

A study on the intron landscape of the cytochrome b gene, and
mitochondrial-encoded N-acetyltransferase and ribosomal protein S3 genes
of fungi in the subphylum Pezizomycotina

by

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Abstract

The Master's program was based on mainly *in silico* work, employing several bioinformatics techniques/tools. The main focus of the research involved comparative sequence analysis of fungal mitochondrial genomes, which has previously been noted to be a rich source of mobile elements. The work is divided into two projects. The first was the study of intron distribution within the cytochrome b gene of filamentous fungi of the phylum Ascomycota. Cytochrome b genes (129) were sampled from the public database, GenBank, and compared via multiple sequence alignments. A total of 21 unique intron insertion sites were observed. Two introns displaying unique intron arrangements were explored in greater depths. One appears to be capable of alternative splicing, the other encodes an open reading frame interrupted by an intron. The results of the first project demonstrate the diversity of intron insertions within a single gene and its impact on genome evolution, and the potential to uncover novel introns. The second project was based on prior research that noted the presence/absence of N-acetyltransferase and ribosomal protein S3 genes within fungal mitochondrial genomes of the Ascomycota. In order to determine the uniqueness of this presence/absence, the protein sequences of the two genes were sampled from two different databases, GenBank and MycoCosm (Joint Genome Institute). Sequences were retrievable from two different compartments, nucleus and mitochondria. The two data sets were separately aligned, and each were subjected to phylogenetic analyses. The results suggest separate origins for the two genes. The N-acetyltransferase gene appears to have a nuclear origin, but some fungi have obtained a mitochondrial version(s). The ribosomal protein S3 gene appears to be of mitochondrial origin, but some fungi appear to encode two copies of separate origin (mitochondrial or nuclear) in the nuclear genome. The underlying mechanism of transfer of genetic elements between nucleus and mitochondria has yet been elucidated.

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List of abbreviations

aa	amino acid(s)
AAT	aminotransferase
AT	adenine, thymine
atp/ATP	adenosine triphosphate (gene)
blast(n/p)	Basic Local Alignment Search Tool (for nucleotides/proteins)
Bot	Botryosphaerales
bp	base pair(s)
Cap	Capnodiales
cDNA	complementary DNA
CoA	coenzyme A
cox<number>	cytochrome c oxidase (gene), where <number> represents the subunit number
cpDNA	chloroplast genome
cytb	cytochrome b (gene)
d	derived or degenerated when referring to introns or proteins, respectively
Dia	Diaporthales
DNA	deoxyribonucleic acid
DSBR	double-strand break repair
EBS	exon binding site
Eur	Eurotiales
f	fused
G/GY	GIY-YIG homing endonuclease

GC	guanine, cytosine
Glo	Glomerellales
GNAT	Gcn5-related N-acetyltransferase
HE	homing endonuclease
HEG	homing endonuclease gene
Hel	Helotiales
His-Cys	histidine-cysteine
Hyp	Hypocreales
i	intron
IBS	intron binding site
IEP	intron-encoded protein
IGS	internal guide sequence
IS	insertion site
JGI	Joint Genome Institute
kb	kilobase pair(s)
L/LAG/LHE	LAGLIDADG homing endonuclease
Lec	Lecanorales
Mb	megabase pairs
Mic	Microascales
mL	millilitre(s)
mL	mitochondrial large ribosomal subunit gene
mRNA	mature RNA
mt	mitochondrial

rps3/RPS3	ribosomal protein S3 (gene/protein)
rRNA	ribosomal RNA
RT	reverse transcriptase
Sac	Saccharomycetales
senDNA	senescent DNA
Sor	Sordariales
trn<letter>	transfer RNA (gene), where <letter> represents the amino acid encoded by the tRNA; A = alanine; C = cysteine; D = aspartate; E = glutamate; F = phenylalanine; G = glycine; H = histidine; I = isoleucine; K = lysine; L = lysine; M = methionine; N = asparagine; P = proline; Q = glutamate; R = arginine; S = serine; T = threonine; V = valine; W = tryptophan; Y = tyrosine
tRNA	transfer RNA
Vsr	very short patch repair
WAG	Whelan and Goldman
Xyl	Xylariales

Chapter 1. Literature review

1.1. The Fungi

Fungi are a diverse group of eukaryotic organisms, some of which have environmental and/or economic importance. They are ubiquitous and highly versatile. Fungi are heterotrophs (osmotrophs), obtaining their nutrients by the secretion of enzymes and uptake through their cell wall. They play essential roles in ecosystems including in decomposition, nutrient cycling, and nutrient transport (Dighton, 2007). They can range from being pathogens to symbionts. Several fungi have applications in foods (agriculture, processing, spoilage, etc.), biocontrol, and medicine (disease, pharmaceuticals, poisons/toxins) (Guijarro et al., 2017; Katoch and Pull, 2017; Kernaghan et al., 2017; Macías-Rubalcava and Sánchez-Fernández, 2017; Narladkar et al., 2015; Oro et al., 2018; Østergaard and Olsen, 2011; Ribera et al., 2017). Given their biodiversity and impact in the environment and society, much remains unknown of this group of organisms and thus warrants further study.

The definition of fungi and organisms that belong to this group, is currently not strictly defined; there does not seem to be features or traits that are unique to this group of organisms (i.e. absence of synapomorphy; a characteristic or trait derived from an ancestor) (reviewed by Richards et al., 2017). They are highly diverse in ecology and morphology (can exist as haploid or diploid, asexual, sexual, or both or parasexual, and can be yeast-like, filamentous, mushroom-like, etc.). Furthermore, environmental conditions can influence the morphology of fungi. This makes fungi difficult to classify based on traditional classification methods, which were based on phenotypic and functional observations, and were laborious and time-consuming. Modern

classification methods, based on DNA sequences, have revolutionized the study of fungal biodiversity. Phylogenetic study using molecular data eventually separated several organisms that were once considered fungi, including the Oomycota (also known as water molds) and the slime molds (a polyphyletic group). For simplicity, the term fungi will be used to refer to organisms that belong to subkingdom, Dikarya, with an emphasis on fungi belonging in the phylum Ascomycota (unless otherwise stated), for the remainder of this thesis.

1.2. Fungal mitochondrial genomes

The mitochondrion, sometimes referred to as the “powerhouse” of the cell, is best known for its essential role in energy metabolism, although it is also important in carbohydrate, fatty acid, nucleic acid, and protein metabolism, apoptosis, disease, etc. Mitochondria house their own genome, mainly encoding genes essential for protein synthesis and energy production. Fungal mitochondrial genomes (mtDNAs) are interesting with regards to size, which can vary by more than 10-fold; the smallest (18 844 bp), seen in the yeast, *Hanseniaspora uvarum* (Pramateftaki et al., 2006), and the largest reported so far (235 849 bp) in the filamentous Basidiomycota fungus, *Rhizoctonia solani* (Losada et al., 2014). With regards to filamentous fungi of the Ascomycota (the main focus of the thesis), the smallest mtDNA sequenced and publicly available thus far (July 2017) is from *Zasmidium cellare* at 23 743 bp (Goodwin et al., 2016) and the largest is from *Sclerotinia borealis* at 203 051 bp (Mardanov et al., 2014).

