

A STUDY OF CONTROL
SYSTEMS FOR HIGHLY CONFIDENTIAL
DATA ACCESSIBLE FROM TERMINALS AT REMOTE POINTS

A Thesis

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ABSTRACT

Today's rapid growth of shared computer facilities is paralleled by the requirement for the protection of data and programs stored in the computer files. These shared facilities may contain data for several types of Information Systems such as Banks, Airlines, Hospital and Credit-Reporting. In this thesis, a solution is sought for the protection of highly sensitive and critical information stored in the files of a central computer and accessed by a network of terminals located at remote points.

The initial phase of the project involved a study of some existing control systems; the protection features obtainable from IBM's Operating System/360; and the various input-output devices adaptable for use as remote terminals were investigated for any contribution they might make towards security measures. Finally, by using some features from the foregoing, a new control system providing protection at the organization level was developed and tested.

The system identifies a user of a specific Information System (Bank, Hospital, Credit-Reporting or some other type) by the hardware address of the terminal. A particular terminal operator is recognized as an authorized official by a brief computer-terminal conversation. Users are permitted to have one or more terminals and those with multiple terminals may restrict each one, or subsets of these to access

only specific files. Each user is granted full access privileges to his own files but can obtain only selected information from the entire data bank.

The method was programmed for an IBM 360/Model 65 computer operating in a batch-processing mode and under control of the Operating System. The data used in simulating the method was obtained from a Business Credit Information System. The routines are written in the Fortran IV (G-level) and Assembler programming languages. Two IBM 2260 Display Stations with keyboard were used as remote terminals.

TABLE OF CONTENTS

CHAPTER		PAGE
I	INTRODUCTION	1
	The Security Philosophy	1
	Security of Credit Data	4
	Format of Thesis	11
II	CURRENT DEVELOPMENTS ON FILE PROTECTION .	12
	Honeywell Hospital Computer Sharing Systems . .	12
	Protection of Data and Programs in an Information Processing Utility	13
	Project MAC	18
	United Planning Organization Social Data File . .	18
	Conclusion	19
III	DATA SET PROTECTION FEATURES OF IBM'S OPERATING SYSTEM/360	21
IV	INPUT -OUTPUT CONSIDERATIONS	37
	Input-Output Mechanism	37
	Input-Output Terminals	44
V	A CONTROL SYSTEM FOR CONFIDENTIAL DATA . .	55
	System Design Factors	56
	Directory and Subdirectory	58
	Computer Room Protection	69

CHAPTER	PAGE
The Displays	73
Control System Operation	86
Remarks	91
VI CONTROL SYSTEM IMPLEMENTATION	92
File Creation	92
System Routines	99
System Example	113
VII CONCLUSION	125
APPENDIX A. FILE CREATION LISTINGS	128
APPENDIX B. SYSTEM ROUTINES LISTINGS	138
APPENDIX C. INPUT-OUTPUT LISTINGS	168
GLOSSARY OF TERMS	207
BIBLIOGRAPHY	213

LIST OF FIGURES

FIGURE		PAGE
1	Linkage of Two Records in the Accounts Receivable File	5
2	(a) Sample of a Credit Report Prepared for a Member . .	8
	(b) Sample of a Credit Report Prepared for the Bureau . .	8
3	A Shared Computer Facility	9
4	Format of a Record in the PASSWORD Data Set.	29
5	A Record in the PASSWORD Data Set	30
6	A Data Definition Statement	36
7	Main Units Connecting Terminals and CPU	38
8	IBM 2260 Display Station	43
9	IBM 2260 Remote Display Complex Configuration	45
10	Sanders CLINI-CALL System Terminal	51
11	Northern Electric Model 33 Teletypewriter	52
12	IBM 2740 Communications Terminal	53
13	IBM 1287 Optical Reader	54
14	(a) Format of a Directory Record	60
	(b) Format of a Sub-Directory Record	60
15	Sample Records in the Directory and Subdirectory Files	68
16	Scrambling Process	71
17	The Initial Display	76
18	Command-1	77

FIGURE		PAGE
19	Command-2	78
20	Command-3	79
21	A Base Display	80
22	Diagnostic-1	81
23	Diagnostic-2	82
24	Diagnostic-3	83
25	Diagnostic-4	84
26	Statement-1	85
27	Computer-Terminal Conversation Prior to Accepting a Request	88
28	Hardware Configuration	93
29	Linkage of Subroutines	118
30	(a) Queue at the End of a Request.	124
	(b) Queue after a Terminal has been Selected	124

LIST OF TABLES

TABLE		PAGE
1	Code Generator's Performance	110

CHAPTER I

INTRODUCTION

I. THE SECURITY PHILOSOPHY

Computer technology is changing today from the classical single-computer, single-user category to a central computer shared among several users. This computer operates either in a time-sharing or in a batch-processing mode. In the time-sharing mode users are permitted to solve problems simultaneously while in the batch-processing mode each job is processed to completion before another is begun. Sharing of the computer is done through terminals housed locally or at remote points and linked to it by data-transmission lines.

A common problem arising out of these shared facilities is that of security - the confidential handling of private customer data. Solutions to the problem become more urgent as the number of these facilities and their users increase. This increase is a rapid one as reflected in the following statistics:ⁱ In 1965 there were only 500 terminals and 6 companies offering time-sharing services in the United States. Today, one well-known manufacturer alone serves more than 50,000 terminals with 70 companies offering service. The latter number was expected to increase to about 100 by the end of 1968.

ⁱ"Share and Share Alike?" - BARRON'S, A New York Financial Paper, 23rd September, 1968, edition.

A shared computer facility will have a large community of users, many of whom may be competitive commercially. The facility will be used for diverse applications, and sensitive data (such as payroll records) will be stored in the computer files. Some users may want to share their data. As soon as the system is shared, even though it may be used by only one user at a time, protection is required so that the company offering the service may guarantee a high level of data security.

Aside from the foregoing category of business data banks, another area where progress necessitates the assurance of privacy is the development of National Data Banks containing general information on citizens. Here the data will consist of private information on individuals, the individuals themselves having no knowledge of what is stored in the computer files and hence having no chance to rebut. Besides denying the individual his legal right to question the validity of information which may be used against him in such applications as those for employment and loans, these data banks can be used by organized crime (i) to search files for embarrassing data on people for blackmail purposes, and (ii) to identify people who might easily be lead into compromising situations.

Protection of a customer's private data is the responsibility of the company offering the shared service. Upon failing to receive the

protection promised one then seeks legal action. The impact of the computer is a recent one, thus, unlike fields such as real estate and shipping, there is no special branch of the law dealing with computers and data-processing. However, computers and data-processing may be accommodated in the general law - a law which deals with concepts like negligence, contracts and evidence. The most one can do then is to follow the law in closely related areas and try to reason by analogy for computers. Problems arising out of the use of a computer can be very complex as usually there will be no witnesses and any evidence produced will have to be circumstantial. Such evidence will often be generated by the computer itself. Examples of such problems can be found in the ever increasing use of computers in banking and credit applications. A cheque mistakenly dishonoured for lack of funds could result in the bank facing a suit for slander of credit. A similar case can arise from making an inaccurate credit recommendation.

What guarantee does a customer receive from the computer manufacturer? Some products are sold with a built-in warranty which is like a promise or a guarantee. This may be implicitly or explicitly stated: food is sold with the implicit guarantee that it is at least non-poisonous. If such a promise is made in the case of a computer, it is the usual sales-promotion one regarding its speed, memory space, access-time,* or some other feature. If a guarantee about reliability

*The reader is referred to the glossary for an explanation of any term designated by this symbol.

is made, it refers to breakdowns and is of little protection to the buyer if his secret data and programs leak to competitors.

In some recent systems measures have been taken for ensuring file and program security. This is attributable partly to insight by systems designers and, to a greater extent, to pressure from public and governmental sources. The next section discusses the security philosophy as applied to credit data.

II. SECURITY OF CREDIT DATA

The data to be considered in this study was obtained from a Credit Bureau and consists of the Accounts Receivable records of several businesses (called members) throughout Canada. Generally, an Accounts Receivable record will contain the name of the debtor, the total amount he owes, the current amount^{*} owing, and the number of days (30, 60, 90, 120) payment is overdue (fields 1 to 7 of Figure 1). These are received in different formats^{*} and on various storage media such as magnetic tape, paper-tape, punched-cards and hard copy^{*} documents. As the storage and updating of the data is not the subject of this study, it suffices here to state that said Accounts Receivable are stored in one massive file in the same format as they are received from the member, and that all the records of the same debtor are linked by placing the file-address^{*} of his next record into the link field (field 8 of Figure 1) of his preceding one. This link field

FIGURE 1

LINKAGE OF TWO
RECORDS IN THE ACCOUNTS RECEIVABLE FILE

le-Address

Records in File

1	A. Somebody 1	1680.48 2	680.48 3	300.00 4	301.00 5	288.00 6	111.00 7	3 8
2	B. Any member	30.18	6.00	3.12	2.03	9.01	10.02	8
3	A. Some body	300.80	150.40	78.30	16.40	12.82	42.88	21

Legend

1. Name of creditor
2. Total amount owing
3. Current amount owing
4. Amount 30-60 days overdue
5. Amount 61-90 days overdue
6. Amount 91-120 days overdue
7. Amount past 120 days overdue
8. Link

is one which can be added to each record by the Bureau before storage in the file or can be automatically created by the software.* The linkage of two records in the Accounts Receivable file is shown in Figure 1 and will be discussed in the upcoming paragraph.

This file can be used by members to store their Accounts Receivable with updating taking place from their own terminals or by the Credit Bureau. It is used by the Bureau specifically for the preparation of credit reports requested by members on selected businesses. It is in this application that the link field fulfills its purposes. As soon as the program finds the first record of the subject (the business on which the report was requested) in the file, it uses the address specified in this field to locate the next record of that subject. The link field of this second record gives the location of the debtor's third record in the file. Thus this field allows the program to quickly jump through the huge Accounts Receivable file and efficiently pick out every record of the subject to form a credit report. If no information is available in the file for that subject, an alternative procedureⁱ is followed. A report to a member consists of the type of business from which his client made purchases, that is hardware, grocery, lumber, drug, or some other type, the total amount he owes to each of his creditors, the

ⁱ In this procedure letters are dispatched requesting information from non-members and the credit report is constructed from their replies.

current amount owing, and finally a breakdown of his credit in terms of the number of days payment is overdue. A more complete report containing the names of the subject's creditors will be available only to the Credit Bureau. Figures 2(a) and 2(b) show a sample report as prepared for a members and for the Bureau respectively.

Since such credit information is very sensitive and since the completeness of the credit report is dependent upon the amount of data kept in the file, it is essential that such data be adequately protected so that members will have no fear of releasing it to the Credit Bureau. For example, if only a percentage (say 60 percent) of the Bureau's members were to furnish their Accounts Receivable records, then a credit report formed from the file may be incomplete since some of the 40 percent of the members with-holding their records may be creditors of that business. The report may therefore not reflect a true picture of the subject's credit standing.

The adverse effects of one member getting access to another member's data are twofold. Firstly, he can persuade the member's clients to purchase from him by offering better service and lower prices. Secondly, the records in the file can be altered so that credit reports are incorrect. Both examples illustrate unethical business practices.

Figure 3 provides a very basic representation of a central computer shared by many remotely-located terminals connected to it by communication lines.

FIGURE 2

(a) SAMPLE OF A CREDIT REPORT PREPARED FOR A MEMBER

[SUBJECT]

Business Type	Total Owning	Current Owning	Days Due			
			30-60	61-90	91-120	Past 120
A	1381.33	1260.59	20.74	100.00		
AA	1322.46	841.64	409.55	50.01	21.26	
D	8941.11	7026.34	1123.46	791.31		

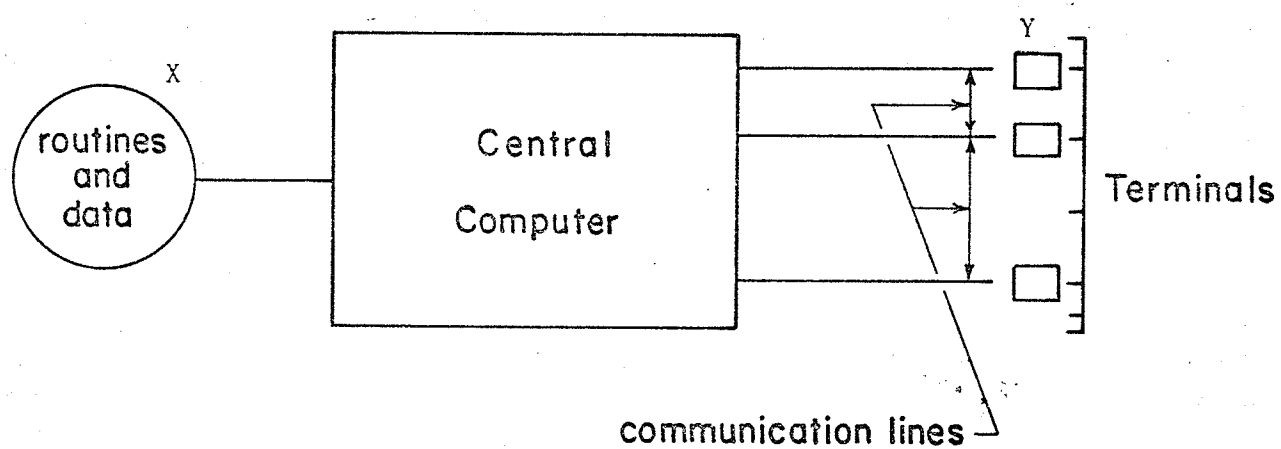
(b) SAMPLE OF A CREDIT REPORT PREPARED FOR THE BUREAU

[SUBJECT]

Creditor's Name	Business Type	Total Owning	Current Owning	Days Due			
				30-60	61-90	91-120	Past 120
A. G. Eletorn	A	1381.33	1260.59	20.74	100.00		
Emcoyee Ltd	AA	1322.46	841.64	409.55	50.01	21.26	
Weybrne Wpg	D	8941.11	7026.34	1123.46	791.31		

FIGURE 3

A SHARED COMPUTER FACILITY



Stored in the computer's files at X will be system's and user's routines, and data. The user's routines are the programs peculiar to a particular user, for example, a frequently used one to calculate square roots. The system's routines are those programs which enable the computer to do the necessary housekeeping* and to answer the requests made by the users.

The controls required at the points labelled X and Y in Figure 3 are:

(i) Protection of routines and data at X:

Both the user's and system's routines are vital for the successful operation of the facility and hence unauthorized users must be prevented from reading or writing into them. Deliberate tampering with these routines can have catastrophic results. For example, a user getting into the accounting routine of the system may alter it so as to cause incorrect charges to other users, or he may change the system and file protection routines so that they may function in a destructive fashion.

(ii) Identification of the Terminal and the Operator at Y.

Terminals may be located in a member's business office, stock-room or printing-room, and their use restricted to only certain personnel. Consequently, the central computer must identify the terminal operator as a valid one, and should make note of every

* See Glossary

illegal attempt to use the system. It must also keep track of every terminal in the network so that replies are sent to, and read from the correct units. Failure to do this can result in members' confidential data being displayed at terminals other than their own.

III. FORMAT OF THESIS

The initial phase of the project consisted of a study of control methods in existing systems. The results of this study are presented in Chapter II. Protection features offered by IBM's Operating System/360 were investigated and these are included in Chapter III. Chapter IV discusses the input-output mechanisms with particular attention to the device address concept along with several terminals suitable for use in a Credit Information System. Incorporating some features from IBM's Operating System/360 and from the various remote-access utilities, a new method is described in Chapter V for controlling access to confidential data. The discussion uses credit data as a working example. Creation of the necessary files and routines for the implementation of the system are discussed in Chapter VI. The thesis is concluded in Chapter VII.

CHAPTER II

CURRENT DEVELOPMENTS ON FILE PROTECTION

The main features of some existing control systems varying in their degree of sophistication, are now presented in illustrating recent developments in the area of data and program protection. These are selected from journals and from replies by companies and manufacturers contacted. They establish the necessary groundwork for later progress in the project.

I. HONEYWELL HOSPITAL COMPUTER SHARING SYSTEMS

The Honeywell Hospital Computer Sharing Systems (HCSS) consist of one or more terminal devices located in the business offices of several remote hospitals. These terminals share access to confidential patient files at a central computer facility. In its present state, hardware,* program and physical controls are applied with the emphasis on keeping each hospital within the boundaries of its own files.

Attention is paid more to accidental access rather than intentional snooping or destruction. Terminals are protected physically from unauthorized users.

Access from a terminal is controlled by validity checks in a resident communications program. Each hospital uses a unique code number as a header information for transmission. A terminal is

further identified by its internal line number which is a fixed or hard-wire connection established at installation time. Hence, in HCSS access is controlled by:

- (i) a terminal's functions as defined in the software and
- (ii) program comparison of hospital and line number before access is permitted for the defined function.

As the system expands, Honeywell proposes to extend access controls. Badge readers may be used with priority codes available for program checks, or appended by manual data entry as a further program check key. If required, these program checks can be extended to include several layers of conversational identification. In this latter type of identification, the computer will ask the terminal operator personal questions, the answers to which will most likely not be known by an impostor. Some such questions are his father's date of birth, the amount of money he had in his savings account on a particular day and the date or time of some significant event in his life. The file protection routines will verify the terminal operator's identify by checking his replies against those acceptable answers stored in one of the computer files.

II. PROTECTION OF DATA AND PROGRAMS IN AN INFORMATION PROCESSING UTILITY

Perhaps the most elaborate protection scheme being developed today is the one for an Information Processing Utility (IPU) at the

Massachusetts Institute of Technology (MIT). In this utility there are a large number of users, many of whom may be using the system simultaneously. The system will operate in a multi-programming* mode and will have more than one Central Processing Unit. The method for protection utilizes segment addressing hardware (Page 15) and supporting software. It provides for protection of user's and system's routines, in both main and auxiliary storage, during the execution of a program and for the sharing of common data bases among all users or a selected group of users.

Before discussing the proposal for protection of data and programs in the IPU (Method 2), it is worthwhile to examine a common method used in the past (Method 1) and to notice its shortcomings as the proposal will try to eradicate these.

Method I. In this method a program executes in one of two modes (master or slave) as specified by a switch, called the mode switch. In the master mode any instruction can be executed including the privileged instructions*; whereas in the slave mode, any attempt to execute a privileged instruction causes an interrupt. These privileged instructions prevent users from accessing information written on various storage media thus protecting inactiveⁱ information in the system.

While the mode switch will prevent access to inactive information

* See Glossary

ⁱ This is information which is not to be used by the program in execution.

on the storage device, it cannot protect information which is active and resident in working memory. This is the function of a register known as the memory bounds register. It partitions memory into two parts, one of which may not be accessed when working in slave mode.

The foregoing is an all-or-nothing type of protection. If a program has any access, it has all; that is, it can read, write or execute and if it has privileges to any data, it has privileges to the entire data bank. This is insufficient protection for an IPU since there may be users with limited access, that is, a user may be able to read some files but not write into them, and with privileges to only a fixed set of data.

Method 2. A higher degree of protection is available through segment addressing hardware. This type of hardware allows memory to be divided into many program and data segments; a segment being a contiguous block of words whose length may vary during the execution of a program. Each word in memory is addressed by an ordered pair of integers (S, W); S being the segment number, and W the word number in that segment (Figure 2 A).

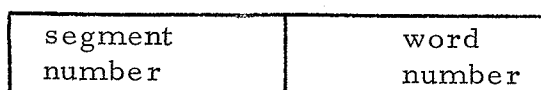


Figure 2 A

A segment descriptor is formed for each segment to be used by any program. This descriptor contains the location of the beginning of

each segment, the length of the segments and the access indicator. This indicator specifies whether the segment may be addressed in slave mode, written or executed. The format of a segment descriptor is:

beginning of segment	length	access indicator
-------------------------	--------	---------------------

Figure 2 B

The segment descriptors for a program are placed in an area in memory called the descriptor segment. The location of the descriptor segment is held in a hardware register* referred to as the descriptor base register. Thus, when a program begins execution, the descriptor base register points to the location of the descriptor segment for that program, and the segment descriptors therein point to the segments in memory to be used by the program, and the manner in which they may be accessed.

Suppose now that more than one program requires the use of the same segment in memory. How does the sharing take place? This is implemented by placing each segment to execute in one of a set of ordered disjoint segments called rings (Figure 2 C).

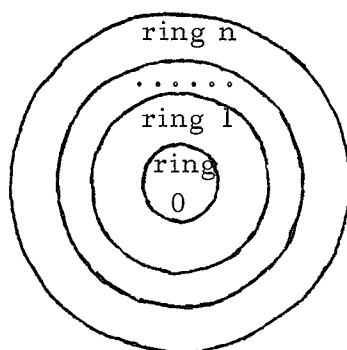


Figure 2 C

Now a program segment executing in a lower numbered ring can access a segment in a higher numbered ring, subject to a software check verifying the transfer. Transfer from a higher numbered to a lower numbered ring is never permitted. For example, a program segment executing in ring 1 can access segments in ring 3, but a program segment executing in ring 3 cannot access segments in ring 1.

The segment descriptors and word addresses are augmented to contain this ring number as shown in Figures 2 D and 2 E.

beginning of segment	length	access indicator	ring number
-------------------------	--------	---------------------	----------------

Figure 2 D

ring number	procedure segment number	word number
----------------	-----------------------------	----------------

Figure 2 E

This latter method provides the degree of protection required in the IPU. It can completely isolate one program from another. Hence, a user can deny any access whatsoever to his segments. On the other hand, he can permit controlled access to any of his segments with different access privileges for different users.

III. PROJECT MAC

MAC, Machine Aided Cognition or Multiple Access Computer, was developed at MIT and became operational in November, 1963. It has since become the best known of all computer utilities, and is considered the birthplace of such a utility. MAC is a general-purpose* computer system operating in the time-sharing mode, and accommodates a host of remotely located users.

The storage protection scheme used in MAC involves additional equipment in the form of a lower boundary register and an upper boundary register. Special instructions set these registers and place the Central Processing Unit in the protection mode; a mode in which the address part of each instruction is compared to the registers before being executed. If this address is outside the limits specified by the registers, an interrupt occurs and a subroutine intervenes. Both registers are set using one instruction but the program does not enter the protection mode until an additional instruction is executed. This instruction gives the control program the opportunity to transfer control to a problem program after setting the boundary registers.

IV. UNITED PLANNING ORGANIZATION

United Planning Organization (UPO), a Washington, D. C. anti-poverty agency, is developing a data file containing information

on several thousand disadvantaged residents. The Organization's executive director claims that privacy will be assured by separating the name of the individual from his data; by not providing any data on individuals but only aggregate statistics; and by placing the management of the data files in the hands of trustees. The latter move gives the individuals the right to take legal actions if they feel that their right of privacy has been violated.

This method for protection has been severely criticized at a Senate Judiciary Subcommittee hearing in February, 1968. It was contended that anyone free to use the files can find a person's record by using the individual's age, street address, and occupation as clues; and hence the individual's privacy cannot be secure.

In closing the section, the significant difference between UPO's method and the one used in the preparation of a credit report for a member of the Bureau (Section II, Chapter I) is noted. While in the former the separation of name and data takes place in storage; in the latter it is done when the credit report is prepared; hence the security of the data file is left to the file protection system. The only information contained in the report about the creditor is his business type; and this alone does not give the member enough grounds to identify the creditor.

V. CONCLUSION

While the need for the protection of confidential data is recognized as one of the essential requirements in the establishment of data banks, those responsible for the formation of these banks tend to shy away or ignore the concern. This is true of many new systems, an example of which is found in the formation of a National Data Bank in the United States. Here, as a result of public complaints, the House Committee on Government Operations has intervened and recommended that no new work is to be done until privacy protection is guaranteed. It also charged the computer community with the requirement of guaranteeing Americans that their privacy won't be destroyed by any data system which permits a retrieval of information in a form that could relate the data to individuals.

In summarizing the state of affairs, the following words of Paul Armer, AFIPSⁱ Vice-President, to the aforementioned Committee, are appropriate:⁷

"The forces of the market place are apt to have little impact in the near future of improving the state of privacy in our society . . . the market mechanism works very imperfectly in such areas and needs prodding . . . privacy lacks an organized constituency. "

ⁱ AFIPS, The American Federation of Information Processing Societies, was formed in May 1961 and holds conferences in the Spring and Fall of each year.

CHAPTER 111

DATA SET PROTECTION

FEATURES OF IBM'S OPERATING SYSTEM / 360

A data set is a named, organized collection of logically related records, *and is not restricted to a specific type, purpose or storage medium. It may be, for example, a source program or a file of data records. A data set to be stored in computer files is placed on a volume (a standard unit of auxiliary storage). Examples of volumes are a reel of magnetic tape, a disc pack and a drum. This chapter examines (i) the protection features available through the facilities provided by the Operating System for the organization, storage, identification and retrieval of these data sets, and (ii) the PASSWORD protection feature of the Operating System.

For every data set to be stored in the computer files certain characteristics (parameters) about them must be specified. These enable the Operating System to perform the required housekeeping such as allocating the data set to a volume, allocating space on the volume and updating the table of contents for the volume. The parameters are defined in a statement called the data definition statement (DD Statement), of the Job Control Language (JCL). * Having stored a data set under a set of parameters, a program can retrieve the data only when these are correctly specified. The parameters relevant

to this topic are presented as the discussion proceeds and in the end are incorporated into a complete DD statement. The upper-case* letters enclosed in brackets are the abbreviations required by the Operating System for the parameters in the DD statement.

Data Set Organization (DSORG)

Operating System/360 data sets can be organized in four ways:¹⁰

Sequential (PS). This is the familiar tape-like file structure, in which records are placed in physical rather than logical sequence. Thus, given one record, the location of the next record is determined by its physical position in the data set. The sequential organization is used for all magnetic tapes, and may be selected for direct-access devices. Punched-tape, punched cards, and printed outputs are considered to be sequentially organized.

Indexed Sequential (IS). Records are arranged in collating sequence according to a key that is a part of every record, on a track of a direct-access volume. In addition, a separate index or set of indices maintained by the system gives the location of certain principal records. This permits direct as well as sequential access to every record.

