

Perfect quantum state transfer in weighted paths with potentials (loops) using orthogonal polynomials

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ARTICLE HISTORY

Compiled February 11, 2018

ABSTRACT

A simple method for transmitting quantum states within a quantum computer is via a quantum spin chain—that is, a path on n vertices. Unweighted paths are of limited use, and so a natural generalization is to consider weighted paths; this has been further generalized to allow for loops (*potentials* in the physics literature). We study the particularly important situation of perfect state transfer with respect to the corresponding adjacency matrix or Laplacian through the use of orthogonal polynomials. Low-dimensional examples are given in detail. Our main result is that PST with respect to the Laplacian matrix cannot occur for weighted paths on $n \geq 3$ vertices nor can it occur for certain symmetric weighted trees. The methods used lead us to a conjecture directly linking the rationality of the weights of weighted paths on $n > 3$ vertices, with or without loops, with the capacity for PST between the end vertices with respect to the adjacency matrix.

KEYWORDS

quantum state transfer; perfect state transfer; orthogonal polynomials; weighted paths; energy potential

AMS CLASSIFICATION

05C22; 05C50; 15A18; 42C05; 81P45

1. Introduction

A quantum spin chain can be used as a means of accomplishing the important task of transferring a quantum state from one place to another within a quantum computer [1]. From a graph theoretic perspective, we are interested in paths on n vertices. It was found [2] that unweighted paths governed by XX dynamics (where one considers the corresponding adjacency matrix) only exhibit perfect state transfer (PST; a desirable property when transferring a quantum state) for $n \leq 3$.

Several offshoots have developed: one avenue is to consider pretty good state transfer (PGST; essentially this amounts to being “arbitrarily close” to PST). In this regard, a complete characterization of the parameters (length of the unweighted chain) for which there is PGST from one end vertex to the other was given in [3], where it was shown that PGST occurs on

an unweighted chain with n nodes if and only if $n + 1$ is either a prime number, two times a prime number, or a power of two.

A second avenue is to consider graphs other than paths to see if they also exhibit PST, and as it turns out, many different families of graphs have this property. Some examples are: a family of double-cone non-periodic graphs, certain joins of regular graphs with K_2 or with the empty graph on two vertices [4,5]. Also, necessary and sufficient conditions for circulant graphs (Cayley graphs on the group \mathbf{Z}_n) to exhibit PST have been given in [6,7]. The Cartesian product of two graphs with PST both at time t has also been shown to exhibit PST [8], in particular the n -fold Cartesian product of K_2 with itself (the n -cube) has PST between its antipodal vertices. For a more general family of graphs— cubelike graphs — it was shown that if the sum of all the elements in the connection set is not 0, then there is PST in that graph [9], and when the sum is 0, a necessary and sufficient condition for such a graph to admit PST is given in [10]. The PST property of Hadamard diagonalizable graphs (a graph whose Laplacian is diagonalizable by a Hadamard matrix) has also been studied in [11]; a simple eigenvalue characterization for such graphs to admit PST at time $\pi/2$ is given, which was used to construct more graphs with PST. However, a path is arguably the simplest graph structure, and since the graphs would need to be realized physically within a quantum computer, it is desirable to proceed with paths when possible, in order to minimize the amount of physical and technological resources required.

Along this line, a third avenue is to consider weighted paths (we use the term “path” to mean an unweighted path). In this paper, we always consider weighted or unweighted paths on n vertices, with vertex set $\{1, 2, \dots, n\}$. In [12], it was shown that for XX dynamics, PST can be achieved over arbitrarily long distances by allowing for different, but fixed, couplings between the qubits on the chain (the strengths of couplings correspond to the edge weights of the underlying weighted graph of the network; the edge weights they used to achieve PST from vertex 1 to vertex n were $w(j, j + 1) = w(j + 1, j) = \sqrt{j(n - j)}$ for each $j \in \{1, \dots, n - 1\}$). The case of other weights, as well as the addition of potentials (represented mathematically as weighted loops in the graph) remained open. It was conjectured in [13], based on numerical evidence, that paths of arbitrary length n can be made to have PST from vertex 1 to vertex n by the addition of a suitable amount of energy (such *energy shifts* are later [14,15] referred to as a potential function on the vertex set, or simply as potentials). This conjecture was then raised as an open problem in [16]. Asymptotic [17] (taking the potentials at the endpoints arbitrarily large) and approximate [18] results gave affirmative answers to the conjecture in the respective settings. Very recently, the conjecture was shown to be false for the PST setting [14] but true in the more relaxed setting of PGST [15].

We consider this third avenue from a matrix analysis point of view: weighted paths and weighted paths with potentials (loops) amount to tridiagonal matrices with certain restrictions (e.g. the diagonal entries are necessarily zero for weighted or unweighted paths without potentials when considering XX dynamics; that is, when considering the adjacency matrix associated to the graph). Any symmetric tridiagonal matrix gives way to a three-term recurrence relation, and so our approach is to work with the orthogonal polynomials that arise by considering the tridiagonal matrix as an operator on the polynomial space.

Given the eigenvalues of a weighted path with or without loops satisfying certain conditions, several algorithms exist for constructing a tridiagonal matrix corresponding to a graph with PST: in [19], the authors produce formulas for calculating the weights of the discrete inner product that arises through the orthogonal polynomials; in [20, Chapter 4] two methods for computing the eigenvectors needed in the inversion procedure are reviewed, in addition to the method in [19], and in [21], the authors use the Euclidean algorithm starting with p_n and p_{n-1} in order to find p_{n-2} and then repeat until all the orthogonal polynomials have been found.

Using an approach similar to that found in [22], we obtain formulas, in terms of the eigenvalues, for the weight of the edge between vertices $\lfloor \frac{n}{2} \rfloor$ and $\lfloor \frac{n}{2} + 1 \rfloor$ and the potential (if allowing for loops) at vertex $\lceil \frac{n}{2} \rceil$. This allows one to determine the “middle” weights of the weighted path (with or without loops) without the need to calculate all the orthogonal polynomials or the weights of the inner product. We give examples for $n < 5$ illustrating the utility of our formulas. We then show that if we consider XXX dynamics (that is, we consider the Laplacian associated to the graph), a weighted path on at least three vertices cannot have PST. We extend this result to apply to symmetric weighted trees. For XX dynamics, our analysis leads us to propose the following conjecture: weighted paths on at least four vertices with or without loops must have at least one irrational weight in order to have adjacency matrix PST at a fixed readout time π ; we confirm this conjecture for $n = 4$ as well as for $n \equiv 3 \pmod{8}$ and for $n \equiv 5 \pmod{8}$. These results shed light on the nature of weighted paths with or without loops that exhibit PST between the endpoints.

