
cos\delta_m & -sin\delta_m \\
nsin\delta_m & cos\delta_m
\end{bmatrix}
\begin{bmatrix}
x_d \\
x_q
\end{bmatrix}
\tag{2.21}
\]

Hence the linearized algebraic equations for \(RI\) to \(dq\) frame transformation of voltage and current components and vice versa could be obtained from Equations
\[ \Delta V_s^{dq} = K_v \Delta V_s + M_v \Delta \delta_m \] (2.22)

\[ \Delta I_t^{dq} = K_i \Delta I_t + M_i \Delta \delta_m \] (2.23)

\[ \Delta V_t = J_v \Delta V_t^{dq} + N_v \Delta \delta_m \] (2.24)

where,

\[
\Delta V_s^{dq} = [\Delta v_{sd}, \Delta v_{sq}]^T \\
\Delta V_s = [\Delta V_{sR}, \Delta V_{sI}]^T \\
\Delta I_t^{dq} = [\Delta i_{td}, \Delta i_{tq}]^T \\
\Delta I_t = [\Delta I_{tR}, \Delta I_{tI}]^T \\
\Delta V_t = [\Delta V_{tR}, \Delta V_{tI}]^T \\
\Delta V_t^{dq} = [\Delta v_{td}, \Delta v_{tq}]^T \\
K_v = \begin{bmatrix} 
\cos \delta_{m0} & \sin \delta_{m0} \\
-\sin \delta_{m0} & \cos \delta_{m0}
\end{bmatrix} \\
K_i = \begin{bmatrix} 
\cos \delta_{m0} & \sin \delta_{m0} \\
-\sin \delta_{m0} & \cos \delta_{m0}
\end{bmatrix} \\
J_v = \begin{bmatrix} 
\cos \delta_{m0} & -\sin \delta_{m0} \\
\sin \delta_{m0} & \cos \delta_{m0}
\end{bmatrix} \\
M_v = \begin{bmatrix} 
-V_{sR0}\sin \delta_{m0} + V_{sI0}\cos \delta_{m0} \\
-V_{sR0}\cos \delta_{m0} - V_{sI0}\sin \delta_{m0}
\end{bmatrix} \\
M_i = \begin{bmatrix} 
-I_{tR0}\sin \delta_{m0} + I_{tI0}\cos \delta_{m0} \\
-I_{tR0}\cos \delta_{m0} - I_{tI0}\sin \delta_{m0}
\end{bmatrix} \\
N_v = \begin{bmatrix} 
-V_{td0}\sin \delta_{m0} - V_{tq0}\cos \delta_{m0} \\
V_{td0}\cos \delta_{m0} - V_{tq0}\sin \delta_{m0}
\end{bmatrix}
\]
2.2.5 Control System Model

Control system for the hybrid modular multilevel VSC consists of an ac side control circuitry as well as a dc side control scheme. The ac side controller adjusts the active and reactive power exchanged with the grid according to the input demands, which is achieved through a rotating reference frame \(dq\) positive sequence line current controller as shown in Fig 2.5. This standard arrangement, also referred to as vector current control is based on independent control of active and reactive power by synchronous reference frame \(dq\) current components and consists of two control loops with cascaded PI controllers namely the outer-loop and inner-loop controller [19].

The slower outer-loop controller uses the desired active and reactive power demands \(P_{(\text{ref})}, Q_{(\text{ref})}\) with the measured power quantities \(P_{(m)}, Q_{(m)}\) to generate the references for the \(d\) and \(q\)-axis current components \(i_{td(\text{ref})}, i_{tq(\text{ref})}\) respectively. As shown in Fig. 2.5 active power is selected as the control variable to produce the

\[
\begin{align*}
P_{(\text{ref})} &\rightarrow \dot{x}_p & i_{td(\text{ref})}\rightarrow \dot{x}_{itd} &\rightarrow v_{td} \\
\rightarrow P_{(m)} & & \cdots & \\
Q_{(\text{ref})} &\rightarrow \dot{x}_q & i_{tq(\text{ref})}\rightarrow \dot{x}_{itq} &\rightarrow v_{tq} \\
\rightarrow Q_{(m)} & & \cdots & \\
\end{align*}
\]

**Figure 2.5:** Decoupled \(dq\) control scheme; redrawn with modifications from Fig.10 in [4]
d-axis current reference and reactive power for the q-axis current reference. The error between the desired and the measured quantities, regulated by a suitably tuned PI controller produces these $dq$-frame reference current components.

The faster inner-loop controller uses these references generated by the outer-loop to regulate the measured $dq$-currents of the converter to meet the desired values. A PI controller arrangement then generates the voltage orders $v_{td}, v_{tq}$, combining the output of these PI controllers with the measured $dq$-axis voltage components of the reference ac bus voltage and de-coupling terms. The development of the small-signal model for the ac control scheme of the converter is explained in 2.2.5.1.

The dc control scheme of the converter regulates the power balance between ac and dc sides thereby generating the reference for the dc-link current. This desired current reference is utilized in order to generate the demand for the voltage to be applied across the cascaded chain-link converters of the three phases, thereby obtaining the triplen harmonic compensation required to maintain a constant voltage at the dc-link. The above control scheme has been explained with further details in 2.2.5.2.

2.2.5.1 Small-signal Model of the AC Control System

Model of a PI controller used in the above control scheme is given in Fig. 2.6 whereas the dynamic and linear equations associated with that are given by Equation (2.25) and (2.26) respectively.

\[
\dot{X}_a = (Y_{a(ref)} - Y_{a(m)}) \tag{2.25}
\]

\[
Z = K_{Pa} (Y_{a(ref)} - Y_{a(m)}) + K_{Ia} X_a \tag{2.26}
\]
Thus the state equations of the outer-loop controller for the hybrid VSC could be expressed as given in Equations (2.27) and (2.28).

\[
\dot{x}_P = (P_{\text{ref}} - P_{(m)}) \quad (2.27)
\]

\[
\dot{x}_Q = (Q_{(m)} - Q_{(\text{ref})}) \quad (2.28)
\]

The outputs from the PI controllers of the outer-loop control are the reference \(d\) and \(q\) axis currents as given in Equations (2.29) and (2.30) respectively.

\[
i_{td\text{(ref)}} = K_{Pp}(P_{\text{ref}} - P_{(m)}) + K_{Ip}\dot{x}_P \quad (2.29)
\]

\[
i_{tq\text{(ref)}} = K_{Pq}(Q_{(m)} - Q_{\text{ref}}) + K_{Iq}\dot{x}_Q \quad (2.30)
\]

The state equations for the inner-loop control could be obtained using these current references as given in Equation (2.31) and (2.32)

\[
\dot{x}_{itd} = (i_{td\text{(ref)}} - i_{td}) \quad (2.31)
\]

\[
\dot{x}_{itq} = (i_{tq\text{(ref)}} - i_{tq}) \quad (2.32)
\]

The reference currents in the above equation are substituted from Equations (2.29) and (2.30).

The per-unitized state-space model of the ac control system for the converter is obtained by linearizing Equations (2.27), (2.28), (2.31) and (2.32) and combining
them into a state-space form as given in Equation (2.33).

\[ \Delta \dot{X}_{C_{ac}} = A_{C_{ac}} \Delta X_{C_{ac}} + A^*_{C_{ac}} \Delta X_m + B_{C_{ac}} \Delta U_{C_{ac}} + F_{C_{ac}} \Delta I_{dq} \] (2.33)

where,

\[ \Delta X_{C_{ac}} = [\Delta x_P, \Delta x_Q, \Delta x_{itd}, \Delta x_{itq}]^T \]
\[ \Delta X_m = [\Delta P_{(m)}, \Delta Q_{(m)}]^T \]
\[ \Delta U_{C_{ac}} = [\Delta P_{(ref)}, \Delta Q_{(ref)}]^T \]
\[ \Delta I_{dq}^t = [\Delta i_{td}, \Delta i_{tq}]^T \]

\[ A_{C_{ac}} = \begin{bmatrix}
0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 \\
k_1 K_{Ip} & 0 & 0 & 0 \\
0 & k_1 K_{Iq} & 0 & 0
\end{bmatrix} \]

\[ A^*_{C_{ac}} = \begin{bmatrix}
-1 & 0 \\
0 & 1 \\
k_1 K_{Pp} & 0 \\
0 & k_1 K_{Pq}
\end{bmatrix} \]

\[ B_{C_{ac}} = \begin{bmatrix}
1 & 0 \\
0 & -1 \\
k_1 K_{Pp} & 0 \\
0 & -k_1 K_{Pq}
\end{bmatrix} \]
Chapter 2. Modelling of the Modular Multilevel VSC

\[ F_{Cac} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ -1 & 0 \\ 0 & -1 \end{bmatrix} \]

\[ k_1 = \frac{MVA_{base}}{I_{base} \times 1000} \]

The EMT simulation model of the converter was developed on the basis of actual units whereas the small-signal model is developed on a per unit basis. Thus the PI controller gains \((K_{P\alpha}, K_{I\alpha})\) used in the EMT simulation had to be scaled in order to be used with the equivalent small-signal model and these scaling factors are denoted in this thesis by \(k_i\).

The output signals from the inner-loop control are combined with the \(dq\) components of the ac bus voltage and de-coupling terms in order to obtain the voltage order signals \(v_{td}\) and \(v_{tq}\). These will produce the gate pulses to the half-bridge sub-modules of the wave-shaping circuit and for the H-bridge IGBT strings (director switches) in the main power circuit.

The voltage orders for the converter are given by Equation (2.34).

\[ \Delta V_{t}^{dq} = C_o \Delta X_{Cac} + C_o^* \Delta X_{m} + D_o \Delta U_{Cac} + K_o \Delta V_{s}^{dq} + + M_o \Delta I_{t}^{dq} \quad (2.34) \]

where,

\[ \Delta X_{Cac} = [\Delta x_P, \Delta x_Q, \Delta x_{itd}, \Delta x_{itq}]^T \]

\[ \Delta X_{m} = [\Delta P_{(m)}, \Delta Q_{(m)}]^T \]
\[ \Delta U_{\text{ac}} = [\Delta P_{\text{ref}}, \Delta Q_{\text{ref}}]^T \]

\[ \Delta V_{s}^{dq} = [\Delta v_{sd}, \Delta v_{sq}]^T \]

\[ \Delta V_{i}^{dq} = [\Delta v_{id}, \Delta v_{iq}]^T \]

\[ \Delta i_{i}^{dq} = [\Delta i_{td}, \Delta i_{tq}]^T \]

\[ C_o = \begin{bmatrix}
-k_1 k_2 K_{P_{id}} K_{I_{p}} & 0 & -k_2 K_{I_{id}} & 0 \\
0 & -k_1 k_2 K_{P_{iq}} K_{I_{q}} & 0 & -k_2 K_{I_{iq}}
\end{bmatrix} \]

\[ C_o^* = \begin{bmatrix}
-k_1 k_2 K_{P_{id}} K_{P_{p}} & 0 \\
0 & -k_1 k_2 K_{P_{iq}} K_{P_{q}}
\end{bmatrix} \]

\[ K_o = \begin{bmatrix}
1 & 0 \\
0 & 1
\end{bmatrix} \]

\[ M_o = \begin{bmatrix}
k_2 K_{P_{id}} & \omega L \\
-\omega L & k_2 K_{P_{iq}}
\end{bmatrix} \]

\[ k_2 = \frac{i_{\text{base}}}{e_{\text{base}}} \]

### 2.2.5.2 Small-signal Model of the DC Control System

In the arrangement of the hybrid modular multilevel VSC, the wave-shaping circuit which consists of a chain-link of half-bridge submodules for the three phases are connected in series at the dc terminals of the H-bridges. Thus the voltage across the dc link is created by the summation of rectified ac voltages repeating twice per cycle, that are synthesized by these chain-link converters to fulfil the requirements of the ac network. Hence the converter voltage magnitude cannot be varied without affecting its dc-link voltage which results in a fixed modulation index for the converter as explained in 1.3.4.2. This inhibits the capability of reactive power compensation at the presence of fixed dc voltage. In order to be
capable of regulating the converter voltage while maintaining a constant dc voltage, this converter configuration utilizes the technique which introduces a small amount of zero phase sequence 3rd harmonic voltage. Thus the voltage synthesized by the wave-shaping converters will be the addition of the fundamental and the triplen harmonic, which further has the advantage of not being seen by the ac network [4]. This provides a direct component of voltage over a half cycle that could be controlled either positively or negatively to compensate for the changes in the rectified ac voltage reference hence restore the dc link voltage to its nominal value.