Direct (DA). This organization is available for data sets on direct-access volumes. The records within the data set may be organized in any manner the programmer chooses. All space allocated

to the data set is available for data records. No space is required for indices. Records are stored and retrieved directly with addressing specified by the programmer.

Partitioned (PO). This structure has characteristics of both the sequential and the indexed sequential organizations. Independent groups of sequentially organized data records, each called a member, are held in direct-access storage. Each member has a name stored in a directory that is a part of the data set, and contains the location of the member's starting point. Partitioned data sets are generally used to store programs. As a result, they are often referred to as libraries.

The data set organization is specified in the Data Control Block (DCB) parameter of the DD Statement. In addition, this parameter contains the following subparameters about the data:

Block Size (BLKSIZE). A block is a group of records. This subparameter specifies the length, in bytes, of a block.

Record Format (RECFM). Records in a data set are specified as fixed-length (F), variable-length (V), or undefined-length (U). Blocked records are specified as being fixed-blocked (FB) or variable-blocked (VB).

Record Length (LRECL). The record length specifies the length, in bytes, of each record in the data set. If the records are variable in length, the maximum record length must be specified.

The format of the DCB parameter is

DCB = (list of attributes)

where the list of attributes is replaced by the subparameters which are separated by commas.

Figure 3 A gives an example of the DCB parameter.

DCB = (DSORG=PS, RECFM=FB, LRECL= 120, BLKSIZE=600)

Figure 3 A

It indicates to the Operating System that the data set is sequentially organized and consists of fixed-blocked records, each block being 600 bytes in length. The record length is 120 bytes, and thus each block contains 5 records.

Data Set Identification (DSNAME)

The Operating System requires a unique name for each data set created on the same volume. This name is written into the table of contents of the volume, and any subsequent attempts to create a data set under that name would not be successful.

The data set name consists of a name or a name preceded by qualifiers which are separated by periods. The rules for its formation are as follows:

- (i) the maximum number of characters in any component of the name is 8,
- (ii) the maximum length of the name, including periods, is 44,

- (iii) the first character of each component must be alphabetic, and the remaining characters alphabetic or numeric, or a combination of both.
- (iv) the last character of the data set name cannot be a period.

The format of the DSNNAME parameter is

DSNNAME = data set name

Figure 3 B gives the entry in the DD statement for a data set with name OIL CO. ACCTDIV. PAYROLL. STORES 1.

DSNNAME=OIL CO. ACCTDIV. PAYROLL. STORES 1

Figure 3 B

Data Set Storage (VOLUME)

The Operating System requires information about the volume on which a data set is to be stored or on which a data set resides. This information is specified in the VOLUME parameter of the DD statement.

A volume can be requested in either a specific or nonspecific manner. Nonspecific references can be made only for new data sets, in which the Operating System assigns a suitable volume. Specific references are made in the following two ways:

- (i) by specifying the serial number of the volume to be used.

This number has a maximum length of 6 characters and may be either alphabetic, numeric or alphanumeric. The

format of this parameter is

$$\text{VOLUME} = \text{SER} = (\text{ser\#}, \text{---}, \text{ser\#})$$

where ser# is the serial number of the volume.

If only one volume is involved, the parameters can be specified in the form

$$\text{VOLUME} = \text{SER} = \text{ser\#}$$

The example in Figure 3 C makes a specific reference for the volume with serial number UM1406

$$\text{VOLUME} = \text{SER} = \text{UM1406}$$

Figure 3 C

- (ii) by using the same volume as assigned to an earlier catalogued data set.

In this case the format of the parameter is

$$\text{VOLUME} = \text{REF} = \text{DSNAME}$$

Where DSNAME is replaced by the data set name of the previously catalogued data set. The example in Figure 3 D tells the Operating System to use the same volume on which the data set with name ONE.MONTH resides.

$$\text{VOLUME} = \text{REF} = \text{ONE.MONTH}$$

Figure 3 D

The protection of a data set by the preceding parameters depends upon these being unknown to anyone but the programmer creating it. However, it is possible for a user in attempting to create a data set, to find that he has accidentally chosen a data set name which already exists in the table of contents for the volume he specified. The user is now free to destroy or to deform that data set in whichever way he pleases. The DCB, DSNAME and VOLUME parameters, are, therefore not sufficient to ensure data security.

Expiry Date or Retention Period (LABEL)

A further measure of protection is available by specifying either the expiry date or the retention period subparameter in the LABEL parameter of the DD Statement.

The expiry date specifies the year and day after which the data set can be deleted or opened for any type of processing; and the retention period specifies the length of time, in days, that the data set is to be retained. These are used for protection against writing into data sets when not desired and are primarily for preventing the user from destroying his own data sets rather than those of others.

The format of the expiry date subparameter is

LABEL=EXPDT=yyddd

where yy is the 2-digit year number and ddd is the 3-digit day number after which the data set can be considered expired.

The format of the retention period subparameter is

LABEL = RETPD = nnnn

where nnnn is the number of days the data is to be retained.

PASSWORD Protection

PASSWORD protection is the name given to the data set security facility provided by Operating System/360 for the control of confidential data. This facility entails all of the preceding features, plus additional checks before access is permitted.

To implement this protection feature, a data set (named PASSWORD), consisting of records (Figure 4) that associate the names of protected data sets with the password designated for each data set must be created. Figure 5 gives an example of this association in which the password for the data set named UOFM.COMPSC.LAB601.SECT 1 is UMSEC 1. An attempt to use this data set is met with a request by the Operating System for the password. The request is directed to the console typewriter* and the operator must reply with the correct password before the data set can be used. If on the first reply the password is incorrect, the Operating System makes the request again, and thus allows the operator a second chance to produce the correct one. Failure to do so in two tries results in a termination of the job.

The PASSWORD data set is a sequential one and is placed on the system residence volume.* Since protecting a newly created data set

FIGURE 4

FORMAT OF A RECORD IN THE PASSWORD DATA SET

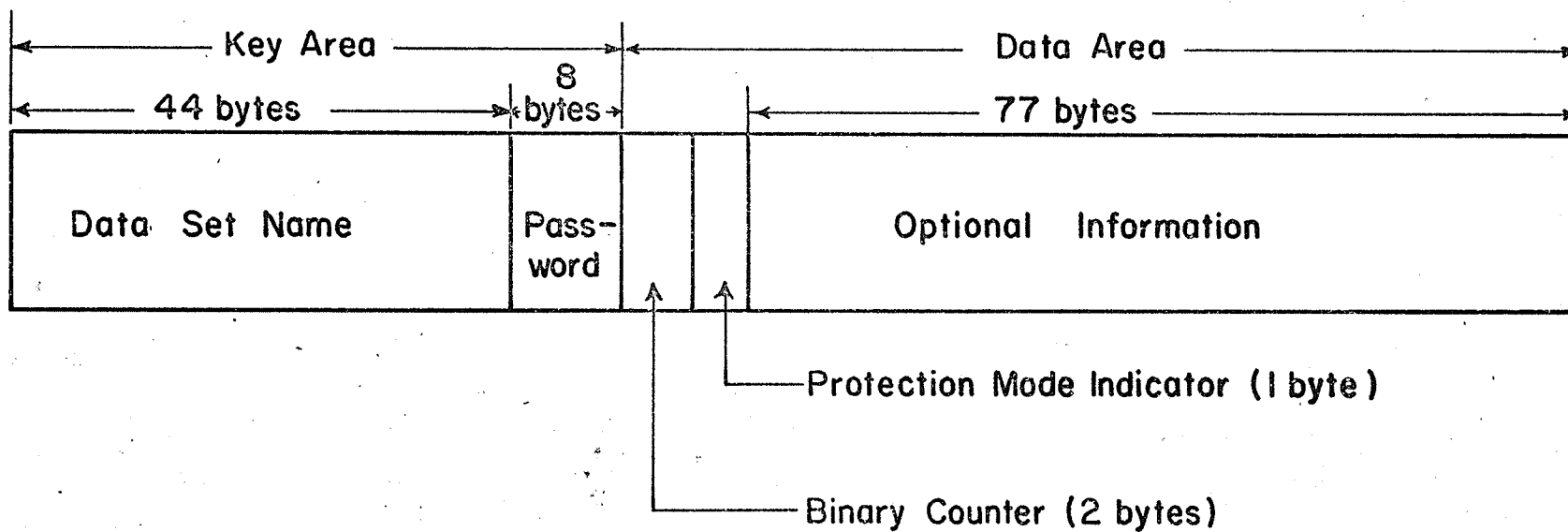


FIGURE 5

A RECORD IN THE PASSWORD DATA SET

UOFM.COMPSC.LAB601.SECT1	UMSEC1	00	01		
1	2	3	4		5

Legend

- 1 Data Set Name
- 2 Password
- 3 Binary Counter
- 4 Protection Mode Indicator
- 5 Optional Information

involves an addition of a record to the PASSWORD data set, a routine for maintaining the said data set must be written and stored in the system's linkage editor library* or in the programmer's own library. A data set to be placed under protection has the following entry in the LABEL parameter of the DD statement:

LABEL = (, , PASSWORD)

The records of the PASSWORD data set are formatted into a key area and a data area. The contents of these areas are as follows:

Key Area (52 bytes). This area is divided into the following sections:

(a) The Data Set Name (44 bytes). The data set name must be left justified, and, if necessary, padded with blanks (X'40')ⁱ on the right. The rules for the formation of this name have been discussed previously.

(b) Password (8 bytes). This is the password for the data set under protection. It must be left justified, can be up to 8 characters long, and padded with blanks on the right if necessary.

Data Area (80 bytes). The divisions of the data area are:

(a) Binary Counter (2 bytes). This counter is incremented by 1 each time the data set is successfully accessed (except for scratching or renaming the data set, called SCRATCH and RENAME functions

ⁱHexadecimal notation

respectively). When the record is built, this counter must be given a starting value.

(b) Protection Mode Indicator (1 byte). This indicator must be set when the record is built, and indicates whether the data set is to be read only, or both to be read and to be written. The value is either:

zero (X'00') if the data is to be read only, or

one (X'01') if the data is to be read and to be written.

(c) Optional Information (77 bytes). This field may be used to hold any additional information the installation desires. Such information may be the date of password change, or the date of counter reset.

The PASSWORD data set itself can be protected by including a record for it in the data set. It can then be accessed only when the computer operator enters the correct password (except by the Operating System routines that use the data set).

The action of this protection feature to the termination of processing, volume switching, * data set concatenation, * SCRATCH and RENAME functions, and counter maintenance are as follows:

(i) Termination of Processing

Processing is terminated when;

- (a) the operator cannot supply the correct password for the data set being opened,
- (b) a password does not exist in the PASSWORD data set for the protected data set being opened,

- (c) the protection mode indicator setting in the password record and the method of input-output processing specified in the open routine do not agree,
- (d) there is a mis-match in the data set names for a data set involved in a volume switching operation.

(ii) Volume Switching

The Operating System end-of-volume routine does not require a password for a data set involved in a volume switch.

(iii) Data Set Concatenation

A password is requested for every protected data set that is involved in a concatenation of data sets, regardless of whether the other sets are protected.

(iv) SCRATCH and RENAME functions

The protection feature issues a request for the password whenever an attempt is made to scratch or rename a protected data set.

(v) Counter Maintenance

The Operating System increases the binary counter in the password records, but no indication of overflow is given (overflow after 67,535 accesses). A counter maintenance routine to check and, if necessary, to reset this counter must be provided.

Remarks on PASSWORD Protection

This protection feature has two main disadvantages:

1. The passwords of all the data sets has to be available to the computer operator and anyone in dire need of these may try to influence

him into collaboration. Thus, the security of the data sets depends to a great extent upon the honesty and will power of the computer operator.

2. It is unsuitable for use in a computing system in which many remotely located terminals access the protected data sets. In such an environment much operator time will be spent, or wasted, entering passwords from the console.

Examples

This chapter is concluded by including two examples enhancing the features presented in the discussion. Example 1 illustrates a sample record in the PASSWORD data set, and Example 2 incorporates the DCB, DSNAME, VOLUME and LABEL parameters into a DD statement.

Example 1

Figure 5 (Page 30) shows the record in the PASSWORD data set associated with the data set named UOFM.COMPSC.LAB601.SECT 1. The password is UMSEC 1. Whenever an attempt is made to access the data set, the operator must enter UMSEC 1 in reply to the request by the Operating System for the password. Both the request and the reply are made through the console typewriter. The binary counter is initially set to 0, and is incremented by 1 each time the data set is successfully used. The setting of the protection mode indicator is 1 which indicates

that the data set may be read only or be read and be written. The optional information field is left blank.

Example 2

Figure 6 gives the card format of a complete DD Statement. The DCB, DSNNAME, VOLUME and LABEL parameters are the ones of concern to this presentation. The subparameters specified for the first three are the same as used in previous examples (Pages 24-26). The LABEL parameter specifies that the data set is to be password protected, and that it should be retained until the 100th day of the 69th year.

Although the objective of this chapter has not been to discuss the Job Control Language, the said JCL nevertheless cannot be divorced from the discussion. Hence, the following rules for forming the DD Statement are noted:

- (i) each of the first two columns of each card must be occupied by a slash (/),
- (ii) the parameters starting from the DSNNAME and onwards (a) can be arranged in any order, and (b) cannot have imbedded blanks,
- (iii) when a statement is to be continued on another card, a character must be punched in column 72. An 'X' is used in the example,
- (iv) entries on a continuation card must begin in column 16.

FIGURE 6

A DATA DEFINITION STATEMENT

1	10	20	30	40	50	60	70	80
// G0.FT08F001 ⁺ DD ⁺ DSNAME=U0PM.LAB601.SECT1,VOLUME=REP=ONE.MONTH,								X
// DCB=(DSORG=PS,RECPM=FB,LRECL=120,BLKSIZE=600),								X
// LABEL=(,1,PASSWORD,EXTPD=69100),SPACE=(TRK,(10,10)) ⁺ ,								X
// DISP=(,KEEP) ⁺								

+ Irrelevant to discussion

0 Numeric zero

0 Alphabetic

CHAPTER I V

INPUT-OUTPUT CONSIDERATIONS

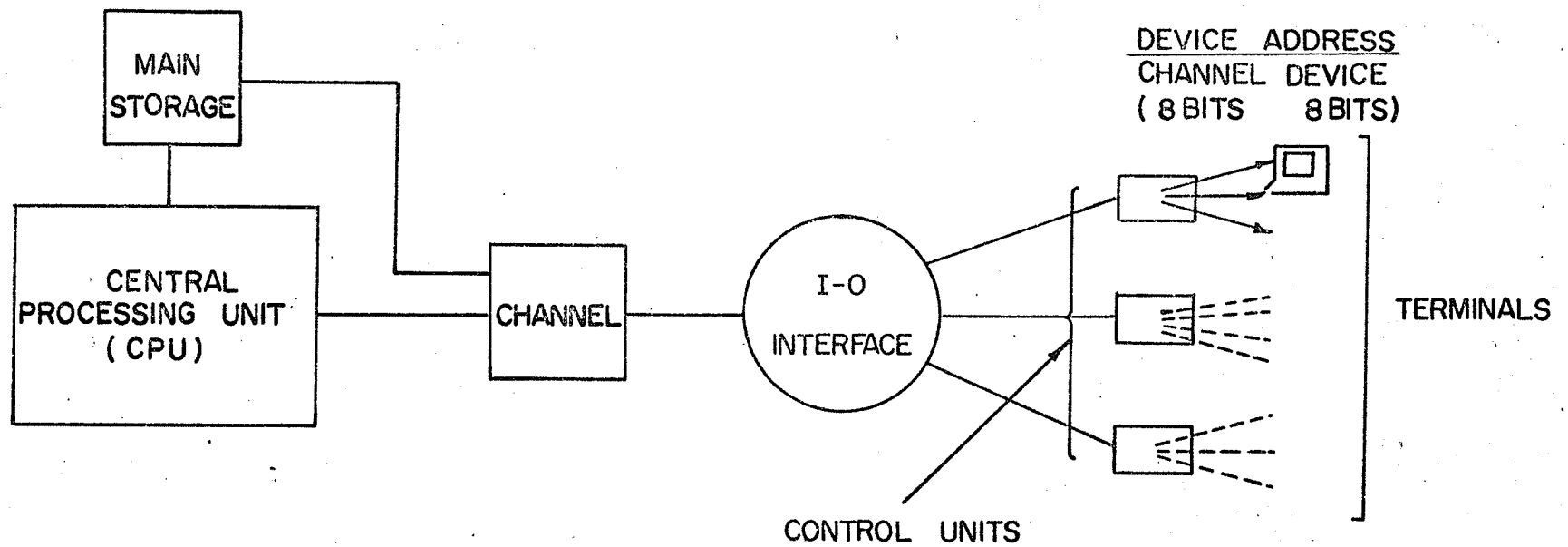
I. INPUT-OUTPUT MECHANISM

The transfer of information to and from main storage is referred to as an input-output operation. Each operation involves an input-output device. A computer facility shared by many remotely located users will have a large number of these devices connected to the Central Processing Unit by data channels, input-output interfaces, control units and telecommunication lines. This section discusses the mechanism by which the Central Processing Unit controls the numerous input-output devices with the objective of illustrating (i) the uniqueness property of a device's hardware address, and (ii) the completely operator independent manner by which the Central Processing Unit recognizes this address. Both of these properties make the address of a device an excellent factor for use in a control system.

It is necessary to establish some groundwork before the input-output mechanism can be discussed. This discussion involves (i) the functions of the units connecting the main storage, the Central Processing Unit and the terminals (Figure 7), and (ii) the hardware address associated with an input-output device (device address).

FIGURE 7

MAIN UNITS CONNECTING TERMINALS AND CPU



The functions of the units shown in Figure 7 are as follows:

(i) Main Storage

Main storage is usually the fastest storage device of a computer, and the one from which instructions are executed.

(ii) The Central Processing Unit (CPU)

The CPU is the unit of a computing system that contains the circuits that control and perform the execution of instructions.

(iii) Channel

A channel may be regarded as a small independent computer that responds to its own set of commands. Each channel has a program in main storage. The execution of these channel programs is initiated by the Operating System.

Channels direct the flow of information between main storage and the input-output devices, thus relieving the CPU of performing input-output operations. Consequently, processing and input-output operations can occur concurrently.

There are two types of channels, selector and multiplexor. The multiplexor channel may be divided into subchannels. Selector channels are used for the attachment of high speed devices while multiplexor channels are intended primarily for low speed devices such as card-readers and line printers.

(iv) Input-Output Interface

The input-output interface is the standard connection through

which the control units are attached to the channels. It consists of nine one-way lines for input and nine lines for output to accommodate one byte of data including a parity bit. It also provides the standard set of signals from the channel to which all control units respond.

(v) Control Units

The control units provide the logical capabilities necessary to operate and control the input-output devices. Their functions are:

- (a) to accept and decode control signals from the channel for the input-output devices,
- (b) to control the timing of data over the Input-Output Interface,
- (c) to provide indications concerning the status of a device.

Device Address. Each input-output device has an address which is a 16-bit number consisting of two sectors; a channel part (8 bits) and a device part (8 bits) (Figure 4 A). Though the 8-bits of the channel address field will allow for identifying up to 256 channels, only addresses in the range of 0-6 can be used since System 360 permits a maximum attachment of 7 channels (6 selector channels and a multiplexor). Any number in the range of 0-255 can be used as the device address. In the case of a multiplexor channel, the address identifies the sub-channel, control unit and device address.

The device address is assigned at installation time and normally remains fixed thereafter.

The diagram (Figure 4A) shows the channel address part and the device address part, in binary notation, of an input-output device which has a decimal value address of 201.

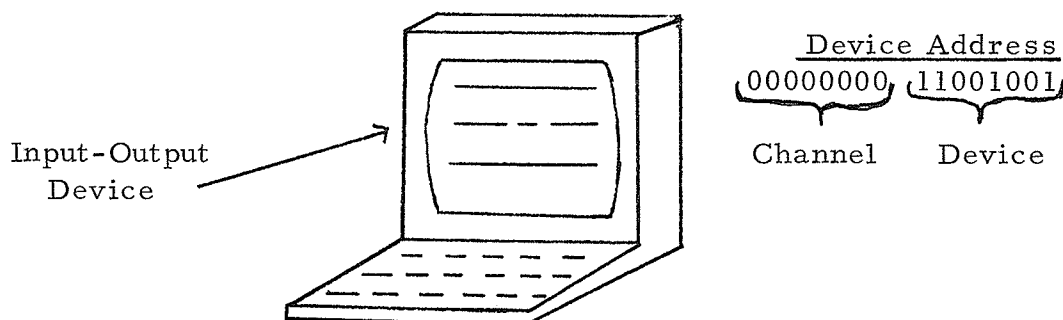


Figure 4A

The Mechanism. The operation of these units is related in the following way - the CPU drives the channel which in turn drives the control units, which operate the input-output devices.

The CPU communicates with the channel by the four instructions:

- (a) START I/O - initiates an input-output operation,
- (b) TEST I/O - tests the state (busy, available, etc.) of the addressed channel, subchannel and input-output device,
- (c) HALT I/O - terminates a channel operation,
- (d) TEST CHANNEL - indicates the state of the addressed channel.

The first three instructions address a channel and a device on that channel, whereas the fourth addresses only a channel.

When one of these instructions is passed to the channel, the latter fetches from main storage the channel program¹² required to carry out the job. The six commands available in a channel program are as follows:

- (a) Read - causes data to be read from the selected input-output device and defines the area to be used in main storage,
- (b) Write - causes data to be written to a selected device and specifies the area in main storage to be written,
- (c) Read Backward - causes a read operation in which the characters are read backwards from the device and placed in descending main storage locations,
- (d) Control - this command contains control information(called orders) required to control the selected device. Typical orders are the rewinding of a tape, loading a tape reel and skipping a line on the printer,
- (e) Sense - the sense command specifies the beginning main storage location to which sense information is transferred from the selected control unit. Sense information contains the condition of a selected device such as the stacker full condition of a card-reader,
- (f) Transfer in Channel - specifies the location in main storage of the next command to be executed.

When the CPU is running a program and receives an input-output request, it initiates the operation by issuing an instruction to the appropriate channel. The channel locates the device specified in the instruction by sending the address of the device to all its attached control units. The one recognizing the address connects itself to the channel and responds by returning its address. The command is then sent over the Input-Output Interface, and this time the device itself



responds by indicating whether it can execute the command. If an input-output operation is initiated at the device, the subchannel is set up to service the request.

II. INPUT-OUTPUT TERMINALS

This section presents the main features of several input-output devices which may be considered for use as remote terminals in a Credit Information System. Those particular advantages or disadvantages relevant to security are mentioned.

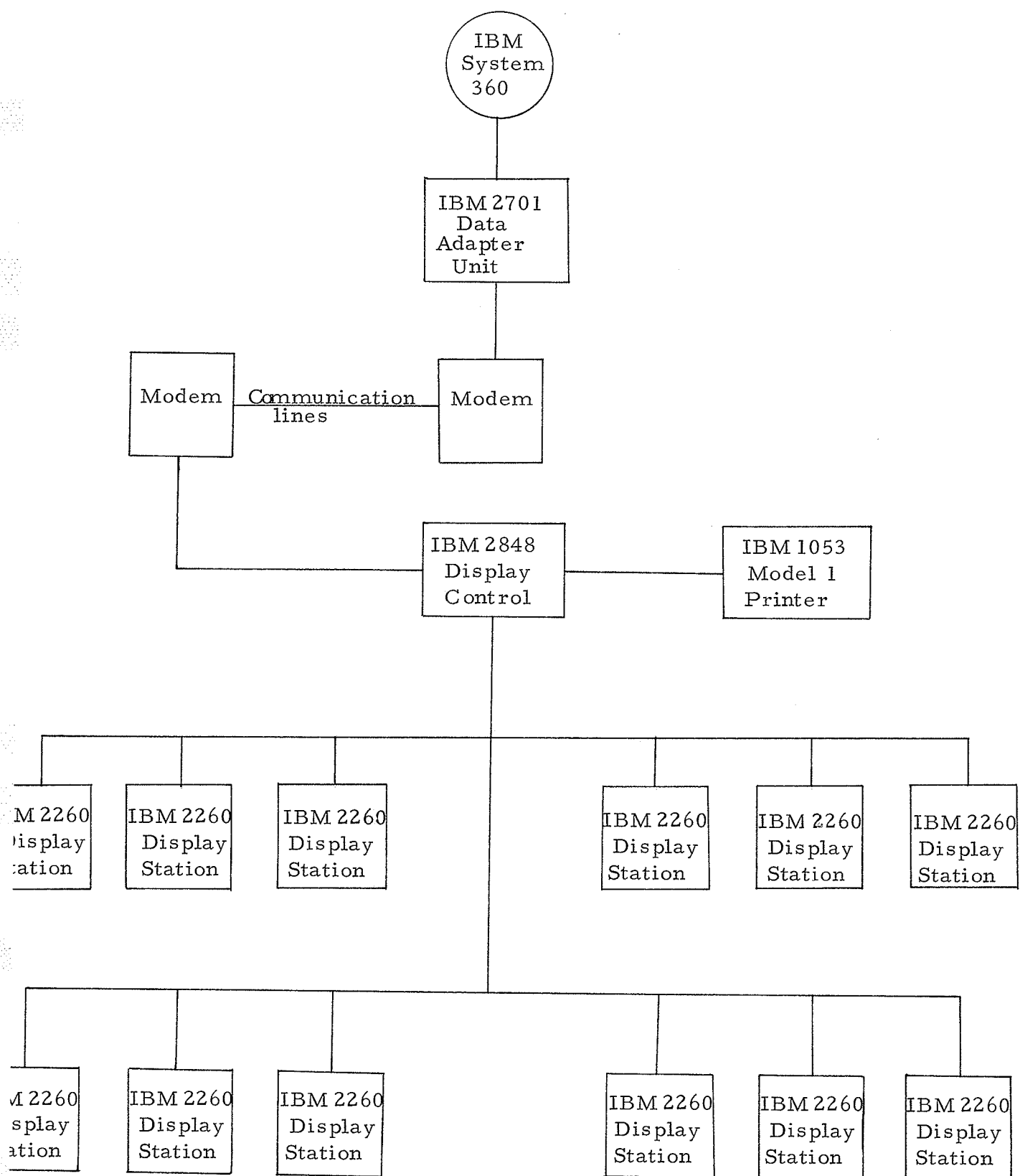
IBM 2260 Display Station (Figure 8)

The IBM 2260 Display Station, also known as a Cathode Ray Tube (CRT), has a television-like screen and is obtainable with or without a keyboard. Input to the computer is entered from the keyboard and displayed on the screen thus permitting a verification of the data before transmission. It is commendable for use in a Credit Information System, as Accounts Receivable records can be displayed and quickly updated at the terminal. Credit reports requested by the members can also be readily displayed on their screen.