Throughout this paper, in the setting of adjacency matrices, we consider paths with or without loops. In the Laplacian matrix setting, we only consider paths without loops.

The paper is organized as follows. In Section 2 we review preliminary information necessary for our results. In Section 3, we give our formulas for constructing the middle weights of the weighted path and provide examples for small n ($n = 2$ and $n = 3$) for both the adjacency matrix and Laplacian matrix settings. In Section 4, we prove that a weighted path with at least three vertices cannot have Laplacian PST and generalize the result to mirror symmetric trees. In Section 5, we consider further examples in the adjacency matrix setting ($n = 4$ and $n = 5$) and prove a negative result for rational-weighted paths with or without potentials with respect to adjacency matrix PST; we conjecture that this holds for all $n \geq 4$.

2. Preliminaries

Given a quantum system, the Hamiltonian H is a matrix representing the total energy of the system; its spectrum represents the possible measurement outcomes when one measures the total energy. The dynamics of the system lead us to consider either the adjacency matrix or the Laplacian of the graph corresponding to the system.

Let G be an undirected graph on n vertices (G here can be either weighted or unweighted). The corresponding *adjacency matrix* is an $n \times n$ matrix $A = [a_{jk}]$ whose entries satisfy $a_{jk} = w(j, k)$, where $w(j, k)$ is the weight of the edge between vertex j and vertex k (if there is no edge between the two vertices, then $w(j, k) = 0$; if the graph is unweighted then all edges are taken to have weight 1). The *degree* of a vertex is the sum of the weights of the incident edges, and we can create a diagonal degree matrix D whose (j, j) entry is the degree of vertex j . The *Laplacian matrix* (or simply, the Laplacian) corresponding to a simple graph G is $L = D - A$, which is a positive semidefinite matrix with smallest eigenvalue zero (its multiplicity is equal to the number of connected components of the graph—and thus equal to one herein—with the all-ones vector as its eigenvector).

We are interested in the matrix exponential e^{itH} , where H is the Hamiltonian of the system (i.e., the adjacency matrix or the Laplacian matrix, depending on the dynamics) and t is the readout time. Let $1 \leq j < k \leq n$. There is *perfect state transfer* from vertex j to vertex k if there exists some time $t = t_0$ such that $|e_j^T e^{it_0 H} e_k|^2 = 1$, where $\{e_\ell\}_{\ell=1}^n$ is the standard ordered basis. There is *pretty good state transfer* from vertex j to vertex k if for any $\epsilon > 0$, there exists a time $t = t_\epsilon$ such that $|e_j^T e^{it_\epsilon H} e_k|^2 > 1 - \epsilon$. Note that, because G is undirected, PST (or PGST) occurs from vertex j to vertex k if and only if it occurs from vertex k to vertex j .

Here, we focus on two settings: weighted or unweighted paths governed by XXX dynam-

ics; and weighted or unweighted paths, that may or may not have loops, governed by XX dynamics. In both settings, the vertices of the path are labelled so that vertex j is adjacent to vertex $j + 1, j = 1, \dots, n - 1$. As a result, our Hamiltonian will always be a tridiagonal matrix of one of the following two forms depending on the dynamics (A for XX dynamics, L for XXX dynamics)

$$A = \begin{bmatrix} q_1 & r_1 & & & & & & & \\ r_1 & q_2 & r_2 & & & & & & \\ & r_2 & q_3 & r_3 & & & & & \\ & & & \ddots & & & & & \\ & & & & & r_{n-1} & & & \\ & & & & r_{n-1} & q_n & & & \end{bmatrix}, L = \begin{bmatrix} q_1 & -r_1 & & & & & & & \\ -r_1 & q_2 & -r_2 & & & & & & \\ & -r_2 & q_3 & -r_3 & & & & & \\ & & & \ddots & & & & & \\ & & & & & & & & \\ & & & & & & & & -r_{n-1} \\ & & & & & & -r_{n-1} & q_n & \end{bmatrix} \quad (1)$$

For the adjacency matrix case, all $q_j = 0$ for (unweighted or weighted) paths without potentials. A potential at vertex j corresponds to an entry $q_j \neq 0$. For the Laplacian matrix case, $q_1 = r_1, q_j = r_{j-1} + r_j$ for $j = 2, 3, \dots, n - 1$, and $q_n = r_{n-1}$. In both cases, $r_j > 0$ denotes the weight of the edge between vertex j and $j + 1$.

As in [22], we note that both of the above two symmetric tridiagonal $n \times n$ matrices are connected to a set of n orthogonal polynomials via the three term recurrence given by

$$p_k(x) = (x - q_k)p_{k-1}(x) - r_{k-1}^2 p_{k-2}(x) \quad \text{for all } k \in \{1, \dots, n\} \quad (2)$$

where we define $p_{-1}(x) = 0$ and $p_0(x) = 1$. We will denote the eigenvalues of A or L (the roots of $p_n(x)$) by α_r .

Rearranging equation (2), we find that $x p_{k-1}(x) = p_k(x) + q_k p_{k-1}(x) + r_{k-1}^2 p_{k-2}(x)$, and thus we can consider the operator

$$M = \begin{bmatrix} q_1 & 1 & & & & & & & \\ r_1^2 & q_2 & 1 & & & & & & \\ & r_2^2 & q_3 & 1 & & & & & \\ & & \ddots & \ddots & \ddots & & & & \\ & & & r_{n-2}^2 & q_{n-1} & 1 & & & \\ & & & & r_{n-1}^2 & q_n & & & \end{bmatrix}. \quad (3)$$

The matrix M represents multiplication by $x \pmod{p_n(x)}$ in the basis $\{p_0(x), \dots, p_{n-1}(x)\}$. We note that A is similar to M via $QM = AQ$ where $Q = \text{diag}(d_1, \dots, d_n)$ and

$$d_j = \begin{cases} \frac{1}{\prod_{\ell=1}^{j-1} r_\ell} & \text{if } j \neq 1 \\ 1 & \text{if } j = 1 \end{cases} \quad (4)$$