This configuration requires to have two additional control loops for the dc side capacitor voltages and dc-link current of the hybrid converter which are shown in Fig 2.7 and Fig. 2.8 respectively. The cascaded PI controller arrangement consists of a slower outer-loop control that regulates the square of the cell capacitor voltages which is proportional to the total power to the chain-link converter. This enables to maintain the balance between the dc side power and ac side active power while producing a reference for the dc-link current \( i_{dc(ref)} \). The faster inner-loop control utilizes the error between this reference and the actual dc-link current \( i_{dc} \) through a PI controller to regulate the voltage to be applied across the dc-link \( v_{dc} \), by which the magnitude of the required 3rd harmonic voltage compensation \( \alpha_3 \) is calculated as explained in 1.3.4.2.

As illustrated in Fig. 2.7 the first two state equations of the dc control system are developed for the slower outer-loop control. A value that is proportional to the chain-link power has been computed by the squared sum of cell-voltage reference \( v_{cap(ref)} \). This will be compared with the squared sum of the measured capacitor voltages which would be proportional to the actual power consumed by the chain-link. The error between the two will be given to a PI-controller whose output is the desired chain-link power \( P_{cl(ref)} \) which will also provide an estimate of the
power balance between the ac and the dc sides. The governing dynamic equations are given by Equation (2.35) and (2.36).

$$\dot{x}_{cap} = 3n_c v_{cap(ref)}^2 - x_{cl}$$  \hspace{1cm} (2.35)$$

$$\dot{x}_{cl} = \frac{1}{C_{cell}} (K_{pd} (3n_c v_{cap(ref)}^2 - x_{cl}) + K_{id} x_{cap})$$  \hspace{1cm} (2.36)$$

The output of the PI controller is then feed forwarded with the ac power demand \((P_{ref})\) which results in the dc power demand. Assuming a steady state mean composite chain-link voltage \(V_G\) across the cells, the reference dc-link current \(i_{dc(ref)}\)
can be calculated as given in Equation (2.37).

\[
i_{dc\text{(ref)}} = \frac{1}{V_G} (k_3 K_{Pcl} (3n_c v_{cap\text{(ref)}}^2 - x_{cl}) + k_3 K_{Icl} x_{cap} - P_{\text{(ref)}})
\]  

(2.37)

where \( k_3 = \frac{V_{dc\text{,base}}^2}{MV_{A_{base}}} \)

The remaining dynamic equation is obtained from the inner-loop control of the dc control scheme as given in Equation (2.38).

\[
\dot{x}_{ide} = i_{dc\text{(ref)}} - i_{dc}
\]

(2.38)

\( i_{dc\text{(ref)}} \) in the above equation can be substituted from Equation (2.37). Linearizing Equation (2.35), (2.36) and (2.38) the overall state space model for the dc side controls can be obtained as given in Equation (2.39).

\[
\Delta \dot{X}_{Cdc} = A_{Cdc} \Delta X_{Cdc} + A^*_{Cdc} \Delta i_{dc} + B_{Cdc} \Delta U_{Cdc}
\]

(2.39)

where,

\[
\Delta X_{Cdc} = [\Delta x_{cap}, \Delta x_{cl}, \Delta x_{ide}]^T
\]

\[
\Delta U_{Cdc} = \Delta P_{\text{(ref)}}
\]

\[
A_{Cdc} = \begin{bmatrix}
K_{Icl} & -K_{Pcl} & 0 \\
\frac{K_{Icl}}{C_{cell}} & \frac{-K_{Pcl}}{C_{cell}} & 0 \\
\frac{k_3 K_{Icl}}{V_G} & \frac{-k_3 K_{Pcl}}{V_G} & 0
\end{bmatrix}
\]

\[
A^*_{Cdc} = -1
\]

\[
B_{Cdc} = \begin{bmatrix}
0 \\
0 \\
\frac{1}{V_G}
\end{bmatrix}
\]
2.2.6 DC System Model

The DC system of the converter is modelled as a transmission line having a smoothing inductance of $L_{dc}$ and a line resistance of $R_{dc}$ connecting to the converter station at the other end. The voltage at this end is maintained constant at $V_G$ by the controls of this converter station.

The dynamics of the submodule capacitors need to be included in the small-signal model hence the equivalent circuit representation of the dc-link of the hybrid converter is obtained as illustrated in Fig. 2.9. $C_{eq1}$ models the equivalent capacitance of the submodule capacitors that are inserted to generate the voltage $v_{dc}$ which is applied across the dc-link as demanded from the inner-loop control, explained in 2.2.5.2. $C_{eq2}$ is a representation of the submodules of the chain-link converter in the opposite end station and are not modelled in the small-signal model of the one-converter test system.

The equation which describes the dynamics of the submodule capacitors could be obtained by considering the power balance between the ac and the dc sides as given in Equation (2.40).

$$\frac{d\left(\frac{1}{2}C_{eq}v_{dc}^2\right)}{dt} = P_{ac} - P_{dc} - P_{loss} \quad (2.40)$$
where

\[ P_{ac} = V_{tR}I_{tR} + V_{tI}I_{tI} \]
\[ P_{dc} = v_{dc}i_{dc} \]
\[ C_{eq} = \frac{C_{cell}}{v_{cap(ref)}} \]

\( P_{loss} \) is assumed to be negligible since the H-bridge converters are soft switched while the chain-link converter which utilizes multilevel modulation schemes involving high frequency switching is outside the main conduction path, accounting for low converter losses due to switching [4]. Substituting for \( P_{ac}, P_{dc} \) and \( C_{eq} \) in Equation (2.40), the equation governing dynamics of the submodules could be obtained.

Equation (2.41) in per unit terms describes the dynamics of the dc transmission line connecting with the converter station at the other end.

\[ v_{dc} - V_G = L_{dc} \frac{di_{dc}}{dt} + R_{dc}i_{dc} \quad (2.41) \]

Linearizing Equations (2.40) and (2.41), the state-space model of the DC system of the hybrid converter could be obtained as given in Equation (2.42).

\[ \Delta \dot{X}_{dc} = A_{dc} \Delta X_{dc} + A^{*}_{dc} \Delta X_{Cdc} + B_{dc} \Delta U_{dc} + E_{dc} \Delta V_t + F_{dc} \Delta I_t \quad (2.42) \]
where

\[ \Delta X_{dc} = [\Delta v_{dc}, \Delta i_{dc}] \]
\[ \Delta X_{Cdc} = [\Delta x_{cap}, \Delta x_{cl}, \Delta x_{ide}] \]
\[ \Delta U_{dc} = \Delta P_{ref} \]
\[ \Delta V_{t} = [\Delta V_{tR}, \Delta V_{tI}] \]
\[ \Delta I_{t} = [\Delta I_{tR}, \Delta I_{tI}] \]

\[ A_{dc} = \begin{bmatrix} (m_{10} - m_{12}) & (m_{11} + m_{14} + m_{6}) \\ \frac{\omega_0}{L_{dc}} & \frac{-\omega_0 R_{dc}}{L_{dc}} \end{bmatrix} \]
\[ A_{dc}^* = \begin{bmatrix} (m_{13} - m_{4}) & (m_{12} + m_{3}) & (m_{15} - m_{7}) \\ m_{1} & m_{1} & m_{1} \\ 0 & 0 & 0 \end{bmatrix} \]
\[ B_{dc} = \begin{bmatrix} (m_{16} + m_{5}) \\ m_{1} \end{bmatrix} \]
\[ E_{dc} = \begin{bmatrix} m_{17} & m_{18} \\ m_{1} & m_{1} \\ 0 & 0 \end{bmatrix} \]
\[ F_{dc} = \begin{bmatrix} m_{8} & m_{9} \\ m_{1} & m_{1} \\ 0 & 0 \end{bmatrix} \]

Derivation of the coefficients of the DC system state space model is explained in A.

### 2.2.7 Overall State-Space Model of the Hybrid Modular Multilevel Converter

Combination of all state-space forms obtained in the above sections for each component of the converter, the overall state-space model of the converter could be
formulated which could be expressed in a generalized form as given in Equation (2.43).

\[
\Delta \dot{X} = A\Delta X + B\Delta U \tag{2.43}
\]

MATLAB software tool was utilized in order to combine the state-space models for the ac system, PLL, measurement filters, ac and dc control circuitry and the dc system hence obtain the linearized overall state-space model of the converter which is intended to be used for stability analysis as explained in the next chapters of this thesis.

The overall model consists of 15 state variables and 4 control inputs as given in Table. 2.2 and Table. 2.3 respectively.

<table>
<thead>
<tr>
<th>Table 2.2: State variables of the small-signal model of the converter</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>System</strong></td>
</tr>
<tr>
<td>AC system</td>
</tr>
<tr>
<td>PLL</td>
</tr>
<tr>
<td>AC control system</td>
</tr>
<tr>
<td>Measurement filters</td>
</tr>
<tr>
<td>DC control system</td>
</tr>
<tr>
<td>DC system</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Table 2.3: Control inputs of the small-signal model of the converter</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>System</strong></td>
</tr>
<tr>
<td>AC system</td>
</tr>
<tr>
<td>Control system</td>
</tr>
</tbody>
</table>

Fig. 2.10 illustrates the block diagram of the developed overall state space model of the converter which indicates the flow of signals between each component of the system.
\[ \Delta X_{ac} = A_{ac} \Delta X_{ac} + B_{ac} \Delta U_{ac} + E_{ac} \Delta V_t \]

\[ \Delta I_{R} = K_{i} \Delta I_t + M_{i} \Delta \delta_m \]

\[ \Delta \dot{X}_{pl} = A_{pl} \Delta X_{pl} + E_{pl} \Delta V_s \]

\[ \Delta V_{dq} = K_{v} \Delta V_s + M_{v} \Delta I_{dq} \]

\[ \Delta \dot{X}_{Cac} = A_{Cac} \Delta X_{Cac} + A^*_{Cac} \Delta X_{m} + B_{Cac} \Delta U_{Cac} + F_{Cac} \Delta I_{dq} \]

\[ \Delta \dot{X}_{Cdc} = A_{Cdc} \Delta X_{Cdc} + A^*_{Cdc} \Delta X_{dc} + B_{Cdc} \Delta U_{Cdc} + E_{dc} \Delta V_t + F_{dc} \Delta I_t \]

**Figure 2.10:** 15th order small signal model of the hybrid modular multilevel VSC
2.3 Validation of the Overall State-Space Model of the Converter

The small signal model of the converter was obtained by linearizing non-linear dynamic equations governing the behaviour of each converter component around an equilibrium point as explained in the previous section. It is thus necessary to validate this against a detailed non-linear model for accuracy, prior to be used for a stability analysis of this novel converter topology.

This has been achieved in the thesis with the developed EMT simulation model using PSCAD/EMTDC, explained in 2.1. The simulation parameters specified in Table 2.1 were used for this validation and the PI-controller gains are as given in Table 2.4. These proportional and integral gains have been obtained using the trial-and-error method for controller tuning.

<table>
<thead>
<tr>
<th>Controller</th>
<th>$K_P$</th>
<th>$K_I$</th>
</tr>
</thead>
<tbody>
<tr>
<td>PLL</td>
<td>50</td>
<td>900</td>
</tr>
<tr>
<td>Outer-loop (ac controls)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Active Power</td>
<td>0.009</td>
<td>1.25</td>
</tr>
<tr>
<td>Reactive Power</td>
<td>0.5</td>
<td>50</td>
</tr>
<tr>
<td>Inner-loop (ac controls)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>$d$-axis current</td>
<td>4</td>
<td>500</td>
</tr>
<tr>
<td>$q$-axis current</td>
<td>5</td>
<td>50</td>
</tr>
<tr>
<td>Outer-loop (dc controls)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Submodule capacitor voltage</td>
<td>10</td>
<td>20</td>
</tr>
<tr>
<td>Inner-loop (dc controls)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DC link current</td>
<td>0.001</td>
<td>200</td>
</tr>
</tbody>
</table>

The equilibrium operating point of the converter has been selected to be $P_{ac}=1.0$ pu and $Q_{ac}=0$ pu around which the linearization of the dynamic equations of the converter and the associated controls has been performed in order to obtain the small-signal model derived in 2.2. Validation of the small signal model was performed by applying a small disturbance to active and reactive power reference
settings \((P_{\text{ref}}, Q_{\text{ref}})\) given to the outer-loop control of the decoupled \(dq\) control scheme, one at a time and comparing results obtained from the two models against one another. The perturbation applied has a magnitude of 0.1 pu which is maintained for a period of 100 ms.

### 2.3.1 Disturbance applied to the active power reference

The comparison of results obtained from the EMT model and the developed small-signal model is illustrated in Fig. 2.11 for a disturbance given to the active power reference \(P_{\text{ref}}\) at \(t=2.0\) s for a period of 100 ms, with a 10\% change in magnitude. The results show the traces for the variation in active power \((\Delta P_{\text{ac}})\) in a time window of 700 ms.