The Display Stations are connected to the computer through the IBM 2848 Control Unit. In a remote application, both the Display Stations and the Control Unit are some distance away from the computer, and communicate with it via the IBM 2701 Data Adapter Unit* and modems* (Figure 9). There are three models of Control Units

FIGURE 9

IBM 2260 REMOTE DISPLAY COMPLEX CONFIGURATION



providing the following capabilities:

- Model 1 - allows for a maximum attachment of 24 Display Stations.
Each station is capable of displaying 240 characters
(6 rows of 40 characters each),
- Model 2- allows for an attachment of up to 16 Display Stations.
Each station is capable of displaying 480 characters
(12 rows of 40 characters each),
- Model 3 - allows for an attachment of up to 8 Display Stations.
Each station can display 960 characters
(12 rows of 80 characters each).

An IBM 1053 Printer can be added to the 2260-2848 configuration. However, only one printer can be attached to a Control Unit and hence any hard copy documents required by users sharing a Unit will have to be prepared at the same location. It is essential then that no confidential information be printed unless tight security controls are enforced at the location of the printer. The printing of such information may be suppressed by appropriate programming. An example of this is a program feature to prohibit the printing of a user's code.

The chief disadvantage of the IBM 2260 in this application is its incapability to suppress the display of characters entered through the keyboard. It is therefore not impossible for someone to peek over the terminal operator's shoulder and to read the code entered.

Sanders CLINI-CALL System Terminal (Figure 10)

The Sanders CLINI-CALL System is a Hospital Information System developed by the Sanders Co., Massachusetts. The terminal used is

custom built and much like the IBM 2260 with modifications. It has a television screen for display, a keyboard for input and a photo-pen for selecting an item displayed on the screen. There are hardware features allowing the insertion of two identification cards. In its present usage the doctor places his card in one slot, which activates the system for him, and the patient's card in the second slot.

This type of terminal may be ideal for the situation at hand. Consideration will have to be given to the possibility of a user losing his identification card and the finder trying to use it. Tighter control can be attained by having a brief computer-terminal conversation in which the computer asks the operator personal questions such as his age and father's first name (Page 13). Alternative procedures will have to be devised to activate the system for a user who has lost, forgot, or misplaced his identification card.

Northern Electric Models 32 and 33 Teletypewriters (Figure 11)

The Northern Electric Models 32 and 33 are compact keyboard send-receive devices designed specifically for economical application while providing instant and reliable station-to-station teletypewriter services. In addition to the usual keyboard and carriage there is (i) a built-in telephone-like dial for direct calling, and (ii) a lighted push button control for initiating, accepting, controlling and ending calls. The Model 32 is equipped with a 3-row keyboard, and the Model 33 a 4-row. The keyboard permits operation at speeds up to

100 words per minute. Each model can print a maximum of 74 characters per line.

For terminal identification these models are equipped with an answer-back mechanism that will automatically transmit a pre-determined sequence of characters. There is a capacity for 20 distinct characters and these can be actuated locally by use of a special key. The characters are set when the teletypewriter is installed.

IBM 2740 Communications Terminal (Figure 12)

The IBM 2740 Communications Terminal is a keyboard send-receive device which can be used as either a standard selectric typewriter, or as a data sending and receiving device to another 2740 terminal or to a computer.

It operates at a maximum speed of 14.8 characters per second and prints 10 to 12 characters per inch, using a 13 inch width writing line on a standard carriage. It is designed for any of the following three types of operations:

(i) Point-to-Point

For this usage a dedicated communication line is required, private or leased. Operation is intended between two specific points, but others may be added.

(ii) Dial-Up

Dial-up operation allows the terminal access to a network of

terminals or to a multiplexor channel. Any 2740 connected to this network may call another.

(iii) Multiplexor

A 2740 Communications Terminal when attached to a multiplexor channel can be used with either dedicated or dial-up lines and provides the combined facilities of both types of operations.

While the 2740 may serve as a valuable piece of hardware for an office because of its dual form of operation, as a typewriter and as a terminal, it provides very little if anything at all, in the way of contributing to the privacy of a system. However, privacy could be maintained if printing on the carriage could be suppressed so that none of the private information sent to the computer for identification purposes is logged.

Optical Readers (Figure 13)

The huge quantity of input data typical of a Credit Information System may result in keyboard devices yielding to Optical Readers. Examples of such devices are the IBM 1287 Optical Reader, and the IBM 1288 Optical Page Reader. These are designed for use with the following computers: IBM 360 Models 25, 30, 40 and 50.

The IBM 1287 can:

- (a) read formatted data on forms 2.25" by 3" to 5.9" by 9".
- (b) read documents which are typewritten, machine printed, numerically hand printed or pencil-marked.

- (c) read over 8,000 sales checks or up to 190,000 journal roll lines in one hour.

The IBM 1288 can:

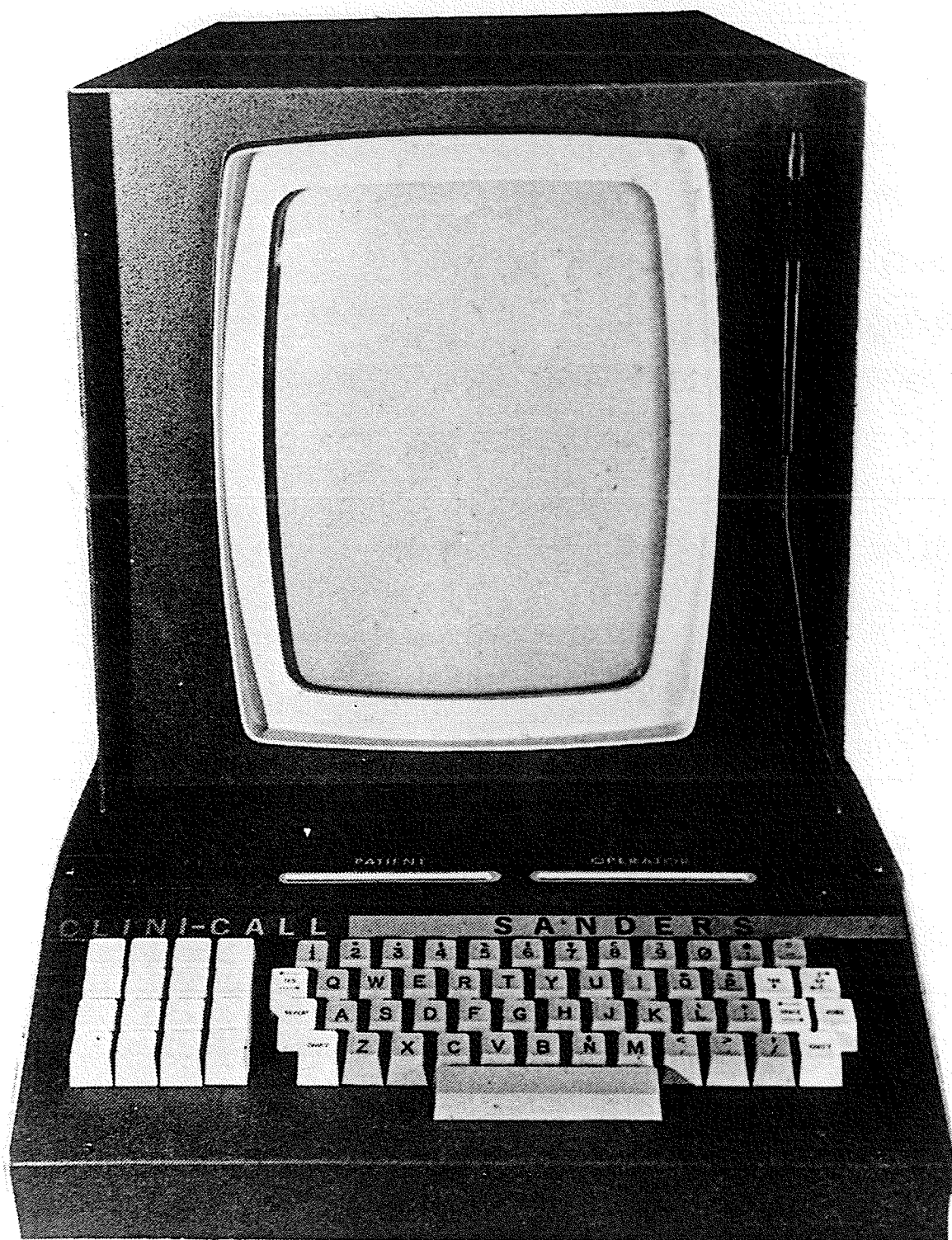
- (a) read formatted and unformatted documents ranging in size from 3" x 6.5" to 9" x 14",
- (b) read documents which are typewritten, machine printed, numerically handprinted or pencil marked,
- (c) translate information into System 360 language at the rate of about 840 single-spaced typewritten pages an hour.

The letters and numbers read are from the USA Standard Character Set for Optical Character Recognition. This type face is produced by certain electric typewriters including the IBM 2740.

Using an optical device will solve the problem of entering large quantities of data, but will necessitate an additional device for output from the computer. If all updating and report creations are to be done by the Central Credit Bureau, then such an optical device plus a high-speed printer will solve the input-output problem. However, it is likely that updating will be done by the members themselves, and since it may be economically unfeasible for each member to have two devices, the preceding send-receive units may be more justifiable.

FIGURE 10

Sanders CLINI-CALL System Terminal



NORTHERN ELECTRIC MODEL 33 TELETYPEWRITER

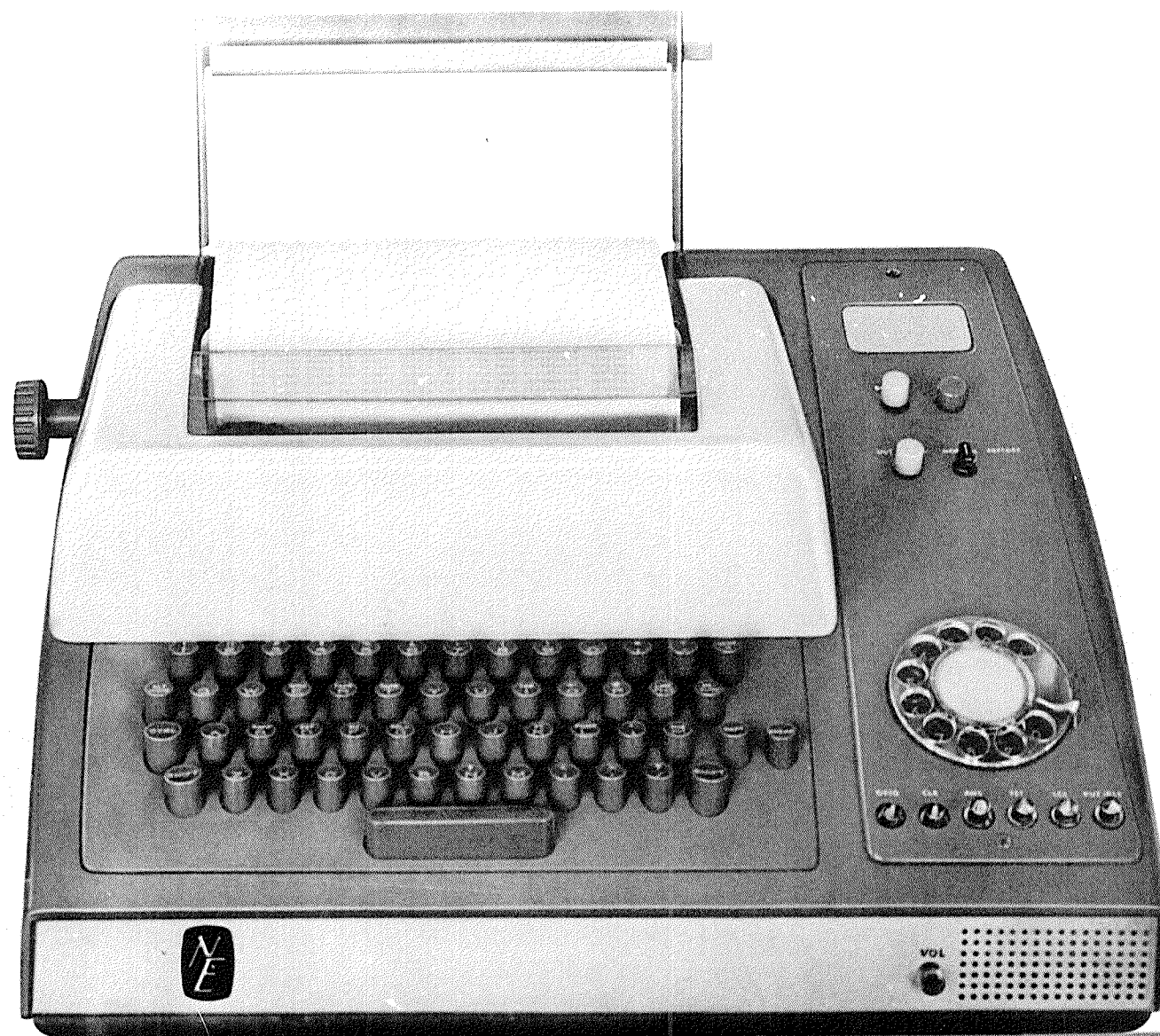
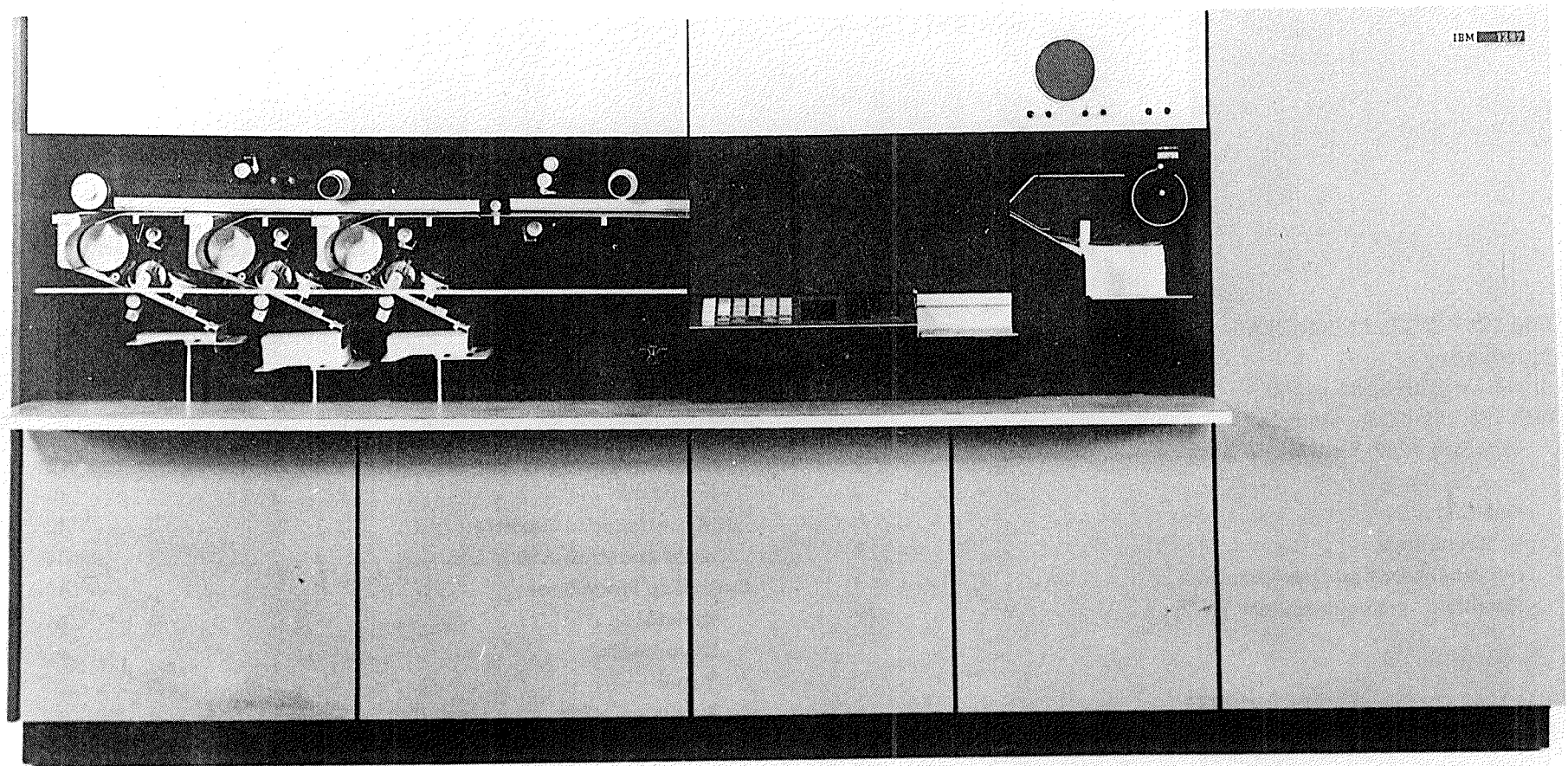


FIGURE 13

IBM 1287 OPTICAL READER



CHAPTER V

A CONTROL SYSTEM FOR CONFIDENTIAL DATA

By utilizing some features of IBM's Operating System/360 and of various remote-access utilities, a system for controlling access to confidential data is now proposed. The dataⁱ is held in the auxiliary storage of a central computer and accessed by terminals located at remote points. These terminals may be any standard units such as teletypewriters, Cathode Ray Tubes (CRTs) and card-readers/punches. The control method is such that neither the routines required by the system to carry out its functions, nor the contents and structure of the files need be known by the users.

The chapter is divided into several sections. In the initial stage of the design several properties were defined which the system should fulfill, and these are outlined in Section I. Section II discusses the core of the protection scheme which involves controlling access through the medium of two files; a directory file and a subdirectory file. The threat of data leakage through computer room personnel is treated in Section III. Section IV gives the messages, called displays, by which the computer converses with the terminal operator. Having presented the basic design of the system in the preceding sections, Section V describes the manner in which it will function. The chapter is concluded in Section VI in which some general remarks about the control system are made.

ⁱFor a description of the data refer to Section II of Chapter I.

I. SYSTEM DESIGN FACTORS

The following factors were of prime concern in designing the system:

(1) The Duration of a Transaction

A transaction consists of the events that take place between the terminal operator and the computer. These events are: (i) the conversation necessary for identification purposes, (ii) the terminal operator's request for the computer to execute a set of functions, and (iii) the processing of the request by the computer and a display of the results to the terminal. The duration of the transaction is the time elapsed between the commencement of the first event and the completed display of the results.

The computing environment can be divided into the following three major components: the terminals, the communication facilities, and the computer. The duration of a transaction will depend upon the rate of data transmission between the computer and the terminal, upon the computer's processing speed, and upon the rate at which the terminal operator can make his entries. The time required by the first two factors is negligible compared to the third, and hence the duration of a transaction will be bound largely by the operator's typing speed and upon the quantity of information he has to supply. Thus, a major factor in designing the system will be to place a minimal dependence upon the

operator's typing skill.

The following example gives one case in which the quantity of input data will be reduced: Suppose that the display in Figure 5A is written to the terminal so that the operator may make a selection. The terminal operator will be required to enter only the number associated

1 CAR LOAN	2 HOUSE LOAN
3 BOAT LOAN	4 OTHER

Figure 5 A

with the selection rather than the lengthy item itself. Thus, if he wants to choose CAR LOAN, he only has to enter a 1.

(2) Ease of System Use

The system should be easy to use so that personnel will require very little training. The procedures for using it should be clearly defined, and terminal operators should be fully aware of what displays to expect and what action to take. The terminal itself should be simple to operate.

(3) Recovery from Errors

The system should allow the terminal operator to easily correct

typing errors. All error messages should be concise and clear and should be displayed as soon as possible so that the operator may not have to re-enter a bulk of information, the majority of which may be correct.

(4) Computer Response Time

The computer requires time, called the response time, to read a reply from the terminal, to process it and to send an answer back. The time taken to do this should be sufficiently small so that the terminal operator will not become impatient.

(5) The Displays

The displays transmitted to a terminal should be comprehensible and in an easily readable format (Section IV).

II. DIRECTORY AND SUBDIRECTORY

The set of programs to be written for implementing the control system will be referred to as the file protection routines. These routines will control access to the user's routines and data stored in the computer files by means of consulting a directory, and if necessary, a subdirectory. The directory file will be composed of one record for each user of the Information System.ⁱ The records may all be placed in

ⁱThe term "Information System" or simply "System" refers to the Credit Information System as opposed to "system" which refers to the control system.

one large file or in several smaller files classifying the users into geographic regions, alphabetic divisions or business types. A user's directory record (Figure 14) will contain his identification number, device addresses, code, trade name, a list of functions the devices contained in the device addresses fields can perform, a link and an optional information field. A subdirectory record will contain a set of device addresses, a list of the functions these devices can perform and a sublink field.

This section will account for the contents of these fields. Alternative ways will be given for assigning values to some of them, and choices will be made when the method is programmed in Chapter VI.

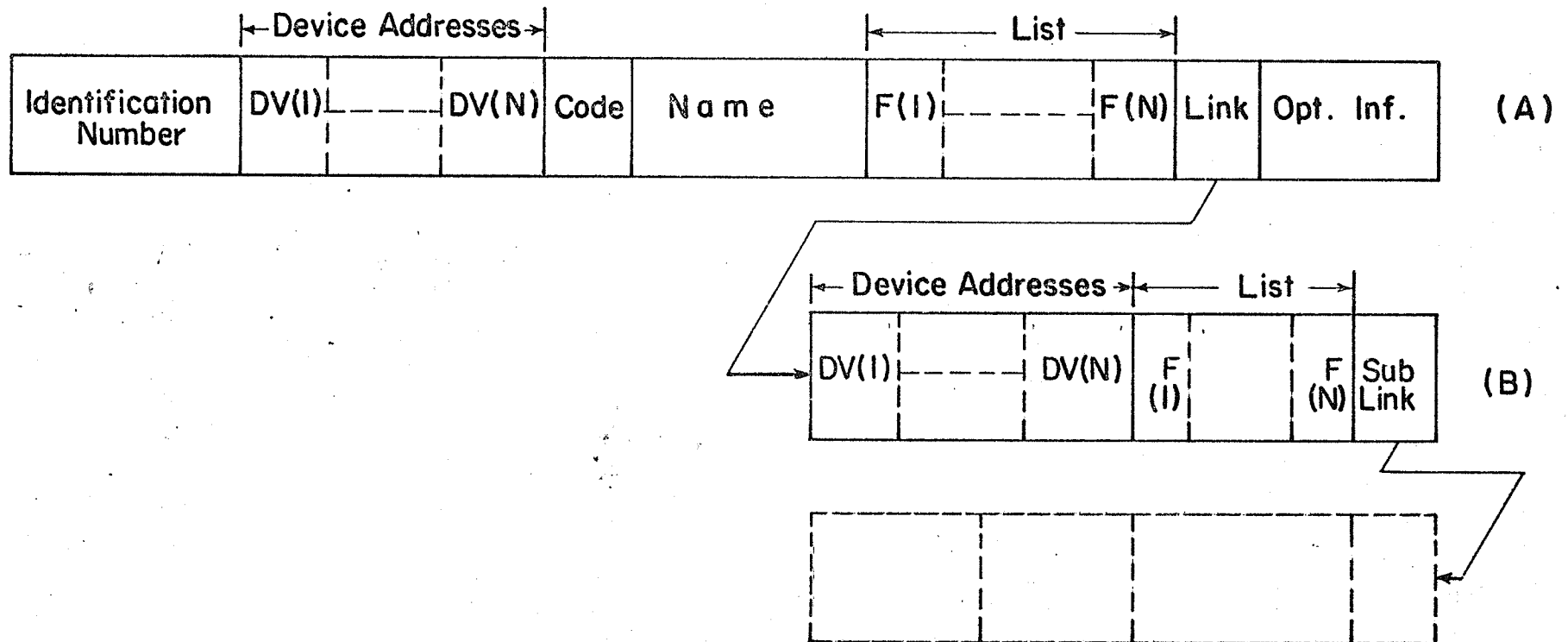
Identification Number

The Credit Bureau will assign every user of the System a unique identification number by which he will be known to all other users. If necessary, some technique can be adopted in assigning this number so that it may bear a definite meaning. Numbers can be associated with the physical address of the member's data on the storage media or with his type of business and its location. The identification number 1609118, for example, when divided into the fields 1, 60, 9, and 118 infers that the beginning address of the user's data is at Volume 1, Cylinder 9 and Track 118 of a disk storage device, and that his file contains a maximum of 60 records. Alternatively, if divided into the fields 1, 6, 09, and 118,

FIGURE 14

(A) FORMAT OF A DIRECTORY RECORD

(B) FORMAT OF A SUB-DIRECTORY RECORD



it may mean that the user is located in District 1 (say Eastern District, Head Office in Montreal), is the 118th member of that District, is in the clothing business (the code 09 stands for clothing), and that he has 6 branch stores. The District Head Offices of the Credit Bureau can be assigned special numbers which make them easily identifiable. Such a number could be an integer followed by a string of zeros. For example, the identification number of the Eastern District Head Office can be 1000000, and the Western Office 2000000, etc.

Device Addresses

A user will be permitted to have several terminals and will be restricted to using the System only through these. The device addresses fields will contain the hardware addresses of his terminals. This address, discussed in the preceding chapter, plays a leading role in the proposal. It is assigned when the terminal is installed, and normally remains fixed thereafter.

As a control factor, the device address feature has the advantage of being user independent as it is recognized by the computer without outside intervention. In fact, a user may be totally unaware that his terminal has an address.

Code

Together with an identification number, each user will be assigned a code which he will be required to furnish whenever he desires to use

the System. This code will be released only to the user and it will be his responsibility to protect it from unauthorized personnel. A choice from two types of codes is suggested. These types are:

(i) A Three-Digit Code. A three-digit code is an easily remembered and easily used number.