The Matrix L is also similar to M via $TM = LT$ where $T = \text{diag}(d_1, \dots, d_n)$ and

$$d_j = \begin{cases} \frac{(-1)^{j-1}}{\prod_{\ell=1}^{j-1} r_\ell} & \text{if } j \neq 1 \\ 1 & \text{if } j = 1 \end{cases} \quad (5)$$

Letting H denote the matrix A or L in equation (1), we also note that the eigenvalues of

H are real and distinct since $r_j \neq 0$ for all j (see, e.g. [23, Chapter 4]); this allows for the multiplication by x viewpoint to hold. We then use this distinctness to order the eigenvalues as follows:

$$\alpha_1 < \alpha_2 < \cdots < \alpha_n \quad (6)$$

Note that the eigenvector of M associated to the eigenvalue α_j is $w_j = [p_0(\alpha_j), p_1(\alpha_j), \dots, p_{n-1}(\alpha_j)]^T$. This can be verified by computing Mw_j , and then using the recurrence relation (2) evaluated at α_j to simplify each term.

Now, let us consider the set of polynomials $S = \{\tilde{p}_0(x), \dots, \tilde{p}_{n-1}(x)\}$ with $\tilde{p}_k(x) = d_{k+1}p_k(x)$, where the d 's are given by Equation (4) if we are taking $H = A$ and the d 's are given by Equation (5) if we are taking $H = L$. The set S is a basis of the vector space of all polynomials of degree less than n . In the basis S , the matrix that represents the multiplication by the $x \pmod{p_n(x)}$ operator is exactly H .

Let H denote the matrix A or L in Equation (1). Let $v_r = [\tilde{p}_0(\alpha_r), \tilde{p}_1(\alpha_r), \dots, \tilde{p}_{n-1}(\alpha_r)]^T$, then the two vectors v_r and v_s are orthogonal to each other for any $r \neq s$. Normalizing these vectors, assume the factors are $\sqrt{\kappa_j}$, $j = 1, \dots, n$, respectively, then the matrix $V = [\sqrt{\kappa_1}v_1, \sqrt{\kappa_2}v_2, \dots, \sqrt{\kappa_n}v_n]$ is an orthogonal matrix, and it diagonalizes the Hamiltonian H to the diagonal matrix $\Lambda = \text{diag}(\alpha_1, \dots, \alpha_n)$, i.e., $V^T H V = \Lambda$. If there is PST between vertex $j + 1$ and $k + 1$ at time $t = t_0$, then $1 = |e_{j+1}^T e^{it_0 H} e_{k+1}| = |e_{j+1}^T V e^{it_0 \Lambda} V^T e_{k+1}| = |r_{j+1} e^{it_0 \Lambda} r_{k+1}^T|$, where r_j is the j -th row of the matrix V . From the Cauchy-Schwarz inequality, we know $r_{j+1} e^{it_0 \Lambda} = e^{i\phi} r_{k+1}$ for some phase factor ϕ , which can be rewritten as

$$\frac{\tilde{p}_k(\alpha_r)}{\tilde{p}_j(\alpha_r)} = e^{-i\phi} e^{it_0 \alpha_r} \quad (7)$$

for $r = 1, 2, \dots, n$, and some phase factor ϕ . Since the polynomials $\tilde{p}_j(x)$ are real, it follows that $\tilde{p}_k(\alpha_r)/\tilde{p}_j(\alpha_r) = \pm 1$. In fact, if we consider the case of PST between the endpoints, it is well known [21] that $\tilde{p}_{n-1}(\alpha_r) = (-1)^{n+r}$. Looking at two neighbouring eigenvalues α_r and α_{r-1} , Kay [24] found that $\alpha_r - \alpha_{r-1} = (2m_r + 1)\pi/t_0$ where m_r is any nonnegative integer. Here we scale the Hamiltonian (A or L depending on the dynamics) by a factor t_0/π so that the PST time is π , and we therefore look at the simpler expression

$$\alpha_r - \alpha_{r-1} = (2m_r + 1). \quad (8)$$

It is known [24, Lemma 2] that for a symmetric tridiagonal Hamiltonian H , if PST occurs between the end vertices, then H must also be persymmetric (symmetric about the anti-diagonal; such persymmetric matrices are also called mirror symmetric in the literature). In the case of a weighted path having no potentials and governed by XX dynamics (therefore q_1, \dots, q_n are all zeros), the associated graph is then bipartite, and by properties of bipartite graphs the eigenvalues are symmetric about zero. In this case, we give the eigenvalues another set of labels as follows

$$\begin{aligned} & -\beta_{\frac{n}{2}} < \cdots < -\beta_2 < -\beta_1 < 0 < \beta_1 < \beta_2 < \cdots < \beta_{\frac{n}{2}}, \text{ for } n \text{ even} \\ & -\beta_{\frac{n-1}{2}} < \cdots < -\beta_2 < -\beta_1 < \beta_0 = 0 < \beta_1 < \beta_2 < \cdots < \beta_{\frac{n-1}{2}}, \text{ for } n \text{ odd} \end{aligned} \quad (9)$$

(we use zero as the index of the zero eigenvalue in the case that n is odd; zero does not appear as an eigenvalue in the case that n is even). From now on, when we mention the eigenvalues

as α_r , we mean the ones ordered as in (6); and when we mention eigenvalues β_r we mean the ones as in (9). If n is even then (8) and (9) yield the fact that $\beta_1 - (-\beta_1) = 2\beta_1 = (2m_1 + 1)$, and therefore $\beta_1 = (2m_1 + 1)/2$. Using this, we find

$$\beta_2 - \beta_1 = (2m_2 + 1) \quad (10)$$

$$\Rightarrow \beta_2 = (2m_2 + 1) + \frac{(2m_1 + 1)}{2} \quad (11)$$

$$= \frac{(4m_2 + 2m_1 + 3)}{2}. \quad (12)$$

Following this, we see that if n is even, all β_r will be odd multiples of $1/2$. In fact, one can easily show by continuing the analysis of $\beta_r - \beta_{r-1}$, that the β_r alternate between $1 \bmod 4$ times $1/2$ and $3 \bmod 4$ times $1/2$ (these give us alternating $\pm i$ when considering $e^{i\pi\beta_r}$ in the matrix exponential $e^{i\pi H}$). A similar analysis shows that if n is odd, the β_r are even multiples of $1/2$, alternating between $0 \bmod 4$ times $1/2$ and $2 \bmod 4$ times $1/2$ (these give us alternating ± 1 when considering $e^{i\pi\beta_r}$), with $\beta_0 = 0 \equiv 0 \bmod 4$. We summarize this in the following remark:

Remark 1. For the adjacency matrix of a weighted path without potentials that exhibits PST between the end vertices at time π , the eigenvalues β_r adhere to the following pattern: for n even, the β_r alternate between $(1 \bmod 4) \times 1/2$ and $(3 \bmod 4) \times 1/2$, while for n odd, the β_r alternate between $(0 \bmod 4) \times 1/2$ and $(2 \bmod 4) \times 1/2$.