In the majority of currently sequenced fungi of the phylum Ascomycota, mtDNAs are typically composed of 14 protein-coding genes, which encode subunits involved in the electron transport chain (seven subunits of complex I: *nad1*, *nad2*, *nad3*, *nad4*, *nad4L*, *nad5*, and *nad6*; three subunits of complex III: *cox1*, *cox2*, and *cox3*; one subunit of complex IV: *cytb*), oxidative

phosphorylation (three subunits of ATP synthase: *atp6*, *atp8*, *atp9*), and one protein involved in protein synthesis (ribosomal protein: *rps3*), and non-coding RNA genes encoding typically at least 22 tRNA genes (two *trnL* and *trnR* genes) encoding for the 20 standard amino acids (*trnA* to *W*) and two rRNA genes encoding for the small (*rns*) and large (*rnl*) ribosomal subunits required for protein synthesis (summarized in Table 1.1). An exception to the “typical” composition of genes was observed in some species of yeast, where the set of NADH dehydrogenase subunit genes (complex I) may be absent (reviewed by de Zamaroczy and Bernardi, 1986; Sankoff et al., 1992; Zivanovic et al., 2005). Interestingly, these fungi possess nuclear-encoded alternative NADH:ubiquinone oxidoreductases (NADH dehydrogenases), which can act as alternatives for NAD(P)H oxidation carried out by complex I (De Vries et al., 1992; Overkamp et al., 2000; Videira and Duarte, 2002 Carneiro et al., 2004). Additionally, genes encoding for ATP synthase subunit 9 (*atp9*) and ribosomal protein S3 (*rps3*) appear to be present in some but not all mtDNAs. In cases where these genes are absent, the corresponding genes were predicted/shown to be nuclear-encoded (Lavín et al., 2008; Déquard-Chablat et al., 2011; Sellem et al., 2016). Furthermore, the *atp9* gene has been shown to be both nuclear- and mitochondrial-encoded in some species of fungi including *Aspergillus nidulans* (reviewed by Brown et al., 1985) and *Neurospora crassa* (van den Boogaart et al., 1982). One hypothesis is that such encoding pattern may be present in other fungi as well. The *rps3* gene was found to be absent from the mtDNAs of two fungi in the order Capnodiales (Torriani et al., 2008; Goodwin et al., 2016). In addition, a few fungal mtDNAs appear to encode a putative N-acetyltransferase (Duò et al., 2012, Zhang et al., 2017), a gene that has not been documented (to the author’s knowledge) in fungal mtDNAs prior to work by Duò et al. (2012). Thus, gene content appears to be dynamic and, in part, gives rise to the mtDNA size variability observed in fungi.

Table 1.1. Summary of “typical” gene content in mitochondrial genomes of fungi in the phylum Ascomycota.

	Function	Genes
protein	electron transport chain	Complex I: <i>nad1, nad2, nad3, nad4, nad4L, nad5, nad6</i> Complex III: <i>cox1, cox2, cox3</i> Complex IV: <i>cytb</i>
	oxidative phosphorylation	ATP synthase: <i>atp6, atp8, atp9</i>
	protein synthesis	ribosomal protein: <i>rps3</i>
RNA	protein synthesis	tRNA: A to W rRNA: <i>rns, rnl</i>

1.2.1. Size variation of mitochondrial genomes

In general, mitochondrial genomes are highly variable in gene arrangement and size, which can range from 6 kb to greater than 3.9 Mb (Andersson et al., 2003; Burger et al., 2003). The large variation in sizes can be, in part, attributed to gene content (including gene or gene fragment duplications and inactivation), introns, and intergenic regions (Losada et al., 2014). With regards to the fungi, introns can significantly influence the size of mtDNA. Approximately 75% of the *Podospora anserina* mtDNA (Cummings et al., 1990) is composed of introns. Introns in *Agaricus bisporus* (Férandon et al., 2013) and *Sclerotinia borealis* (Mardanov et al., 2014), two of the larger fungal mtDNA sequenced thus far (sized at 135 005 bp and 203 051 bp, respectively), form 45.3% (61 092 bp) and 61.8% (125 394 bp) of their respective mtDNAs. Within a single gene, the number of introns can vary from 0 to as much as 19, in the case of the cytochrome c oxidase subunit I gene (*cox1*) of *Agaricus bisporus* (Férandon et al., 2010).

Mitochondrial introns can be composed of two elements. The first element is an intervening sequence (intron) that, when transcribed along with its host, is capable of self-removal from the site where it is situated; it is a self-splicing (autocatalytic) RNA molecule or ribozyme. The second element is an open reading frame (ORF) that potentially encodes an intron-encoded protein (IEP), which typically encodes a homing endonuclease or reverse transcriptase. The presence of these elements can present a challenge in the case of gene/genome annotations as the distribution of these elements appears to be sporadic/“patchy” (Yin et al., 2012; Guha et al., 2017b).

1.2.2. Self-splicing introns

Self-splicing introns can be classified into different groups (I, II, or III) based on ribonucleic acid (RNA) folding characteristics. The autocatalytic function of these introns depends on proper folding of the RNA molecule into a conserved three-dimensional structure involving several interactions including secondary and tertiary hydrogen bonding, base stacking, and coordination of monovalent and divalent cations (Kim and Cech, 1987; reviewed by Burke, 1988; Chastain and Tinoco, 1992; Copertino and Hallick, 1993; Adams et al., 2004a; Stahley and Strobel, 2005). These introns are capable of metal-dependent self-splicing *in vitro* but may or may not require additional protein factors *in vivo* (Cech et al., 1981; Guo et al., 1995; Chien et al., 2009; Brown et al., 2014). It is interesting to note that although these introns possess highly conserved secondary and tertiary structures, the primary structures can be quite divergent, even within introns of the same subgroup (reviewed by Davies et al., 1982; reviewed by Saldanha et al., 1993).

1.2.2.1. Group I introns

Group I introns are the largest group of self-splicing introns, members of which can be found in both prokaryotes and eukaryotes (reviewed by Haugen et al., 2005; Vicens and Cech, 2006). They can be seen in all domains of life. Currently, they have been observed in bacteriophages, recently in archaea, bacteria, the nuclear genome (only in rRNA genes) of fungi and ciliates, and the organellar genome of protists, placozoans, corals, sea anemones, sponge, fungi, and plants (Belfort et al., 2002; Medina et al., 2006; Rot et al., 2006; Fukami et al., 2007; Signorovitch et al., 2007; Wang and Lavrov, 2008; reviewed by Hedberg and Johansen, 2013; Emblem et al., 2014; Nawrocki et al., 2018).