Applying the Principle of Permutations and Combinationsⁱ the number of different codes obtainable from the 10 digits is $10^3 = 1000$. However, if every business in Canada is to be accommodated in the System, there will be a maximum of 600,000 to 800,000 users, and consequently several of these will share the same code. The consequences of this factor are examined in the next chapter when the code generator (SUBROUTINE GEN) is discussed.

Three-digit codes can be assigned arbitrarily by the system's designer with the aid of a random number table. In a computer environment however, they will most likely be assigned by using a random number generator. This is a special machine routine designed to produce a random number or a series of random numbers according to specified limitations. By this latter method, codes can be quickly assigned to all present users and later codes can be assigned without the intervention of the system's designer or programmer.

ⁱ A First Year of College Mathematics by Raymond W. Brink, Second Edition, Page 453.

(ii) A Four-Character Code. Because of its simplicity and non-uniqueness, the preceding code may yield to a more complex one chosen from a larger set. This set may consist of letters, numbers and special symbols. An example of this latter type is a 4-character code formed from the elements of a 32-character table. The number of unique codes obtainable is now $32^4 = 1,048,576$ which is sufficient to allot each user a unique code.

Four-character codes can be produced by an algorithm which performs four operations on the identification number and chooses the required characters by a mapping of the result onto the 32-character table.

Probability Analysis of Codes. An unauthorized user, upon gaining access to a terminal may resort to the trial and error method of finding the code. What are his chances of being successful? In order to calculate a probability some knowledge of the code on the part of the user must be assumed. Assume that the person (a) knows the number of characters in the code and (b) is aware of all the possible characters of which a code can consist. The maximum probability is now calculated as follows:

Let $p(n)$ be the probability of a success in n tries.

If N is the number of possible codes then

$$p(n) = n/N$$

Hence,

for a System using the 3-digit code $p(1) = 1/1000 = .001$

and

for a System using the 4-character code $p(1) = 1/1048576 = .00000095$.

The objective of the preceding calculation is to show the probability of a success in 'n' trials rather than to find the average number of trials which will be required for a success. It permits the conclusion that whichever type of code is used a large number of trials may be required to determine the correct code. To discover such an attempt, a routine is provided which writes a message on the console typewriter every 10 times the incorrect code has been produced at a terminal.

Name

The name field will contain the member's trade name.

A member's Accounts Receivable file can be updated by himself or by the Credit Bureau Head Office, and the latter may wish to update several members' accounts in the same computer run. Thus when a member's records are transmitted to the terminal for updating, the member's name will be placed in the first line of the display so that no confusion as to whose account is being updated will arise. For example, if the terminal is a CRT, the first line of each screen of data will contain the name of the member, and the last line will indicate whether the records are to be continued in the next screen.

List

The Credit Information System will provide its members with the facility of performing one or more functions which will be presented in a base display. The base display will be unique to each terminal as it would contain only those functions which that terminal can execute. The functions which will be provided are:

(1) Status Check

By requesting the status check function the user can find out how many times his code has been correctly or incorrectly specified. The latter gives an indication as to whether some unauthorized person in the office is trying to use the System.

(2) Update Accounts Receivableⁱ

This function allows the user to store his Accounts Receivable file in a computer file, and update it from a terminal located in his office.

(3) Credit Reportⁱⁱ

The credit report function is used to request credit reports on businesses.

^{i, ii} The programs required for these functions will be provided in another project. A simple 'Update Accounts Receivable' program will be used in this project for system demonstration purposes.

(4) Code Generator

The code generator provides the identification numbers and codes required for adding new members to the Credit Bureau.

With each of these functions there will be an associated number. For example, the status check function may be number 1 and the credit report function number 3. The list fields in a record will contain the numbers of those functions which the terminals contained in the device addresses fields can perform.

These numbers will be used to construct a base display for the terminal. Figure 31 (Page 80) shows a base display for a terminal which can execute the functions numbered 1, 2, 3 and 4.

Link

A user will be permitted to have several terminals. These may have access to different sets of data and hence they will be used for different functions. For example, there may be one in his stock-room used for inventory control and another in the accounting department to be used for payroll calculations. These two data bases are to be available only to the particular terminals for which they are intended (Figure 15, Page 68).

Because all his terminals may not be permitted to carry out all the functions available to him in the Information System, the user will have a record in the main directory and one or more records in the

subdirectory. The address of his first subdirectory record will be held in the link field of the directory record. Thus, the main directory record will contain the addresses of some of his devices and the functions which these can perform. The addresses of the remainder of his devices and the functions available to them will be listed in the subdirectory records. The example of records in the directory and subdirectory at the end of the section will help to illustrate this point(Figure 15, Page 68).

Optional Information

This field will contain any miscellaneous information the Credit Bureau wishes to keep on the member. Typical examples are the date on which the business became a member of the Bureau or the date on which the latest credit report was compiled for that member.

Subdirectory

The subdirectory contains a set of device addresses and the functions which these can perform, and a sublink field. As mentioned previously ('Link' subsection) a user may have several records in the subdirectory. In this case, the sublink field of his first subdirectory record will contain the address of his second record in the said subdirectory.

Example:

Figures 15 gives sample records for the hypothetical ABC Company in the directory and subdirectory files. This company has the identification

FIGURE 15

SAMPLE RECORDS IN THE DIRECTORY AND SUBDIRECTORY FILES

1001121	201	202			U-*>	THE ABC COMPANY	2	3			2		
1	2	3		6	7	8	9	10		18	19		20

(A)



(B)

Legend

- (A) 1 Identification Number
 2-6 Device Addresses
 7 Code
 8 Trade Name
 9-18 Function Numbers
 19 Link
 20 Optional Information

- (B) 1-5 Device Addresses
 6-15 Function Numbers
 16 Sublink

203			1	5			0
1		5	6		15		16

number 1001121 and code YTU=. The latter, when scrambled(Section III) becomes U-* > , which is stored in the directory record. The user, however, knows only the code YTU= and this is the one he enters at the terminal. The scrambling and the comparison are done by the control system.

The ABC Company has two terminals (addresses 201 and 202), which can perform the functions whose numbers are 2 and 3. The entry in the link field indicates that this Company also has a record in the subdirectory file, record number 2. The latter record contains a terminal whose address is 203 and can execute functions 1 and 5. The 0 in the sublink field indicates that this is the last subdirectory record for the ABC Company.

III. COMPUTER ROOM PROTECTION

A threat to the security of the data and routines emerges from the possible leakage of information through collaboration between employees in the computer room and external users.

The data, and in all likelihood, the system's routines, will be stored on a direct access storage device. Several protection features of IBM's Operating System/360 will be used when these files are created. Since computer room employees will have no knowledge of the parameters under which the files will be created, a normal access by them to the data cannot be affected.

This alone is not sufficient to ensure protection since it is possible to copy the entire contents of a storage medium by using utility programs supplied by the computer manufacturer. These programs are frequently required by the computer operator for the preparation of backups; that is, for the copying of one storage medium onto another as insurance in case the original is destroyed.

As a solution to this problem all critical data will be scrambled before storage. The term 'data scrambling' is used in this project to refer to a transformation of data at the character level. For example, the word AB can be scrambled to the new word ZQ, but someone unaware of the original word and of the scrambling process cannot decipher it.

The two most critical pieces of information which will be stored in the computer files are the code in the user's directory record and the name field in the Accounts Receivable file. Both of these data items will be stored in a scrambled form. An attempt will be made to make the transformation process sufficiently complicated so that unscrambling these fields will be difficult for anyone obtaining a copy of the data.

The scrambling concept is best explained by applying it to a 4-character code. Recall that a user's directory record will contain his identification number and his code. Figure 16 illustrates the steps followed in scrambling the code YTU=. The method applied is one which replaces each character in the code by one in the table. The table contains 32 characters arranged in random order. A character is

FIGURE 16

SCRAMBLING PROCESS

Identification No.

1 0 0 1 1 2 1

Shift factor =

$$\text{MOD}*(1+0+0+1+1+2, 10)+1 \\ = 6$$

Code

Y T U =

Table

C	#	J	L	M	= 6	Y 7	X	Q	I	T 11	>	U 13	Z	/	W	-	+	*	A	F	E	O	V	@	%	B	P	R	H	D	K ₃₂
---	---	---	---	---	--------	--------	---	---	---	---------	---	---------	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	-----------------

Scrambled Code

U - * >

replaced in the following manner:

- (1) find the position, called the index, in the table occupied by the character in the code.
- (2) find a new index by adding a shift factor to the previous index.
The shift factor is obtained by taking the sum of the first 6 digits of the identification number, and dividing it by 10, then adding 1 to the remainder.
- (3) replace the character in the code by the one occupying the new index position in the table.
- (4) if the new index value exceeds 32, then subtract 32 from it. For instance, if the new index value is 37 then this would be recalculated as $37 - 32 = 5$.

For an application of these rules, follow the path P1 in the diagram to replace the Y of the code. The calculation of the shift factor is shown in the diagram. Its value is 6. The index of Y in the table is 7, and therefore the new index is $7 + 6 = 13$. The 13th position in the table is occupied by U; and hence it is the new character. Repeating this process for the remaining characters (paths P2, P3, and P4), the scrambled code is obtained as U-* > .

The code which will be stored in the directory is U-* > , but when the terminal operator is requested to enter his code he must reply with YTU=. The software will then scramble this and compare it with the one stored in the directory. Thus, the users will be given a set of

codes, and the scrambled equivalent of each code will be placed in the directory file.

The scrambling algorithm is an original one developed by the author. There is no assurance that the algorithm will produce unique scrambled codes; in fact, it would be an advantage if it does not. To illustrate this consider the two hypothetical codes = IUW and X > / +. Suppose that their shift factors are 3 and 1 respectively. After scrambling, both of these will generate the same code, namely QUW*. The advantage lies in the fact that someone trying to decipher the codes will, on first thought, believe that the original codes were also the same.

IV. THE DISPLAYS

The displays to be used in the control system are formatted for the IBM 2260 Display Stationⁱ (Model 2) with keyboard, but can be easily modified for other types of terminals. The screen of this terminal can display a maximum of 480 characters. These characters appear on 12 lines of 40 characters each.

The displays consist of commands, statements, error messages (diagnostics), and output transmitted to the terminals by the computer. These displays are define as follows:

(i) Commands (Pages 77-79)

A command display requires some direct action from the terminal

ⁱThe reader is referred to Appendix A of (16) for a description of the characteristics and operation of this terminal.

operator, such as an entry of his company's code. Whenever input is requested from the terminal, the start symbol* and the cursor* are positioned at that point on the screen at which the operator can begin his entry. However, the terminal operator is not obliged to begin his entry at that point, and can move the cursor to whichever position he pleases.

(ii) Statements (Page 85)

A statement is a message which informs the terminal operator of some condition, such as the busy condition pertaining to the computer facility.

(iii) Diagnostics (Pages 81-84)

The diagnostics are the messages sent to a terminal whenever its operator has supplied incorrect information or has requested a function which is unavailable to that terminal. For example, if the terminal operator entered an incorrect identification number, a diagnostic would inform him of this and would tell him what action should be taken.

(iv) Output

The output displays consist of the results of executing the functions requested by the user. Whenever the quantity of information to be displayed exceeds the number of characters that can be accommodated on the screen, a first screen of output is displayed and an indication is given that there is more information to come. After the first screen of

data is acknowledged, a second screen of output is displayed and this process is continued until all the output has been transmitted.

The displays are shown in Figures 17 to 26. For an example of an output display the reader is referred to Exhibit 29 of Appendix 3. Most of the displays are self-explanatory but the following deserves further elaboration:

(i) The Initial Display (Page 76). This is the display with which a transaction is started. The computer writes it to all the terminals and polls* for a reply. A user requiring the use of the System must respond by entering his identification number and code.

(ii) Statement-1 (Page 85). This message is written to a user who has requested the System while it was attending to someone else. The address of the user's device is placed in a queue, and Statement-1 informs him of his position in this list. When the System is ready to give him attention, the initial display will be written to his terminal.

(iii) Diagnostic-1 (Page 81). Diagnostic-1 is written to the terminal when the operator has entered the incorrect code twice in a row.ⁱ The computer then terminates the job and checks the waiting list for the next terminal to receive attention.

ⁱ When this occurrence has been repeated for 5 times, a message is written on the console typewriter (Pages 64, 112).

FIGURE 17

THE INITIAL DISPLAY

PLEASE TYPE IN YOUR IDENTIFICATION
NUMBER AND YOUR CODE



FIGURE 18

COMMAND - 1

IDENTIFICATION NUMBER IS INCORRECT
WAIT FOR INITIAL DISPLAY AND RESTART

FIGURE 19

COMMAND - 2

YOUR CODE WAS NOT RECEIVED --- PLEASE
RE-ENTER IT ►

FIGURE 20

COMMAND - 3

THE CODE IS INCORRECT --- PLEASE MAKE
SURE YOU HAVE THE CORRECT CODE AND
ENTER IT AGAIN ►

FIGURE 21

A BASE DISPLAY

1 STATUS CHECK	2 UPDATE ACCTS REC
3 CREDIT REPORT	4 CODE GENERATOR

MAKE REQUEST ►

FIGURE 22

DIAGNOSTIC - 1

YOUR CODE IS AGAIN INCORRECT --- SORRY,
THE REQUEST CANNOT BE ACCEPTED DUE TO
THIS SECURITY VIOLATION

FIGURE 23

DIAGNOSTIC - 2

SORRY, THIS DEVICE IS NOT RECOGNIZED
AS ONE AVAILABLE TO YOU

FIGURE 24

DIAGNOSTIC - 3

FUNCTION NUMBER L^i IS UNAVAILABLE

L^i will be replaced by the function number,

FIGURE 25

DIAGNOSTIC - 4

THE FOLLOWING IDENTIFICATION NUMBERS
ARE INVALID:

ⁱI ⁱⁱJ XXXXXXXXⁱⁱⁱ - - - - -

REQUEST NEXT SCREEN AND RE-ENTER THE
FUNCTION AND IDENTIFICATION NUMBERS

ⁱI will be replaced by the function number.

ⁱⁱJ will be replaced by the position of the identification number
in the sequence of identification numbers entered.

ⁱⁱⁱXXXXXXX will be replaced by the incorrect identification
number entered.

FIGURE 26

STATEMENT - 1

SORRY - THE SYSTEM IS IN USE - - -
YOU HAVE NOW BEEN ADDED TO THE
QUEUE AS NUMBER: Jⁱ

ⁱ J will be replaced by the user's position in the queue.

V. CONTROL SYSTEM OPERATION

This section examines the manner in which the file protection routines will interact with the routines and data of the Credit Information System in handling a transaction. The said Information System will provide the user with the facilities for updating his Accounts Receivable file and for obtaining Credit Reports on businesses. To these will be added the Status Check function and the Code Generator provided by the control system.

A member of the Credit Bureau will be restricted to checking only his own status and updating his own Accounts Receivable file, but may receive credit reports on several businesses. To perform the first two functions he has to enter the numbers associated with them when the base display is presented. For the Credit Report function he must enter the number of the function, and the identification numbers of the businesses on which he desires the reports. A member is never allowed to use the code generator. The Credit Bureau on the other hand, can perform all of these functions for several members. To accomplish this, it selects the numbers associated with the functions desired, and provides the identification numbers of the members. The Credit Bureau can also check its own status.

When the central computer starts attending to the Credit Information System, either after overnight shutdown or after servicing other applications,

it will write the initial display to all the terminals and poll for a reply (Figure 27-1). A user wishing to use the System will reply by entering his identification number and code. The file protection routines will now begin their validity checks. The identification number will be checked (Figure 27-2), and if incorrect, Command-1 (Page 79) will be transmitted to the terminal (Figure 27-3).

Upon receiving a correct identification number the control system will use it to calculate the record number of the user's directory record by the formula:

$$\text{Record Number} = \text{Identification Number} - \text{Starting Number} + 1$$

where

the Starting Number is the identification number contained in the first record of the directory file.

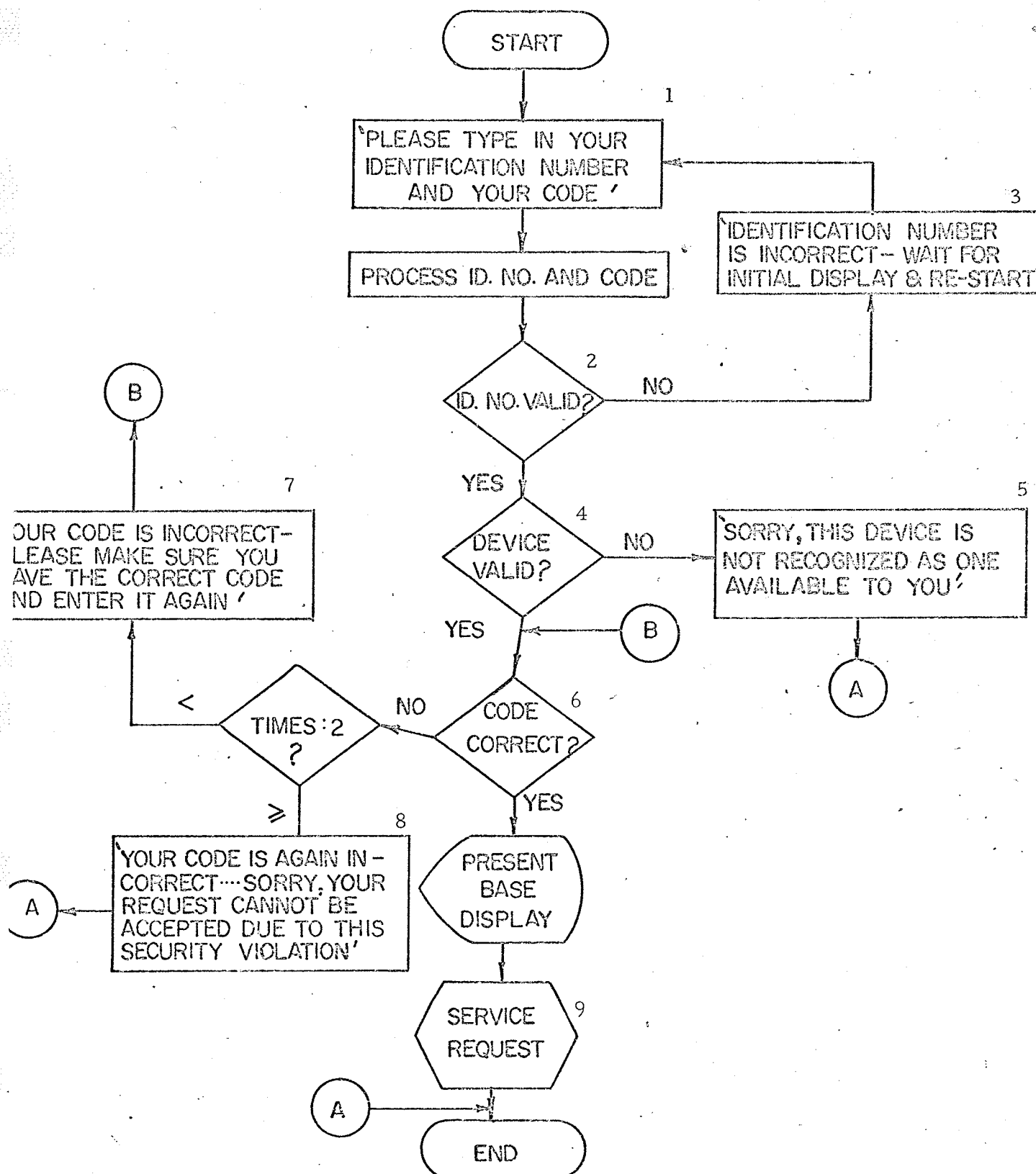
For example, if the identification number obtained from the terminal is 100119, and the one contained in the first directory record is 100118, then the

$$\text{Record Number} = 100119 - 100118 + 1 = 2.$$

This calculation is based upon a numbering system in which the identification numbers are assigned in an ascending sequence, increasing by unity.

The user's directory record will now be read. At this time the computer will already be cognizant of the hardware address of the terminal and will compare it with the ones in this record (Figure 27-4).

FIGURE 27

COMPUTER-TERMINAL CONVERSATION
PRIOR TO ACCEPTING A REQUEST

If the address cannot be found in the record, a check will be made to see whether that user has a record in the subdirectory, and if so whether that device address is present there. If the address cannot be found in the directory or subdirectory, Diagnostic-2 (Page 82) will be transmitted to the terminal (Figure 27-5).

If the device address is found, then the codes will be compared (Figure 27-6) and if they do not match, Command-3 (Page 79) will be displayed at the terminal giving the operator a second chance to produce the correct code (Figure 27-7). If an incorrect code is entered again, Diagnostic-1 (Page 81) will be sent to the terminal and the job will be terminated (Figure 27-8).

When the correct code is received, the file protection routines will construct the base display by using the numbers contained in the list of fields of the record. These numbers give the numbers of the functions the terminal using the system can execute.

The terminal operator now makes his selections and processing begins (Figure 27-9). Although only those functions which can be performed by that terminal will be included in the base display, the functions selected will nevertheless be compared to the contents of the list field to ensure that the user is not attempting to perform other functions. All identification numbers will be verified, and any incorrect ones will be removed from the request list and placed in a stack for later display in Diagnostic-4 (Page 84) to the terminal.

The System will now be ready to process the valid requests and will transmit the necessary output to the terminal. After completing this, the list of incorrect identification numbers will be checked and any such numbers will be displayed at the terminal. The operator will be asked to re-enter the functions and identification numbers, and that request will be processed. Finally, a list of the functions requested, but which are not available to that terminal will be displayed. This completes the transaction for that user and the initial display will be rewritten on his terminal.

During the time in which the System will be attending to a user, others may try to use it. Thus, a queue will be built, into which these members will be placed in the order in which their interrupts are received. On completing a transaction, the System will check this list and will write the initial display to the terminal highest in the queue. This terminal will be removed and the list will be pushed up.

Though the control system has been designed to handle only one terminal at a time, it may be extended to allow several terminals to operate simultaneously. This can be done by storing the requests made by the terminals, and processing these requests when the files they require are read. For example, if 5 terminals are using the System simultaneously and 3 of these require the Status Check file (Page 98), the file will be read once and the request of the 3 terminals will be processed. By permitting several terminals to operate simultaneously,

the System will require less time to process their set of requests than if it took each one individually to completion.

VI. REMARKS

The successful operation of the control system will demand each user to protect his terminal from outsiders and to provide his code only to trusted personnel. The code is mainly a protection against unauthorized personnel in an office. It is of no use to a competitor unless he can get access to the terminal associated with that code.

The directory can be used for other applications in the Information System. It can be expanded:

- (i) to store the formats of the members' Accounts Receivable records since these will be required for updating purposes,
- (ii) to keep the addresses of the user's data on the storage medium,
- (iii) to link all the businesses of the same owner trading under different names, thus allowing a complete credit report on the owner.

In addition, it may be used to create a periodical list of all the users in sequence according to names or identification numbers.

The directory is expandable to include new members, additional terminals for existing members, and more user functions as they are added to the System.

CHAPTER VI

CONTROL SYSTEM IMPLEMENTATION

This chapter presents the programming and testing of the control system proposed in Chapter V. The programs are written in the Fortran IV (G-level) and Assembler languages. An IBM System 360/Model 65 computer operating in a batch-processing mode and under control of the Operating System was used. The peripheral equipment utilized consisted of two terminals (IBM 2260s with keyboard), a high-speed printer, disc drive, and a card reader (Figure 28). Files were created using test data similar to actual data received from the Bureau for handling 8 members and the Central Credit Bureau. The programs may be easily expanded to include more members and Bureau Head Offices; accommodation is limited only by the amount of auxiliary storage available.

The programming and testing of the method took place in several steps and is discussed under the sections of:

- (1) File Creation - Directory, Accounts Receivable, Status Check and Subdirectory files.
- (2) System Routines
- (3) System Example

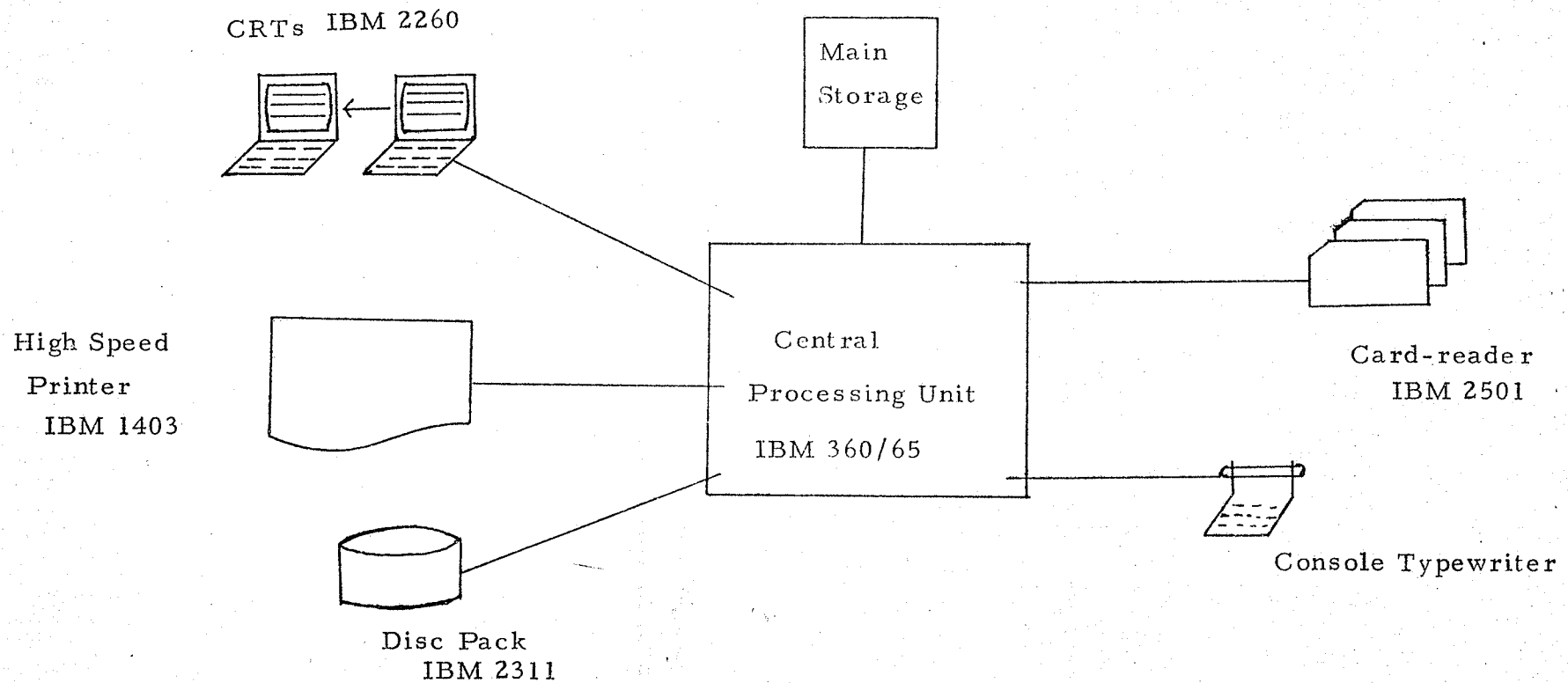
I. FILE CREATION

Data Storage Features

Data Set Organization. Most of the files required for developing

FIGURE 28

HARDWARE CONFIGURATION



the control system contain a large number of records; the directory and status files have one record for each user and the Accounts Receivable file contains several records per member. Generally though, only a small percentage of these will be required to process a transaction. For example, if the System is in use by a member, only one record in the directory file will be read, and one in the status file will be updated. The need for processing an entire file will scarcely ever become necessary, the only possible necessity being for a periodic changing of the codes in the directory file. For this kind of file processing, the Direct Method of Organization is preferred as this method minimizes the time required to fetch a single record. Hence, all the files are organized in this manner.