For the adjacency matrix of a weighted path with loops, we can shift all the eigenvalues (by adding a multiple of the identity) such that the smallest eigenvalue is an integer; equation (8) then tells us they must alternate even and odd. This new weighted path (possibly with potentials) will exhibit PST if and only if the original path does. The eigenvalues can then be assumed to be integers with alternating parity without loss of generality. In the case of XXX dynamics, L is positive semi-definite with smallest eigenvalue 0 (with multiplicity 1 since the graph is connected). Using this together with equation (8), we know the integer sequence of ordered eigenvalues α_r begins with 0 (even number) and then alternates odd, even, odd, ... for all the remaining eigenvalues. We summarize this in the following remark:

Remark 2. If a weighted path with potentials exhibits PST at time π , then the eigenvalues α_r of its adjacency matrix can be taken to alternate between even and odd (or odd and even) integers. Without loss of generality, for notational simplicity, we can shift so that the odd-indexed eigenvalues are odd, and the even-indexed eigenvalues are even (so $\alpha_1, \dots, \alpha_n$ alternate between odd and even). If the Laplacian of a weighted path with no potentials exhibits PST at time π , then the eigenvalues α_r alternate between even and odd integers (starting with the smallest eigenvalue: zero).

Proposition 2.1. *For a weighted path with or without potentials, PST between vertices 1 and n implies PST between vertices j and $n + 1 - j$, for each $j = 2, \dots, n - 1$. If for some j with $2 \leq j \leq n - 1$ there is PST between vertices j and $n + 1 - j$, and if in addition none of the eigenvectors of the Hamiltonian has a zero entry in the j -th position, then the converse holds.*

Proof. Consider the matrix M in equation (3). Recall that the eigenvector w_r of this matrix

corresponding to the eigenvalue α_r is

$$w_r = \begin{bmatrix} p_0(\alpha_r) \\ \vdots \\ p_{n-1}(\alpha_r) \end{bmatrix}. \quad (13)$$

Since $H = QMQ^{-1}$ (for $H = A$) or $H = TMT^{-1}$ (for $H = L$), the eigenvector v_r for H corresponding to the eigenvalue α_r is

$$\begin{aligned} v_r &= Qw_r \quad (\text{or } v_r = Tw_r) \\ &= \begin{bmatrix} d_1 p_0(\alpha_r) \\ \vdots \\ d_n p_{n-1}(\alpha_r) \end{bmatrix}. \end{aligned} \quad (14)$$

Now, if we assume that there is PST between the endpoints then H must be mirror symmetric. The eigenvectors will therefore be either symmetric or antisymmetric (i.e. $(v_r)_j = \pm (v_r)_{n-j+1}$, $j = 1, 2, \dots, n$) [26, Theorem 2], and recall that $\tilde{p}_j(x) = d_{j+1}p_j(x)$, we have either $\tilde{p}_{j-1}(\alpha_r)$ and $\tilde{p}_{n-j}(\alpha_r)$ are both zero, or neither of them is zero and for some phase factor $\hat{\phi}$ they satisfy

$$\frac{\tilde{p}_{j-1}(\alpha_r)}{\tilde{p}_{n-j}(\alpha_r)} = \frac{\tilde{p}_0(\alpha_r)}{\tilde{p}_{n-1}(\alpha_r)} = \pm 1 = e^{i(\pi\alpha_r - \hat{\phi})}. \quad (15)$$

The above is valid for all α_r and j such that $\tilde{p}_{n-j}(\alpha_r) \neq 0$, and the quotients share the same alternating pattern between 1 and -1 determined by the PST between the end vertices; hence there is perfect state transfer between the vertices j and $n+1-j$.

The steps above are all reversible under certain conditions: if there is PST between a pair of inner vertices j and $n+1-j$ for some $2 \leq j \leq n-1$, and if $p_{j-1}(\alpha_r) \neq 0$ for all $\alpha_1, \dots, \alpha_n$ (and therefore $p_{n-j}(\alpha_r) \neq 0$ as well), then equation (15) is true for all α_r and the given j , and therefore there is PST between the two end vertices \square

Referring to the proof of Proposition 2.1, we observe in passing that if $p_{j-1}(\alpha_r) = p_{n-j}(\alpha_r) = 0$ for some r , then although the eigenvector symmetry/antisymmetry condition still holds, it does not provide the $e^{i(\pi\alpha_r - \hat{\phi})} = \pm 1$ constraints on the eigenvalues needed for PST between end vertices.

3. Constructing matrices guaranteed to have PST for weighted paths with or without loops

Given a set of eigenvalues (with restrictions given from equation (8)), we would like to reconstruct the adjacency matrix of a weighted path with or without potentials, that is guaranteed to have PST between vertices 1 and n . That is, by choosing values for $\alpha_1, \dots, \alpha_n$ satisfying Equation (8) (these will correspond to the eigenvalues of the adjacency matrix), one can reverse-engineer weighted paths, with or without potentials, having PST. We go through the low-dimensional cases in detail in this section and the next section.

We next state a technical result that is especially helpful in analyzing the eigenvalues of matrices that are persymmetric.

shows that the unweighted path on 2 vertices, given by the adjacency matrix $\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$ has PST from vertex 1 to vertex 2. Similarly, for the Laplacian case, since $\alpha_1 = 0$, the Hamiltonian L reduces to $\frac{1}{2} \begin{bmatrix} \alpha_2 & -\alpha_2 \\ -\alpha_2 & \alpha_2 \end{bmatrix}$.

For $n = 3$, Corollary 3.2 yields a weighted path with potentials governed by the XX dynamics having $r_1 = \frac{\sqrt{-2\alpha_2^2 + 2\alpha_1\alpha_2 - 2\alpha_1\alpha_3 + 2\alpha_2\alpha_3}}{2}$ and $q_2 = \alpha_1 - \alpha_2 + \alpha_3$. Here B_1 is simply the 1×1 matrix (q_1) , and thus we can find q_1 via $q_1 = \text{tr}(B_1) = \alpha_2$.