Group I introns are classified into subgroups (IA to IE) based on conserved primary and secondary structures (Michel and Westhof, 1990), IC1 and IE being more commonly found in nuclear genomes (rDNA) while IA, IB, IC2, IC3, and ID are more frequently seen in organellar genomes (Suh et al, 1999; Jackson et al., 2009). A group I intron RNA fold is typically composed of ten conserved helices, denoted as P1 to P10, although not all helices may be present in a given intron (reviewed by Lang et al., 2007). Helices P1 and P10 are formed by both exon and intron sequences (Davies et al., 1982; Michel and Westhof, 1990; Ritchings and Lewin, 1992). This underlies the importance of conservation of the exon sequences immediately flanking an intron; mutations to these sequences will affect important base-pairing involved in the formation of a splicing-competent intron. Helices P10-P1-P2 (substrate domain), P5-P4-P6 (scaffold domain), and P9-P7-P3-P8 (catalytic domain) form three larger, coaxially stacked, helical domains stabilized by base stacking interactions and tertiary hydrogen bonding (Adams et al., 2004b; Vicens and Cech, 2006; Hedberg and Johansen, 2013). This arrangement aligns the substrates (5'- and 3'-exons) close to each other creating a necessary scaffold for intron excision.

Splicing is carried out by a two-step transesterification reaction. The first transesterification step begins with the binding of an exogenous guanosine to the cleft formed by the catalytic domain, termed the guanosine binding site. The reaction proceeds with a nucleophilic attack made by the 3' hydroxyl group (-OH) of the exogenous guanosine to the scissile phosphate at the 5' splice junction. The exogenous guanosine forms a 3'-5' phosphodiester bond with the 5'-end of the intron, leaving a free 3'-OH on the 5'-exon. The second transesterification step proceeds via a nucleophilic attack by the free 3'-OH of the 5'-exon to the scissile phosphate at the 3' splice junction. This ultimately leads to the ligation of the two exon fragments and excision of a linear intron (Cech et al., 1981; Stahley and Strobel, 2005). The

splicing reaction can be enhanced by IEPs. An estimated 30% of group I introns contain an ORF which encodes an IEP (reviewed by Chevalier and Stoddard, 2001). The ORFs are typically confined to peripheral elements (ex. loops) of the intron, where they are less likely to interfere with formation of the catalytic core (reviewed by Lambowitz et al., 1999).

1.2.2.2. Group II introns

Group II introns are commonly encountered in plant chloroplast genomes and they are also found in archaeal and bacterial genomes and some organellar genomes of protists, fungi, plants, Placozoa, Porifera (demosponges), and the mtDNA of an annelid worm, *Nephtys*. Their occurrence in archaea is rare, and currently, there has been none found in nuclear genomes (Dellaporat et al., 2006; Vallès et al., 2008; Lambowitz and Zimmerly, 2011; Schuster et al., 2017).

Group II introns can be classified into subgroups IIA, IIB, and IIC based on the presence/absence of specific conserved sequences and structural features, including specific base-pairing patterns. The secondary structure of group II introns is organized into a wheel with six highly conserved stem-loop domains, denoted as domains I to VI (see Figure 1.1; reviewed by Michel et al., 2009). Short, conserved sequences (exon binding sites) within domain I form tertiary contacts to corresponding sequences (intron binding sites) of the 5'-exon, locking the exon in position. Domain I (which can be further divided into subdomains, denoted as “Ia” to “Id”) interacts with domain V and is thought to function as a scaffold in the formation of the catalytic core (Koch et al., 1992; reviewed by Qin and Pyle, 1998). Domain II plays a pivotal role in recruiting domain III and partially direct folding of the catalytic core (Fedorova et al., 2003). Domain III interacts with the base of domain II and is involved in enhancing splicing

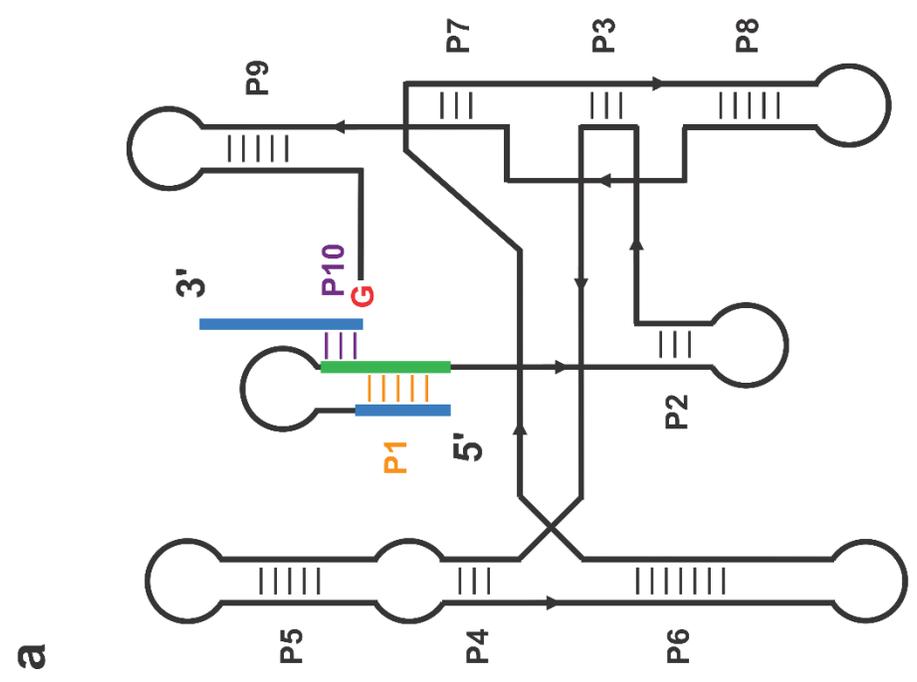
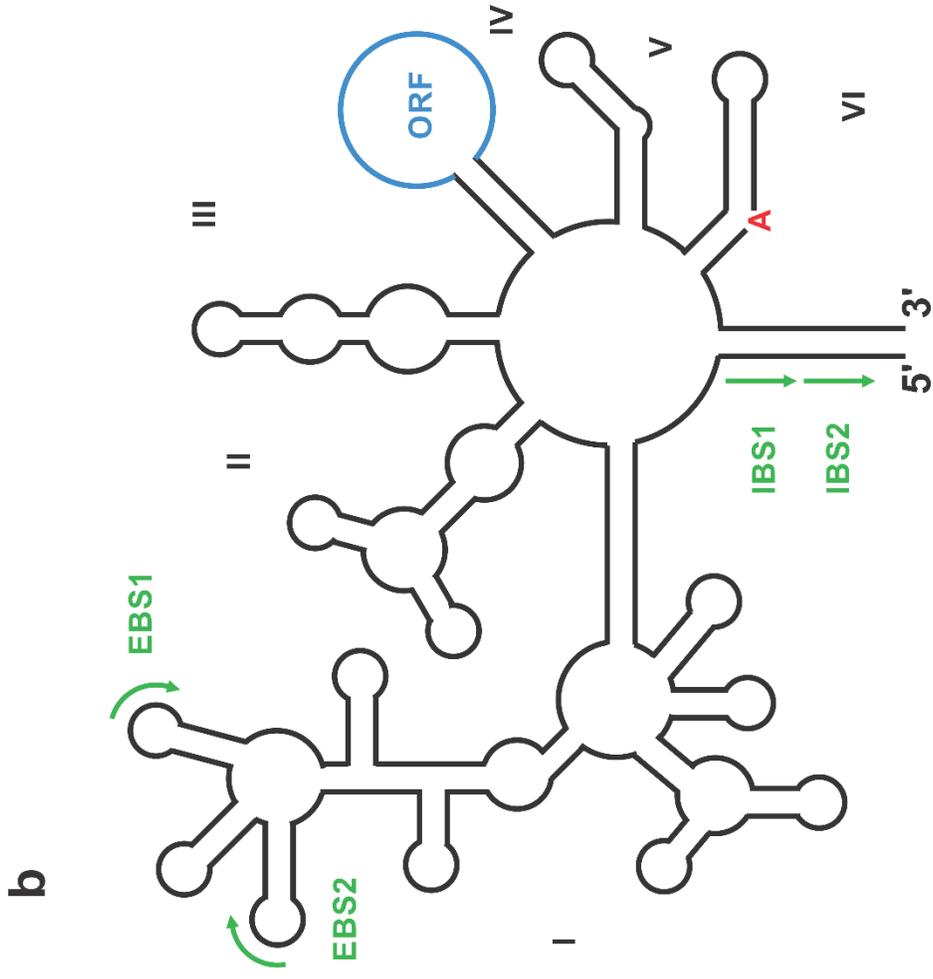