![Figure 2.11: Variation in active power \((\Delta P_{\text{ac}})\) for a small perturbation in respective reference \(P_{\text{ref}}\)](image)
2.3.2 Disturbance applied to the reactive power reference

The comparison of results obtained from the EMT model and the developed small-signal model is illustrated in Fig. 2.12 for a disturbance given to the reactive power reference \( Q_{(\text{ref})} \) at \( t=2.0 \text{ s} \) for a period of 100 ms, with a 10% change in magnitude. The results show the traces for the variation in reactive power \( \Delta Q_{(\text{ac})} \) in a time window of 700 ms.

As given in Fig. 2.11, Fig. 2.12 the trace obtained from the small-signal model accurately follows that of the detailed EMT model, hence validating the developed model.
2.4 Modified Overall State-Space Model of the Hybrid Modular Multilevel Converter

The dc voltage applied across the composite chain-link arrangement of the converter is obtained through the summation of the rectified sinusoid references for the three phases; synthesized to fulfil the demand of the connected ac network. The variations in this dc voltage were neglected while developing the small-signal model in 2.2 and instead a steady state dc voltage of $V_G$ has been assumed. Thus the impact of the dc voltage on the accuracy of the derivation of the small-signal model has been further studied in this section.

The decoupled-$dq$ controller generates the desired converter voltage magnitude $\hat{v}_t = \sqrt{v_{td}^2 + v_{tq}^2}$ according to the active and reactive power setting provided. Hence for a given MI, variations in the actual dc voltage of the converter can be accounted in the derivation of its small-signal model as given from Equation (2.44) and (2.45). $v_{td}^*$ and $v_{tq}^*$ are the modified voltage orders of the converter with the variations in the dc-link voltage incorporated into the derivation of the small-signal model.

$$v_{td}^* = \frac{v_{td} v_{dc}}{V_G} \quad (2.44)$$
$$v_{tq}^* = \frac{v_{tq} v_{dc}}{V_G} \quad (2.45)$$

The linearized algebraic equations that explain the relationship between the dc-link voltage and the voltage orders generated by the converter could be obtained as given in Equation (2.46)

$$\Delta V_t^{dq*} = C_m \Delta V_t^{dq} + D_m \Delta v_{dc} \quad (2.46)$$
where

\[
\Delta V_{dq}^* = [\Delta v_{td}^*, \Delta v_{tq}^*]
\]

\[
\Delta V_{dq}^* = [\Delta v_{td}, \Delta v_{tq}]
\]

\[
C_m = \begin{bmatrix}
\frac{V_{dc}}{V_G} & 0 \\
0 & \frac{V_{dc}}{V_G}
\end{bmatrix}
\]

\[
D_m = \begin{bmatrix}
\frac{v_{td0}}{V_G} \\
\frac{v_{tq0}}{V_G}
\end{bmatrix}
\]

Applying \(dq\)-transformation to the modified voltage orders \((v_{td}^*, v_{tq}^*)\) obtained from Equation (2.46), results in the respective voltage components in the \(RI\) co-ordinate frame which are then integrated with the state-space models derived for the rest of the converter components following the procedure explained in 2.2.1-2.2.7. The block diagram of the overall modified state space model of the hybrid converter is illustrated in Fig. 2.13 explaining the signal flows between the converter components.
\[ \Delta x_{ac} = A_{ac} \Delta X_{ac} + B_{ac} \Delta U_{ac} + E_{ac} \Delta V_{sR} \]

\[ \Delta i_{IR} = K_i \Delta I_t + M_i \Delta \delta_m \]

\[ \Delta X_{pll} = A_{pll} \Delta X_{PLL} + E_{PLL} \Delta V_s \]

\[ \Delta V_{dqS} = C_m \Delta V_{dq} + D_m \Delta V_{dc} \]

\[ \Delta P_{(ref)} = \Delta x_{P} \]

\[ \Delta Q_{(ref)} = \Delta x_{Q} \]

\[ \Delta i_{td} = \Delta v_{td} \]

\[ \Delta i_{tq} = \Delta v_{tq} \]

\[ \Delta v_{sd} = \Delta V_{sd} \]

\[ \Delta v_{sq} = \Delta V_{sq} \]

\[ \Delta P_{(m)} = \Delta x_{P_{(m)}} \]

\[ \Delta Q_{(m)} = \Delta x_{Q_{(m)}} \]

\[ \Delta i_{dc} = \Delta v_{dc} \]

\[ \Delta x_{cap} = A_{xcap} \Delta X_{xcap} + A_{xcap}^{*} \Delta X_{xcap} + B_{xcap} \Delta U_{xcap} + E_{xcap} \Delta V_{xcap} + F_{xcap} \Delta I_t \]

\[ \Delta x_{ide} = A_{xide} \Delta X_{xide} + A_{xide}^{*} \Delta X_{xide} + B_{xide} \Delta U_{xide} + E_{xide} \Delta V_{xide} + F_{xide} \Delta I_t \]

**Figure 2.13:** Modified small signal model of the hybrid modular multilevel VSC
2.4.1 Validation of the Modified Small-Signal Model of the Converter

Similarly to the model developed in 2.2, the modified small-signal model obtained in 2.4 should be validated against a detailed non-linear simulation for accuracy. The steps explained in 2.3 were followed in order to validate the modified small-signal model for which a small disturbance with a magnitude of 0.1 pu persisting for 100 ms is applied to the active and reactive power reference settings of the decoupled-$dq$ control scheme. Results obtained from the two models have been compared as explained below.

2.4.1.1 Disturbance applied to the active power reference

The comparison of results obtained from the EMT model and the developed small-signal model is illustrated in Fig. 2.14 for a disturbance given to the active power reference ($P_{(ref)}$) at $t=2.0$ s for a period of 100 ms, with a 10% change in magnitude. The results show the traces for the variation in active power ($\Delta P_{(ac)}$) in a time window of 700 ms.

2.4.1.2 Disturbance applied to the reactive power reference

The comparison of results obtained from the EMT model and the developed small-signal model is illustrated in Fig. 2.15 for a disturbance given to the reactive power reference ($Q_{(ref)}$) at $t=2.0$ s for a period of 100 ms, with a 10% change in magnitude. The results show the traces for the variation in reactive power ($\Delta Q_{(ac)}$) in a time window of 700 ms.
Figure 2.14: Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$.

Figure 2.15: Variation in reactive power ($\Delta Q_{(ac)}$) for a small perturbation in respective reference $Q_{(ref)}$.

The results obtained from the detailed non-linear model and the modified linearized small-signal model presented above show close correspondence as expected. However the accuracy of fit between the traces of the EMT model and modified small-signal model, for the variation in active power is better compared to the previous case, in which the variations in the dc-link voltage has not been considered. Besides, variation in reactive power does not show a significant improvement.
in the accuracy of fit between the traces obtained from the EMT model and the modified small-signal model compared to the former case.

The control circuitry regulates the dc-link voltage in the close proximity of its desired value $V_G$ through triplen harmonic voltage compensation. Thus the impact of the variations in the dc-link voltage on the accuracy of the derived small-signal model is not significant which is further observed from the comparison of results obtained from the state-space models with the non-linear EMT simulation model. Further, results of a detailed eigenvalue analysis performed on the state matrix of the modified small-signal model following the procedure presented in the next chapter of the thesis confirmed that the dc-link voltage has a minimal impact on the accuracy of the derivation of the small-signal model for the novel hybrid converter configuration.

**Chapter Summary**

This chapter described the development of a small-signal model for the stability evaluation of the novel converter topology. The non-linear dynamic equations have been linearized using the Taylor series expansion, assuming the perturbations from the equilibrium state are small enough for a linear model to be valid. The overall state-space model developed has been validated against a detailed non-linear transient simulation model and the results from the two exhibit close correspondence. Variations in the mean composite chain-link voltage are incorporated by modifications applied to the state-space formulation of the converter.
Chapter 3

Stability Analysis of the Hybrid Modular Multilevel VSC

The state-space form of the converter given in Equation (3.1) has been obtained by linearizing non-linear dynamic equations governing the behaviour of the system about an equilibrium operating point. Thus the linearity of the model will be valid for small perturbations around this point.

\[
\Delta \dot{X} = A \Delta X + B \Delta U \quad (3.1)
\]

where \( \Delta X \) is the state vector of dimension \( n \)
\( \Delta U \) is the input vector of dimension \( r \)
A is the state matrix of size \( n \times n \)
B is the input matrix of size \( n \times r \)

Well defined techniques available for analysing linear systems could be used for the stability assessment of the converter which include root-locus, Bode plot, Nyquist
criterion and eigenvalue (state-space) analysis. A stability assessment on the developed model using a technique listed above provides an insight to the performance of the system in terms of to its stability and controllability aspects. This further facilitates the design of controllers to improve the stability thereby securing reliable power transmission using the selected converter topology.

In order to assess the small-signal stability of the hybrid modular multilevel converter this thesis uses the eigenvalue analysis technique which has a variety of applications in power system control studies. This provides stability related frequency domain attributes such as eigenvalues (modes), frequency and damping of the oscillatory modes present, eigenvectors and participation of state variables in each mode thereby giving a better insight to the behaviour of the system.

The linearized state-space model for the converter, developed and validated in 2.2 is used for this stability analysis. The following section provides a brief introduction to the eigenproperties of the state-space system.

### 3.1 Eigenproperties and Stability Criterion

#### 3.1.1 Eigenvalues

Eigenvalues of the state matrix $A$ are given by the values of $\lambda$ from the Equation (3.2).

$$\det(A - \lambda I) = 0 \quad (3.2)$$

where $\lambda = [\lambda_1, \lambda_2, ..., \lambda_n]$ are eigenvalues of the matrix $A$. These eigenvalues could be either real or complex. If $A$ is real these complex eigenvalues occur in conjugate pairs.
The time evolution of a mode corresponding to an eigenvalue $\lambda_i$ is given by $e^{\lambda t}$. Thus,

(a) “A real eigenvalue corresponds to a non-oscillatory mode. A negative real eigenvalue represents a decaying mode. The larger its magnitude, the faster the decay. A positive real eigenvalue represents aperiodic instability.”

(b) “Complex eigenvalues occur in conjugate pairs, and each pair corresponds to an oscillatory mode.” [16]

The small-signal stability of the system could be analysed from the eigenvalues of the state matrix and the criterion for stability of a system described below is based on the Lyapunov’s first method [16].

(i) “When the eigenvalues have negative real parts, the original system is asymptotically stable.”

(ii) “When at least one of the eigenvalues has a positive real part, the original system is unstable.”

(iii) “When the eigenvalues have real parts equal to zero, it is not possible on the basis of the first approximation to say anything in the general.”

A complex conjugate pair of eigenvalues could be expressed as in Equation (3.3).

$$\lambda = \sigma \pm j\omega$$ (3.3)

The real part $\sigma$ gives damping ratio ($\zeta$) while the imaginary component $\omega$ gives the frequency of oscillation ($f$) which could be obtained as shown below from Equation (3.4) and (3.5) respectively. The damping ratio determines the rate of decay of the amplitude of the oscillation and a negative real part represents a damped oscillation where as a positive real part corresponds to an oscillation with
growth amplitude.

\[ \zeta = \frac{-\sigma}{\sqrt{\sigma^2 + \omega^2}} \]  
\[ f = \frac{\omega}{2\pi} \]  

### 3.1.2 Eigenvectors

For a given eigenvalue \( \lambda_i \), the \( n \)-column vector \( \phi_i \) that satisfies Equation (3.6) given below is defined as the right eigenvector of \( \lambda_i \).

\[ A\phi_i = \lambda_i\phi_i \quad i = 1, 2, \ldots n \]  

where

\[ \phi_i = \begin{bmatrix} \phi_{1i} \\ \phi_{2i} \\ \vdots \\ \phi_{ni} \end{bmatrix} \]

For a given eigenvalue \( \lambda_i \), the \( n \)-row vector \( \psi_i \) that satisfies Equation (3.7) given below is defined as the left eigenvector of \( \lambda_i \).

\[ \psi_i A = \lambda_i\psi_i \quad i = 1, 2, \ldots n \]

\[ \psi_i = \begin{bmatrix} \psi_{i1}, \psi_{i2}, \ldots, \psi_{in} \end{bmatrix} \]
3.1.3 Participation Factor

The participation factor calculated for each mode (eigenvalue) combining the corresponding right and left eigenvectors provides a measurement of the association between the state variables and the mode of interest [16]. Participation matrix for the \( i \)th eigenvalue \( \lambda_i \) is obtained from Equation (3.8)

\[
p_i = \begin{bmatrix} p_{1i} \\ p_{2i} \\ \vdots \\ p_{ni} \end{bmatrix} = \begin{bmatrix} \phi_{1i} \psi_{i1} \\ \phi_{2i} \psi_{i2} \\ \vdots \\ \phi_{ni} \psi_{in} \end{bmatrix} \tag{3.8}
\]

\( p_{ki} = \phi_{ki} \psi_{ik} \) is referred to as the participation factor which represents the participation of the \( k \)th state variable in \( i \)th mode, where \( \phi_{ki} \) denotes the \( k \)th entry of the right eigenvector \( \phi_i \) while \( \psi_{ik} \) refers to the \( k \)th entry of the left eigenvector \( \psi_i \).