Under XX dynamics, the Hamiltonian A is

$$A = \begin{bmatrix} \alpha_2 & \frac{\sqrt{-2\alpha_2^2 + 2\alpha_1\alpha_2 - 2\alpha_1\alpha_3 + 2\alpha_2\alpha_3}}{2} & 0 \\ \frac{\sqrt{-2\alpha_2^2 + 2\alpha_1\alpha_2 - 2\alpha_1\alpha_3 + 2\alpha_2\alpha_3}}{2} & \alpha_1 - \alpha_2 + \alpha_3 & \frac{\sqrt{-2\alpha_2^2 + 2\alpha_1\alpha_2 - 2\alpha_1\alpha_3 + 2\alpha_2\alpha_3}}{2} \\ 0 & \frac{\sqrt{-2\alpha_2^2 + 2\alpha_1\alpha_2 - 2\alpha_1\alpha_3 + 2\alpha_2\alpha_3}}{2} & \alpha_2 \end{bmatrix}.$$

If we consider a weighted path with no potentials (and so $\alpha_1, \alpha_2, \alpha_3$ are simply $-\beta_1, 0, \beta_1$), the adjacency matrix reduces to

$$A = \begin{bmatrix} 0 & \frac{\beta_1}{\sqrt{2}} & 0 \\ \frac{\beta_1}{\sqrt{2}} & 0 & \frac{\beta_1}{\sqrt{2}} \\ 0 & \frac{\beta_1}{\sqrt{2}} & 0 \end{bmatrix}. \quad (21)$$

The unweighted path given by the adjacency matrix $A = \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}$ has eigenvalues $-\sqrt{2}, 0, \sqrt{2}$, thus yielding $\frac{\beta_1}{\sqrt{2}} = 1$, and so A is the Hamiltonian given in equation (21). Thus our 3×3 example is consistent with the literature: the unweighted path on 3 vertices has PST from vertex 1 to vertex 3, and from the above we see that the weighted case is simply a scalar multiple ($\beta_1/\sqrt{2}$) of the unweighted case.

Similarly for the XXX dynamics, from Remark 3 and the fact that $\alpha_1 = 0$, we know the Laplacian reduces to

$$L = \begin{bmatrix} \alpha_2 & -\frac{\sqrt{-2\alpha_2^2 + 2\alpha_2\alpha_3}}{2} & 0 \\ -\frac{\sqrt{-2\alpha_2^2 + 2\alpha_2\alpha_3}}{2} & \alpha_3 - \alpha_2 & -\frac{\sqrt{-2\alpha_2^2 + 2\alpha_2\alpha_3}}{2} \\ 0 & -\frac{\sqrt{-2\alpha_2^2 + 2\alpha_2\alpha_3}}{2} & \alpha_2 \end{bmatrix}.$$

4. PST between end points of a path fails for the Laplacian

Now we show that under XXX dynamics there is no weighted path on at least 3 vertices that admits PST between the end points. As discussed in Remark 2, if there is PST at time π between the end vertices, the eigenvalues of the Laplacian are integers and alternate between even and odd (starting at even).

Theorem 4.1. *No weighted (or unweighted) path on $n \geq 3$ vertices admits Laplacian PST between the end points.*

Proof. We begin by assuming n is even. The persymmetric Laplacian is of the form

$$\left[\begin{array}{cccccccc} r_1 & -r_1 & & & & & & \\ -r_1 & r_1 + r_2 & -r_2 & & & & & \\ & -r_2 & r_2 + r_3 & -r_3 & & & & \\ & & & \ddots & & & & \\ & & & & -r_{\frac{n}{2}-1} & r_{\frac{n}{2}-1} + r_{\frac{n}{2}} & -r_{\frac{n}{2}} & \\ & & & & & -r_{\frac{n}{2}} & r_{\frac{n}{2}-1} + r_{\frac{n}{2}} & -r_{\frac{n}{2}-1} \\ & & & & & & & \ddots \\ & & & & & & & & -r_2 & r_1 + r_2 & -r_1 \\ & & & & & & & & & -r_1 & r_1 \end{array} \right] \quad (22)$$

with B_1 and B_2 written according to Lemma 3.1. Note the eigenvalues of B_1 are $\alpha_2, \dots, \alpha_n$, and the eigenvalues of B_2 are $\alpha_1, \dots, \alpha_{n-1}$.

First, we compute the determinant of B_1 . Observe that B_1 can be written as $\hat{B}_1 + 2r_{\frac{n}{2}} e_{\frac{n}{2}} e_{\frac{n}{2}}^T$, where \hat{B}_1 is the Laplacian matrix for a weighted path on $\frac{n}{2}$ vertices with edge weights $r_j, j = 1, \dots, \frac{n}{2} - 1$. We deduce that $\det B_1 = \det \hat{B}_1 + 2r_{\frac{n}{2}} c$, where c is the determinant of the leading principal submatrix of \hat{B}_1 of order $\frac{n}{2} - 1$. Evidently $\det \hat{B}_1 = 0$, and applying the weighted matrix tree theorem [27, Theorem 1.2], we find that $c = r_1 r_2 \dots r_{\frac{n}{2}-1}$; hence $\det B_1 = 2r_1 r_2 \dots r_{\frac{n}{2}}$. Thus we have

$$2r_1 r_2 \dots r_{\frac{n}{2}} = \alpha_2 \alpha_4 \dots \alpha_n. \quad (23)$$

Note that in this setting B_2 is also a Laplacian matrix, and so the weighted matrix tree theorem tells us that all its cofactors of order $\frac{n}{2} - 1$ are equal. The $(1, \frac{n}{2})$ cofactor in this case is $(-1)^{\frac{n}{2}-1} (-r_1)(-r_2) \dots (-r_{\frac{n}{2}-1}) = r_1 r_2 \dots r_{\frac{n}{2}-1}$. Since the sum of all principal minors of size $\frac{n}{2} - 1$ equals the $(\frac{n}{2} - 1)$ -th elementary symmetric function of $\alpha_1, \alpha_3, \dots, \alpha_{n-1}$, that is $\sum_{j=0, \dots, \frac{n}{2}-1} \prod_{k \neq j} \alpha_{2k+1}$, and using the fact $\alpha_1 = 0$ (so the only nonzero product in the summand is $\alpha_3 \dots \alpha_{n-1}$), we have

$$\frac{n}{2} r_1 r_2 \dots r_{\frac{n}{2}-1} = \alpha_3 \alpha_5 \dots \alpha_{n-1}. \quad (24)$$