Storage Optimization. An attempt is made to optimize the use of storage space. The binary representation of a device address never requires more than 16 bits (page 40), and the highest value of a function number can be accommodated in 8 bits. Thus, the device addresses and list fields of the directory and subdirectory files are stored in 2-byte words rather than the usual 4 bytes. Since these files are created to handle 5 terminals and 10 functions in each record, this results in a saving of 30 bytes per record. If storage space becomes a critical factor in the System, the Optional Information field (40 bytes) in the directory records can be omitted.

Data Set Characteristics. Various Data Set Protection features of Operating System/360 are used in file storage. Each file is assigned a Data Set Name, a Volume, and a set of Data Control Block parameters are taken implicitly from the DEFINE FILE¹⁴ statement used in creating Direct Organization files.

An example of a Define File Statement is

	DEFINE FILE	9	(9,	28,	U,	IJ)	
a data set reference number		9		(9,			a non-subscripted integer variable
number of records in the data set				28,			
Maximum size of each record					U,		specifies whether the data set is to be read or written with or without format control
						IJ)	

The subparameters formed from this statement are:

Record length	=	28 storage units
	=	28 x 4 bytes
	=	112 bytes
Block length	=	Record length = 112 bytes
Method of Organization	=	DA (Page 22)

The Files Created

(i) Directory (110 bytes per record)

The directory is the initial file consulted in executing a transaction and is used for verifying a user's identification number, code, device address and list of functions selected.

The fields in the directory records are those discussed in Chapter V. Each record is appended with the beginning and ending address of the member's Accounts Receivable records (Page 90). The identification number and code, however, require further discussion. Once read, a record is kept in main storage throughout the execution of a transaction.

Each record in the directory allows for 5 terminals, 10 functions, a name field of 20 characters, and 40 characters of optional information.

Identification Number. The identification number received from a terminal is used as the key for calculating the record number of a user's record in the directory (for an example, see Page 87). It is thus an essential factor in the control system as an incorrectly specified one will result in an attempt to locate a non-existent record in the file. For such an attempt, the Operating System terminates the job without allowing the control system the opportunity of trapping the error and informing the terminal operator. The latter is thus deprived of recovering from such an easy-to-make error.

One solution to this problem requires a file of all identification numbers to be kept and those received from the terminals compared with the ones in this file. This method is unsatisfactory when there is a large quantity of numbers, even if an efficient searching technique such as a binary search^{*} is made. A second solution requires each identification number to be augmented with an additional digit. This digit, called a check digit, is produced by performing several operations on the

6-digit number. This is the solution employed here.

Thus when an identification number is read from a terminal, its check digit is verified thus determining whether the number is a valid one. This method eliminates the storage of an additional file and the time consuming comparisons of identification numbers as would be required in the first solution.

Hence, the identification number used in this System is a 7-digit one, including the check digit. This will allow the Credit Bureau to allot every business in Canada a unique identification number.

Code. Due to the small probability of a user's code being found by the trial and error method, and because of the complexity in its method of assignment, the 4-character code discussed in the preceding chapter is chosen. As a measure for computer room protection, it is scrambled prior to storage in the directory. The manner of scrambling takes one of ten possible paths depending upon the user's identification number. In each path, every character of the code is replaced by one chosen from a 32-character table (Page 71). Thus, anyone obtaining a copy of the directory is unaware that he has a set of incorrect codes until he tries to use them.

(ii) Accounts Receivable (80 bytes per record)

All the Accounts Receivable records for the members are held in one file with the beginning and ending address of each member's accounts

kept in his directory record. The name field of each record is scrambled before storage and unscrambled when presented for updating. The principle of the scrambling process used is the same as discussed previously (Page 70).

The system allows a member to have full access privileges only to his set of records, but he may obtain selected information, in the form of a credit report, from the entire file.

(iii) Status File (36 bytes per record)

The Status file keeps a count of the number of times each user has successfully and unsuccessfully used the System (this is the equivalent of saying the number of times the correct and incorrect codes have been given at a terminal). It provides management with the facility for checking at any time (a) whether an unauthorized person in the office is attempting to use the terminal, as this will be reflected in the number of times the code produced has been incorrect, and (b) how many times the company have actually used the System.

Whenever any user has accumulated 10 unsuccessful tries, a routine intervenes and writes a message on the console typewriter giving the identification number of the user together with a message to this effect (Page 112). The computer operator is provided with a procedure for informing the owner of the terminal about such an occurrence. He is given a list containing the names, identification numbers and telephone numbers of all the users and uses this to contact management of the

company whose terminal is suspected of being abused.

(iv) Subdirectory (34 bytes per record)

The fields of the subdirectory are the same as those discussed in Chapter V. Each record allows for 5 terminals and 10 functions.

II. SYSTEM ROUTINES

The routines written for the realization of the proposal are:

- | | |
|------------------------------|------------|
| (1) THE MAIN PROGRAM | (2) EVAL |
| (3) CHEK | (4) CHEKUP |
| (5) UPDATE | (6) GEN |
| (7) STACK | (8) SHCODE |
| (9) ATTEND | (10) QUEUE |
| (11) OPEN, POLLIN and POLOUT | |

This section provides a summary of the main functions of these routines, and detailed descriptions of the programming techniques applied can be found in their internal documentation (Appendix 3). The linkage of the subroutines is shown in the flow diagram of Figure 29 (Page 118). The numbers enclosed in parentheses in the discussion to follow are references to the said flow diagram.

(1) THE MAIN PROGRAM

The subroutines used are placed under control of a single program, called the main program. This program is the first one used in processing

a transaction and calls on the subroutines as they are required. After a subroutine is executed, control returns to the main program.

The main program also does the following:

- (i) reads the messages for display to the terminals from the card-reader (1),
- (ii) calls subroutine OPEN to open the terminals for communications (2),
- (iii) initializes the counters and flagsⁱ for later use by itself and by the subroutines (3),
- (iv) uses subroutine POLOUT to write the initial display to the terminals and polls for a reply (6). It uses subroutine POLLIN to read the reply (7) and then calls subroutine EVAL to evaluate the response (8),
- (v) calls subroutine GEN to verify the identification number received (9) and then reads the user's directory record (11). It now checks the device address (13) and code (15) and uses subroutine POLOUT to write the appropriate displays to the terminal when a violation is encountered (10, 14, 29),
- (vi) accepts the selections from subroutine EVAL and compares them with the list in the user's directory record (31). All functions unallowable to that terminal

ⁱFlags are indicators used frequently to tell some later part of a program that some condition occurred earlier.

are placed in an array for later attention (3),

- (vii) answers the valid requests by using the necessary subroutines and files,
- (viii) displays a list of the rejected requests (63),
- (ix) displays a list of the incorrect identification numbers found in the selections (65),
- (x) checks the waiting list (66) and takes the following actions:
 - (a) if the list is empty the initial display is written to all the terminals and polling takes place again (6),
 - (b) if the list is not empty, attention is given to the terminal which has been waiting the longest (5).

The list is then pushed up (67) and interrupts are added to the bottom of the list as they are received.

Processing the list in this manner ensures that requests are attended to in the sequence of their arrival. Figures 30(a) and 30(b) (Page 124) use 4 terminals to show the queue at the end of a request and after a terminal has been chosen for attention.

(2) EVAL

Subroutine EVAL is used by the main program for the following purposes:

- (i) to read messages from (18) and write messages (17) to the

terminals. Each message is stored in an array whose maximum size is 480 bytes and hence 480 characters.

- (ii) to separate the reply into its components: code, function, identification numbers (20), and, whenever necessary, to place these parts into their respective stacks (23). For example, a member is given a base display from which he selects the functions he wishes to perform by entering the number associated with each function. The subroutine reads the response, searches it for these numbers, and where stacking is required, it calls subroutine STACK to create the stacks to be used by the main program.

When first called, a flag is set to 1 which indicates that the subroutine is to search the input message for an identification number and a code. When these values are found, the flag is reset to 0 and control returns to the main program.

After the main program makes the validity checks, subroutine EVAL is called again. This time it writes the base display and scans the response for the functions requested and accompanying identification numbers. Subroutine STACK is called to stack the numbers.

In a reply to the initial display, a user may enter his identification number and forget to enter his code. Subroutine EVAL responds to this by:

- (a) displaying a message indicating the error and requesting a re-entry of the code,
- (b) resetting all the relevant counters and flags,
- (c) reading the response,
- (d) processing continues.

When both the identification number and code have been omitted, the control system returns to the initial display.

(3) CHEK

In a system containing sensitive information it is desirable to provide management with the tools for checking at any time whether attempts are being made to access their data. This facility is provided by the status check function, a function which gives each user a display informing him of the total number of times his terminal has successfully and unsuccessfully produced its code.

Subroutine CHEK uses the identification number to calculate the record required in the status file. It retrieves the record (45) and places the information into an output array (46) to be displayed later by the main program (47).

The Credit Bureau can check the status of several members and itself, whereas a member can check only his own status.

(4) CHEKUP

Whenever the System is used, subroutine CHECKUP is called to

update the status file (24). It finds the record to be updated and then increments the count of successful or unsuccessful attempts as indicated by a flag which is set to 1 or 2 by the main program. If the flag is equal to 1, then the number of unsuccessful times is incremented. If it is equal to 2, the number of successful times is increased.

When the field containing the number of unsuccessful accesses is increased, a check is made to determine whether its total is a multiple of 10 (25). If so, subroutine ATTEND is called (26) to write a message on the console typewriter indicating this condition. Each total on attaining a value of 9999 is reset to 0.

(5) UPDATE

Subroutine UPDATE is called by the main program to update a member's Accounts Receivable record in the Accounts Receivable file. The member's name and the beginning and ending address of his data are obtained from his directory record by the main program (52) and are passed to the subroutine.

UPDATE prepares the output for the terminal in the following manner:

- (i) it places the member's name in the first line of the output buffer,
- (ii) it retrieves the records (53), calls on subroutine SHCODE (54) to unscramble the data and then fills in lines 2 to 11

of the output buffer (55),

- (iii) it indicates in line 12 whether the data is to be continued on the next screen,
- (iv) it writes the full screen (12 lines) of information to the terminal (56),
- (v) it reads the updated records (57).

The Credit Bureau can update the accounts of several members whereas a member can update only his own records. Any attempts by members to update data other than their own will result in a retrieval of their own data.

(6) GEN

Since all the files are stored in the Direct Method of Organization and the identification number is used as the key in calculating record numbers, it is essential that all such numbers read from the terminal be verified before usage in calculations. Subroutine GEN verifies these numbers in response to a call by subroutine STACK (9, 21). It is given a 6-digit number and returns a check digit to be compared with the one received in the 7-digit identification number.

Subroutine GEN is also used in response to the selection of the Code Generator (function 4) for assigning identification numbers and codes to new members of the Bureau. In the numbering system used (Page 87) the Bureau assigns to a new member the next higher 6-digit number

available. This is passed to subroutine GEN which appends it with a check digit to give the 7-digit identification number. Subroutine GEN also generates the 4-character code to be assigned to the member, and the scrambled code for storage in the member's directory record (37, 38).

The following example helps to illustrate how subroutine GEN, given a 6-digit number, will generate the identification number and the 4-character code.

6 Digit No. = 1 0 0 1 1 2

A	B	C	D	E	F
1	0	0	1	1	2
1	2	3	4	5	6

(i) Identification Number

$$\begin{aligned}
 \text{Check Digit} &= \text{MOD} \left(3\left(\sum^i \text{even numbered digits}\right) + \right. \\
 &\quad \left. \left(\sum \text{odd numbered digits}\right), 10 \right) \\
 &= \text{MOD} \left(3(0+1+2) + (1+0+1), 10 \right) \\
 &= 1
 \end{aligned}$$

Therefore Identification Number = 1 0 0 1 1 2 1

(ii) 4-character code

The table used in generating this code is the same as shown in Figure 16 on Page 71.

i, \sum denotes the sum of

$$\begin{aligned}
 \text{1st character} & \quad \text{MOD} \quad ((A+E+F), 32) \quad + 3 \\
 & = \text{MOD} \quad ((1+1+2), 32) \quad + 3 \quad = \quad 7
 \end{aligned}$$

the 7th character in the table is ' Y ' which becomes the first character of the code.

$$\begin{aligned}
 \text{2nd character} & \quad \text{MOD} \quad (2 \quad (A+B+C+D+E+F) \quad , 32) + 1 \\
 & = \text{MOD} \quad (2(1+0+0+1+1+2), 32) + 1 \\
 & = 11
 \end{aligned}$$

the 11th character in the table is ' T ' which becomes the 2nd character in the code.

$$\begin{aligned}
 \text{3rd character} & \quad \text{MOD} \quad (\text{MOD}(6 \text{ digit No}, 100) \quad , 32) \quad + 1 \\
 & = \text{MOD} \quad (\text{MOD} (100112, 100) \quad , 32) \quad + 1 \\
 & = \text{MOD} \quad (12, 32) + 1 \\
 & = 13
 \end{aligned}$$

the 13th character in the table is ' U ' which becomes the 3rd character in the code.

$$\begin{aligned}
 \text{4th character} & \quad \text{MOD} \quad (A+B+C+D+E+F, 32) + 1 \\
 & = \text{MOD} \quad (1+0+0+1+1+2, 32) + 1 \\
 & = 6
 \end{aligned}$$

the 6th character in the table is ' = ' and so this is the 4th character in the code.

Putting the characters together the 4-character code is YTU=.

Thus the identification number allotted to the member is 1001121 and his code is YTU=.

Subroutine SHCODE is then called by subroutine GEN to scramble the 4-character code for storage in the directory (38). Finally the identification number and codes are placed in an output buffer (39) for display to the terminal.

Code Generator's Performance. While it is not essential for each user to be assigned a unique code, repetition should be sufficiently sparse so as to eliminate the following situation: Consider an employee whose duty involves using the System and who leaves his present employer and goes to work with another company where he is not permitted to use the terminal. Suppose that somehow he gets to the terminal and is trying to access his new employer's data. After trying several possible codes, he decides to try the one of his ex-employer. What is the probability that his new employer has the same code as the previous one?

Intuitively, one can deduce that the employee's chance of being successful is somehow related to the number of users sharing the same code. In order to calculate this probability, the code generating performance of subroutine GEN must be analyzed. Several codes were generated between specific limits of 6-digit numbers and a sum was formed for the code which was repeated most frequently (Table 1).

This sum gives the number of times that code was repeated. A success will be most likely when the percentage of users sharing a code is greatest and hence a probability calculated by using cases 2, 3 or 4 will give the maximum probability of a success. Case 4 is used in the calculation; the System consists of 15,000 members,ⁱ 5 of whom have the same code. Assuming that the first employer is one of these 5, there will be 14,999 members left, 4 of whom have that code. The probability that the second employer is one of these is $4/14,999 = .00027$. Hence, the probability that the employee will be able to access his present employer's data by using the code of his previous employer is .00027.

This theory can be extended to calculate the probability of any set of users, chosen at random, having the same code. In general, if n is the number of users sharing a code, N the total number of users, then the probability of an q users having the same code is

$$\prod_{i=1}^q \frac{n-(q-i)}{N-(q-i)}$$

where $\prod_{i=1}^q$ is the product of the expression from $i=1$ to $i=q$

For example, what is the probability that any 2 users will have the same code in a System comprising of 5000 users? By reference to Table 1, it is seen that this is Case 2. Hence, $n = 2$.

ⁱ It is assumed here that each member has only one terminal. The probability will be smaller otherwise.

TABLE 1

CODE GENERATOR'S PERFORMANCE

Case	Limits of 6-digit Numbers ⁱ	No. of codes generated	Maximum times a code was repeated	% users having same code
1	100000 - 100999	1,000	0	.000
2	200000 - 204999	5,000	2	.040
3	350000 - 359999	10,000	4	.040
4	480000 - 495999	15,000	6	.040
5	610000 - 629999	20,000	7	.035

ⁱ The full identification number (7 digits) is not used here, since the 7th digit is not considered in deriving the code.

$$N = 5000$$

$$n = 2$$

$$q = 2$$

$$\begin{aligned} & \prod_{i=1}^2 \frac{n-(q-i)}{N-(q-i)} \\ &= \frac{2-(2-1)}{5000-(2-1)} \times \frac{2-(2-2)}{5000-(2-2)} \\ &= \frac{1}{4999} \times \frac{2}{5000} \\ &= .00000008 \end{aligned}$$

(7) STACK

A member's selections from the base display are passed to subroutine STACK for appropriate stacking (23). Functions with accompanying identification numbers, for example the credit report function, are placed into a 2-dimensional array; the first and second indices containing the function and identification numbers respectively.

All identification numbers are verified by a call to subroutine GEN (21), and invalid ones are stored in an array to be displayed later by the main program (22).

(8) SHCODE

The control proposed for computer room protection requires a transformation of critical data before storage. Subroutine SHCODE scrambles the input data by making a character by character comparison to the elements of a 32-character table, replacing each character of

the input by one in the table (Page 71). The table contains alphabetic characters and some special symbols such as +, -, and /.

SHCODE is passed a code by subroutine GEN and scrambles it for storage in the directory (38). It is called by (i) the main program to scramble a code sent by a terminal for comparison with the one in the directory record (12) and (ii) subroutine UPDATE to unscramble a member's Accounts Receivable records for output to the terminal (54).

(9) ATTEND

Subroutine ATTEND is called by subroutine CHECKUP to write a message on the console typewriter (26) whenever a terminal has failed to produce the correct code 10 times (25). The format of this message is

XXXXXXXX HAS FAILED 10 TIMES * * *

where

XXXXXXXX is replaced by the user's identification number.

On receipt of this message, management at the remote terminal installation would be informed (Page 67) as the frequency of its occurrence may be an indication that attempts are being made to access their data by an unauthorized person.

(10) QUEUE

Subroutine QUEUE provides the facility for attending to interrupts

received while the system is busy. It stacks these interrupts into a waiting list. The subroutine checks whether the device causing the interrupt is already in the waiting list, and if not inserts its address at the bottom of the list (Figure 30). In either case a message is displayed to the terminal informing the operator that the System is busy and giving his position on the queue. On completing a transaction this list is consulted and the member waiting longest (the member at the top of the list) is given attention.

(II) OPEN, POLLIN and POLOUT

Subroutine OPEN, POLLIN and POLOUT are standard routines provided by the Institute for Computer Studies, University of Manitoba.^{15,16}

Subroutine OPEN prepares the terminals for communication (2). POLLIN and POLOUT are used by the system's routines for reading messages from and writing messages to the terminals.

III. SYSTEM EXAMPLE

The system performance is described with reference to the exhibits included in Appendix C. These are the displays written to, and the responses read from the terminals (Units 201 and 202) in testing the features of the control system. Though the start symbol and the cursor appear in the displays on the CRT screen, they are not present in the exhibits because:

- (i) these symbols are not in the character set of the printer,
and
- (ii) when a message is read from the CRT, the software reads only the data between these two symbols, and does not include the symbols themselves.¹¹

The characteristics of the user environment are as follows:

	User 1	User 2
Name	Bureau Office	ABC Company
Device Address	201	202
Id. No.	1000001	1001121
Code	LJC#	YTU=

The Exhibits

- Exhibit 1 - The computer facility has now begun attending to the Credit Information System and hence the initial display is written to all the terminals.
- Exhibit 2 - The response received from the terminal whose address is 201 (Unit 201).
- Exhibit 3 - The identification number is not a valid one and the terminal operator is asked to wait for the initial display before trying again.
- Exhibit 4 - The initial display written to Unit 201.
- Exhibit 5 - The response read from Unit 201.

- Exhibit 6 - The code was not entered in a response to the initial display. The operator is given the benefit of the doubt and is asked to re-enter it.
- Exhibit 7 - The code received from Unit 201.
- Exhibit 8 - The code given is incorrect. The operator is given a second opportunity to produce the correct one.
- Exhibit 9 - The code produced by Unit 201.
- Exhibit 10 - The code has been incorrect for the second time. This message is written to the terminal and the job is terminated.
- Exhibit 11 - The initial display is written back to Unit 201.
- Exhibit 12 - A response read from Unit 202.
- Exhibit 13 - The identification number 'checks' but device 202 is not found in that user's list of devices in his directory record. This message is written to the terminal and the job is terminated.
- Exhibit 14 - The initial display is written back to Unit 202.
- Exhibit 15 - A reply from Unit 202.
- Exhibit 16 - The security checks have been met and the base display is written to the terminal so that the operator may make his selections.
- Exhibit 17 - The selections read from the terminal. Note that function 4 was not in the base display yet it was

requested (Exhibit 22).

- Exhibit 18 - The output for function 1.
- Exhibit 19 - While the System was attending to Unit 202, Unit 201 interrupted for attention. Unit 201 is placed in a queue and this message informs the operator of his position in this list.
- Exhibit 20 - The output for selection 2, The Accounts Receivable records for the ABC Company.
- Exhibit 21 - The Accounts Receivable read back for storage.
- Exhibit 22 - The user requested function 4 but Unit 202 is not allowed to carry out this function.
- Exhibit 23 - The System is now finished attending to terminal 202 and writes the initial display back to the terminal.
- Exhibit 24 - Unit 201 is found in the queue and the initial display is written to that terminal.
- Exhibit 25 - The response read from Unit 201.
- Exhibit 26 - The base display for Unit 201.
- Exhibit 27 - The user wants to perform function 1 for the 3 members listed, function 2 for member 1001134 and function 4 for 2 prospective members.
- Exhibit 28 - The output for selection 1. Note that though a status check was requested on 3 members only 1 member appears in the display (Exhibit 33).

- Exhibit 29 - A part of the Accounts Receivable records for member 1001134 is presented for updating.
- Exhibit 30 - The update records are read back.
- Exhibit 31 - The remainder of the Accounts Receivable records are written to terminal 201.
- Exhibit 32 - The updated records are read back.
- Exhibit 33 - The output for selection 4.
- Exhibit 34 - In selecting function 1, the operator entered 2 incorrect identification numbers. The message informs the terminal operator that the 2nd or 3rd identification numbers associated with function 1 are invalid.
- Exhibit 35 - This display is presented so that the operator may re-enter the identification number.
- Exhibit 36 - The response to Exhibit 35.
- Exhibit 37 - The output for the selections made in Exhibit 36.
- Exhibit 38 - The transaction is now complete for that user and the initial display is written on the terminal.