3.2 Eigenvalue Analysis of the Test System

An eigenvalue analysis was performed on the state-space model developed and validated in the previous chapter with the intention of assessing the converter’s “stability in small”. Oscillatory modes corresponding to the eigenvalues obtained from the state matrix have been considered in this study. Further, a participation factor analysis has been conducted on these oscillatory modes observed in order to understand the contribution of each state of the system to the modes of interest. The study was conducted for two different system strengths of the converter connected ac network, thus to evaluate its impact on the stability and performance of the converter.
3.2.1 Analysing the impact of the system strength on the stability of the converter

The strength of an ac system is parameterized by the index referred to as the Short Circuit Ratio (SCR) which can be calculated from the Equation (3.9) given below.

\[
SCR = \frac{\text{Short circuit MVA}}{\text{Rated DC power}}
\]  

The Short Circuit MVA of the ac system is given by \(\frac{V_{LL}^2}{|Z_s|}\) where \(V_{LL}\) is the line-line voltage and \(Z_s\) is the Thevenin equivalent impedance of the system which in this scenario is the impedance of series connected RL branch. An ac system having a high impedance is categorized as a weak system, hence has a low SCR whereas a low impedance system is considered to be strong, having a high SCR. Appropriate values were selected for \(R\) and \(L\) in order to model a moderately strong system with the SCR=4.0 and a weak system with SCR=2.0.

Eigenvalues of the state-matrix for the converter model having test parameters given in Table 2.1 and PI controller gains given in Table 2.4 were obtained. The oscillatory modes observed along with their respective frequency (\(f\)) and damping ratio (\(\zeta\)) are tabulated in Table 3.1.

The ratio of the converter side winding voltage to that of the ac side for the transformer has been set to the value of 1:1.1 in the study presented in [4]. This ratio had to be changed to 1.1:1 between the converter side and the ac network side in order to eliminate the low frequency oscillations observed in the traces for active and reactive power quantities obtained from the EMT simulation, with the converter connected ac system having a low SCR. This oscillation was not reflected in the small-signal study hence was made a requirement to analyse these un-modelled dynamics of the converter. Observations made in these studies have
Figure 3.1: Comparison of results for the converter connected to a moderately strong ac system (SCR=4.0) (I) Variation in active power for a small disturbance in $P_{\text{ref}}$ (II) Variation in reactive power for a small disturbance in $Q_{\text{ref}}$

been further discussed with details in 3.4.

Table 3.1: Oscillatory modes for ac system strengths of SCR=4.0 and SCR=2.0

<table>
<thead>
<tr>
<th>Mode</th>
<th>SCR=4.0</th>
<th>SCR=2.0</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>f(Hz)</td>
<td>$\zeta$ (%)</td>
</tr>
<tr>
<td>Mode 1</td>
<td>58.17</td>
<td>68</td>
</tr>
<tr>
<td>Mode 2</td>
<td>30</td>
<td>93.9</td>
</tr>
<tr>
<td>Mode 3</td>
<td>2.64</td>
<td>83.8</td>
</tr>
<tr>
<td>Mode 4</td>
<td>1.14</td>
<td>96.9</td>
</tr>
<tr>
<td>Mode 5</td>
<td>-</td>
<td>-</td>
</tr>
</tbody>
</table>

A comparison between the results obtained from the developed small-signal model and the EMT simulation model for a small disturbance in $P_{\text{ref}}$, $Q_{\text{ref}}$ for the two ac system strengths (SCR=4.0 and SCR=2.0) are given in Fig. 3.1 and Fig. 3.2 respectively.

It can be observed that all the oscillatory modes have high damping. Damping of Mode 2 decreases significantly with the reduction of system strength, yet remains at a satisfactory level. Further, it can be noticed that low frequency modes are
well damped in both scenarios. Hence stability of low frequency oscillation modes in not a concern. An additional oscillatory mode is observed when the converter is connected to a weak ac system having a SCR of 2.0.

3.2.2 Participation Factor Analysis

The participation factor analysis gives a further insight to the eigenvalues of the state-matrix of the developed linearized state-space model. Participation factor $p_{ki}$ of a mode corresponding to eigenvalue $\lambda_i$ is a measure of the influence of the $k^{th}$ state on the $i^{th}$ mode as explained in 3.1.3.

Such analysis has been conducted on the eigenvalues of the state matrix of the developed small-signal model for the selected system strengths of the ac network (SCR=4.0 and SCR=2.0). The participation factors corresponding to the oscillatory modes are as illustrated below.
Participation factors of the oscillatory modes with the converter connected to a moderately strong system (SCR=4.0) are given in Fig. 3.3 with the order of state variables given in Table 3.2.

**Table 3.2: Order of states of the small-signal model**

<table>
<thead>
<tr>
<th>State Number</th>
<th>System</th>
<th>States</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,2</td>
<td>AC system</td>
<td>$\Delta I_{IR}, \Delta I_{IF}$</td>
</tr>
<tr>
<td>3,4</td>
<td>PLL</td>
<td>$\Delta x_{pl}, \Delta \delta_m$</td>
</tr>
<tr>
<td>5-8</td>
<td>AC control system</td>
<td>$\Delta x_p, \Delta x_q, \Delta x_{id}, \Delta x_{iq}$</td>
</tr>
<tr>
<td>9,10</td>
<td>Measurement filters</td>
<td>$\Delta P(m), \Delta Q(m)$</td>
</tr>
<tr>
<td>11,12</td>
<td>DC system</td>
<td>$\Delta v_{dc}, \Delta i_{dc}$</td>
</tr>
<tr>
<td>13-15</td>
<td>DC control system</td>
<td>$\Delta x_{cap}, \Delta x_{cf}, \Delta x_{idc}$</td>
</tr>
</tbody>
</table>

From the results obtained in the participation factor analysis on a converter connected to a strong ac system, it could be deduced that Mode 1 is excited by the dc system states whereas Mode 3 exhibits the dynamics governed by the PLL of the
converter. State variables related to the reactive power of the ac system and the vector control scheme participate significantly in Mode 2 whereas the states governing that of the active power controller are observed to be highly participating in Mode 4.

Table 3.3 presents the categorization of the oscillatory modes based upon the participation factor analysis.

<table>
<thead>
<tr>
<th>Mode</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>dc resonance</td>
</tr>
<tr>
<td>2</td>
<td>Reactive power controller</td>
</tr>
<tr>
<td>3</td>
<td>PLL</td>
</tr>
<tr>
<td>4</td>
<td>Active power controller</td>
</tr>
</tbody>
</table>

A similar participation factor analysis was conducted on the small-signal model obtained with the converter connected to a weak ac system having a SCR of 2.0 and the results are given in Fig. 3.4.

Observations of the participation factor analysis with the converter connected to a weak ac network are similar to that of the previous scenario with a higher system strength. The additional mode present in this case is dominantly participated by the states governing the current controller of the converter. Table 3.4 presents the categorization of the oscillatory modes with the converter connected to a weak ac network.

<table>
<thead>
<tr>
<th>Mode</th>
<th>Classification</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>dc resonance</td>
</tr>
<tr>
<td>2</td>
<td>Reactive power controller</td>
</tr>
<tr>
<td>3</td>
<td>PLL</td>
</tr>
<tr>
<td>4</td>
<td>Active power controller</td>
</tr>
<tr>
<td>5</td>
<td>Current controller</td>
</tr>
</tbody>
</table>
Figure 3.4: Participation factors of oscillatory modes for SCR=2.0
3.3 Sensitivity of the Small-Signal Stability of the Converter to Controller Gains

3.3.1 Impact of the PLL gains

Studies conducted on VSCs that are connected to a weak ac system have revealed that the gains of the SRF-PLL which is used to provide angle information of the ac voltage to the controls may have an impact on the stability and performance of the converter [20],[21],[22]. These studies have further proposed the use of alternative synchronization techniques which eliminate the use of a PLL thereby removing the effect of its dynamics on the stability of the converter.

In order to evaluate the effects of the PLL gains on the stability of the converter, an analysis was performed by varying the proportional gain $K_{P(\text{pll})}$ from 10 to 200 in steps of 10 while the integral gain $K_{I(\text{pll})}$ was set to be $5K_{P(\text{pll})}$ (thus varied from 50 to 1000). The stability of the system was evaluated observing the trajectories of the two modes having high contribution from the states of the PLL, in the complex plane. The remaining oscillatory modes were observed to be unaffected by the variation of the PLL gains.

The procedure followed with a converter connected to a strong ac system (SCR=4.0) resulted in the root loci of the two modes that are dominantly participated by the state variables of the PLL, given in Fig. 3.5.

The procedure was repeated for a system with the connected ac network having a SCR of 2.0 and the results obtained from the root loci of the modes in which the state variables of the PLL have a high participation are given in Fig. 3.6.

From the resultant loci, it could be observed that one of the modes is moving...
Figure 3.5: Trajectories of critical modes (second mode enlarged) for a change in PLL gains with moderately high SCR (=4.0) of the connected ac network.

Figure 3.6: Trajectories of critical modes (second mode enlarged) for a change in PLL gains with weak SCR (=2.0) of the connected ac network.

Towards the more stable region of the complex plane while the other mode is moving in the opposite direction. However, the converter remains stable for all values of $K_{P_{(pll)}}$ when connected to both strong and weak ac systems. The converter is driven to instability for infinitely large values of $K_{P_{(pd)}}$, which are not considered.
to be realistic values for gains of the PI controllers. Thus it could be concluded that the converter is stable for all practical values of $K_{P(\text{dl})}$, under both strong and weak ac network conditions.

### 3.3.2 Sensitivity to measurement delays of $dq$ voltages and currents

The VSC topologies are identified to have the capability of connecting with very weak ac systems which has not been possible with the conventional line-commutated converters. Besides this expectation, power engineers face challenges in connecting VSCs with weak ac networks [23]. Relevant studies that are presented in [20],[24],[25],[26],[27],[28] identify the interactions of the decoupled $dq$ control scheme as the cause.

The $dq$ components of current and voltage quantities for the inner-loop control and voltage feed-forward of the ac control scheme are obtained through transducers, which introduce delays into these measurements. It is necessary to evaluate the impact of these measurement delays on the stability of the converter. This could be analysed through the sensitivity of the eigenvalues obtained from the state-matrix of a developed linearized small-signal model for the converter to changes in these measurements. Previous research discussed in [24],[26],[27],[28] have studied the influence of the inner-loop controller parameters on the stability of the converter, but the sensitivity of the measurement delays associated with decomposed voltage and current quantities has not been taken into account. Ref [25] has incorporated the effect of these measurement delays on the resonance stability of the VSC in the developed impedance model.
In this thesis, a study was conducted to evaluate the impact of the measurement delays associated with the $dq$-axis quantities of the inner-loop control on the stability of the converter.

The linearized model developed in 2.2 of Chapter 2 has incorporated only the measurement delays of the outer-loop quantities (i.e. active power and reactive power), but not of those for the inner-loop $d$, $q$ axis currents and voltages. Thus the small-signal model was modified with measurement blocks for $i_{td}$, $i_{tq}$, $v_{sd}$, $v_{sq}$.