Combining equations (24) and (23), we find that

$$2r_{\frac{n}{2}} = \frac{\frac{n}{2} \alpha_2 \alpha_4 \dots \alpha_n}{\alpha_3 \alpha_5 \dots \alpha_{n-1}}. \quad (25)$$

Now, $2r_{\frac{n}{2}} = S_1 \in \mathbb{Z}$ by Corollary 3.2, and the numerator of the right hand side of equation (25) is $\frac{n}{2}$ times all the odd eigenvalues while the denominator is the product of all the even eigenvalues. Thus we obtain a factor of $2^{\frac{n}{2}-1}$ in the denominator, from which it follows that $2^{\frac{n}{2}-1}$ divides $\frac{n}{2}$, which is a contradiction provided $\frac{n}{2} \geq 3$, i.e. provided $n \geq 6$.

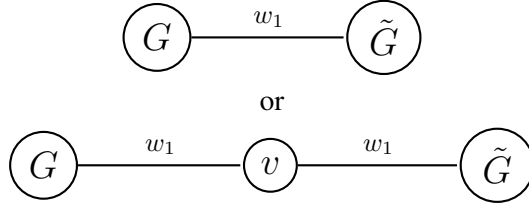
For $n = 4$, again from Corollary 3.2, we find $r_2 = \frac{S_1}{2}$ and $q_2 = \frac{S_2}{2S_1}$. Substituting q_2 into

$r_1 \cdots r_{\frac{n-1}{2}} + \frac{n-1}{2} 2r_1 \cdots r_{\frac{n-1}{2}} = nr_1 \cdots r_{\frac{n-1}{2}} = \alpha_3 \alpha_5 \cdots \alpha_n$. Combining this equation with the one for B_1 , we have $n\alpha_2 \alpha_4 \cdots \alpha_{n-1} = \alpha_3 \alpha_5 \cdots \alpha_n$. This is a contradiction, since the left side of the equation is an odd number, while the right side is an even number.

This completes the n odd case. \square

We note that it was recently found [28] that there is no Laplacian PST for (unweighted) trees. Theorem 4.1 resolves the weighted generalization for the special case of paths. In fact, we can generalize the above theorem to any weighted tree whose Laplacian matrix is persymmetric. Such a weighted tree can be represented schematically as follows (see Figure 1):

Figure 1.



where G is a weighted tree, \tilde{G} is the mirror image of G , and w_1 is an edge weight. The first graph (with a weighted edge connecting a vertex in G to its corresponding vertex in \tilde{G}) generalizes weighted paths with n even, while the second graph (with one middle vertex v connected to a vertex in G and to the corresponding vertex in \tilde{G}) generalizes weighted paths with n odd.

Lemma 3.1 applies equally well in the situation of a weighted tree whose Laplacian matrix is persymmetric. For such a weighted tree, the matrix C is as in Corollary 3.2: it has one non-zero entry for n even (so the two matrices B_1 and B_2 are still rank one Hermitian perturbation of each other) and it is the zero matrix for n odd (B_2 is a bordered Hermitian matrix of B_1). Although the Hamiltonian (and thus B_1 and B_2) is more complicated than in Corollary 3.2, the interlacing of the eigenvalues of B_1 and B_2 still holds, and the arguments using the weighted matrix tree theorem continue to apply.

Assume n is even, now observe that if v is an eigenvector of $B_1 = E - RC$ associated to the eigenvalue λ , i.e., $(E - RC)v = \lambda v$, then from $\begin{bmatrix} E & RCR \\ C & RER \end{bmatrix} \begin{bmatrix} v \\ -Rv \end{bmatrix} = \begin{bmatrix} Ev - RCv \\ Cv - REv \end{bmatrix} = \lambda \begin{bmatrix} v \\ -Rv \end{bmatrix}$, we know the antisymmetric vector $\begin{bmatrix} v \\ -Rv \end{bmatrix}$ is an eigenvector of the Hamiltonian $L = \begin{bmatrix} E & RCR \\ C & RER \end{bmatrix}$ associated to the eigenvalue λ . Similarly, if u is the eigenvector of $B_2 = E + RC$ associated to the eigenvalue μ , then the symmetric vector $\begin{bmatrix} u \\ Ru \end{bmatrix}$ is an eigenvector of L associated to eigenvalue μ . Using all the $n/2$ orthogonal eigenvectors v_j of B_1 and the $n/2$ orthogonal eigenvectors u_j of B_2 , we can form n orthogonal eigenvectors of L : $\begin{bmatrix} v_j \\ -Rv_j \end{bmatrix}$, $\begin{bmatrix} u_j \\ Ru_j \end{bmatrix}$, $j = 1, \dots, n/2$. Normalize each of them and use them as columns to form a real orthogonal matrix S ; assume it diagonalizes L to Λ . If there is PST between a vertex j and its mirror image $n + 1 - j$, then $s_j e^{i\pi\Lambda} = e^{i\phi} s_{n+1-j}$, where s_ℓ is the ℓ -th row of S and ϕ is some real number. If we assume S does not have any zero entries, then from the symmetric and antisymmetric structures of the eigenvectors, and the fact that 0 is an eigenvalue, we

know the eigenvalues of B_1 are odd integers, and the eigenvalues of B_2 are even integers. So the arguments in Theorem 4.1 applies if each of the two matrices B_1 and B_2 formed from the Laplacian of a persymmetric weighted tree can be diagonalized by some real orthogonal matrix which does not have zero entries.