Figure 1.1. Schematic of conserved secondary structures adopted by group I and group II introns.

(a) Group I introns are characterized by ten major pairings (P1-P10) arranged into three helical stacks. (b) Group II introns are characterized by six major helical domains (DI-DVI) and are typically depicted in two dimensions as a structure resembling a “six-fingered hand”.

efficiency (Fedorova et al., 2003). The highly conserved, purine-rich linker region between domains II and III forms an important component of the catalytic core and seems to have multiple roles during splicing (Fedorova et al., 2003). Domain VI possess an adenosine bulge which initiates the first step of catalysis (reviewed by Michel and Ferat, 1995; reviewed by Toor et al., 2008; Marcia and Pyle, 2012).

Splicing of group II introns occurs in a similar manner as group I introns with some differences. One difference is the absence of an exogenous guanosine. Instead, group II introns contain an internal adenosine at the base of domain VI which bulges out of the helix. The adenosine bulge acts as the nucleophile which initiates the first of two reversible transesterification reactions. Another difference is, during splicing, the intron goes through a branched intermediate (the bulged adenosine forms a 2'-5' phosphodiester bond with the 5'-end of the intron resulting in a looped intron attached to the 3'-exon) and is excised in the form of a lariat, rather than a linear intron typically seen in group I introns. Similar to group I introns, splicing of group II introns can be enhanced by IEPs, the ORF expressing a reverse transcriptase, are typically located in domain IV (reviewed by Lambowitz and Belfort, 2015).

1.2.2.3. Group III introns

Currently, the only known examples of group III introns are observed in the plastids of euglenoids (Doetsch et al., 1998). The secondary structure of group III introns resembles group II introns, although they lack domains II-IV (Michel and Ferat, 1995). The splicing mechanism is similar to group II introns, both of which proceed via a two-step transesterification reaction initiated by a bulged adenosine in domain VI (Copertino et al., 1994; Michel and Ferat, 1995; Doetsch et al., 1998). The requirement of IEPs to enhance splicing has yet to be elucidated.

Group III introns may contain an ORF analogous to those seen in group II introns, which encode an IEP that can assist in intron splicing, although evidence is mostly based on comparative sequence analysis (Mohr et al., 1993, Copertino et al., 1994).

Some group III introns have been reported to be arranged in twintron-like arrangements where the internal member must splice first in order for the external member to assume a splicing competent RNA fold (reviewed in Hafez and Hausner, 2015). In general, group III introns are viewed by some to be nothing more than a type of group II intron (reviewed by Robart and Zimmerly, 2005; reviewed by Zimmerly and Semper, 2015).

1.2.3. Mobile elements: Mobile introns and intron-encoded proteins

An interesting feature of self-splicing introns is their ability to insert into cognate intron-less alleles. This phenomenon was first observed through recombination studies of the large ribosomal gene (23S rRNA gene; *rnl*) in *Saccharomyces cerevisiae* (Michel et al., 1979). Subsequent studies (Jacquier and Dujon, 1985; Macreadie et al., 1985) revealed that the intron [physically mapped (Bos et al., 1978) and termed the ω allele at the time (Faye et al., 1979)] contained an IEP, which is required for insertion into intron-less alleles. Soon after, the IEP was shown to have endonuclease activity, with the ability to cut double-stranded deoxyribonucleic acid (DNA) molecules generating 4-nucleotide 3' overhangs (Colleaux et al., 1986; Dujon et al., 1986). This ability to recognize and insert into its cognate intron-less allele was termed homing (Dujon et al., 1989).

Intron homing requires the expression of an intron-encoded protein referred to as a homing endonuclease (Gauthier et al., 1991). Homing endonuclease genes (HEGs) can be found

encoded within group I and group II introns and as part of inteins, or can be freestanding (reviewed by Stoddard, 2011).

The mechanism of intron homing involves expression of an intron-encoded homing endonuclease (HE), which makes a site-specific cleavage at a cognate intron-less allele. Cleavage generates a staggered cut which is repaired by the cell through the double-strand break repair (DSBR) pathway (Szostak et al., 1983). In the process, both the intron and the HEG are copied into the new site (gene conversion). Site-specific cleavage is attributed to the extensive recognition sequence required by these enzymes for DNA-binding. The recognition sequence can range anywhere from 14 to 40 bp (Li and Monnat, 2014). Interestingly, given the length of their recognition sequences, HEs can tolerate minor base pair substitution within the recognition site (Bryk et al., 1993; Lucas et al., 2001).