A first order filter was used to model the delay introduced by the transducer, similar to the measurement of active and reactive power quantities for the outer-loop control as discussed in 2.2.3. The schematic of the filter block is given in Fig. 3.7 with the governing dynamic equation given in Equation (3.10).

$$\dot{Y}_\alpha(m) = \frac{1}{T_\alpha} (Y_\alpha - Y_\alpha(m)) \quad (3.10)$$

The schematic of the modified inner-loop control incorporating measurement delays for $d$ and $q$ axis currents and voltages is illustrated in Fig. 3.8. The equations governing the dynamics of the inner-loop control could be modified as given in Equation (3.11)-(3.16).

$$\dot{i}_{td(m)} = \frac{1}{T_{td}} (i_{td} - i_{td(m)}) \quad (3.11)$$

$$\dot{i}_{tq(m)} = \frac{1}{T_{tq}} (i_{tq} - i_{tq(m)}) \quad (3.12)$$

$$\dot{v}_{sd(m)} = \frac{1}{T_{vd}} (v_{sd} - v_{sd(m)}) \quad (3.13)$$
\[ \dot{v}_{sq(m)} = \frac{1}{T_{vq}} (v_{sq} - v_{sq(m)}) \] (3.14)

\[ \dot{x}_{itd} = (i_{td(ref)} - i_{td(m)}) \] (3.15)

\[ \dot{x}_{itq} = (i_{tq(ref)} - i_{tq(m)}) \] (3.16)

Thus, by linearizing Equations (3.11)-(3.14) the small signal model of the current and voltage measurement delays could be obtained as given in Equation (3.17)-(3.18).

\[ \Delta \dot{X}_{di} = A_{di} \Delta X_{di} + F_{di} \Delta I_{id}^{dq} \] (3.17)

\[ \Delta \dot{X}_{dv} = A_{di} \Delta X_{dv} + E_{di} \Delta V_{sd}^{dq} \] (3.18)

**Figure 3.8:** Inner-loop control with measurement delays included
where

\[
\Delta X_{di} = [\Delta i_{td(m)}, \Delta i_{tq(m)}]^T \\
\Delta X_{dv} = [\Delta v_{sd(m)}, \Delta v_{sq(m)}]^T \\
\Delta I_t^{dq} = [\Delta i_{td}, \Delta i_{tq}]^T \\
\Delta V_s^{dq} = [\Delta v_{sd}, \Delta v_{sq}]^T \\
A_{di} = \begin{bmatrix} -\frac{1}{T_{di}} & 0 \\ 0 & -\frac{1}{T_{qi}} \end{bmatrix} \\
F_{di} = \begin{bmatrix} \frac{1}{T_{di}} & 0 \\ 0 & \frac{1}{T_{qi}} \end{bmatrix} \\
A_{dv} = \begin{bmatrix} -\frac{1}{T_{dv}} & 0 \\ 0 & -\frac{1}{T_{qv}} \end{bmatrix} \\
E_{dv} = \begin{bmatrix} \frac{1}{T_{dv}} & 0 \\ 0 & \frac{1}{T_{qv}} \end{bmatrix}
\]

Small time constants compared to those of active and reactive power which have a slow variation are used for the measurement of quantities such as instantaneous voltage and currents that vary faster. Furthermore the measurement filters for the \(d\) and \(q\) axis currents have the smallest time constants among all. Values of the time constants used for the measurement filters of outer and inner-loop variables are given in Table 3.5.

**Table 3.5:** Time constants of the measurement filters of outer and inner-loop controls

<table>
<thead>
<tr>
<th>Controller</th>
<th>Time Constant (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Outer-loop</strong></td>
<td></td>
</tr>
<tr>
<td>Active power</td>
<td>0.02</td>
</tr>
<tr>
<td>Reactive power</td>
<td>0.02</td>
</tr>
<tr>
<td><strong>Inner-loop</strong></td>
<td></td>
</tr>
<tr>
<td>(dq)-axis voltages</td>
<td>0.005</td>
</tr>
<tr>
<td>(dq)-axis currents</td>
<td>0.0015</td>
</tr>
</tbody>
</table>
The signal-flow diagram of the overall state-space model for the converter, modified to include the \(dq\)-axis current and voltage measurement delays is illustrated in Fig. 3.9. The modified system has 19 state variables and the order of states is given in Table 3.6.

**Table 3.6: Order of states for the modified converter small-signal model to include measurement delays**

<table>
<thead>
<tr>
<th>State Number</th>
<th>System</th>
<th>States</th>
</tr>
</thead>
<tbody>
<tr>
<td>1,2</td>
<td>AC system</td>
<td>(\Delta I_{tr}, \Delta I_{tl})</td>
</tr>
<tr>
<td>3,4</td>
<td>PLL</td>
<td>(\Delta x_{\text{pll}}, \Delta \delta_m)</td>
</tr>
<tr>
<td>5-8</td>
<td>AC control system</td>
<td>(\Delta x_p, \Delta x_q, \Delta x_{id}, \Delta x_{iq})</td>
</tr>
<tr>
<td>9,10</td>
<td>Measurement filters</td>
<td>(\Delta P(m), \Delta Q(m))</td>
</tr>
<tr>
<td>11,12</td>
<td>(dq)-axis currents</td>
<td>(\Delta i_{td(m)}, \Delta i_{iq(m)})</td>
</tr>
<tr>
<td>13,14</td>
<td>(dq)-axis voltages</td>
<td>(\Delta v_{sd(m)}, \Delta v_{sq(m)})</td>
</tr>
<tr>
<td>15,16</td>
<td>DC system</td>
<td>(\Delta v_{dc}, \Delta i_{dc})</td>
</tr>
<tr>
<td>17-19</td>
<td>DC control system</td>
<td>(\Delta x_{\text{cap}}, \Delta x_{cl}, \Delta x_{ide})</td>
</tr>
</tbody>
</table>
$\Delta \dot{X}_{ac} = A_{ac} \Delta X_{ac} + B_{ac} \Delta U_{ac} + E_{ac} \Delta V_t$

$\Delta \dot{I}_{dq} = K_i \Delta I_t + M_i \Delta \delta_m$

$\Delta V_{sR}$

$\Delta V_{sI}$

$\Delta V_{sR}$

$\Delta V_{sI}$

$\Delta I_{R}$

$\Delta I_{I}$

$\Delta \dot{X}_{dl} = A_{dl} \Delta X_{dl} + F_{dl} \Delta I_{dq}^p$

$\Delta \dot{X}_{pl} = A_{pl} \Delta X_{pl} + E_{pl} \Delta V_s$

$\Delta V_{pl}^d = K_v \Delta V_{pl}^d + C_v \Delta V_{pl}^q + M_v \Delta \delta_m$

$\Delta \dot{X}_{dl}^p = A_{dl} \Delta X_{dl}^p + E_{dl} \Delta V_{dl}^q$

$\Delta \dot{X}_{dl}^q = A_{dl} \Delta X_{dl}^q + E_{dl} \Delta V_{dl}^q$

$\Delta \dot{X}_{m} = A_m \Delta X_{m} + E_m \Delta V_s + F_m \Delta I_t$

$\Delta P_{(ref)}$

$\Delta Q_{(ref)}$

$\Delta P(t_d, m)$

$\Delta Q(t_d, m)$

$\Delta x_{cap}$

$\Delta x_{cl}$

$\Delta x_{idc}$

$\Delta x_{itd}$

$\Delta x_{itq}$

$\Delta x_{P}$

$\Delta x_{Q}$

$\Delta x_{Vc}$

$\Delta x_{Cl}$

$\Delta P_{(ref)}$

$\Delta Q_{(ref)}$

$\Delta P(t_d, m)$

$\Delta Q(t_d, m)$

$\Delta x_{cap}$

$\Delta x_{cl}$

$\Delta x_{idc}$

$\Delta x_{itd}$

$\Delta x_{itq}$

$\Delta x_{P}$

$\Delta x_{Q}$

$\Delta x_{Vc}$

$\Delta x_{Cl}$

Figure 3.9: Modified 19th order small signal model of the hybrid modular multilevel VSC
An eigenvalue analysis was performed on the state matrix of the modified overall state-space model of the hybrid converter for the cases where the converter connected ac system has a moderately high system strength (SCR=4.0) as well as a weak strength (SCR=2.0).

The frequency and damping of the oscillatory modes observed with the converter connected to a strong ac system (SCR=4.0) are given in Table 3.7.

A participation factor analysis performed on the observed oscillatory modes and the results are given in Fig. 3.10.

Modes 3 and 5 were observed with identical frequencies and damping ratios, also in the previous case where the measurement delays for the $dq$ currents and voltages were not included in the small-signal model. Mode 6 in which the states governing the active power controller predominantly participate exhibits a reduction in frequency while the damping remains high. The remaining modes are dominantly contributed by the states of the ac system and the that of the decoupled control scheme which further includes the participation of the states related to the measured currents of the $d$ and $q$ axes. Mode 4 is highly participated by the states associated with the reactive power controller while the states that govern the current controller of the converter are observed to be exciting Mode 2.

Table 3.7: Oscillatory modes of the modified state-space model with the converter connected to a moderately strong ac system

| Modes | $f$(Hz) | $\zeta$(%)
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Mode 1</td>
<td>165.81</td>
<td>25</td>
</tr>
<tr>
<td>Mode 2</td>
<td>103.53</td>
<td>28.4</td>
</tr>
<tr>
<td>Mode 3</td>
<td>58.19</td>
<td>68</td>
</tr>
<tr>
<td>Mode 4</td>
<td>4.38</td>
<td>98.5</td>
</tr>
<tr>
<td>Mode 5</td>
<td>2.64</td>
<td>83.3</td>
</tr>
<tr>
<td>Mode 6</td>
<td>0.76</td>
<td>98.6</td>
</tr>
</tbody>
</table>
Similarly the oscillatory modes that are observed when the converter is connected to a weak ac network with SCR=2.0 are given in Table 3.8, while Fig. 3.11 illustrates the results of the participating factor analysis exhibiting the states that highly influence the particular modes under consideration.

Observations made with the converter connected to a weak ac system are similar

Figure 3.10: Participation factors of the oscillatory mode for SCR=4.0
Table 3.8: Oscillatory modes of the modified state-space model with the converter connected to a weak ac system

<table>
<thead>
<tr>
<th>Modes</th>
<th>f(Hz)</th>
<th>$\zeta$(%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mode 1</td>
<td>107.94</td>
<td>42.7</td>
</tr>
<tr>
<td>Mode 2</td>
<td>63.3</td>
<td>31.3</td>
</tr>
<tr>
<td>Mode 3</td>
<td>58.19</td>
<td>68</td>
</tr>
<tr>
<td>Mode 4</td>
<td>9.26</td>
<td>94.8</td>
</tr>
<tr>
<td>Mode 5</td>
<td>2.64</td>
<td>83.3</td>
</tr>
<tr>
<td>Mode 6</td>
<td>0.7</td>
<td>98.9</td>
</tr>
</tbody>
</table>

to that of a converter connected to a network having higher system strength meanwhile states that were observed to be contributing comparatively less in modes 1, 2 and 4 exhibit a more significant participation in exciting them in this scenario.

In both these scenarios, the low frequency oscillatory modes are not observed to be highly influenced by the states of the measurement model for the $dq$-axis quantities while the high frequency modes exhibit a significant participation from the $dq$ current components. Low frequency oscillatory modes that were introduced due to the modelling of voltage and current measurement delays are highly damped. Thus it could be concluded that the impact of these delays on the oscillatory stability of the converter is not a concern. Further, the state variables of the $dq$ voltage measurement model have not exhibited a considerable influence on the oscillatory modes of the state-space system.
Chapter 3. Stability Analysis of the Hybrid Modular Multilevel VSC

Figure 3.11: Participation factors of the oscillatory mode for SCR=2.0
3.4 Impact of Transformer Saturation on Converter Performance with Low SCR

This section focuses on the results of an analysis based on the observations made in an attempt to validate the developed small-signal model. With the converter connected to an ac network having a low system strength (i.e. SCR=2.0), oscillations were observed for steady state operation of the converter in the traces obtained for the measured active and reactive power from the detailed non-linear EMT model developed on PSCAD/EMTDC. Comparison of the traces for the variation in active power ($\Delta P_{(ac)}$) obtained from the small-signal model developed in 2.2 and the EMT model is given in Fig. 3.12.

The trace obtained from the detailed EMT simulation contains an oscillation in

![Figure 3.12: Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$ with the turns ratio of the transformer between ac side to converter side set to 1:1.1](image)

Figure 3.12: Variation in active power ($\Delta P_{(ac)}$) for a small perturbation in respective reference $P_{(ref)}$ with the turns ratio of the transformer between ac side to converter side set to 1:1.1
steady state that has a fundamental frequency, 50 Hz component modulated with a low frequency of 8 Hz as shown in Fig. 3.12.

A similar observation was made for the variation in reactive power of the network with the converter connected to a weak ac system, delivering 1.0 pu active power and 0 pu reactive power. Under these system conditions, a 50 Hz oscillation modulated with a low frequency was observed for the steady state trace of reactive power obtained from the EMT simulation. Elimination of the fundamental frequency component from this trace through signal processing resulted in an oscillatory component having a frequency of around 8 Hz as shown in Fig. 3.13, in a time window of 700ms.

The ac network has been represented using a dynamic phasor model, which enables investigation of small-signal dynamics in the frequency range of 5-55 Hz [17]. This
creates a discrepancy between the two models due to un-modelled dynamics of the converter, hence required further analysis using the detailed non-linear model of the converter.