If n is odd, then the Laplacian of the tree is $L = \begin{bmatrix} E & x & 0 \\ x^T & 2w_1 & x^T R \\ 0 & Rx & RER \end{bmatrix}$, where $x = [0 \cdots 0 - w_1]^T \in \mathbb{R}^{\frac{n-1}{2}}$. As above, we can check if v is an eigenvector of $B_1 = E - RC = E$ associated to the eigenvalue λ , i.e., $E v = \lambda v$, then $\begin{bmatrix} v \\ 0 \\ -Rv \end{bmatrix}$ is an eigenvector of L associated to the eigenvalue λ . And if $u = \begin{bmatrix} a \\ \tilde{u} \end{bmatrix}$ is an eigenvector of $B_2 = \begin{bmatrix} 2w_1 & \sqrt{2}x^T \\ \sqrt{2}x & E \end{bmatrix}$ associated to the eigenvalue μ , then $\begin{bmatrix} \tilde{u} \\ \sqrt{2}a \\ R\tilde{u} \end{bmatrix}$ is an eigenvector of L associated to the eigenvalue μ . Using the $\frac{n-1}{2}$ eigenvectors v_j of $B_1 = E$ and the $\frac{n+1}{2}$ eigenvectors u_j of B_2 , we form n orthogonal eigenvectors of L : $\begin{bmatrix} v_j \\ 0 \\ -Rv_j \end{bmatrix}$, $\begin{bmatrix} \tilde{u}_j \\ \sqrt{2}a \\ R\tilde{u}_j \end{bmatrix}$. Now assume that B_1 can be diagonalized by a real orthogonal matrix which does not have zero entries, and that B_2 can be diagonalized by a real orthogonal matrix which does not have zero entries apart from the first row. With a similar argument as in the n even case, we can see if there is PST between a vertex $j < \frac{n+1}{2}$ and its mirror image $n+1-j$, then the eigenvalues of $B_1 = E$ are odd integers, and the eigenvalues of B_2 are even integers. Therefore the arguments in Theorem 4.1 applies here.

We summarize the above arguments in the following Theorem:

Theorem 4.2. *Symmetric trees as in Figure 1 (namely, weighted trees whose Laplacian matrix is persymmetric) do not admit Laplacian PST between vertex $j < \frac{n+1}{2}$ and its mirror image $n+1-j$.*

Symmetric trees are special cases of graphs with an involution; PST and PGST properties of such graphs were studied in [15] under XX dynamics. The Hamiltonian considered in [15] was the adjacency matrix plus a diagonal matrix rather than the weighted Laplacian matrix considered here. The results in [15] and those in this section are independent in that neither implies the other.

5. Adjacency Matrices and the Rational weights conjecture

Henceforth, we focus on XX dynamics (and the corresponding adjacency matrix). We give a complete analysis of the 4×4 and 5×5 cases and prove a more general result motivated by an observation made in the 4×4 case.

Example 5.1. For a weighted path (with no loops) on 4 vertices, using a similar computation to that in the proof of Theorem 4.1 for the $n = 4$ case, and with eigenvalues $\alpha_1, \dots, \alpha_4$ of the adjacency matrix written as $-\beta_2, -\beta_1, \beta_1, \beta_2$, we have $r_2 = \beta_2 - \beta_1$ and $r_1 = \sqrt{\beta_1 \beta_2}$. The

Hamiltonian is then

$$A = \begin{bmatrix} 0 & \sqrt{\beta_1\beta_2} & 0 & 0 \\ \sqrt{\beta_1\beta_2} & 0 & \beta_2 - \beta_1 & 0 \\ 0 & \beta_2 - \beta_1 & 0 & \sqrt{\beta_1\beta_2} \\ 0 & 0 & \sqrt{\beta_1\beta_2} & 0 \end{bmatrix}. \quad (32)$$

It is clear from the above matrix why PST does not occur in the unweighted path on 4 vertices. In this case $r_1 = r_2 = 1$ and therefore $\beta_1 = \frac{-1+\sqrt{5}}{2}$ and $\beta_2 = \frac{1+\sqrt{5}}{2}$. Since β_2/β_1 is irrational, there is no nonzero constant κ for which both $\kappa\beta_1$ and $\kappa\beta_2$ are integers. Hence the unweighted path on four vertices cannot have PST (this is shown more generally for unweighted paths of length four or greater with loops in [14]). More generally no weighted path without potentials on four vertices with all rational weights can have PST. By Remark 1, we set $\beta_1 = 1 \pmod{4}$ and $\beta_2 = 3 \pmod{4}$ without loss of generality (we scale the Hamiltonian by a factor 2 to have integer eigenvalues). It follows that $\beta_2 - \beta_1$ is an even integer. However, $\beta_1\beta_2 \equiv 3 \pmod{4}$, so the quantity is not a perfect square, and therefore $\sqrt{\beta_1\beta_2}$ is irrational. There is no nonzero constant κ for which both $\kappa(\beta_2 - \beta_1)$ and $\kappa\sqrt{\beta_1\beta_2}$ are rational. This observation motivates a more general result which we will present after we analyse the 5×5 case.

Example 5.2 (5×5 Case). For $n = 5$, we can solve for q_3 in terms of the eigenvalues as before. However the trace equation now has two unknowns q_1 and q_2 , so we cannot use our previous method to solve for these entries. The case with no potentials is still amenable. The eigenvalues of the adjacency matrix in this case are $-\beta_2 < -\beta_1 < \beta_0 = 0 < \beta_1 < \beta_2$ and the two polynomials are $p_5(x) = x(x^2 - \beta_2^2)(x^2 - \beta_1^2) = x^5 - (\beta_2^2 + \beta_1^2)x^3 + \beta_1^2\beta_2^2x$ and $\tilde{p}_4(x) = b_4x^4 + b_2x^2 + b_0$ for some real numbers b_0, b_2, b_4 . The system of equations to solve is

$$b_4\beta_2^4 + b_2\beta_2^2 + b_0 = 1 \quad (33)$$

$$b_4\beta_1^2 + b_2\beta_1^2 + b_0 = -1 \quad (34)$$

$$b_0 = 1 \quad (35)$$

which has the corresponding solutions $b_4 = \frac{2}{\beta_1^2(\beta_2^2 - \beta_1^2)}$, and $b_2 = \frac{-2\beta_2^2}{\beta_1^2(\beta_2^2 - \beta_1^2)}$, $b_0 = 1$.