1.2.3.1. Homing endonucleases

HEs can be divided into at least six different families: LAGLIDADG, GIY-YIG, HNH, His-Cys, very short patch repair (Vsr), and PD-(D/E)xK HEs (Stoddard, 2011; Hafez and Hausner, 2012). The division is based on the presence of conserved sequence motifs. For example, the HNH HEs commonly seen in viruses possess a conserved asparagine (N) residue flanked at either end, with several amino acids in between, by a conserved histidine (H) residue (Mehta et al., 2004). The focus of this thesis will be on fungal HEs belonging to the LAGLIDADG and GIY-YIG families as these are most frequently encountered among fungal mtDNAs (reviewed by Stoddard, 2005).

1.2.3.1.1. LAGLIDADG homing endonucleases

The LAGLIDADG family of HEs (LHEs) can be found in archaea, bacteria, the mtDNAs of fungi, protozoa, Porifera (demosponges), and the chloroplast genomes (cpDNAs) of algae and plants (reviewed by Chevalier et al., 2005; reviewed by Stoddard, 2005; Huchon et al., 2015; Schuster et al., 2017).

LHEs have two different structural forms, monomeric and dimeric. Dimeric LHEs (see Figure 1.2) are formed by dimerization of two separate LHEs, each containing a single LAGLIDADG motif. They have an $\alpha\beta\beta\alpha\beta\beta\alpha\alpha$ topology (α = alpha helix, β = beta sheet; based on Heath et al., 1997). The LAGLIDADG motif is contained within C-terminal end of the first alpha helix. Two LAGLIDADG helices can interact to form a parallel two-helix bundle, a structure involved in protein dimerization. The two-helix bundle is positioned in the center of, and perpendicular to, 2 four-stranded antiparallel beta sheets (the four-stranded beta sheets are formed by 2 two-stranded beta sheets, connected by an alpha helix). Each of the four-stranded beta sheets form separate concave structures, called β -saddles (due to its resemblance to a horse saddle), which are stabilized by their three respective C-terminal helices. These three helices are positioned on the opposite side of the concave, stabilizing the beta sheets via hydrophobic interactions. Both beta sheets are involved in DNA recognition and binding. They form several important interactions within the two major grooves flanking the minor groove containing the cleavage site. These include hydrogen bonding, water-mediated contacts, and van der Waals interactions. The DNA recognition sequence of monomeric LHEs is palindromic or pseudo-palindromic due to the symmetrical nature of the homodimers (reviewed by Stoddard, 2011).

In contrast to the dimeric form, monomeric LHEs possess two LAGLIDADG motifs in tandem, separated by a linker region of approximately 90-120 aa. Their topology resembles a

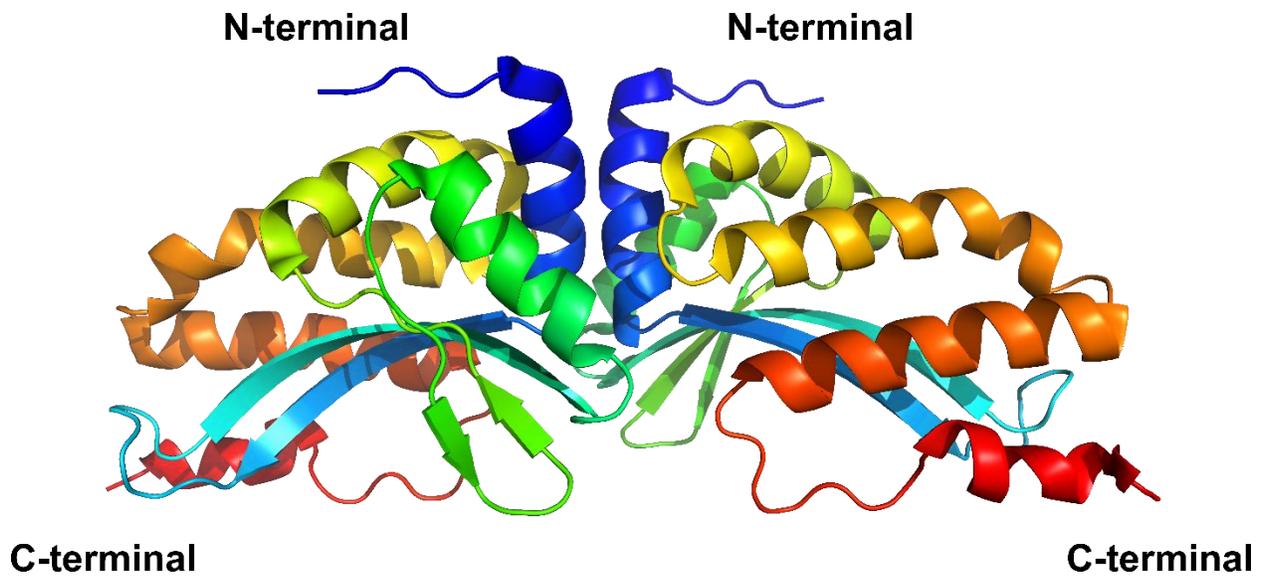


Figure 1.2. The structure of the LAGLIDADG homing endonuclease, I-CreI.

I-CreI is a homodimeric LAGLIDADG homing endonuclease. It is encoded within the intron of the chloroplast large ribosomal subunit gene of *Chlamydomonas reinhardtii*. The N- and C-terminals of each dimer are labeled. The image was generated from PDB ID: 1G9Z (Chevalier et al., 2001) using PyMOL (The PyMOL Molecular Graphics System, Version 2.0 Schrödinger, LLC).

duplicated monomeric LHE, with two mixed α/β domains, typically containing two $\alpha\beta\alpha\beta\alpha$ motifs (reviewed by Stoddard, 2005).

Binding of a LHE results in a conformational change in the DNA, resulting in a bend at the minor groove. The bend distorts the DNA helix causing base twisting and unstacking. This exposes the bases of the minor groove to the catalytic domain and positions the scissile phosphates near conserved acidic residues of the two LAGLIDADG helices (Molina et al., 2012). These acidic residues are critical for catalytic activity, each coordinating a divalent cation (Schöttler et al., 2000). The divalent cation within each active site also coordinates a water molecule which will act as a nucleophile for strand cleavage. There is evidence suggesting that cleavage may occur sequentially or independently, favoring one strand over the other (Perrin et al., 1993; Ichiyanagi et al., 2000; Beylot and Spassky, 2001).

1.2.3.1.2. GIY-YIG homing endonucleases

The GIY-YIG family of HEs (GIYs) can be found in phage, archaea, bacteria, the mtDNAs of fungi and protozoa, and the cpDNAs and mtDNAs of algae (reviewed by Belfort and Bonocora, 2014; reviewed by Stoddard, 2005).

More research has been performed on fungal LHEs than GIYs. Thus, the following comparison of the two enzymes will contain information more relevant to phage and bacterial GIYs, which have been studied more extensively than their fungal counterparts.