LINKAGE OF SUBROUTINES

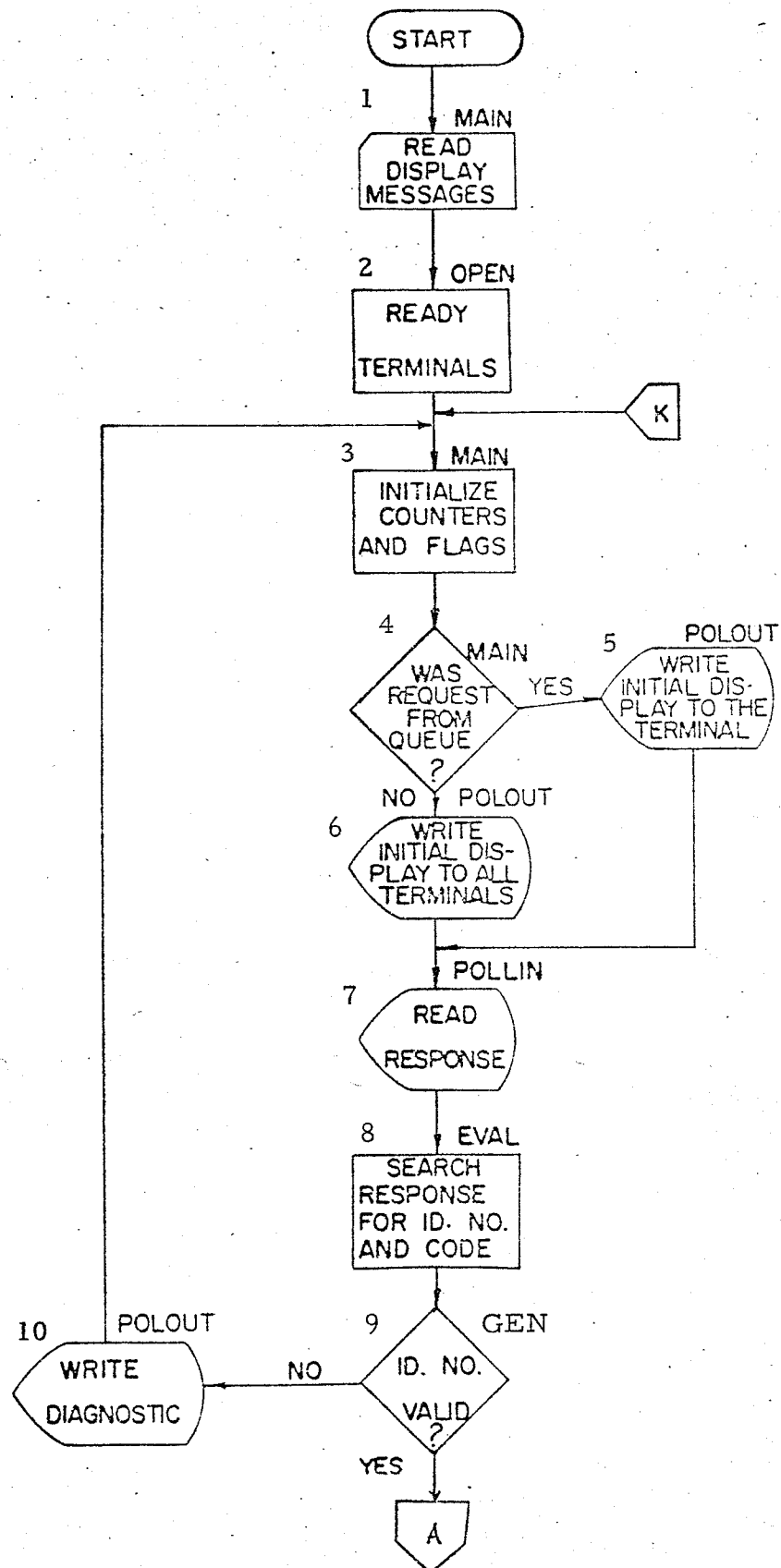
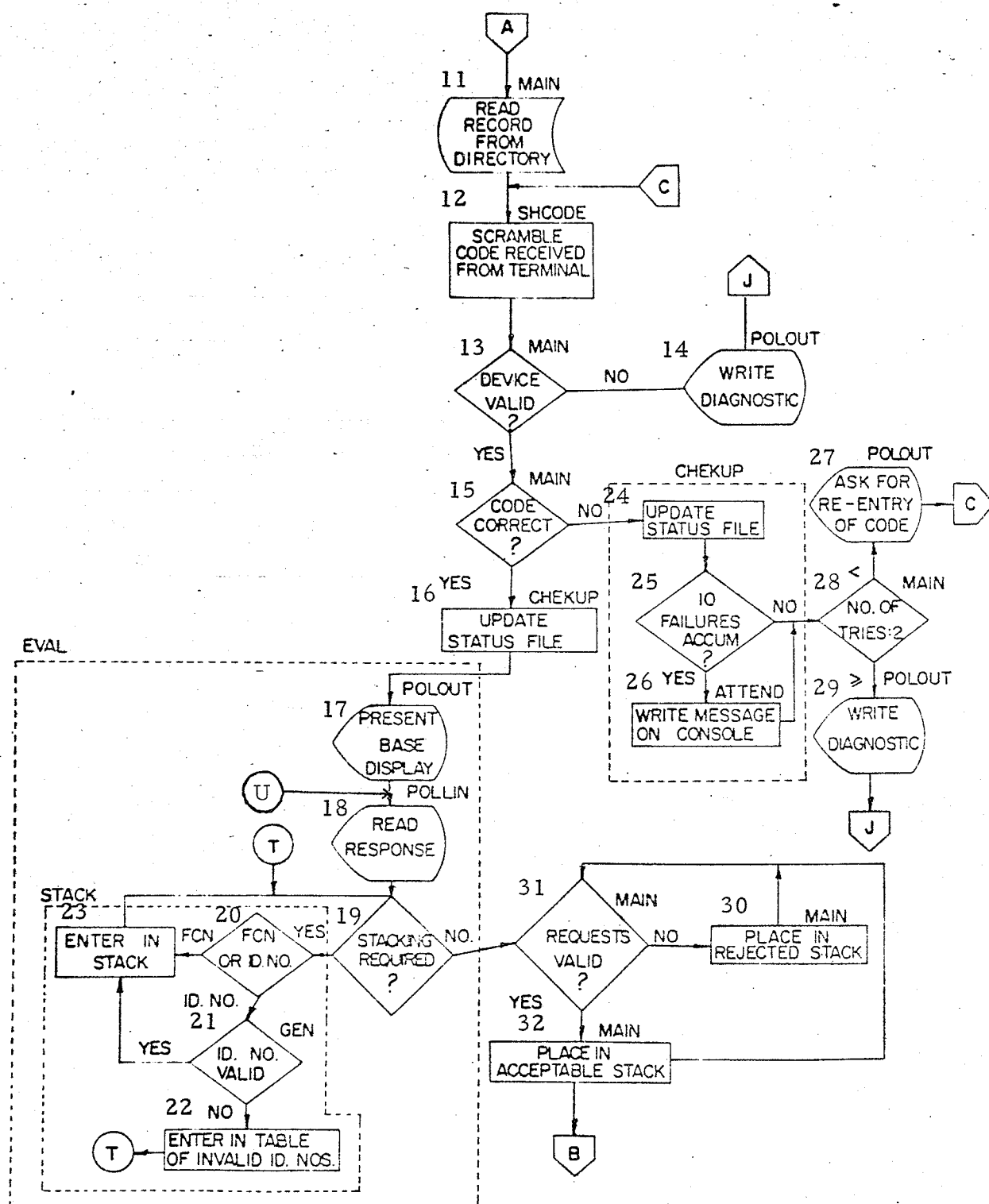
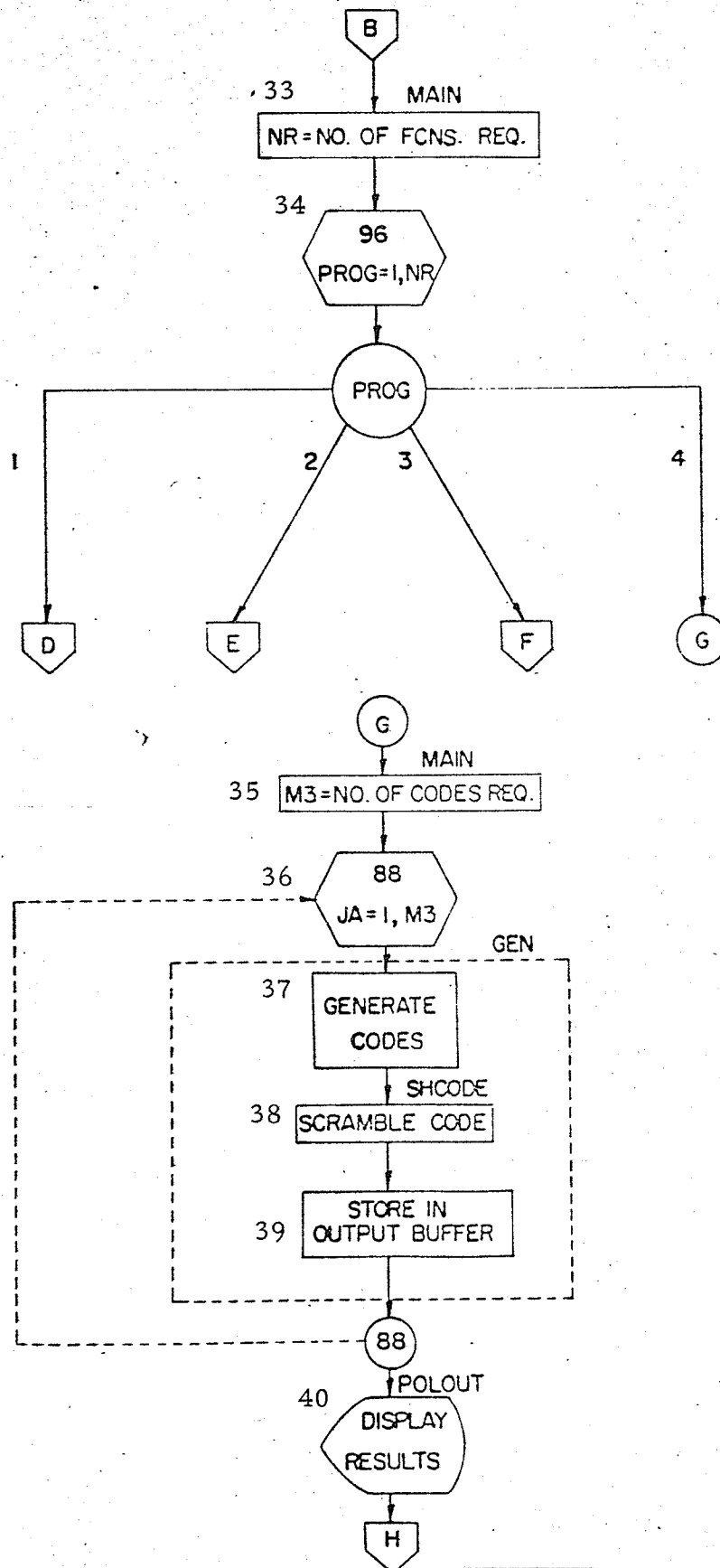
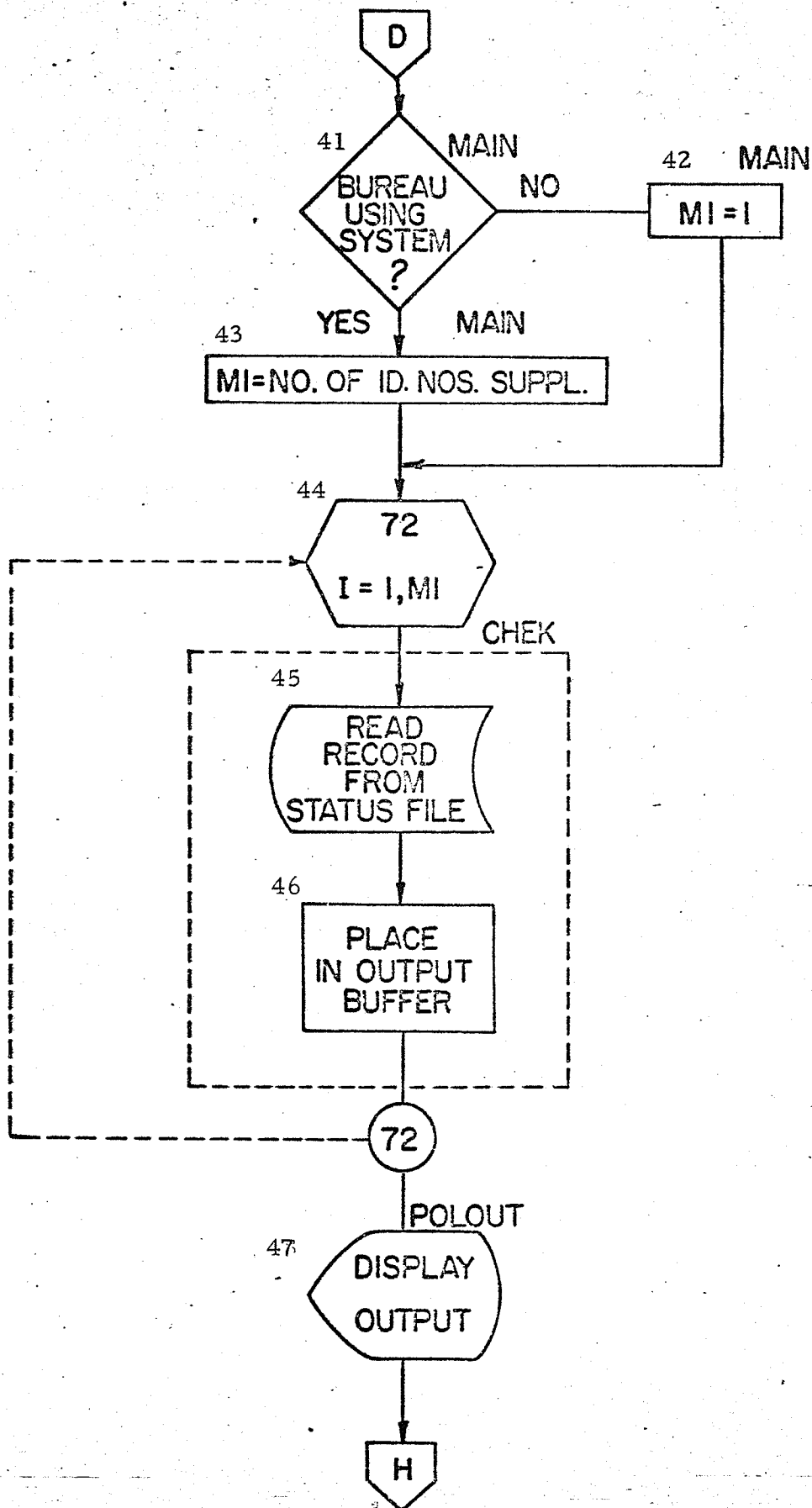


FIGURE 29 (Continued)







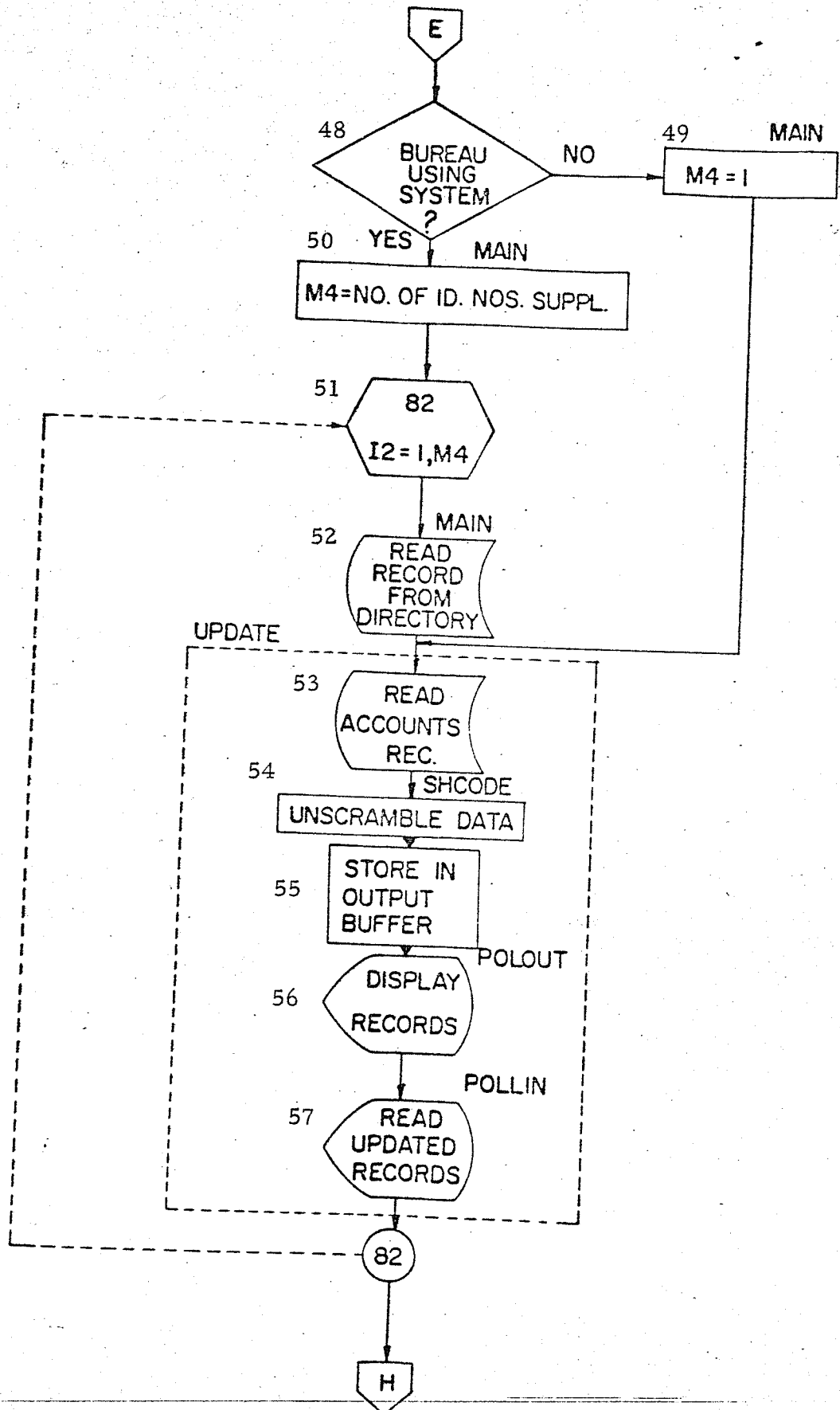
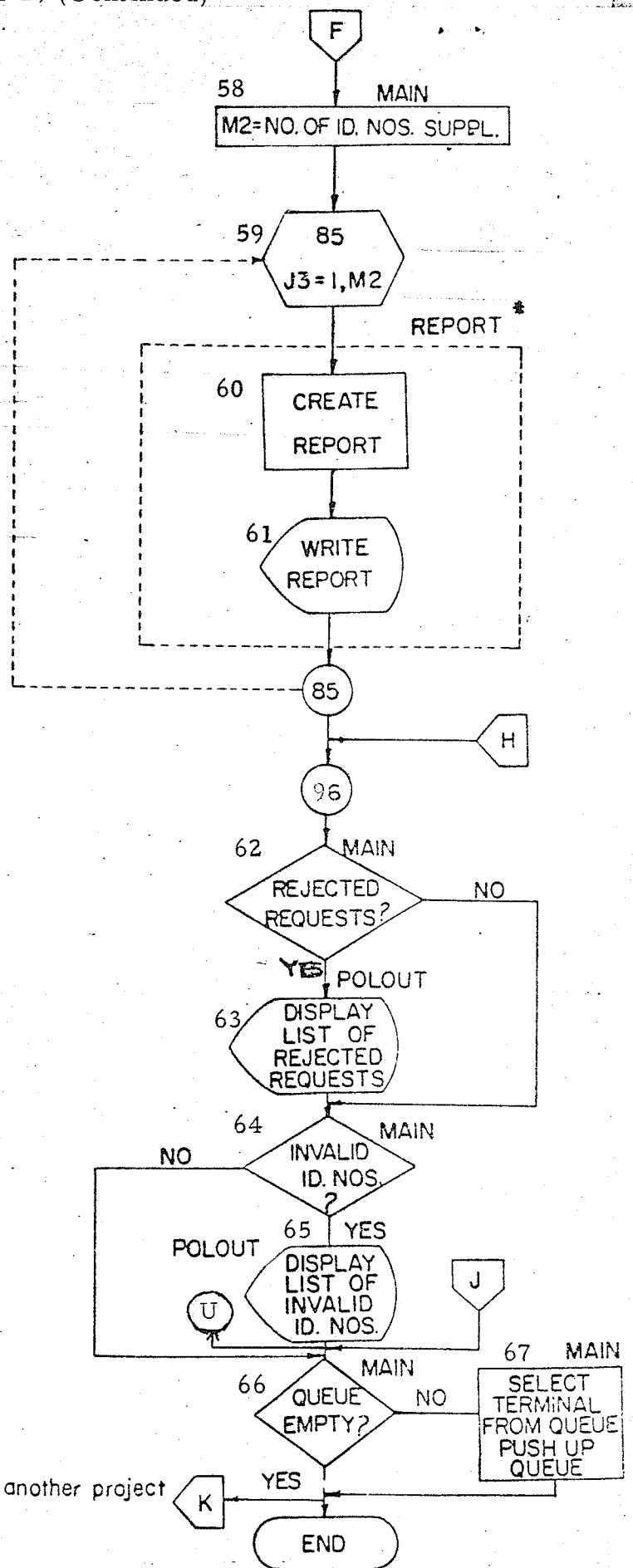


FIGURE 29. (Continued)

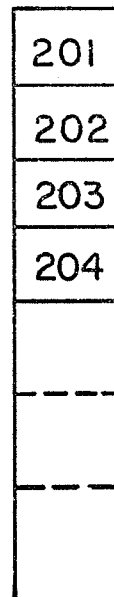


*This routine is to be provided by another project

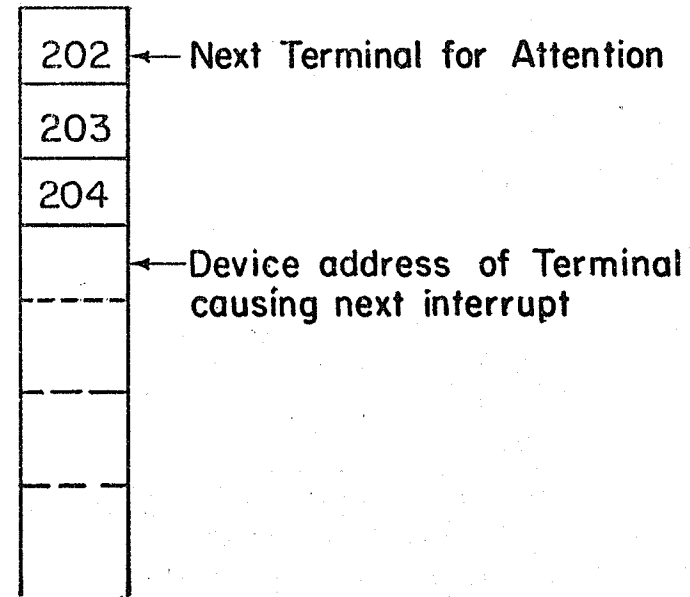
FIGURE 30

(A) QUEUE AT THE END OF A REQUEST

(B) QUEUE AFTER A TERMINAL HAS BEEN SELECTED



(A)



(B)

CHAPTER VII

CONCLUSIONS

The control system developed provides protection at the organization level for the security of confidential data and programs. The system allows companies to have full access privileges to their own files but may obtain only selected information from the rest of the data bank. Companies can allot chosen personnel to carry out the functions provided by the System or can permit all employees to execute the functions.

Several levels of protection are provided. Firstly, the identity of the terminal operator is checked. Secondly, the hardware address of the terminal is verified and the control system ensures that both the user and the terminal remain within their domain of functions. Lastly, all critical data is stored in a scrambled form so that anyone obtaining a copy of the data sets will have to decode them.

Although the system was implemented to provide protection at the organization level, it can be extended to provide similar protection at the individual level. This can be done by reconstructing the directory so that it will contain a record for each individual, consequently treating each individual as a company. The criterion for constructing the base display will now be the individual's identification number, rather than the device address as in the case of a company.

The advantage of using a code system for identifying a terminal operator should be noted. In many control systems, the terminals chosen are those which require a medium such as a card or a key to activate the System and to identify the operator. In these systems, the loss of that medium will not only 'lock out' the individual from using the System, but will also leave the System open to the finder of the card or key. In addition, alternative procedures have to be developed so that personnel may still be able to use the System if they forgot or misplaced the medium. This situation cannot arise in a code system. The most one can do is forget his company's code, and this can be readily obtained by consulting management.

It may be argued that using a code system will suffer the disadvantage of requiring both main and auxiliary storage space, while a card or key system does not. However, the space occupied by the code in a directory record is very small, being only two fifty-fifths of the entire record. This consideration would not be a major one, unless auxiliary storage becomes a limiting factor in the System. Regarding main storage, the code occupies only four bytes, even if more than one directory record is required to execute a transaction. In such a case, each code is read, as it becomes needed, into the same storage area occupied by the previous one.

The control system can be improved by the following major developments:

1. The method of identifying a terminal operator by a computer-terminal conversation, requires operator, CPU and data transmission time. This identification can be taken over by a well designed terminal. Hence, the development of a terminal which can recognize either voice, facial features or finger prints would be a definite asset to security controls.
2. It is estimated that in the Credit Information System a company can have up to, or more than, eighteen hundred records in the Accounts Receivable file. The scrambling and unscrambling of this data by software will make the updating of the Accounts Receivable file a slow process. Also, terminal operators may become impatient waiting for displays. Hence the possibility of utilizing hardware scrambling equipment should be investigated. On the other hand, data scrambling may be avoided altogether if the PASSWORD protection feature of IBM's Operating System/360 can be altered so that the terminal operator will have to furnish the password, instead of the computer operator. This being so, computer operators could not know the passwords and hence could not access the files.

APPENDIX A

PROGRAM TO CREATE DIRECTORY ON DISK
- RECORDS ARE STORED IN DIRECT ACCESS

129.