We now have $\tilde{p}_4(x) = \frac{2[x^4 - \beta_2^2x^2 + \frac{1}{2}\beta_1^2(\beta_2^2 - \beta_1^2)]}{\beta_1^2(\beta_2^2 - \beta_1^2)}$ with the monic version being $p_4(x) = x^4 - \beta_2^2x^2 + \frac{1}{2}\beta_1^2(\beta_2^2 - \beta_1^2)$. Now performing the subtraction $p_5 - xp_4$ yields $r_1^2 = \beta_1^2$ and the new monic polynomial $p_3(x) = x^3 - \frac{1}{2}(\beta_1^2 + \beta_2^2)x$. Repeating this again with p_4 and p_3 gives $r_2^2 = \frac{1}{2}(\beta_2^2 - \beta_1^2)$. The Hamiltonian is now

$$A = \begin{bmatrix} 0 & \beta_1 & 0 & 0 & 0 \\ \beta_1 & 0 & \sqrt{\frac{\beta_2^2 - \beta_1^2}{2}} & 0 & 0 \\ 0 & \sqrt{\frac{\beta_2^2 - \beta_1^2}{2}} & 0 & \sqrt{\frac{\beta_2^2 - \beta_1^2}{2}} & 0 \\ 0 & 0 & \sqrt{\frac{\beta_2^2 - \beta_1^2}{2}} & 0 & \beta_1 \\ 0 & 0 & 0 & \beta_1 & 0 \end{bmatrix}. \quad (36)$$

Theorem 4.1 tells us that no weighted path of length at least 3 has Laplacian PST between its end vertices. Contrast this with the adjacency matrix setting, where there is a weighted path

(with no loops) of any length that admits PST between its end vertices. We have a conjecture about the weights: if all the weights of a weighted path on at least 4 vertices are rational numbers, then there is no adjacency matrix PST at time π between the end vertices of the path. We confirm that conjecture in the cases that $n = 4$, $n \equiv 5 \pmod{8}$ and for $n \equiv 3 \pmod{8}$ but $n \neq 3$.

Proposition 5.3. *Suppose that $n = 4$, or $n \geq 5$ and $n \equiv 3 \pmod{8}$ or $n \equiv 5 \pmod{8}$. If the weights of a weighted path on n vertices with or without potentials are all rational numbers, then there is no adjacency matrix PST between its end vertices at readout time π .*

Proof. As discussed, for a weighted path that exhibits PST at time π between its end vertices, by performing an overall energy shift if necessary (which does not change the PST readout time), we can make all its eigenvalues integers (in particular, with the smallest one being an odd integer) with alternating parity. See Remark 2.

For $n = 4$, from Corollary 3.2, $r_2 = \frac{S_1}{2} \in \mathbb{Q}$. We show that $r_1 = \frac{\sqrt{(\alpha_2\alpha_4 - \alpha_1\alpha_3)S_2 - (\alpha_2\alpha_4 + \alpha_1\alpha_3)S_1^2}}{2S_1^2}$ (calculated in Theorem 4) cannot be rational by showing that $\frac{(\alpha_2\alpha_4 - \alpha_1\alpha_3)S_2 - (\alpha_2\alpha_4 + \alpha_1\alpha_3)S_1^2}{2}$ is not a perfect square. Rearranging the terms we have

$$\begin{aligned} & \frac{1}{2}(\alpha_2\alpha_4(S_2 - S_1^2) - \alpha_1\alpha_3(S_2 + S_1^2)) \\ &= -\alpha_2\alpha_4(\alpha_1^2 + \alpha_3^2) - \alpha_1\alpha_3(\alpha_2^2 + \alpha_4^2) + \alpha_2\alpha_4 \\ & \times (\alpha_1\alpha_2 - \alpha_1\alpha_3 + \alpha_1\alpha_4 + \alpha_2\alpha_3 - \alpha_2\alpha_4 + \alpha_3\alpha_4) \\ & - \alpha_1\alpha_3\alpha_2\alpha_4 + \alpha_1\alpha_3(\alpha_1 + \alpha_3)(\alpha_2 + \alpha_4) - \alpha_1^2\alpha_3^2 \end{aligned} \quad (37)$$

From the fact that α_1 and α_3 are odd integers, and α_2 and α_4 are even integers, we know the first 5 terms in the summand are all divisible by 4, and therefore their sum is congruent to either $0 \pmod{8}$ or $4 \pmod{8}$. Since the square $\alpha_1^2\alpha_3^2$ of an odd integer $\alpha_1\alpha_3$ is congruent to $1 \pmod{8}$, we know the result in equation (37) is congruent to either $3 \pmod{8}$ or $7 \pmod{8}$, and hence is not a perfect square. Thus r_1 is not rational, which establishes the result for $n = 4$. In fact we can say more: if the weights of a weighted path on 4 vertices are all rational numbers, then there is no adjacency matrix PST between its end vertices at any time, since we can not scale the adjacency matrix such that r_1 and r_2 are both rational.

Next, suppose that $n \geq 5$ and $n \equiv 3 \pmod{8}$ or $n \equiv 5 \pmod{8}$. Observe that since n is odd, by Corollary 3.2 we have $r_{\frac{n-1}{2}} = \frac{\sqrt{S_2 - S_1^2}}{2}$. We claim now that the quantity $S_2 - S_1^2$ is not a perfect square, and so $r_{\frac{n-1}{2}}$ is irrational. To see the claim, note that $S_2 - S_1^2 = -2[\sum_r \alpha_{2r}^2 + \sum_{1 \leq j < k \leq n} (-1)^{j+k} \alpha_j \alpha_k]$, where $\sum_r \alpha_{2r}^2$ is divisible by 4. Consequently, $2 \sum_r \alpha_{2r}^2 \equiv 0 \pmod{8}$.

If we can show that $\sum_{1 \leq j < k \leq n} (-1)^{j+k} \alpha_j \alpha_k$ is odd, then we can conclude that $S_2 - S_1^2$ is not a perfect square. To this end, it is enough to count the number of distinct pairs of odd numbers appearing in the summation. If $n = 2m - 1$ for some $m \in \mathbb{Z}$, then m is the number of odd numbers in the sum, and the number of distinct odd pairs is $m(m-1)/2$. For $n \equiv 3 \pmod{8}$ or $n \equiv 5 \pmod{8}$, we have $m \equiv 2 \pmod{4}$ or $m \equiv 3 \pmod{4}$, respectively. In either case, $m(m-1)/2$ is odd and the claim follows. Thus $S_2 - S_1^2$ is not a perfect square, so $r_{\frac{n-1}{2}}$ is not rational. \square

6. Acknowledgements

S.K., R.P., and S.P. were supported by NSERC Discovery Grants RGPIN/6123-2014, 400550, and 1174582, respectively; S.P. is also supported by the Canada Foundation for Innovation and the Canada Research Chairs Program. D.M. was supported through a NSERC Undergraduate Student Research Award; X.Z. was supported by the University of Manitoba's Faculty of Science and Faculty of Graduate Studies.

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