In contrast to LHEs, GIYs are modular, possessing an N-terminal cleavage domain separate from the C-terminal DNA-binding domain. The two domains are joined by a flexible linker region (Van Roey et al., 2001). LHEs recognize DNA along the major groove whereas GIYs bind to the minor groove (Bryk et al., 1993). Although both LHEs and GIYs are site-

specific endonucleases, contacts along the DNA appears to be sequence-tolerant in both cases (Bryk et al., 1993; Lambert et al., 2016). GIYs recognize their targets via nuclease-associated modular DNA-binding domains (NUMODs), which are composed of alpha-helices in a helix-turn-turn conformation, whereas DNA recognition by LHEs are mediated by antiparallel beta-sheets (Sitbon and Pietrokovski, 2003). DNA cleavage by GIYs is mediated by tyrosine residue that acts as a general base whereas LHEs utilizes an aspartic acid residue, which acts as a general acid (Sokolowska et al., 2011). Both enzymes require divalent cations for activity.

1.2.4. Introns and fungal phenotypes

Mitochondrial introns may confer phenotypic variations among fungi. These include effects on virulence, antibiotic resistance, and growth and senescence. The following sections will give brief examples of potential phenotypic effects conferred by introns.

1.2.4.1. Introns and virulence

In 1985, Fulbright discovered a hypovirulent strain of *Cryphonectria parasitica* (synonym *Endothia parasitica*) different from hypovirulent strains documented earlier (Day et al., 1977; Jaynes and Elliston, 1982). The hypovirulent strain that was uncovered did not harbor dsRNA, which was later determined to be of viral origin (reviewed by Hillman and Suzuki, 2004). In 1993, Mahanti et al. found that hypovirulence of dsRNA-deficient strains may be connected to mitochondria and “mitochondrial dysfunction”. The relationship between hypovirulence and mitochondria was eventually explored in greater detail by Baidyaroy et al. (2011), which ultimately linked hypovirulence in *C. parasitica* with the presence of a group II

intron in the mitochondrial small ribosomal subunit gene. This intron was referred to as InC9 or mS62, the latter nomenclature is based on intron position with respect to the 16S rRNA gene of *Escherichia coli* (Johansen and Haugen, 2001). The intron was noted to splice slowly and cells possess lower concentrations of mitochondrial ribosomes than virulent strains. Lower ribosome levels may be the result of inefficient splicing of the group II intron from the ribosomal transcript. This slower splicing may be attributed to the lack of an ORF within the intron, which has been shown to enhance splicing (Carignani et al., 1983; Moran et al., 1994; Saldanha et al., 1999).

1.2.4.2. Introns and antibiotic resistance

Grasso et al. (2006) found that resistance to fungicides referred to as quinone outside inhibitors (QoI), which act on the cytochrome b protein, was strongly correlated with the presence or absence of introns, within the cytochrome b (*cob* or *cytb*) gene. Although resistance to antibiotics by mutation of the *cytb* gene has been observed previously (reviewed by Esposti et al., 1993), the association of resistance with occurrences of introns have not been documented (to the author's knowledge). The most common mutation displaying the strongest resistance effect is a glycine to alanine mutation at position 143 of the cytochrome b protein (G143A). Grasso et al. (2006) observed that many fungal plant pathogens which are resistant to QoIs appear to lack the group I intron that may be encoded immediately after codon 143. Grasso et al. (2006) suggested that, when present, the intron suppresses mutation of the codon from GGT (glycine) into GCT (alanine). This is due to base-pairing constraints imparted by the intron, whereby the last few nucleotides upstream of the intron is involved with forming the first pairing interaction (P1; see Figure 1) characteristic of group I introns. Thus, absence of a group I intron after codon 143

appears to “enable” the G143A mutation, which is, at least in part (Fernández-Ortuño et al., 2008), associated with QoI fungicide resistance.

As an interesting aside, a study conducted by Avila-Adame (2014) on the Ascomycota fungus, *Magnaporthe grisea*, suggests that the G143A phenotype can also be asexually transmitted, one alternative acquisition mechanism aside from random mutations in the genome. Asexual transmittance can occur via a process referred to as anastomosis where compatible [and potentially incompatible (Ishikawa et al., 2012)] vegetative hyphae fuse (reviewed by Leslie, 1993; reviewed by Saupe, 2000; Hickey et al., 2002; reviewed by Glass et al., 2004; Roca et al., 2005; Forgan et al., 2007; Craven et al., 2008). This fusion allows for exchange of cytoplasmic content. In this case, mitochondria can fuse and the mtDNAs can recombine, resulting in “sharing” of the mutated *cytb* gene.

Recent research in *Cryptococcus gattii* and *Cryptococcus neoformans* also suggests a role of group I introns in virulence and antibiotic resistance, in particular, intron absence is correlated with increased virulence and antibiotic resistance (Gomes et al., 2018). The research appears to be still in its early phase and thus is only briefly mentioned here.

1.2.4.3. Intron and growth and senescence

Introns appear to play a role in growth and senescence. In studies of the Ascomycota fungus, *Podospora anserina*, senescence was found to be linked with the presence of small circular DNAs, referred to as senDNAs (Jamet-Vierny et al., 1980). Multiple different senDNAs have been observed in the mitochondria and appear to be of mitochondrial origin (Belcour et al., 1981). One of the more commonly observed senDNAs, senDNA α , is identical in sequence to the first intron of the *coxI* gene (Osiewacz and Esser, 1984). The intervening sequence encodes for a

group II intron and reverse transcriptase/maturase (Sellem et al., 1990; Sainsard-Chanet et al., 1993). Earlier studies postulate senDNAs to be a contributing factor in senescence, whereby deletion (at the DNA level) of introns, potentially by the protein (reverse transcriptase) encoded by senDNA α , is associated with senescence (Sainsard-Chanet et al., 1993).

As an interesting side note, Schmidt et al. (1990) demonstrated (for first time with a group IIA intron) that the greater than 2.5 kb (including ORF) senDNA α is capable of self-splicing *in vitro*, at high salt concentrations. Thus, at least with regards to senDNA α , an active reverse transcriptase (or maturase) is not required for splicing of the intron (at the RNA level). In addition, based on its capacity to self-splice, the intron is presumed to be capable of folding into its active conformation even though it possesses a large sequence encoding for an ORF (ORF-less group II introns are approximately 0.8 kb) interrupting the intron sequence.

With regards to *Podospora* and senescence, later, Begel et al. (1999) demonstrated that *P. anserina* mutant strains missing the senDNA α sequence still undergoes senescence. This effectively eliminated the potential role of senDNA α as a causal agent of senescence. It appears that, rather than causing senescence, senDNA α contributes to it by introducing mtDNA instabilities, potentially by intron transposition into non-allelic sites (Sellem et al., 1993), inaccurate deletions of intron DNA (Belcour and Vierny, 1986), and/or non-homologous recombination (Sainsard-Chanet et al., 1998).