A Fast Fourier Transform (FFT) on the converter voltage and current waveforms revealed that these quantities consist of undesirably high amounts of 2\textsuperscript{nd} harmonic component. Further it was observed that the modulation index generated by the decoupled $dq$-control scheme, which defines the magnitude of the rectified voltage reference given to the chain-link converters was wobbling around the value for its ceiling limit (i.e. 1.178) specified in 1.3.4.2.

The above two observation led to the fact that the winding voltage on the network side of the ac transformer is insufficient to satisfy the demand of the ac system. The winding voltages were defined by the turns ratio given in Table. 2.1 [4]. The associated controls of the ac system were hence driven to the respective limits as observed from the $MI$, in an attempt to maintain the network voltage at the nominal value which is specified.

In order to rectify this situation which occurs at low system strengths, this thesis proposes to alter the turns ratio of the transformer by changing the tap position when the converter is connected to a weak ac system having a low SCR. Accordingly, the ratios 1:1 and 1.1:1, between the ac side and the converter side have been considered in this study.

The winding voltages of the ac transformer modelled in the detailed EMT simulation were changed to obtain the above selected turns ratio. Comparison of traces obtained from the small-signal model and the detailed EMT model with the transformer turns ratio changed to 1:1 and 1.1:1 are given in Fig. 3.14 and Fig. 3.15 respectively.
Figure 3.14: Comparison of results for the turns ratio of the transformer changed to 1:1 between ac side and converter side (I) a small disturbance applied to $P_{(ref)}$ (II) a small disturbance applied to $Q_{(ref)}$

Figure 3.15: Comparison of results for the turns ratio of the transformer changed to 1.1:1 between ac side and converter side (I) a small disturbance applied to $P_{(ref)}$ (II) a small disturbance applied to $Q_{(ref)}$

The latter turns ratio which increases the voltage of the winding connected to the ac network improves the performance of the converter by eliminating low frequency injections. The modulation index generated from the control circuitry is maintained within the specified limits, for the operation of the converter in steady state also during the response to the perturbations applied to control inputs. The
traces from the developed small-signal model agrees with that of the detailed non-linear model after the change is being applied to the winding voltages, as given in Fig. 3.15.

Oscillations in steady state operation were not observed in the EMT simulation of the converter connected to strong ac networks, with the parameters set according to Table 2.1. This is evident from the comparison between traces obtained from the EMT simulation and the developed small-signal model for model validation explained in 2.3, where the SCR of the converter connected ac network is 8.0.

Further the simulation results of the detailed EMT model of the converter connected to a moderately strong ac network having a SCR of 4.0 with a transformer turns ratio specified in Table 2.1, does not exhibit oscillations for steady state operation as evident from the comparisons given in Fig. 3.16.

The turns ratio for the transformer when connecting the converter to weak ac systems has implications on its performance, as explained in this section. Improper selection of transformer parameters result in low frequency oscillations in system
quantities including active power and reactive power, hindering the prospective applicability in ac networks with lower system strength.

Chapter Summary

The eigenvalue analysis performed on the small-signal model developed for the hybrid modular multilevel voltage source converter provides a better insight to its stability, controllability and performance under varying system conditions. Section 3.2.1 has investigated the impact of the system strength of the converter connected ac network on its small-signal stability by selecting two different cases which represent a strong and weak system.

A participation factor analysis enabled the classification of the oscillatory modes. The sensitivity of the oscillatory modes to the variations in the PLL gains and measurement delays of the $dq$-axis currents and voltage quantities have been investigated in this chapter. The converter subjected to a small disturbance does not influence less-damped, low frequency oscillations.

The final section of this chapter emphasizes the importance of an eigenvalue analysis using a developed small-signal model in order to identify the operational issues related to the converter. Observing un-modelled dynamics when comparing the two models for the converter revealed the association of the transformer winding voltages with the low frequency oscillations in the active and reactive power.
Chapter 4

Power Transfer Capability of the Hybrid Multilevel Converter

This chapter evaluates the power transfer capability of the converter, subjected to constraints of the current and voltage ratings. Further, the system strength of the connected ac network could vary depending on its configuration at a given instance, which would impose an additional constraint on the power delivery of the converter.

Power transferred by the converter is analysed for three modes of operation and the voltage, current limitations along with the network constraints have been considered in evaluating the maximum active power deliverable in each scenario. The converter operational modes considered in this study would be with (a) constant reactive power supplied from the converter, (b) constant reactive power absorbed from the connected ac network, and (c) the constant power factor mode of operation in which the reactive power requirement is varied accordingly.

The converter is rated at 20 MVA and for the operation at rated ac voltage of 11
kV, the maximum steady state current corresponds to 1.05 kA. For the operation at a lower voltage than the rated, the maximum current through the converter would be restricted by the ratings of the individual switches corresponding to a value of 1.2 kA.

The test system given in Fig. 4.1 is used in this analysis to evaluate the power carrying capability of the converter.

The converter is represented by $V_c$ and $\delta$ which refers to the peak phase ac-side voltage and the instantaneous load angle respectively. These parameters for the converter are the outputs of the de-coupled control scheme, generated to cater the active and reactive power requirement of the connected ac network. The converter is connected to an ac network using a transformer having a leakage impedance $X_t$ and the voltage at the ac terminal is denoted by $V_t \angle \theta$.

For the purpose of evaluating small signal stability of the converter, the transformer has been considered ideal in 2.2.1. However, for the evaluation of practical limits for power transfer capability of the converter a finite transformer leakage reactance has been taken into account thereby replicating a practical scenario which provides a realistic insight to the operational constraints of the hybrid multilevel VSC topology. This study uses a 15% reactance (=0.15 pu) on the transformer MVA base. A Thevenin equivalence comprising of a voltage source $V_s$ and a series

\[ X_t \parallel \frac{P,Q}{R_s L_s} \]

\[ V_s \]

**Figure 4.1:** Schematic of the test system for the hybrid modular multilevel VSC
RL branch whose values are selected in order to model strong and weak ac systems represents the converter connected ac network.

The active power requirement \( P \) and reactive power demand \( Q \) are determined by the connected ac network while the voltage at the infinite bus \( V_s \) is maintained at 1.0 pu.

### 4.1 Calculation of the active power delivered by the converter

Given the values for \( P \) and \( Q \), the Gauss-Siedel method for power flow solutions is used to recursively calculate the ac terminal voltage \( \vec{V}_t \) using Equation (4.1).

\[
\vec{V}_t = \frac{1}{Y_{22}} \left[ \frac{P - jQ}{\vec{V}_s^*} - Y_{21} \vec{V}_s \right]
\]  

(4.1)

\( \vec{X} \) denotes the vector form of the variable \( X \).

\[
Y_{22} = \frac{1}{(R_s + j\omega L_s)}
\]

\[
Y_{21} = -Y_{22}
\]

The voltage at the ac terminal of the transformer \( \vec{V}'_c \) is obtained from Equation (4.2) by adding the voltage drop due to the leakage impedance of the transformer.

\[
\vec{V}'_c = \vec{V}_t + jX_t(Y_{21} \vec{V}_s + Y_{22} \vec{V}_t)
\]  

(4.2)
For a transformer winding voltage ratio of 1:r (r=1.1) between the converter side and the ac side, the converter voltage $\vec{V}_c$ could be obtained from Equation (4.3).

$$\vec{V}_c = \frac{\vec{V}'_c}{r}$$

(4.3)

### 4.2 Constraints imposed on maximum power deliverable by the converter

This section elaborates an investigation of the power handling capability of the converter based on a power flow analysis performed using the test system given in Fig. 4.1. It was further observed that the maximum power delivered by the converter is restricted by the below stated constraints.

(a) Limitation of the maximum current through the converter
(b) Limitation of the converter voltage corresponding to the configuration and operation
(c) Impact of the system strength, parametrized by the SCR

A similar study is presented in [23] where the impact of the Volt-Ampere rating of the converter and the network strength have been considered. The analysis presented in this section considers the converter voltage limits in addition to the above constraints in evaluation of the maximum power delivered by the converter.

The three constraints identified above are further explained in section 4.2.1, 4.2.2 and 4.2.3 respectively.
4.2.1 Limits imposed by the maximum current through the converter

The maximum current through the converter is limited either by the current rating for the switches or its MVA rating for continuous operation. The minimum of the two defines the maximum permissible current through the VSC, which limits the maximum active power deliverable by the converter.

The test system of the hybrid modular multilevel converter utilized for the underlying analysis is rated for 20 MVA, which corresponds to a converter current of 1.05 kA, while the IGBTs that are used for the converter has a current carrying capability of 1.2 kA. Thus the maximum allowable current through the converter is determined by the lowest of the two which corresponds to the MVA rating of the converter.

For example if the converter is operating at the rated voltage, the maximum current according to the MVA rating is $I_{\text{max,MVA}}=1.05$ kA. If the converter is operating at 0.8 pu, $I_{\text{max,MVA}}=1.3$ kA which is greater than the rated current of 1.2 kA for the IGBTs. Hence, the maximum current is 1.2 kA, not 1.3 kA.

4.2.2 Limits imposed by the converter voltage

The peak phase voltage generated by the converter controls is bounded by the limits for the Modulation Index (MI) in multilevel converter configurations which utilize multilevel modulation strategies for the wave-shaping function. The injection of third harmonic voltage further restricts the range for the MI of the given converter topology compared to a conventional VSC.
These restrictions were described in detail in Chapter 1 as summarised by equations (1.13), (1.14) and (1.15). These equations are reproduced here as Equation (4.4)-(4.6) for the convenience of the reader.

$$MI = \frac{2\pi}{6(1 + \alpha_3/3)}$$

$$- \frac{1}{3} \leq \alpha_3 \leq 1$$

$$0.785 \leq MI \leq 1.178$$

The ratio between the peak phase ac voltage and half of the dc-link voltage define the MI for a two-level VSC. Hence the peak phase voltage magnitude $V_c$ of the converter is constrained to the range given in Equation (4.7).

$$0.785 \times \frac{V_G}{2} \leq V_c \leq 1.178 \times \frac{V_G}{2}$$

where $V_G$ denotes the dc-link voltage of the converter.

The range for active power transfer is thus constrained by the limits for the converter voltage.

### 4.2.3 Impact of the system strength on the power carrying capability of the converter

The study has been conducted on a converter connected to strong (SCR=4.0) and weak (SCR=2.0) ac networks which analyses the impact of the system strength on the active power transfer of the converter.
4.3 Evaluation of power handling capability for different mode of converter operation

Sections 4.3.1, 4.3.2 and 4.3.3 analyse the maximum active power \( P \) delivered by the converter for below given modes of operations respectively.

(a) Converter operation with reactive power supplied from the converter itself
(b) Converter operation with reactive power supplied from the connected ac network
(c) Converter operation at constant power factor.

The test system given in Fig. 4.1 and system parameters given in Table 4.1 have been used for this study. For each of these test scenarios, the limits for the converter current, voltage and the impact of the SCR have been incorporated to obtain the maximum power deliverable.

4.3.1 Power handling capability of the converter with constant reactive power supply

Maximum active power \( P \) delivered by the converter for different levels of constant reactive power supply \( Q \) in the range of 0 to 0.3 pu is calculated.

For values of \( P \) in the range of 0-20 MW, the variation of the terminal voltage \( V_t \) with active power delivered by the converter is observed at different levels of \( Q \) supplied in the range of 0-6 MVar (0-0.3 pu). The results are plotted on a per unit scale and presented for strong and weak ac network conditions in Fig. 4.2 and Fig. 4.3 respectively. The dotted lines represent the permissible limits (±5%) for the variation of the terminal voltage \( V_t \) from the rated value.
Table 4.1: Test system parameters

<table>
<thead>
<tr>
<th>System Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rated active power ( P_{\text{rated}} )</td>
<td>20 MW</td>
</tr>
<tr>
<td>AC grid voltage ( V_s ) (L-L RMS)</td>
<td>11 kV</td>
</tr>
<tr>
<td>DC grid voltage ( V_G )</td>
<td>20 kV</td>
</tr>
<tr>
<td>AC grid frequency ( f )</td>
<td>50 Hz</td>
</tr>
<tr>
<td>Transformer ratio (ac side:converter side)</td>
<td>1.1:1</td>
</tr>
<tr>
<td>Transformer leakage reactance ( X_t )</td>
<td>0.15 pu</td>
</tr>
<tr>
<td>AC system impedance</td>
<td>SCR=4.0</td>
</tr>
<tr>
<td>( R_s )</td>
<td>20.29 mΩ</td>
</tr>
<tr>
<td>( L_s )</td>
<td>4.5 mH</td>
</tr>
</tbody>
</table>

In Fig. 4.2, end point on each curve (denoted by ‘\( X_n \)’) corresponds to the maximum active power delivered, limited by the Volt-Ampere rating thereby the current through the converter reaching 1.0 pu.