INTEGER * 2 DEVADR(5), LIST(10)
INTEGER CODENM, NAME(5), OPTINF(10), UPBEG, UPEND
DEFINE FILE 9(9,28,U,IJ)
IJ = 1

READ DIRECTORY FROM CARDS ONTO DISK

1 READ (5,51,END=4) IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
1LINK,OPTINF
WRITE (9'IJ) IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
1LINK,OPTINF
GO TO 1
4 IM = IJ - 1
IJ = 1
WRITE (6,50)
WRITE (6,54)

MAKE A LISTING OF THE DIRECTORY

DO 8 I = 1,IM
READ (9'IJ) IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
1LINK,OPTINF
WRITE (6,52) IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
1LINK,OPTINF
8 CONTINUE
50 FORMAT ('1'50X,'DIRECTORY'// '31X,' SHIFTED')
51 FORMAT (I7,5I4,6A4/13I4/10A4)
52 FORMAT (' I7,1X,5(I4,1X),A4,1X,5A4,1X,10(I2,1X),2(I4,1X),
13X,I4,5X,2A4/8A4/)
54 FORMAT (' ID. # DEVICE ADDRESS CODE NAME
1 LIST A.R. ADDR LINK OPT INF'/
2)
CALL EXIT
END

130

#	DEVICE	ADDRESS	SHIFTED CODE	NAME	LIST	A.R.	ADDR	LINK	OPT	INF
001	201	0 0 0	0	=MJL BUREAU OFFICE	1 2 3 4 0 0 0 0 0 0 0 0	0	0	0		
121	202	0 0 0	0	U-*> THE ABC COMPANY	1 2 3 0 0 0 0 0 0 0 0 0	1	4	0		
134	203	0 0 0	0	/AFZ ADLER ASSOC.	1 2 3 0 0 0 0 0 0 0 0 0	5	10	0		
147	204	0 0 0	0	-ODW CANADIAN IND. LTD.	2 3 0 0 0 0 0 0 0 0 0 0	11	15	1		
150	210	0 0 0	0	*%@+ HAVELL LTD.	1 2 3 0 0 0 0 0 0 0 0 0	16	18	0		
163	206	0 0 0	0	FRBA RAND-SELLERS LTD.	1 2 3 0 0 0 0 0 0 0 0 0	19	23	0		
176	207	0 0 0	0	U+*> HANDEZ BROS	1 2 3 0 0 0 0 0 0 0 0 0	24	25	0		
189	208	0 0 0	0	//FZ MUTT COMPANY	1 2 3 0 0 0 0 0 0 0 0 0	0	0	0		
192	209	0 0 0	0	-+OW A.G. LETOURNE	1 2 3 0 0 0 0 0 0 0 0 0	0	0	0		

PROGRAM TO CREATE ACCOUNTS RECEIVABLE FILE FOR ALL MEMBERS

131.

```
INTEGER OUTDEV,INFO(20)
INTEGER ALFA(32)/'C',' ','J','L','M',' ','Y','X','Q','I','T','>',
1 'U','Z','/',' ','W','-',' ','*','A','F','E','O','V','@','%','B','P',
2 'R','H','D','K'/
DEFINE FILE 8(30,80,L,IREC)
IREC=1
INDEV=5
OUTDEV=6
NC = 0
ISHIFT = 3
WRITE(OUTDEV,50)
WRITE (OUTDEV,54)

READ FILE FROM CARDS ONTO DISK

2 READ(INDEV,51,END=4) INFO
WRITE (OUTDEV,52) INFO
NC = NC + 1

SCRAMBLE DATA

DO 3 I = 2,6
INF = INFO(I)
CALL SHCODE (INF,ALFA,ISHIFT,NEWCODE)
INFO(I) =NEWCODE
3 CONTINUE
WRITE(8'IREC)INFO
GO TO 2
4 END FILE 8
WRITE (OUTDEV,53)
IREC = 1

MAKE A LISTING OF THE FILE

DO 6 I = 1, NC
READ (8'IREC) INFO
WRITE (OUTDEV,52) INFO
6 CONTINUE
50 FORMAT('1',30X,'ACCOUNTS RECEIVABLE'//)
51 FORMAT ( 20A4)
52 FORMAT (' '20A4)
53 FORMAT (' '/// ' '20X,' ACCOUNTS RECEIVABLE AFTER SCRAMBLING AND S
1TORAGE'//)
54 FORMAT (' '8X,'NAME',32X,'AMOUNT',3X,'CURRENT',5X,'30-60',4X,
* '61-90',3X,'91-120',3X,'OVER 120',/46X,'DUE',7X,'DUE',
$7X,'DAYS',5X,'DAYS',4X,'DAYS',5X,'DAYS'/)
CALL EXIT
END
```

SHCODE SCRAMBLES DATA FOR THE ACCOUNTS RECEIVABLE FILE

132.

```
SUBROUTINE SHCODE (CODE,ALFA,SHIFT,NEWCODE)
INTEGER CODE,SHIFT,ALFA(32),INTCDE(4),BLANK/' '/
LOGICAL * 1 LGCODE(4),FLWD(16)
EQUIVALENCE (ICODE,LGCODE(1)), (FLWD(1),INTCDE(1))
ICODE = CODE
```

PLACE BLANKS INTO WORK AREA

```
DO 2 I = 1,4
  INTCDE(I) = BLANK
2 CONTINUE
```

PLACE NAME INTO NEW AREA - ONE CHARACTER PER BYTE

```
DO 3 I = 1,4
  J = 4 * I - 3
  FLWD(J) = LGCODE(I)
3 CONTINUE
```

PERFORM SCRAMBLING

```
DO 12 J = 1,4
  DO 4 I = 1,32
    INDX = I
    IF ( INTCDE(J) .EQ. ALFA(I)) GO TO 8
  4 CONTINUE
  GO TO 12
  8 NINDX = INDX + SHIFT
  IF ( NINDX .GT. 32) NINDX = NINDX - 32
  IF (NINDX .LT. 1 ) NINDX = NINDX + 32
  INTCDE(J) = ALFA(NINDX)
12 CONTINUE
```

READY SCRAMBLED DATA FOR OUTPUT FILE

```
DO 16 M = 1, 4
  J = 4 * M - 3
  LGCODE(M) = FLWD(J)
16 CONTINUE
```

PLACE SCRAMBLED DATA INTO OUTPUT AREA

```
NEWCODE= ICODE
RETURN
END
```

NAME	AMOUNT DUE	CURRENT DUE	30-60 DAYS	61-90 DAYS	91-120 DAYS	OVER 120 DAYS
LAMBERTE HARDWARE	668.44	668.44				
A.G. LETOURNE	1381.33	1360.59	20.74			
MUTT COMPANY	6135.03	6118.03	17.00			
TEREAU RACINE LTD.	3685.04	1354.20	2299.37			
WESTOURN	18197.54	17806.10	37.03			
CUCHRAN DONLUP	100.66	83.36	13.50	3.80		
J.E. ALLEN	8634.24	8634.24				
EMCOE LTD	1322.46	841.64	409.55	71.27		
MUTT COMPANY	100.66	83.36	13.55	3.80		
A.G. LETOURNE	1381.00	1360.26	20.74			
MCOE LIMITED	2303.16	2285.38	17.78			
HASTEEL PLUMBING	6812.21	6728.59	83.62			
HODSUN PLUMBING	2447.94	2447.94				
MUTT COMPANY	2942.86	2267.97	674.89			
A.G. LETOURNE	21764.85	14585.82	7173.05	13.88		
OSHAWA PLBG R HTG	118.77	118.77				
QUALITY UTILITY	8506.87	8506.87				
TURRET SUPPLY	2108.80	1098.04	990.70	20.06		
WESTBURNE WPG.	8941.11	7026.34	1123.46	791.31		
WESTON SUPPLIES	2411.16	1858.38	552.78			
J.H. ASHDUNE	5043.31	4853.50	189.81			
A.G. LETOURNE	1448.34	1448.34				
MUTT COMPANY	10557.62	10338.59	219.03			
P.E. JUNSON	12095.91	6314.18	5766.74			
A.G. LETOURNE	140.72	140.72				

ACCOUNTS RECEIVABLE AFTER SCRAMBLING AND STORAGE

Y0XH@KZ@ COK#*OK@	668.44	668.44				
O.G. Y@Z%WKN@	1381.33	1360.59	20.74			
XWZZ L%XDONI	6135.03	6118.03	17.00			
Z@K@OW KOLUN@ YZ#.	3685.04	1354.20	2299.37			
*@SZ%WKN	18197.54	17806.10	37.03			
LWLCKON #%NYWD	100.66	83.36	13.50	3.80		
=.@. OYY@N	8634.24	8634.24				
@XL%@ YZ#	1322.46	841.64	409.55	71.27		
XWZZ L%XDONI	100.66	83.36	13.55	3.80		
O.G. Y@Z%WKN@	1381.00	1360.26	20.74			
XL%@ YUXUZ@#	2303.16	2285.38	17.78			
COSZ@@ DYWXHUNG	6812.21	6728.59	83.62			
C%#SWN DYWXHUNG	2447.94	2447.94				
XWZZ L%XDONI	2942.86	2267.97	674.89			
O.G. Y@Z%WKN@	21764.85	14585.82	7173.05	13.88		
%SC@*O DYHG K CZG	118.77	118.77				
>WOYUZI WZUYUZI	8506.87	8506.87				
ZWKK@Z SWDDYI	2108.80	1098.04	990.70	20.06		
*@SZHWKN@ *DG.	8941.11	7026.34	1123.46	791.31		
*@SZ%N SWDDYU@S	2411.16	1858.38	552.78			
=.C. OSC#WN@	5043.31	4853.50	189.81			
O.G. Y@Z%WKN@	1448.34	1448.34				
XWZZ L%XDONI	10557.62	10338.59	219.03			
O.@. =WNS%N	12095.91	6314.18	5766.74			
O.G. Y@Z%WKN@	140.72	140.72				

PROGRAM TO CREATE THE INITIAL STATUS FILE

134

```
INTEGER CHEC(9)
DEFINE FILE 7 (9,9,U,IR)
IR = 1
```

READ FILE FROM CARDS ONTO DISK

```
1 READ (5,50,END=4) CHEC
  WRITE (7,IR) CHEC
  GO TO 1
4 IZ = IR - 1
  WRITE (6,52)
  WRITE (6,53)
```

MAKE A LISTING OF THE FILE

```
IR = 1
DO 5 I = 1, IZ
  READ (7,IR) CHEC
  WRITE (6,51) CHEC
5 CONTINUE
50 FORMAT (9A4)
51 FORMAT (' '2A4,1X,5A4,1X,4A,6X,A4/)
52 FORMAT ('1'9X,'INITIAL STATUS FILE'//)
53 FORMAT (' ID. NO.          NAME          FAIL    SUCCESS'//)
CALL EXIT
END
```

INITIAL STATUS FILE

135

NO.	NAME	FAIL	SUCCESS
00001	BUREAU OFFICE	0	0
01121	THE ABC COMPANY	0	0
01134	ADLER ASSOC	0	0
01147	CANADIAN IND. LTD	0	0
01150	HAVELL LTD	0	0
01163	RAND-SELLERS LTD.	0	0
01176	HANDEZ BROS	0	0
01189	MUTT COMPANY	0	0
01192	A. G. LETOURNE	0	0

PROGRAM TO CREATE SUB-DIRECTORY

```
INTEGER * 2 DEVADR(5),LIST(10)
INTEGER SUBLNK
DEFINE FILE 10(1,9,U,IQ)
IQ = 1
```

136

READ SUB-DIRECTORY FROM CARDS ONTO DISK

```
1 READ (5,50,END=2) DEVADR,LIST,SUBLNK
  WRITE (10'IQ) DEVADR,LIST,SUBLNK
  GO TO 1
2 IQ = 1
  WRITE (6,52)
  WRITE (6,53)
  MAKE LISTING OF SUB-DIRECTORY
```

```
  READ (10'IQ) DEVADR, LIST,SUBLNK
  WRITE (6,51) DEVADR, LIST,SUBLNK
50 FORMAT (16I4)
51 FORMAT (' '5I4,4X,10I4,4X,I4)
52 FORMAT ('1'27X 'SUB-DIRECTORY'//)
53 FORMAT (' ' DEVICE ADDRESS
1K'/)
  CALL EXIT
END
```

LIST' 29X,'SUB-LIN

SUB-DIRECTORY

137

DEVICE ADDRESS

LIST

SUB-LINK

05 0 0 0 0

1 0 0 0 0 0 0 0 0 0 0

0

APPENDIX B

: STATEMENT

F01JAN68 2/12/69

JBROUTINE ATTEND

JRPOSE

SUBROUTINE ATTEND WRITES A MESSAGE TO THE COMPUTER OPERATOR
 EVERY 10 TIMES A USER HAS FAILED TO PRODUCE THE CORRECT
 CODE

METHOD OF CALLING

CALL ATTEND (IDH)

DESCRIPTION OF PARAMETER

IDH - THE IDENTIFICATION NUMBER OF THE USER

CSECT

SAVE (14,12)

DS OH

STM 14,12,12(13) SAVE REGISTERS

LR 12,15 ESTABLISH ADDRESSABILITY

USING ATTEND,12

B START

CONSTANTS AND STORAGE AREAS

DS CL8

DC X'4020202020202020'

DC 1D'0'

LIST FORM OF THE WRITE TO OPERATOR

WTO ' HAS FAILED 10 TIMES *****',MF=L

DS OF

DC AL2(IHBO002-*) MESSAGE LENGTH

DC AL2(0)

DC C' HAS FAILED 10 TIMES *****' MESSAGE

EQU *

L 4,0(1)

LOAD ADDRESS OF PARAMETER

IN REG 4

L 4,0(4)

LOAD VALUE OF PARAMETER IN REG 4

CVD 4,DECIMAL

CONVERT TO DECIMAL

MVC EDIT,EDITMSK

ED EDIT(8),DECIMAL+4

REMOVE LEADING ZEROES

MVC MSG+4(8),EDIT

MOVE NUMBER TO OUTPUT MESSAGE

LA 1,MSG

LOAD ADDRESS OF OUTMESSAGE IN

REG 1

WTO ,MF=(E,(1))

WRITE MESSAGE TO OPERATOR

SVC 35 ISSUE SVC

RETURN (14,12)

LM 14,12,12(13) RESTORE THE REGISTERS

BR 14 RETURN

END

OPEN
POLOUT
POLLIN
EVAL

VEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

SUBROUTINE POLLIN (MESS,L,U,R)

DESCRIPTION OF PARAMETERS

MESS - THE INPUT MESSAGE READ FROM THE TERMINAL
 L,U,R - SAME AS IN POLOUT

```

.....
INTEGER * 2 DEVADR(5), LIST(10)
INTEGER MESS(120),MESS1(120),MESS2(120),MESS3(120),MESS4(120),
1MESS6(120),MESS7(120),MESS8(120),MESS9(120),NAME(5),NWPRGS(20),
2PROGNO(20),IDNTNO(20),OPTINF(10),REPSTK(6,20),REJCT(20),WTSTK(10),
3MESS10(120),XX(120),BASE(10,5),MESS11(120)
INTEGER NUM(10)/'0','1','2','3','4','5','6','7','8','9'/
INTEGER MESS5(120)/'FUNC','TION',' NUM','BER',' ','IS','UNAV',
1'AILA','BLE',' ',110*' '/'
INTEGER ALFA(32)/'C','#','J','L','M','=','Y','X','Q','I','T','>',
1'U','Z','/','W','-','+','*','A','F','E','O','V','@','%','B','P',
2'R','H','D','K'/'
INTEGER X(120)/' ',2*' ', 'FAIL',2*' ', 'SUCC', 'ESS',112*' '/'
INTEGER CDEDSP(120)/' ID.', 'ND.',2*' ', 'OR C', 'ODE',2*' ', 'SCR',
1'CODE',110*' '/'
INTEGER OTDV,TIMES,CODENM,PROG,FLAG,U,R,SUBLNK,CHKDGT
INTEGER BLANK/' '/'
COMMON PROGNO,REPSTK,ITEST,IFRAME,IDH,K,L1,M1,M2,M3,M4,L,U,R,
1MESS,INIT,NEWIDH,IQQ,MESS9,MESS10, NTERM, NDEX, WTSTK,MD,MESS11
DEFINE FILE 9(9,28,U,IJ)
DEFINE FILE 10(1,9,U,IQ)
INDV = 5
OTDV = 6

READ MESSAGES

READ (INDV,50) MESS1, MESS2, MESS3, MESS4, MESS6, MESS7, MESS8,
1MESS9,MESS10,MESS11,((BASE(I,J),J=1,5),I=1,10)

OPEN REMOTE TERMINALS

R = 0
CALL OPEN (R)

INITIALIZE COUNTERS

NEW = 1
NDEX = 0
IWAIT = 0
2 FLAG = 1
ITEST = 0
L1 = 0
M1 = 0
M2 = 0
M3 = 0
M4 = 0
ILL = 0
L11 = 0

```

VEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

IQQ = 0	MAIN
TIMES = 0	MAIN
L = 480	MAIN
MD = 0	MAIN
IFRAME = 0	MAIN
4 TIMES = TIMES + 1	MAIN
GO TO (6,16), TIMES	MAIN
6 INIT = 1	MAIN
IS THE WAITING LIST EMPTY ?	
IF (INDEX .NE. 0) GO TO 10	MAIN
IF (IWAIT .NE. 0) GO TO 9	MAIN
IF (NEW .NE. 1) GO TO 10	MAIN
POLL ALL TERMINALS	
DO 8 U = 201,202	MAIN
CALL POLOUT (MESS1, 81,U,R)	MAIN
8 CONTINUE	MAIN
WRITE (OTDV,55) MESS1	MAIN
NEW = 0	MAIN
GO TO 12	MAIN
9 IWAIT = 0	MAIN
10 CALL POLOUT (MESS1,81,U,R)	MAIN
WRITE (OTDV,56) U, MESS1	MAIN
12 DO 14 LL = 1,120	MAIN
MESS(LL) = BLANK	MAIN
14 CONTINUE	MAIN
READ MESSAGE FROM RESPONDING TERMINAL	
CALL POLLIN (MESS,L,U,R)	MAIN
NTERM = U	MAIN
WRITE (OTDV,57) U, MESS	MAIN
EVALUATE MESSAGE	
CALL EVAL (MESS,NUM,BLANK,IDNTNO,FLAG)	MAIN
INIT = 0	MAIN
GO TO 18	MAIN
16 MD = 1	MAIN
L = 98	MAIN
CALL EVAL (MESS2, NUM, BLANK,IDNTNO,FLAG)	MAIN
GO TO 25	MAIN
18 IDH = IDNTNO(1)	MAIN
IS THE ID. NO. READ A VALID ONE ?	
NEWIDH = IDH/10	MAIN
NCHK = MOD(IDH,10)	MAIN
MTEST = 1	MAIN
CALL GEN (NEWIDH,CDEDSP, IST,CHKDGT,MTEST, ISHIFT)	MAIN
MTEST = 0	MAIN
IF (NCHK .EQ. CHKDGT) GO TO 20	MAIN
ID. NO. IS INVALID - WRITE DIAGNOSTIC TO TERMINAL AND RETURN TO INITIAL DISPLAY	

LEVEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

```
19 CALL POLOUT (MESS8, 480,U,R)
   WRITE (QTDV,56) U, MESS8
   GO TO 114
```

IF THE ID. NO. IS 1000001 THEN THE USER IS THE BUREAU AND
SET IFRAME TO 2

```

20 IF (IDH .NE. 1000001) GO TO 22
   IFRAME = 2
   IJ = 1
   GO TO 24

```

```
22 IFRAME = 1
    IJ = NEWIDH - 100110
```

READ RECORD FROM DIRECTORY

```

24 READ (9'IJ)          IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
   1LINK,OPTINF
   DO 27 I = 1,60
     MESS6(I) = BLANK
27 CONTINUE

```

CONSTRUCT THE BASE DISPLAY

```

      IDT = 0
      DO 29 J = 1,10
      IF (LIST(J) .EQ. 0) GO TO 29
      DO 29 IO = 1,5
      IDT = IDT + 1
      MESS6(IDT) = BASE(LIST(J),IO)
29  CONTINUE
25  CALL SHCODE (IDNTNO(2), ALFA,ISHIFT, NEWCODE)
      IF ( TIMES .EQ. 2) GO TO 37
      IF ( IDH .NE. IDNO) GO TO 19

```

IS THE DEVICE IN THE USER'S LIST OF DEVICES ?

```

28 DO 30 II = 1,5
    IF (U.EQ. DEVADR(II)) GO TO 37
30 CONTINUE

```

IF THE DEVICE ADDRESS IS NOT FOUND IN THE RECORD THEN
TEST LINK. IF THE USER HAS OTHER DEVICES FOR WHICH THERE WILL
BE A RECORD IN THE SUB-DIRECTORY THE ADDRESS OF THIS RECORD
IS GIVEN IN LINK

```

32 IF ( LINK .EQ. 0 ) GO TO 36
   IQ = LINK
   READ (10,IQ) DEVADR,LIST,SUBLNK
   DO 34 I2 = 1,5
     IF ( U .EQ. DEVADR(I2) ) GO TO 37
34 CONTINUE
   LINK = SUBLNK
   GO TO 32

```

IF THE DEVICE BEING USED IS NOT IN THE USER'S LIST OF DEVICES,
WRITE DIAGNOSTIC MESSAGE AND TERMINATE JOB

LEVEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

SERVICE REQUEST BY USING THE APPROPRIATE SUBROUTINES AND FILES

68 DO 96 IZ = 1,L11
 PROG = NWPRGS(IZ)

NEW ROUTINES CAN BE ADDED BY EXPANDING THIS GO TO STATEMENT

GO TO (70,80,114,86),PROG
 70 IS = 1
 IF (M1 .EQ. 0) GO TO 96
 DO 72 I1 = 1,M1
 CALL CHEK (REPSTK(1,I1), IS,X)
 72 CONTINUE

DISPLAY STATUS ARRAY

CALL POLOUT (X,480,U,R)
 WRITE (OTDV,56) U,X
 74 CALL POLLIN (XX,L,U,R)
 IF (U .EQ. NTERM) GO TO 76
 CALL QUEUE
 U = NTERM
 GO TO 74
 76 DO 78 IN = 9,120
 78 X(IN) = BLANK
 GO TO 96
 80 IF (IFRAME .EQ. 1) GO TO 84

IF THE USER IS THE BUREAU THEN THE ACCOUNTS RECEIVABLE OF
 SEVERAL MEMBERS MAY BE UPDATED

IF (M4 .EQ. 0) GO TO 96
 DO 82 I2 =1,M4
 IJ = REPSTK(2,I2)/10 -100110
 READ (9'IJ) IDNO,DEVADR,CODENM,NAME,LIST,UPBEG,UPEND,
 1LINK,OPTINF
 CALL UPDATE (UPBEG,UPEND, NAME)
 82 CONTINUE
 GO TO 96

PRESENT MEMBER'S ACCOUNTS RECEIVABLE

84 CALL UPDATE (UPBEG,UPEND,NAME)
 GO TO 96

GENERATE IDENTIFICATION NUMBERS AND CODES

86 IST = 1
 MTEST = 0
 DO 88 JA =1,M3
 CALL GEN (REPSTK(4,JA),CDEDSP,IST,CHKDGT,MTEST,ISHIFT)
 88 CONTINUE
 WRITE (OTDV,56) U, CDEDSP
 CALL POLOUT (CDEDSP,480,U,R)
 90 CALL POLLIN (MESS4,L,U,R)
 IF (U .EQ. NTERM) GO TO 92
 CALL QUEUE

[illegible]

EVEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

.....

SUBROUTINE EVAL

PURPOSE

SUBROUTINE EVAL WRITES OUTPUT MESSAGES TO THE REMOTE
TERMINALS AND EVALUATES THE RESPONSES.

USAGE

SUBROUTINE EVAL (AMESS, NUM, IBLANK, IDNTNO, FLAG)

DESCRIPTION OF PARAMETERS

AMESS - AN ARRAY CONTAINING THE OUTPUT MESSAGE
PASSED FROM THE CALLING PROGRAM
NUM - AN ARRAY OF THE INTEGERS 0 TO 9
IBLANK - A WORD WHOSE VALUE IS A BLANK
IDNTNO - AN ARRAY IN WHICH ALL NUMBERS AND CODES READ
FROM THE CRTS IS TEMPORARILY HELD
FLAG - HAS A VALUE OF 1 IF THE SUBROUTINE EXPECTS
A CODE AS THE NEXT WORD TO BE EVALUATED ,
0 OTHERWISE

SUBROUTINES CALLED

POLOUT
POLLIN
STACK
QUEUE

.....

SUBROUTINE EVAL (AMESS, NUM, IBLANK, IDNTNO, FLAG)
INTEGER AMESS(120), NUM(1), FLAG, REPSTK(6, 20), PROGNO(20), WTSTK(10),
1MESS10(120), MESS11(120)
INTEGER WORK, MESS(120), IDNTNO(1), AMP/'&'/, U, R, MESS9(120)
INTEGER ALPHA(28)/'A', 'B', 'C', 'D', 'E', 'F', 'G', 'H', 'I', 'J', 'L',
1'M', 'N', 'O', 'P', 'Q', 'R', 'S', 'T', 'U', 'V', 'W', 'X', 'Y', 'Z', '*',
2'-', 'K'/
INTEGER BMESS(120)/'YOUR', ' COD', 'E WA', 'S NO', 'T RE', 'CEIV',
1'ED ', '---', ' PLE', 'ASE', 'RE-E', 'NTER', ' IT', ' ', '106*' '/
LOGICAL * 1 WORD(480), BYTE (4)
COMMON PROGNO, REPSTK, ITEST, IFRAME, IDH, K, L1, M1, M2, M3, M4, L, U, R,
1MESS, INIT, NEWIDH, IQQ, MESS9, MESS10, NTERM, NDEX, WTSTK, MD, MESS11
EQUIVALENCE (WORD(1), MESS(1)), (BYTE(1), WORK)
K = 0

'I' IS A POINTER WHICH IS ALWAYS SET TO THE LETTER OR DIGIT
BEING EXAMINED

I = 0
NS = 16

IF INIT = 1 THEN THE INITIAL MESSAGE HAS BEEN WRITTEN
AND THE RESPONSE READ BACK IN THE CALLING PROGRAM - HENCE

[illegible]

EVEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

.....

SUBROUTINE STACK

PURPOSE

SUBROUTINE STACK PLACES ALL ROUTINES TO BE USED IN AN
ARRAY CALLED PROGNO , AND ALL REQUESTS TO BE ANSWERED IN
REPSTK

USAGE

SUBROUTINE STACK (IDNTNO, NS)

DESCRIPTION OF PARAMETERS

IDNTNO - AN ARRAY CONTAINING THE NUMBER TO BE PLACED
IN ONE OF THE STACKS

NS - A COUNTER USED IN FILLING THE ARRAY OF INVALID
IDENTIFICATION NUMBERS

SUBROUTINES CALLED

GEN

REMARKS

REPSTK IS A TWO-DIMENSIONAL ARRAY
THE FIRST INDEX GIVES THE ROUTINE TO BE USED AND
THE SECOND INDEX GIVES THE IDENTIFICATION NUMBER
OF THE PERTINENT MEMBER

.....

SUBROUTINE STACK (IDNTNO,NS)

INTEGER IDNTNO(1), PROGNO(20), REPSTK(6,20),MESS(120),U,R,CHKDGT,
1MESS9(120),MESS10(120),WTSTK(10),MESS11(120)
COMMON PROGNO,REPSTK,ITEST,IFRAME,IDH,K,L1,M1,M2,M3,M4,L,U,R,
1MESS,INIT,NEWIDH,IQQ,MESS9,MESS10, NTERM, NDEX, WTSTK,MD,MESS11

IS THE NUMBER THAT OF A ROUTINE OR OF A MEMBER

IF (IDNTNO(K) .GE. 100000) GO TO 2

L1 = L1 + 1

KJJ = 0

PLACE IN STACK OF PROGRAM NUMBERS

PROGNO(L1) = IDNTNO(K)

RETURN

2 IPR= PROGNO(L1)

KJJ = KJJ + 1

IF IFRAME =1 THEN THE USER IS A MEMBER AND CAN FORM A
STACK ONLY WHEN ROUTINE 3 (THE REPORT PROGRAM) IS REQUIRED

IF (IPR .EQ. 4) GO TO 3

IS THE ID. NO. VALID ?

EVEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

.....

SUBROUTINE UPDATE

PURPOSE

SUBROUTINE UPDATE RETRIEVES A MEMBER'S ACCOUNTS RECEIVABLE
FROM THE ACCOUNTS RECEIVABLE FILE AND DISPLAYS IT ON HIS CRT

USAGE

SUBROUTINE UPDATE (BEGIN, END, NAME)

DESCRIPTION OF PARAMETERS

BEGIN - GIVES THE STARTING ADDRESS OF THE MEMBER'S
ACCOUNTS RECEIVABLE IN THE FILE
NAME - GIVES THE NAME OF THE MEMBER
END - GIVES THE ENDING ADDRESS OF THE MEMBER'S

SUBROUTINES CALLED

POLLIN
POLOUT
SHCODE
QUEUE

REMARKS

THIS ROUTINE DOES NOT ALLOW UPDATING AS THIS IS THE
SUBJECT OF ANOTHER PROJECT. IT IS INCLUDED ONLY FOR
DEMONSTRATION PURPOSES.

.....

SUBROUTINE UPDATE(BEGIN,END,NAME)
INTEGER BEGIN,END,ODV,NAME(1),U,R,WTSTK(10),MESS10(120),
1PROGNO(20),REPSTK(6,20),MESS(120),MESS9(120),MESS11(120)
INTEGER BLANK/' '/
INTEGER INFO(20),DISPL(120)/' ','ACCT','S RE','C FO','R',115*' '/UPDATE
INTEGER CTUE(10)/5*' ','CONT','INUE','D ','==>',' '/,ENDOF(10)/ UPDATE
1'END','OF A','CTS','REC','FOR',5*' '/ UPDATE
INTEGER ALFA(32)/'C','#','J','L','M','=','Y','X','Q','I','T','>',' UPDATE
1'U','Z','/','W','-','+','*','A','F','E','O','V','@','%','B','P',' UPDATE
2'R','H','D','K'/ UPDATE
COMMON PROGNO,REPSTK,ITEST,IFRAME,IDH,K,L1,M1,M2,M3,M4,L,U,R, UPDATE
1MESS,INIT,NEWIDH,IQQ,MESS9,MESS10, NTERM, NDEX, WTSTK,MD,MESS11 UPDATE
DEFINE FILE 8(30,80,L,IREC) UPDATE
ODV=6 UPDATE
IREC=BEGIN UPDATE
ITESS=BEGIN UPDATE

PUT NAME INTO FIRST LINE OF DISPLAY

DO 1 J = 1,5
DISPL(J+5) = NAME(J)
1 CONTINUE

LEVEL 1, MOD 2

UPDATE

DATE = 69043

00/03/20

SHIFT = -3

BLANK OUT NEXT 10 LINES OF DISPLAY

```

3 DO 2 I = 11,110
2 DISPL(I) = BLANK
  IC = 10
4 IF(ITESS .GT. END) GO TO 8
  ITESS=ITESS+1

```

PLACE ACCOUNTS RECEIVABLE INTO OUTPUT BUFFER

```
READ(8,'IREC')INFO
```

UNSCRAMBLE DATA

```

DO 5 J = 2,6
  INF = INFO(J)
  CALL SHCODE (INF,ALFA,ISHIFT,NEWCODE)
  INFO(J) = NEWCODE
5 CONTINUE
DO 6 J=1,20
  IC=IC+1
  DISPL(IC)=INFO(J)
  IF (IC .EQ. 110 .AND. ITEST .GT. END) GO TO 8
  IF(IC .EQ. 110) GO TO 7
6 CONTINUE
GO TO 4

```

FILL IN LAST LINE OF DISPLAY WITH 'CONTINUED ==>'

```

7 DO 9 J1 = 1,10
9 DISPL(J1+110) = CTUE(J1)
  CALL POLOUT (DISPL,480,U,R)
  WRITE (ODV,56) U, DISPL
12 CALL POLLIN (DISPL,L,U,R)
  WRITE (ODV,57) U, DISPL
  IF ( U .EQ. NTERM) GO TO 3
  CALL QUEUE
  U = NTERM
  GO TO 12
8 DO 10 J2 = 1,5
10 ENDOF (J2+5) = NAME(J2)

```

LEVEL 1, MOD 2

UPDATE

DATE = 69043

00/03/20

57 FORMAT ('1',/////,17X,'MESSAGE READ FROM UNIT',I4///,
1(' '10X,10A4))
14 RETURN
END

UPDATE
UPDATE
UPDATE
UPDATE

```
X(IS) = CHEC(1)
X(IS+1) = CHEC(2)
X(IS+3) = CHEC(8)
X(IS+6) = CHEC(9)
RETURN
```

EVEL 1, MOD 2

CHEK

DATE = 69043

00/03/20

END

CHEK

VEL 1, MOD 2

CHEKUP

DATE = 69043

00/03/20

```

2 DO 4 I = 1,4
4 TEMP(I) = CHEC(INDX)
  DO 5 I = 1,4
    LGTEMP(I) = LGTEMP(I) .AND. MASK(I)
    IF (I .EQ. 4) GO TO 5
    TEMP(I) = TEMP(I)/2**(8*(4-I))
5 VAL = VAL * 10 + TEMP(I)
  VAL = VAL + 1
  IF (VAL .GT. 9999) VAL = 0
  GO TO 7

```

ADD 1 TO THE NUMBER OF SUCCESSFUL TIMES

```

6  INDX = 9
   GO TO 2
7  IF (INDX .EQ. 9) GO TO 8
   REWIND 11
   WRITE (11,53) (CHEC(I),I=1,7), VAL,CHEC(9)
   NOTICE =MOD(VAL,10)

```

SUBROUTINE GEN

PURPOSE

SUBROUTINE GEN USES A 6 DIGIT NUMBER AS INPUT TO PRODUCE :

- (1) A 3 DIGIT CODE
(2) A 4 CHARACTER CODE
(3) A NEW ID. NO WITH A CHECK DIGIT
(4) A SCRAMBLED 4 CHARACTER CODE FOR USE IN THE
 DIRECTORY

USAGE

SUBROUTINE GEN (NUM,CDEDSP,IST,CHKDGT,MTEST,ISHIFT)

DESCRIPTION OF PARAMETERS

```

NUM      - THE 6 DIGIT NUMBER USED TO PRODUCE THE CODES
CODEDSP - AN OUTPUT ARRAY OF THE RESULT
IST      - A COUNTER FOR INCREMENTING THE ELEMENTS OF THE ARRAY
CHKDGT  - A CHECK DIGIT ATTACHED TO THE 6 DIGIT ID. NO.
MTEST   - A FLAG INDICATING WHETHER THE SUBROUTINE IS
          CALLED TO CHECK THE VALIDITY OF AN ID. NO OR
          TO PRODUCE A NEW ID. NO
ISHIFT  - THE VALUE TO BE USED IN SCRAMBLING THE CODE
          PRODUCED AT A TERMINAL FOR COMPARISON IN
          THE DIRECTORY

```

SUBROUTINES CALLED

SHCODE

```

SUBROUTINE GEN (NUM,CDEDSP,IST, CHKDGT,MTEST,ISHIFT)
  INTEGER A,B,C,D,E,F,UNITS,TENS,HUNDS,CODLET,COD1,COD2,G,H,CHKDGT
  INTEGER CDEDSP(1)
  INTEGER ALFA(32)/'C',' #','J','L','M','=','Y','X','Q','I','T','>',
1 'U','Z','/','W','-','+','*','A','F','E','O','V','@','%', 'B','P',
2 'R','H','D','K'/
  LOGICAL * 1 LGALFA(128), CODE(4)
  EQUIVALENCE (CODLET,CODE(1)),(LGALFA(1),ALFA(1))

```

FIND THE SUM OF THE ODD NUMBERED DIGITS

```
A = NUM/ 100000
B = MOD(NUM,100000)/1000
C = MOD(NUM,100)/10
UNITS = A + B + C
G = UNITS
UNITS = MOD(UNITS,10)
```

FIND THE SUM OF THE EVEN NUMBERED DIGITS

EVEL 1, MOD 2

GEN

DATE = 69043

00/03/20

```
D = MOD(NUM,100000)/10000
E = MOD (NUM,1000)/100
F = MOD ( NUM,10)
TENS = D + E + F
H = TENS
```

CALCULATE THE CHECK DIGIT

```
CHKDGT = 3 * H + G
CHKDGT = MOD(CHKDGT,10)
TENS = MOD (TENS,10)
HUNDS = G + H
LAST = HUNDS
ISHIFT = MOD(LAST,10) + 1
```

IF MTEST = 1 , THE ROUTINE IS TO BE USED FOR VERIFYING AN
ID. NO.

```
IF (MTEST .EQ. 1) RETURN
HUNDS = MOD(HUNDS,10)
IF (HUNDS .EQ. 0) HUNDS=6
KL = HUNDS
```

CALCULATE THE 3 DIGIT CODE AND PLACE IN NUMBER

```
NUMBER = HUNDS*100 + TENS *10 + UNITS
```

PRODUCE THE REAL CODE TO BE GIVEN TO THE MEMBER

```

CODLET = A + E + F
CODLET = MOD(CODLET,32) + 3
INDEX = CODLET*4-3
CODE(1) = LGALFA(INDEX)
COD1 = G + H + KL
COD1 = MOD(COD1,32) + 1
INDEX = COD1*4 - 3
CODE(2) = LGALFA(INDEX)
COD2 = MOD(NUM,100)
COD2 = MOD(COD2,32) + 1
INDEX = COD2 * 4 - 3
CODE(3) = LGALFA(INDEX)
LAST = MOD(LAST,32) + 1
INDEX = LAST*4-3
CODE(4) = LGALFA(INDEX)
NUM = NUM * 10 + CHKDGT

```

CALL ROUTINE TO SCRAMBLE CODE

```
CALL SHCODE (CODLET,ALFA,ISHIFT,NEWCODE)
REWIND 11
WRITE (11,50) NUM,CODLET,NEWCODE
IST = IST + 10
REWIND 11
```

PLACE RESULT INTO OUTPUT ARRAY

```
READ (11,51) CDEDSP(IST),CDEDSP(IST+1),CDEDSP(IST+4),
1CDEDSP(IST+8)
```

EVEL 1, MOD 2

GEN

DATE = 69043

00/03/20

50 FORMAT (I8,2A4)

51 FORMAT (4A4)

RETURN

END

GEN

GEN

GEN

GEN

SUBROUTINE SHCODE

PURPOSE

- ```
(1) WHEN CALLED BY SUBROUTINE GEN SCRAMBLES A USER'S CODE
 FOR PLACING IN THE DIRECTORY AND
(2) WHEN CALLED BY THE MAIN PROGRAM SCRAMBLES THE CODE
 PRODUCED AT A TERMINAL FOR COMPARISON WITH ONE
 IN THE DIRECTORY
```

## USAGE

SUBROUTINE SHCODE (CODE, ALFA, ISHIFT, NEWCODE)

## DESCRIPTION OF PARAMETERS

```

CODE - THE INPUT PARAMETER FROM THE CALLING PROGRAM
ALFA - AN ARRAY OF CHARACTERS USED IN SCRAMBLING
ISHIFT - THE CONSTANT BY WHICH SHIFTING IS TO TAKE PLACE
NEWCODE - THE NEW CODE

```

SUBROUTINES CALLED

NONE

```

SUBROUTINE SHCODE (CODE,ALFA,SHIFT,NEWCODE)
INTEGER CODE,SHIFT,ALFA(32),INTCODE(4),BLANK/' '/
LOGICAL * 1 LGCDE(4),FLWD(16)
EQUIVALENCE (ICODE,LGCDE(1)), (FLWD(1),INTCODE(1))
ICODE = CODE

```

PLACE BLANKS INTO WORK AREA

```
DO 2 I = 1,4
 INTCDE(I) = BLANK
2 CONTINUE
```

PLACE CODE INTO NEW AREA - 1 CHARACTER PER FULLWORD

```
DO 3 I = 1,4
J = 4 * I - 3
FLWD(J) = LGUDE(I)
3 CONTINUE
```

PERFORM SCRAMBLING

```

DO 12 J = 1,4
DO 4 I = 1,32
 INDX = I
 IF (INTCDE(J) .EQ. ALFA(I)) GO TO 8
4 CONTINUE
GO TO 12

```



VEL 1, MOD 2

MAIN

DATE = 69043

00/03/20

```

.....
SUBROUTINE QUEUE
PURPOSE
 SUBROUTINE QUEUE PLACES REQUESTS FOR THE SYSTEM INTO A
 WAITING LIST, WTSTK. A MESSAGE IS SENT TO THE TERMINAL INFORMING
 THE USER OF HIS POSITION IN THE STACK
USAGE
SUBROUTINE QUEUE
SUBROUTINES CALLED
 NONE
.....

SUBROUTINE QUEUE
INTEGER PROGNO(20), REPSTK(6,20),MESS(120),MESS9(120),MESS10(120),
1WTSTK(10),U,R,MESS11(120)
COMMON PROGNO,REPSTK,ITEST,IFRAME,IDH,K,L1,M1,M2,M3,M4,L,U,R,
1MESS,INIT,NEWIDH,IQQ,MESS9,MESS10, NTERM, NDEX, WTSTK,MD,MESS11

 IS THE WAITING LIST EMPTY ?

IF (NDEX .EQ. 0) GO TO 4

 IS THE USER ALREADY IN THE LIST

DO 3 J = 1,NDEX
JJ = J
IF (U .EQ. WTSTK(J)) GO TO 7
CONTINUE

 PLACE THE USER IN THE WAITING LIST

NDEX = NDEX + 1
WTSTK(NDEX) = U
JNDEX = NDEX
GO TO 8
JNDEX = JJ

 WRITE A MESSAGE GIVING POSITION IN THE LIST

REWIND 11
WRITE (11,50) JNDEX
REWIND 11
READ (11,51) MESS10(26)
CALL POLOUT (MESS10,104,U,R)
WRITE (6,52) U, MESS10
FORMAT (I4)
FORMAT (A4)
FORMAT ('1',////////,17X,'MESSAGE WRITTEN TO UNIT',I4///,

```

LEVEL 1, MOD 2

QUEUE

DATE = 69043

00/03/20

1(' '10X,10A4))  
RETURN  
END

QUEUE  
QUEUE  
QUEUE

## APPENDIX C

MESSAGE WRITTEN TO UNITS 201,202

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT I

MESSAGE READ FROM UNIT 201

1000012 LJC#

EXHIBIT 2

MESSAGE WRITTEN TO UNIT 201

\* IDENTIFICATION NUMBER IS INCORRECT  
WAIT FOR INITIAL DISPLAY AND RESTART \*

EXHIBIT 3

MESSAGE WRITTEN TO UNIT 201

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 4

MESSAGE READ FROM UNIT 201

1000001

EXHIBIT 5

MESSAGE WRITTEN TO UNIT 201

YOUR CODE WAS NOT RECEIVED --- PLEASE  
RE-ENTER IT

EXHIBIT 6

MESSAGE READ FROM UNIT 201

LJCC

EXHIBIT 7

MESSAGE WRITTEN TO UNIT 201

\* THE CODE IS INCORRECT --- PLEASE MAKE  
SURE YOU HAVE THE CORRECT CODE AND  
ENTER IT AGAIN \*

EXHIBIT 8

MESSAGE READ FROM UNIT 201

LJCU

MESSAGE WRITTEN TO UNIT 201

\* YOUR CODE IS AGAIN INCORRECT -- SORRY,  
THE REQUEST CANNOT BE ACCEPTED DUE TO  
THIS SECURITY VIOLATION \*

MESSAGE WRITTEN TO UNIT 201

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 11

MESSAGE READ FROM UNIT 202

1000001 LJC#

MESSAGE WRITTEN TO UNIT 202

\* SORRY, THIS DEVICE IS NOT RECCGNIZED  
AS ONE AVAILABLE TO YOU \*

MESSAGE WRITTEN TO UNIT 202

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 14

MESSAGE READ FROM UNIT 202

1001121 YTU=

EXHIBIT 15

## MESSAGE WRITTEN TO UNIT 202

1 STATUS CHECK            2 UPDATE ACCTS REC  
3 CREDIT REPORT

MAKE REQUEST

MESSAGE READ FROM UNIT 202

1 2 4

EXHIBIT 17

MESSAGE WRITTEN TO UNIT 202

|         |      |         |
|---------|------|---------|
|         | FAIL | SUCCESS |
| 1001121 | 0    | 1       |

EXHIBIT 18

MESSAGE WRITTEN TO UNIT 201

SORRY - THE SYSTEM IS IN USE ---  
YOU HAVE NOW BEEN ADDED TO THE  
QUEUE AS NUMBER : 1

## MESSAGE WRITTEN TO UNIT 202

ACCTS REC FOR THE ABC COMPANY  
LAMBERTE HARDWARE  
668.44 668.44  
A.G. LETOURNE  
1381.33 1360.59 20.74  
MUTT COMPANY  
6135.03 6118.03 17.00  
TEREAU RACINE LTD.  
3685.04 1354.20 2299.37

END OF ACTS REC FOR THE ABC COMPANY

MESSAGE READ FROM UNIT 202

ACCTS REC FOR THE ABC COMPANY  
LAMBERTE HARDWARE  
668.44 668.44  
A.G. LETOURNE  
1381.33 1360.59 20.74  
MUTT COMPANY  
6135.03 6118.03 17.00  
TEREAU RACINE LTD.  
3685.04 1354.20 2299.37

END OF ACTS REC FOR THE ABC COMPANY

MESSAGE WRITTEN TO UNIT 202

FUNCTION NUMBER 4 IS UNAVAILABLE

EXHIBIT 22

MESSAGE WRITTEN TO UNIT 202

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 23

MESSAGE WRITTEN TO UNIT 201

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 24

MESSAGE READ FROM UNIT 201

1000001 LJC#

## MESSAGE WRITTEN TO UNIT 201

|                 |                    |
|-----------------|--------------------|
| 1 STATUS CHECK  | 2 UPDATE ACCTS REC |
| 3 CREDIT REPORT | 4 CODE GENERATOR   |

MAKE REQUEST

MESSAGE READ FROM UNIT 201

1 1000001 1001135 1001148 2 1001134 4 1  
00181 100182

EXHIBIT 27

MESSAGE WRITTEN TO UNIT 201

|         |      |         |
|---------|------|---------|
| 1000001 | FAIL | SUCCESS |
|         | 2    | 1       |

## MESSAGE WRITTEN TO UNIT 201

ACCTS REC FOR ADLER ASSOC.  
WESTOURN  
18197.54 17806.10 37.03  
CUCHRAH DONLUP  
100.66 83.36 13.50 3.80  
J.E. ALLEN  
8634.24 8634.24  
EMCOE LTD  
1322.46 841.64 409.55 71.27  
MUTT COMPANY  
100.66 83.36 13.55 3.80  
CONTINUED ==>

## MESSAGE READ FROM UNIT 201

ACCTS REC FOR ADLER ASSOC.  
WESTOURN  
18197.54 17806.10 37.03  
CUCHRAH DONLUP  
100.66 83.36 13.50 3.80  
J.E. ALLEN  
8634.24 8634.24  
EMCOE LTD  
1322.46 841.64 409.55 71.27  
MUTT COMPANY  
100.66 83.36 13.55 3.80  
CONTINUED ==>

MESSAGE WRITTEN TO UNIT 201

ACCTS REC FOR ADLER ASSOC.  
A.G. LETOURNE  
1381.00 1360.26 20.74

END OF ACTS REC FOR ADLER ASSOC.

MESSAGE READ FROM UNIT 201

ACCTS REC FOR ADLER ASSOC.  
A.G. LETOURNE  
1381.00 1360.26 20.74

END OF ACTS REC FOR ADLER ASSOC.

## MESSAGE WRITTEN TO UNIT 201

| ID.NO.  | OR CODE | SCR CODE |
|---------|---------|----------|
| 1001815 | =U+>    | X/AZ     |
| 1001828 | Y/*U    | I+EW     |

MESSAGE WRITTEN TO UNIT 201

THE FOLLOWING IDENTIFICATION NUMBERS  
ARE INVALID :

1 2 1001135

1 3 1001148

REQUEST NEXT SCREEN AND RE-ENTER THE  
FUNCTION AND IDENTIFICATION NUMBERS

MESSAGE WRITTEN TO UNIT 201

RE-ENTER FUNCTION AND IDENTIFICATION  
NUMBERS

MESSAGE READ FROM UNIT 201

1 1001134 1001147

## MESSAGE WRITTEN TO UNIT 201

|         | FAIL | SUCCESS |
|---------|------|---------|
| 1001134 | 0    | 0       |
| 1001147 | 0    | 0       |

MESSAGE WRITTEN TO UNIT 201

\* PLEASE TYPE IN YOUR IDENTIFICATION  
NUMBER AND YOUR CODE \*

EXHIBIT 38

## GLOSSARY OF TERMS

|                               |                                                                                                                                                                                             |
|-------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Access                        | The process of obtaining data from or placing data in storage.                                                                                                                              |
| Access-Time                   | The time taken to read data from or write data into storage.                                                                                                                                |
| Algorithm                     | A precisely described procedure; a set of steps for arriving at a special goal.                                                                                                             |
| Alphanumeric                  | A character or set of characters that is either alphabetic, numeric or a combination of both.                                                                                               |
| Binary Search                 | A search in which a set of items is divided into two parts; where one part is rejected, and the process is repeated on the accepted part until the item with the desired property is found. |
| Bit                           | An abbreviation of 'binary digit'.                                                                                                                                                          |
| Byte                          | A term used to indicate a measurable portion of consecutive bits. For example, an 8-bit byte.                                                                                               |
| Central Computer              | Computer shared by remotely located input-output terminals.                                                                                                                                 |
| Central Processing Unit (CPU) | The unit of a computing system that contains the circuits that control and perform the execution of instructions.                                                                           |
| Compiler                      | A program that translates source language statements into machine language.                                                                                                                 |

|                          |                                                                                                                                                                                                                                |
|--------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Console Typewriter       | The typewriter through which the CPU and the computer operator communicate.                                                                                                                                                    |
| Current Amount Owing     | The amount which a debtor owes but payment for which is not yet overdue.                                                                                                                                                       |
| Cursor                   | A symbol which denotes the position on the CRT screen which the next character entered will occupy.                                                                                                                            |
| Data Adapter Unit        | A hardware device which converts the 8-bit code of System/360 to a 7-bit code for the IBM 2848 Control Unit, <sup>11</sup> and conversely, it converts the 7-bit code of the 2848 to an 8-bit code for the System/360.         |
| Data Set Concatenation   | A technique whereby several data sets residing on different volumes can be read as if they were a single data set. This technique makes it possible for a program to obtain its input from several different types of devices. |
| Field                    | A set of one or more characters which is treated as a whole unit.                                                                                                                                                              |
| File                     | A collection of related records treated as a unit.                                                                                                                                                                             |
| File-Address of a Record | The record number of a record in a file.                                                                                                                                                                                       |

|                          |                                                                                                                                                                                                   |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Format                   | The size, number, and significance of fields in a record.                                                                                                                                         |
| General-purpose Computer | A computer designed to solve a wide variety of problems.                                                                                                                                          |
| Hard Copy                | A printed copy of machine output in a visually readable form. Examples are printed reports and computer listings.                                                                                 |
| Hardware                 | The mechanical, magnetic, electrical and electronic devices or components of a computer. Examples are disk drives and registers.                                                                  |
| Housekeeping             | The administrative or overhead operations or functions which are necessary in order to maintain control of a situation.                                                                           |
| Instruction              | A set of characters, together with one or more addresses (or no address), that defines an operation and which, as a unit, causes the computer to operate accordingly on the indicated quantities. |
| Interrupt                | A special control signal that diverts the attention of the computer from the program in progress because of a particular event or set of circumstances. Control is transferred to a specific      |

|                            |                                                                                                                                                                                         |
|----------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                            | address which is directly related to the type of interrupt that has occurred.                                                                                                           |
| Job Control Language (JCL) | Statements provided by a program and used by the Operating System to initiate and control the processing of jobs.                                                                       |
| Linkage Editor             | A service program used to prepare loadable programs from the output of compilers. A loadable program is one which has passed the compilation stage and is to be prepared for execution. |
| MOD (A, B)                 | The remainder upon dividing the quantity preceding the comma, by the quantity following it.                                                                                             |
| Modem                      | A device that converts data from a form which is compatible with data-processing equipment to a form that is compatible with transmission facilities, and vice-versa.                   |
| Operating System           | An integrated collection of service routines for supervising the sequencing of programs by a computer; it may perform input-output, accounting, and debugging.                          |
| Poll                       | A request by the CPU to each terminal for a message or for readiness to receive a reply.                                                                                                |

|                              |                                                                                                                                                  |
|------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|
| Privileged Instruction       | The input and output instructions plus the instructions for changing the mode switch and memory bounds register (Page 14).                       |
| Program                      | A set of steps that tells the computer exactly how to handle a complete problem.                                                                 |
| Record                       | A collection of fields related to a common identifier.                                                                                           |
| Register                     | A device for the temporary storage of one or more words to facilitate arithmetic, logical or transferral operations.                             |
| Secondary(Auxiliary) Storage | Storage that is not an integral part of the computer, but is directly linked to and controlled by it. Examples are magnetic tape, disk and drum. |
| Software                     | The internal programs or routines professionally prepared to simplify programming and computer operations.                                       |
| Start Symbol                 | A symbol which indicates the beginning of data that is to be transferred from a CRT to the data channel.                                         |
| System Residence Volume      | A volume that houses frequently used programs such as compilers.                                                                                 |
| Terminal                     | A subset of the input-output devices such as teletypewriters and CRTs.                                                                           |

|                                |                                                                                                                         |
|--------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Upper-case Letters             | Capital letters.                                                                                                        |
| Volume                         | A standard unit of auxiliary storage, such as a reel of tape or a disc pack.                                            |
| Volume Switching               | A change of control from one volume to another.<br><br>This may happen when a data set resides on more than one volume. |
| Volume Table of Contents(VTOC) | A table containing the name, location, organization and other control information for a data set stored on the volume.  |

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