Furthermore, research also suggests a role of functional cytochrome c oxidase in senescence. In particular, the absence of a functional cytochrome c oxidase increases fungus longevity (Begel et al., 1999; Dufour et al., 2000). The lack of cytochrome c oxidase is not lethal as it appears to be compensated by alternative oxidase and using cyanide-resistant respiration (Dufour et al., 2000). The rationale for this phenomenon (decreased cytochrome c oxidase

increased longevity) was that reduced cytochrome c oxidase concentrations leads to reduced metabolism, that in turn leads to reduced production of harmful metabolic by-products (for example, reactive oxygen species), which can damage DNA (Perez-Campo et al., 1998). In other words, the reduction in metabolic rates would reduce the amount of sustained damage at any given time, reduce the rate of damage accumulation, and increase the amount of time for recovery (Dufour et al., 2000). The resulting overall effects would lead to an increase in longevity.

Interestingly, a decrease in longevity (amongst other phenotypic effects) of *Saccharomyces cerevisiae* was observed when all thirteen mitochondrial introns (one in *rnl*, seven in *cox1*, and five in the *cytb* gene) were removed (Rudan et al., 2018). Other effects of intron removal include increased *cox1* and *cytb* protein products and increased mitochondrial activity. Increasing *cox1* and *cytb* protein products and mitochondrial activity did not correspond with increasing concentrations of reactive oxygen species (ROS), as it appears that there is a corresponding increase in expression of the ROS scavenger, *sod2*. The authors hypothesize that some of the negative effects conferred by intron removal may be the result of increased mature *cox1* and *cytb* transcripts, leading to disequilibrium in protein products concentrations. This may affect the correct formation and functioning of complex III and complex IV of the electron transport chain (Rudan et al., 2018). Furthermore, the host gene may have adapted to the insertion of introns by increasing its own expression. Thus, intron removal would disrupt this adaptation.

Based on previous studies on *P. anserina* and complete intron removal in *S. cerevisiae* (Rudan et al., 2018), introns appear to play an important role in fungal longevity although the exact mechanism has yet been elucidated. Furthermore, additional studies are still required to

gain insight into the various functions of introns. One potential focus may be on the roles and effects of introns that appear to be highly conserved across divergent species of fungi (Guha et al., 2017b).

1.2.5. Applications of introns and intron-encoded proteins

One of the most prominent applications of introns in biotechnology was with engineered group II introns embedded into plasmid vectors referred to as “targetrons” (Guo et al., 2000; Karberg et al., 2001; Frazier et al., 2003; Zhong et al., 2003; Perutka et al., 2004; Yao and Lambowitz, 2007; reviewed by Liu et al., 2015). Targetrons are currently employed as a gene disruption system and is commercially sold by Sigma-Aldrich as the “TargeTron® Gene Knockout System” (<https://www.sigmaaldrich.com/life-science/functional-genomics-and-rnai/targetron.html>). The system is based on retrohoming of a group II intron into the target site, ultimately resulting in gene disruption. The specificity of the system involves base-pairing interactions between sequences within the group II intron (referred to as exon-binding sequences) with sequences (intron-binding sequences) directly upstream of the intron insertion site, as well as reverse transcriptase recognition. Possessing two different forms of target site recognition makes the targetron system highly specific. Furthermore, the discovery of heat-tolerant reverse transcriptases enables greater potentials in applications in biotechnology (Vellore et al., 2004; Ng et al., 2007; Mohr et al., 2013a).

The most well-known attempt in the application of homing endonucleases is its use as a gene drive (technique to propagate a gene(s) throughout a population), which can be used in controlling insect populations, for example those vectoring human disease-causing organisms (ex. malaria) (Chan et al., 2011; Windbichler et al., 2011). One strategy involves insertion of

LAGLIDADG or His-Cys homing endonuclease (ex. I-*SceI*) gene (HEG) into the target gene (Galizi et al., 2014). HEG expression leads to cleavage of the target site, which is repaired mainly by homologous recombination (although non-homologous end joining, which is error prone and can introduce mutations, is also possible) using the uncut chromosome (or plasmid) as a template. In the process, the HEG is “copied” into the HEG-less allele (a process referred to as gene conversion), resulting in gene disruption.

The previous two examples demonstrate some of the ways in which mobile elements can be applied although not exhaustive [additional examples have been described in the following: Marcaida et al., 2010 (review); Takeuchi et al., 2011; Belfort and Bonocora, 2014 (review); Guha et al., 2017a (mini review)]. Thus, the study of homing endonuclease and HEGs may provide an important resource for their application in biotechnology.

1.3. Research objectives and rationale

There were two main objectives of the project: 1) study intron distribution in the *cytb* gene of fungi within the phylum Ascomycota, with an emphasis on filamentous fungi of the subphylum Pezizomycotina, and 2) study the gain and loss of two protein-coding (N-acetyltransferase and ribosomal protein S3) genes within the mtDNA.

By studying intron distribution, one can gain insight into the potential insertion sites where the mobile elements can be found and potentially speculate on their origins. It can also aid in gene/genome annotation by providing “coordinates” to where introns can be found. In the absence of an effective annotation/bioinformatics pipeline, taking a single gene approach allows for a more in-depth analysis of the components/elements of a gene, decreasing the likelihood of missing novel features (ex. N-acetyltransferase encoded within in cytochrome b intron of a few

fungi) or arrangements (ex. intron-within-intron/nested intron/twintron). Inadvertently, the single gene approach can limit genome-wide observations, which can also provide interesting observations (presence/absence of conserved and non-conserved genes, for example, ribosomal protein S3 and N-acetyltransferase).

Chapter 2: The intron landscape of the mtDNA *cytb* gene among the Ascomycota: introns and intron-encoded open reading frames

Chapter 2 has been published (Guha et al., 2017b) and was reformatted to be consistent with the format used in the thesis. Text, tables, and figures were modified (added or removed) where the author felt was appropriate.

Guha and Mullineux contributed some original data (sequencing of *cytb* gene for members of the Ophiostomatales). Dr. Hausner contributed towards the design of the experiment and helped with regards to editing the manuscript. Wai completed some the sequences needed for this work and recovered *cytb* gene sequences from NCBI. Wai also analysed and compiled all the data and wrote the first draft of the manuscript.

Guha TK*, Wai A*, Mullineux ST, Hausner G. 2017b. [*equal contribution]. The intron landscape of the mtDNA *cytb* gene among the Ascomycota: introns and intron-encoded open reading frames. *Mitochondrial DNA A DNA Mapp Seq Anal.* 20:1-10. doi: 10.1080/24701394.2017.1404042.