In the case with Fig. 4.3, the end point of the first curve (marked as ‘\( Y_1 \)’) corresponds to the value of active power where both the current and voltage limits for the converter have been reached. The rest of the curves have an end point (‘\( Y_n \)’) that corresponds to the rated current operation of the converter.

Validation of the analytical calculations against simulation results

Results obtained through the analytical calculation were validated against the detailed, non-linear EMT simulation model of the hybrid modular multilevel converter developed on PSCAD/EMTDC with the parameters given in Table 4.1. The theoretical maximum active power transfer of the converter for each value of \( Q \) considered, is compared against the actual active power transfer observed from the simulation case of the converter. The comparison is given in Fig. 4.4.

Each point denoted as analytical in Fig. 4.4 represents the theoretical maximum power which corresponds to the end points of the curves in Fig. 4.2 and Fig. 4.3.
The developed converter model on PSCAD/EMTDC was observed to be dynamically unstable for values of reactive power supply beyond 5 MVAR (0.25 pu) while connected to a weak ac network. Thus validation of results are presented to this limit of reactive power supply, \( Q < 5 \) MVAR \((Q < 0.25 \text{ pu})\) for SCR=2.0.

The analysis presented in 4.3.1 leads to the following two conclusions;

- For each value of \( Q \), the terminal voltage decreases with the increase of active power delivered by the converter. Thus a minimum reactive power requirement exists to deliver 1.0 pu active power while maintaining the terminal
Figure 4.3: Variation of $V_t$ with active power delivered for a converter connected to a weak ac network with SCR=2.0; constant $Q$ operation voltage $V_t$ within its permissible range for continuous operation.

- The theoretical maximum power obtained from the steady state analysis closely matches that of the dynamic simulation which signifies the proper tuning of the control system of the converter by selecting appropriate values for the gains of PI controllers.
4.3.2 Power handling capability with reactive power absorbed by the converter

Variation of the terminal voltage for active power delivery, with the converter absorbing reactive power (negative $Q$) has been analysed and Fig. 4.5 and Fig. 4.6 illustrate the results for the system strengths of SCRs 4.0 and 2.0 respectively.

The terminal voltage at the maximum power delivered by the converter reduces as the reactive power absorption from the grid increases. The active power represented by ‘A’ corresponds to the maximum power the converter is capable of handling while maintaining current at its upper limit. Point ‘B’ on the curve corresponds to the minimum active power that is required to be supplied by the converter in order to maintain its voltage above the lower limit, as given from Equation (4.7).
The analysis presented in 4.3.2 leads to the following conclusions:

- The minimum limit for the converter voltage imposes a constraint on the power handling capability of the converter where a minimum required value of active power was observed

- The converter connected to a weak ac network is not suitable for operation with the reactive power absorbed from the network.
4.3.3 Power handling capability of the converter with constant power factor operation

An analysis similar to the previous study was conducted in which the power delivery of the converter with a constant power factor has been evaluated. The variation of the ac terminal voltage $V_t$ with increase of active power delivered by the converter from 0 MW to 20 MW (rated power) was analysed for strong and weak ac systems of the converter. The theoretical maximum for active power transfer of
Figure 4.7: Variation of $V_t$ with active power delivered for a converter connected to a strong ac network with SCR=4.0; constant PF operation.

The converter corresponding to the converter current and voltage restraints have been identified. The power-voltage curves for the converter connected to a strong ac network with SCR=4.0 and a weak system of SCR=2.0 are given in Fig. 4.7 and Fig. 4.8 respectively. The terminal voltage is observed to be increasing as the converter delivers more active power, at constant power factor. This is mainly due to the converter compensating the reactive power requirement to facilitate the active power supply.

Squares on the curves refer to the maximum power delivery that is restricted by the voltage limit for the converter, dictated by the permissible range of the MI of the converter while the circles correspond to the upper limit for power transfer that is constrained by the maximum current that is allowed to flow through the converter.
However the power handling capability of the converter for this particular mode of operation will further be limited by the permissible range for the terminal voltage.

The analysis presented in 4.3.3 leads to the following conclusion;

- Power carrying capability of the converter could be improved through increasing the power factor of the system thereby limiting the rise in terminal voltage to be within the allowable range for overvoltage as per the grid code. The maximum active power delivered would correspond to the value at which the converter current reaches its maximum.
Chapter Summary

Maximum power carrying capability of the novel hybrid modular multilevel VSC is restrained by the maximum current through the converter and the permissible range for the converter voltage magnitude. The power handling capability of the converter varying between 0 and the rated power has been evaluated in the range of -0.3 pu to +0.3 pu of reactive power supply. The terminal voltage at maximum power delivered could be improved by supplying more reactive power. The tuned PI controllers have enabled the converter to achieve the theoretical maximum active power speculated by the analytical power flow solved in this section.

The impact of the system strength of the connected ac network has been investigated and the converter is not suitable to be operated with reactive power absorbing from the network, when the strength of the system changes to a low value.

Improving the power factor of the system for constant power factor mode of operation for the converter enables more active power to be transferred while maintaining the terminal voltage within the acceptable limits.
Chapter 5

Conclusions, Contributions and Future Work

5.1 Conclusions

The primary focus of this thesis was to develop a small-signal model for a novel Hybrid Modular Multilevel Voltage Source Converter topology. A detailed eigenvalue analysis has been performed on the state-space model obtained in order to evaluate the stability constraints of the converter while operating under different system conditions.

The second objective was to analyse the power handling capability of this converter configuration, bounded by the limitations of its own as well as the system constraints.

Given below in point form are the general conclusions that could be arrived at, through the detailed analysis presented in this thesis.
• The linearized state-space system accurately models the dynamics of the ac system, dc system, control circuitry, measurement filters and the PLL of the converter where the validation exhibits close correspondence to the results obtained through a detailed, non-linear EMT simulation model.

• The novel Hybrid Modular Multilevel VSC configuration does not exhibit instabilities due to low-frequency oscillations while the control inputs given to the system have been subjected to small perturbations; under both scenarios with the converter being connected to strong and weak ac networks. However, the transformer winding voltage ratio improvises adverse effects on the steady-state performance of the converter due to low-frequency oscillations observed in system quantities such as active power and reactive power, caused by saturation of the transformer. Hence this thesis proposes to have a higher voltage on the transformer winding connected to the ac side to mitigate injection of low-frequency oscillations to the system.

• The oscillatory stability of the converter is not affected by the variation in the PLL gains and measurement delays associated with the $dq$-axis currents and voltages since the low-frequency modes remain highly damped.

• The power carrying capability of the converter with reactive power supplied is predominantly restricted by the maximum current through it. The terminal voltage at any given value for active power transfer could be increased, restricted to the permissible range for continuous operation, by increasing the reactive power supplied by the converter. Furthermore the limits set on the modulation index, by the restricted range for 3rd harmonic voltage injection to maintain a constant voltage across the dc-link of the converter constraints the allowable range of active power transfer of the converter while absorbing reactive power from the network. This analysis further revealed
that this converter configuration is not capable of delivering useful power to the connected ac system while absorbing reactive power from the network.

5.2 Contributions

The main contributions of the thesis are summarized below;

- Development of a linearized small-signal model for the novel hybrid modular multilevel VSC topology which could be utilized for stability evaluation studies performed on power systems based on HVDC transmission.

- Stability assessment of the converter, connected to ac networks having different system strengths and analysis of sensitivities to changes in controller dynamics (i.e. PLL gains and delays associated with measurement of voltages and current).

- Important guidelines to set winding voltage ratio for the ac transformer in order to mitigate low-frequency oscillations injected to the system due to saturation of the transformer voltage thereby the control circuitry.

- Analysis of the power carrying capability of the converter connected to strong and weak ac networks, operating with reactive power supplied by the converter and absorbed from the network.

The key contributions of this thesis have been published in the following reputed conference proceedings.
Conference Papers


5.3 Future Works

Based on the experience gained during the work presented in this thesis and depending upon the growing interest on hybrid multilevel voltage source converters to expand the transmission system based on HVDC by reaching better voltage and power quality levels at a reduced converter footprint and losses; a list of possible future directions of this work are outlined below.

- Extensive research being carried out on developing multi-terminal DC networks using VSCs, privileged by the converter configuration provides practical applications for the hybrid converter topology discussed in this thesis, which is a prospective candidate for HVDC transmission. Stability assessment studies on such power systems using small-signal modelling techniques are pre-requisites prior to implementation. Thus the developed model presented in this thesis could be incorporated with the available small-signal
modelling tools for stability evaluation of the connected large power system for small perturbations in system quantities.

- The gains of the ac and dc system controllers associated with the converter were obtained in this thesis via heuristic methods where as utilization of frequency domain controller tuning procedures proposed in research for simultaneous tuning of converter controls would be efficient, resulting in better performance at the same time. These novel approaches could be incorporated with the developed models for the converter to enhance the performance while subjected to small disturbances.
Bibliography


Appendix A

Co-efficients of the DC system state space model

Derivation of the co-efficients in order to obtain the state-space model of the DC
system for the converter as described in Section 2.2.6 is as given below.

\[ m_1 = V_{dc0}(K_{28} - K_{29}x_{c0} - K_{30}x_{cap0} - K_{31}P_{(ref)} - K_{32}i_{dc0} + K_{33}x_{idc0} + K_{34}) \]

\[ m_2 = \dot{V}_{dc0}(K_{28} - K_{29}x_{c0} + K_{30}x_{cap0} - K_{31}P_{(ref)} - K_{32}i_{dc0} + K_{33}x_{idc0} + K_{34}) \]

\[ m_3 = K_{29}\dot{V}_{dc0}V_{dc0} \]

\[ m_4 = K_{30}\dot{V}_{dc0}V_{dc0} \]

\[ m_5 = K_{31}\dot{V}_{dc0}V_{dc0} \]

\[ m_6 = K_{32}\dot{V}_{dc0}V_{dc0} \]

\[ m_7 = K_{33}\dot{V}_{dc0}V_{dc0} \]
\[m_8 = V_{ir0}(K_1 + K_2 x_{cl0}^2 + K_3 x_{cap0} + K_4 P_{(ref)}^2 + K_5 i_{dc0}^2 + K_6 x_{idc0}^2 + V_G^2 - K_7 x_{cl0}
+ K_8 x_{cap0} - K_9 P_{(ref)} - K_10 i_{dc0} + K_11 x_{idc0} + K_12 + x_{cl0}(-K_13 x_{cap0} + K_14 P_{(ref)} + K_15 i_{dc0}
- K_{16} x_{idc0} - K_{17}) + x_{cap0}(-K_{18} P_{(ref)} - K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) + P_{(ref)}(K_{22} i_{dc0}
- K_{23} x_{idc0} - K_{24}) + i_{dc0}(-K_{25} x_{idc0} - K_{26}) + K_{27} x_{idc0})
\]

\[m_9 = V_{ir0}(K_1 + K_2 x_{cl0}^2 + K_3 x_{cap0} + K_4 P_{(ref)}^2 + K_5 i_{dc0}^2 + K_6 x_{idc0}^2 + V_G^2 - K_7 x_{cl0}
+ K_8 x_{cap0} - K_9 P_{(ref)} - K_10 i_{dc0} + K_11 x_{idc0} + K_12 + x_{cl0}(-K_13 x_{cap0} + K_14 P_{(ref)} + K_15 i_{dc0}
- K_{16} x_{idc0} - K_{17}) + x_{cap0}(-K_{18} P_{(ref)} - K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) + P_{(ref)}(K_{22} i_{dc0}
- K_{23} x_{idc0} - K_{24}) + i_{dc0}(-K_{25} x_{idc0} - K_{26}) + K_{27} x_{idc0})
\]

\[m_{10} = 2V_{dc0}(-K_{35} + K_{36} x_{cl0} - K_{37} x_{cap0} + K_{38} - K_{39} x_{cl0} + \frac{1}{2}K_{40} i_{dc0} + K_{41}
+ K_{42} i_{dc0} + K_{43} - K_{44} x_{cl0} + K_{45} x_{cap0} - K_{46} P_{(ref)} - K_{47} i_{dc0}) - i_{dc0}(K_1 + K_2 x_{cl0}^2 + K_3 x_{cap0}
+ K_4 P_{(ref)}^2 + K_5 i_{dc0}^2 + K_6 x_{idc0}^2 + V_G^2 - K_7 x_{cl0} + K_8 x_{cap0} - K_9 P_{(ref)} - K_{10} i_{dc0}
+ K_{11} x_{idc0} + K_{12} + x_{cl0}(-K_13 x_{cap0} + K_14 P_{(ref)} + K_15 i_{dc0} - K_{16} x_{idc0} - K_{17}
+ x_{cap0}(-K_{18} P_{(ref)} - K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) + P_{(ref)}(K_{22} i_{dc0} - K_{23} x_{idc0} - K_{24})
+ i_{dc0}(-K_{25} x_{idc0} - K_{26}) + K_{27} x_{idc0})
\]

\[m_{11} = V_{dc0}^2(K_42 - K_{47}) - V_{dc0}(K_1 + K_2 x_{cl0}^2 + K_3 x_{cap0} + K_4 P_{(ref)}^2 + 3K_5 i_{dc0}^2 + K_6 x_{idc0}^2 + V_G^2
- K_7 x_{cl0} + K_8 x_{cap0} - K_9 P_{(ref)} - 2K_{10} i_{dc0} + K_{11} x_{idc0} + K_{12} + x_{cl0}(-K_13 x_{cap0} + K_14 P_{(ref)}
+ 2K_{15} i_{dc0} - K_{16} x_{idc0} - K_{17}) + x_{cap0}(-K_{18} P_{(ref)} - 2K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21})
+ P_{(ref)}(2K_{22} i_{dc0} - K_{23} x_{idc0} - K_{24}) + i_{dc0}(-2K_{25} x_{idc0} - 2K_{26}) + K_{27} x_{idc0})
\]
Appendix A

\[ m_{12} = V_{d0}^2 (K_{36} - K_{39} - K_{44}) + V_{iR0} I_{iR0} (2K_{2} x_{c10} - K_7 - K_{13} x_{cap0} + K_{14} P_{(ref)}) \\
+ K_{15} i_{dc0} - K_{16} x_{idc0} - K_{17}) + V_{iI0} I_{iI0} (2K_{2} x_{c10} - K_7 - K_{13} x_{cap0} + K_{14} P_{(ref)}) \\
+ K_{15} i_{dc0} - K_{16} x_{idc0} - K_{17}) - V_{dc0} i_{dc0} (2K_{2} x_{c10} - K_7 - K_{13} x_{cap0} + K_{14} P_{(ref)}) \\
+ K_{15} i_{dc0} - K_{16} x_{idc0} - K_{17}) \]

\[ m_{13} = V_{d0}^2 (-K_{37} + K_{45}) + V_{iR0} I_{iR0} (2K_{3} x_{cap0} + K_8 - K_{13} x_{c10} - K_{18} P_{(ref)}) \\
- K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) + V_{iI0} I_{iI0} (2K_{3} x_{cap0} + K_8 - K_{13} x_{c10} - K_{18} P_{(ref)}) \\
- K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) - V_{dc0} i_{dc0} (2K_{3} x_{cap0} + K_8 - K_{13} x_{c10} - K_{18} P_{(ref)}) \\
- K_{19} i_{dc0} + K_{20} x_{idc0} + K_{21}) \]

\[ m_{14} = V_{iR0} I_{iR0} (2K_{5} i_{dc0} - K_{10} + K_{15} x_{c10} - K_{19} x_{cap0} + K_{22} P_{(ref)} - K_{25} x_{idc0} - K_{26}) \\
+ V_{iI0} I_{iI0} (2K_{5} i_{dc0} - K_{10} + K_{15} x_{c10} - K_{19} x_{cap0} + K_{22} P_{(ref)} - K_{25} x_{idc0} - K_{26}) \]

\[ m_{15} = V_{iR0} I_{iR0} (2K_{36} x_{idc0} + K_{11} - K_{16} x_{c10} + K_{20} x_{cap0} - K_{23} P_{(ref)} - K_{25} i_{dc0} + K_{27}) \\
+ V_{iI0} I_{iI0} (2K_{36} x_{idc0} + K_{11} - K_{16} x_{c10} + K_{20} x_{cap0} - K_{23} P_{(ref)} - K_{25} i_{dc0} + K_{27}) \\
- V_{dc0} i_{dc0} (2K_{36} x_{idc0} + K_{11} - K_{16} x_{c10} + K_{20} x_{cap0} - K_{23} P_{(ref)} - K_{25} i_{dc0} + K_{27}) \]

\[ m_{16} = -K_{46} V_{d0}^2 + V_{iR0} I_{iR0} (2K_{4} P_{(ref)} - K_9 + K_{14} x_{c10} - K_{18} x_{cap0} + K_{22} i_{dc0} - K_{23} x_{idc0} - K_{24}) \\
+ V_{iI0} I_{iI0} (2K_{4} P_{(ref)} - K_9 + K_{14} x_{c10} - K_{18} x_{cap0} + K_{22} i_{dc0} - K_{23} x_{idc0} - K_{24}) \\
- V_{dc0} I_{dc0} (2K_{4} P_{(ref)} - K_9 + K_{14} x_{c10} - K_{18} x_{cap0} + K_{22} i_{dc0} - K_{23} x_{idc0} - K_{24}) \]
\[ m_{17} = I_{tr0}(K_1 + K_2 x_{0d0}^2 + K_3 x_{cap0}^2 + K_4 P_{(ref)}^2 + K_5 i_{dc0}^2 + K_6 x_{id0}^2 + V_G^2 - K_7 x_{cl0} \]
\[ + K_8 x_{cap0} - K_9 P_{(ref)} - K_{10} i_{dc0} + K_{11} x_{id0} + K_{12} + x_{cl0}(-K_{13} x_{cap0} + K_{14} P_{(ref)} + K_{15} i_{dc0} \]
\[ - K_{16} x_{id0} - K_{17}) + x_{cap0}(-K_{18} P_{(ref)} - K_{19} i_{dc0} + K_{20} x_{id0} + K_{21}) + P_{(ref)}(K_{22} i_{dc0} \]
\[ - K_{23} x_{id0} - K_{24}) + i_{dc0}(-K_{25} x_{id0} - K_{26}) + K_{27} x_{id0} \]

\[ m_{18} = I_{tr0}(K_1 + K_2 x_{0d0}^2 + K_3 x_{cap0}^2 + K_4 P_{(ref)}^2 + K_5 i_{dc0}^2 + K_6 x_{id0}^2 + V_G^2 - K_7 x_{cl0} \]
\[ + K_8 x_{cap0} - K_9 P_{(ref)} - K_{10} i_{dc0} + K_{11} x_{id0} + K_{12} + x_{cl0}(-K_{13} x_{cap0} + K_{14} P_{(ref)} + K_{15} i_{dc0} \]
\[ - K_{16} x_{id0} - K_{17}) + x_{cap0}(-K_{18} P_{(ref)} - K_{19} i_{dc0} + K_{20} x_{id0} + K_{21}) + P_{(ref)}(K_{22} i_{dc0} \]
\[ - K_{23} x_{id0} - K_{24}) + i_{dc0}(-K_{25} x_{id0} - K_{26}) + K_{27} x_{id0} \]

where

\[ K_1 = \frac{(3n_c v_{cap(ref)}^2 k_3 k_4 K_{Pdc} K_{Pcl})^2}{V_G^2} \]
\[ K_2 = \frac{(3n_c k_3 k_4 K_{Pdc} K_{Pcl})^2}{V_G^2} \]
\[ K_3 = \frac{(k_3 k_4 K_{Pdc} K_{Ia})^2}{V_G^2} \]
\[ K_4 = \frac{(k_4 K_{Pdc})^2}{V_G^2} \]
\[ K_5 = (k_4 K_{Pdc})^2 \]
\[ K_6 = (k_4 K_{Idc})^2 \]
\[ K_7 = \frac{6n_c (k_3 k_4)^2 K_{Pdc}^2 K_{Pcl}^2 v_{cap(ref)}^2}{V_G^2} \]
\[ K_8 = \frac{6n_c (k_3 k_4)^2 K_{Pdc}^2 K_{Pcl} K_{Icl} v_{cap(ref)}^2}{V_G^2} \]
\[ K_9 = \frac{6n_c k_3 (k_4)^2 K_{Pdc}^2 K_{Pcl} v_{cap(ref)}^2}{V_G^2} \]
\[ K_{10} = \frac{6n_c k_3 (k_4)^2 K_{Pide} K_{Pcl} v_{cap(ref)}^2}{V_G} \]
\[ K_{11} = \frac{6n_c k_3 (k_4)^2 K_{Pide} K_{Pcl} K_{Idc} v_{cap(ref)}^2}{V_G} \]
\[ K_{12} = 6n_c k_3 k_4 K_{Pide} K_{Pcl} v_{cap(ref)}^2 \]
\[ K_{13} = \frac{2(k_3 k_4)^2 K_{Pide} K_{Pcl} K_{Idc}}{V_G^2} \]
\[ K_{14} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Pcl}}{V_G^2} \]
\[ K_{15} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Pcl}}{V_G} \]
\[ K_{16} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Pcl} K_{Idc}}{V_G} \]
\[ K_{17} = 2k_3 k_4 K_{Pide} K_{Pcl} \]
\[ K_{18} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Idc}}{V_G^2} \]
\[ K_{19} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Idc}}{V_G} \]
\[ K_{20} = \frac{2k_3 (k_4)^2 K_{Pide} K_{Idc} K_{Idc}}{V_G} \]
\[ K_{21} = 2k_3 k_4 K_{Pide} K_{Idc} \]
\[ K_{22} = \frac{2(k_4)^2 K_{Pide}}{V_G} \]
\[ K_{23} = \frac{2(k_4)^2 K_{Pide} K_{Idc}}{V_G} \]
\[ K_{24} = 2k_4 K_{Pide} \]
\[ K_{25} = 2(k_4)^2 K_{Pide} K_{Idc} \]
\[ K_{26} = 2k_4 K_{Pide} V_G \]
\[ K_{27} = 2k_4 K_{Idc} V_G \]
\[ K_{28} = \frac{3n_c^2 v_{cap(ref)}^3 C_{cell} k_3 k_4 K_{Pide} K_{Pcl}}{V_G^2} \]
\[ K_{29} = \frac{v_{cap(ref)} C_{cell} k_3 k_4 K_{Pide} K_{Pcl}}{V_G^2} \]
\[ K_{30} = \frac{v_{cap(ref)} C_{cell} k_3 k_4 K_{Pide} K_{Idc}}{V_G^2} \]
\[ K_{31} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Pdc}}}{V_G^2} \]
\[ K_{32} = v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Pdc}} \]
\[ K_{33} = v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Icl}} \]
\[ K_{34} = v_{\text{cap}(\text{ref})} C_{\text{cell}} V_G \]
\[ K_{35} = \frac{3 n_c v_{\text{cap}(\text{ref})}^3 C_{\text{cell}} k_3 k_4 K_{\text{Pdc}} K_{\text{Pcl}}^2}{(2 V_G)} \]
\[ K_{36} = \frac{v_{\text{cap}(\text{ref})} k_3 k_4 K_{\text{Pdc}} K_{\text{Pcl}}^2}{(2 V_G)} \]
\[ K_{37} = \frac{v_{\text{cap}(\text{ref})} k_3 k_4 K_{\text{Pdc}} K_{\text{Pcl}} K_{\text{Icl}}}{(2 V_G)} \]
\[ K_{38} = \frac{3 n_c v_{\text{cap}(\text{ref})}^3 C_{\text{cell}} k_3 k_4 K_{\text{Pdc}} K_{\text{Icl}}}{2 V_G} \]
\[ K_{39} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_3 k_4 K_{\text{Pdc}} k_{\text{Icl}}}{2 V_G} \]
\[ K_{40} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Pdc}} \omega_0}{2 L_{\text{dc}}} \]
\[ K_{41} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Pdc}} \omega_0 V_G}{2 L_{\text{dc}}} \]
\[ K_{42} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Pdc}} \omega_0 R_{\text{dc}}}{2 L_{\text{dc}}} \]
\[ K_{43} = \frac{3 n_c v_{\text{cap}(\text{ref})}^3 C_{\text{cell}} k_3 k_4 K_{\text{Pcl}} K_{\text{Icl}}}{2 V_G} \]
\[ K_{44} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_3 k_4 K_{\text{Pcl}} K_{\text{Icl}}}{2 V_G} \]
\[ K_{45} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_3 k_4 K_{\text{Icl}} K_{\text{Icl}}}{2 V_G} \]
\[ K_{46} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Icl}}}{2 V_G} \]
\[ K_{47} = \frac{v_{\text{cap}(\text{ref})} C_{\text{cell}} k_4 K_{\text{Icl}} K_{\text{Icl}}}{2} \]
\[ k_4 = \frac{I_{\text{dc(base)}}}{V_{\text{dc(base)}}} \]