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Title: The intron landscape of the mtDNA cytb gene among the Ascomycota: introns and intron-encoded open reading frames

Author: Tuhin K. Guha, Alvan Wai, Sahra-Taylor Mullineux, et al

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2.1. Abstract

Fungal mitochondrial genes are frequently noted for the presence of introns. These introns are self-splicing and can be assigned to either group I or II introns and they can encode open reading frames (ORFs). This study examines the introns present within the cytochrome b gene of ascomycetes fungi. Cytochrome b gene sequences were sampled from GenBank and supplemented with our own data for species of *Leptographium* and *Ophiostoma*. Group I introns were encountered most frequently, many encoding either LAGLIDADG or GIY-YIG homing endonucleases. Numerous examples of different intron/ORF arrangements were observed including nested ORFs, multiple ORFs within a single intron and intron ORFs at various stages of erosion due to the accumulation of mutations. In addition, an example of a nested intron and one complex group II intron that could potentially allow for alternative splicing was noted. Documenting the distribution of introns within the same gene across a range of species allows for a better understanding of the evolution of introns and intronic ORFs. Intron landscapes also are a resource that can help in annotating genes and in bioprospecting for potentially active homing endonucleases, which are rare-cutting DNA endonucleases with applications in biotechnology.

2.2. Introduction

Among the fungi, mitochondrial genomes (mtDNAs) are highly variable in size and gene arrangement, ranging from 18 kb to more than 230 kb (Bullerwell et al., 2003; Losada et al., 2014; Aguilera et al., 2014; Wu and Hao, 2014; Freel et al., 2015). This variability is in part due to gene content, intergenic spacers, and group I and group II introns and intron encoded open reading frames (ORFs) (Procházka et al., 2010; Solieri, 2010). The autocatalytic function of

group I and II introns depends on folding of their RNAs into complex tertiary structures (Michel and Westhof, 1990; Chastain and Tinoco, 1992; Adams et al., 2004a; Zimmerly and Semper, 2015; Lambowitz and Belfort, 2015).

Group I and group II introns can encode homing endonucleases (HE) and reverse transcriptases (RT), respectively (Stoddard, 2005; Lambowitz and Zimmerly, 2011; Hausner, 2012; Wu et al., 2015). These intron-encoded proteins (IEPs) promote the mobility of their host introns and in some instances these proteins can act as maturases, i.e. they can assist in the splicing of the introns that encode them (Szczepanek and Lazowska, 1996; Bolduc et al., 2003; Belfort et al., 2002; Belfort, 2003; Lang et al., 2007).

Homing endonuclease genes (HEGs) generally are located within terminal loops of group I introns or in a few instances in the D3 or D4 segment of group II introns in a manner that does not interfere with the folding and splicing of the host intron (Guo et al., 1995; Toor and Zimmerly, 2002; Schäfer, 2003). Homing endonucleases (HEs) are DNA cleaving enzymes and they are assigned to various endonucleases protein families based on conserved amino acid motifs (Dujon, 1989; Stoddard, 2005; Hafez and Hausner, 2012). Among the fungi, the most frequently noted mtDNA encoded HEs are the LAGLIDADG and GIY-YIG families of HEs. HEs are very specific for their respective DNA recognition sequences that can range from 14 base pairs (bp) to 40 bp (Stoddard, 2005). Group I and II introns have applications as RNA reagents (Phylactou et al., 1998; Sullenger and Gilboa, 2002; Fiskaa and Birfisdottir, 2010) and can be coopted as regulatory elements (Guha and Hausner, 2014, 2016; McNeil et al., 2016). Homing endonucleases are rare-cutting DNA endonucleases that have been applied in genome editing and as agents for targeted mutagenesis (Stoddard, 2011, 2014; Takeuchi et al., 2011; Hafez and Hausner, 2012). HEs also have applications in synthetic biology (Liu et al., 2014) and

as components of cloning vectors and cloning strategies (Li et al., 2014). Group II intron RNA in combination with a reverse transcriptase can be engineered into so-called targetrons™ and these can be used in targeted mutagenesis (Enyeart et al., 2014). In addition, mtDNA introns have been associated with mtDNA defects in fungi (Sethuraman et al., 2008; Baidyaroy et al., 2011; Hausner, 2012), mtDNA rearrangements (Wu et al., 2015) and generating genetic diversity of sequences flanking introns (Repar and Warnecke, 2017), QoI fungicide resistance in fungal plant pathogens (Grasso et al., 2006), and in the attenuation of virulence in the plant pathogen *Cryphonectria parasitica* (Bertrand, 2000; Baidyaroy et al., 2011).

The cytochrome b (*cytb*) gene encodes for the cytochrome b subunit, a component of the cytochrome bc₁ complex in the electron transport chain (Trumpower, 1990). An initial survey of the Ophiostomatales *cytb* gene revealed that it contains multiple insertions. The study was expanded to examine the *cytb* gene in other members of the Ascomycota. In this study, an intron landscape of the *cytb* gene for members of the Ascomycota was generated. Although, the long-term goal is to establish an intron landscape for the entire fungal mtDNA, computationally it is more feasible at this stage to focus on one gene and analyze in detail the types of introns and intron open reading frames (ORFs) that have invaded the gene. As *cytb* was previously noted (Yin et al., 2012) to be intron-rich, a detailed approach was taken to study the gene in regard to the diversity of introns and intron-encoded ORFs in order to propose scenarios for the evolution of intronic ORFs.

2.3. Materials and methods

2.3.1. Nucleic acid extraction, *cytb* gene amplification, and DNA sequencing

Strains of *Ophiostoma* and *Leptographium* were cultured on malt extract agar (Johnston and Booth, 1983). The strains were further cultured at room temperature in 50 mL PYG liquid media (peptone: 1 g/L, yeast extract: 1 g/L, glucose 3 g/L) for generating biomass suitable for nucleic acid extraction. Total nucleic acids were prepared according to methods described by Hausner et al. (1992).

Due to the length of the *cytb* gene (> 5 kb in some instances), it was amplified by the polymerase chain reaction (PCR) in three overlapping segments referred to as “front”, “middle”, and “back” regions. Primer sequences were designed for each region. Front primers: forward = 5'-TACTTACATATGGCAAGAGG-3', reverse = 5'-ATAAAAGACATTTGACCATAAGG-3'. Middle primers: forward = 5'-ATGTCTTTATGAGGTGCTACAG-3', reverse = 5'-ATAAAAGACATTTGACCATAAGG-3'. Back primers: forward = 5'-TAAGTGCTATACCTTGAATTGG-3', reverse = 5'-TTAGGTATAGATCTTAATATTGC-3'. PCR reactions were set up according to the protocol provided by New England Biolabs for OneTaq Hot Start DNA Polymerase (catalog number: M0481). Typical thermal cycling conditions included: an initial denaturation at 94 °C for 30 s, followed by 30 cycles of denaturation at 94 °C for 30 s, primer annealing at 48 °C for 1 min, and primer extension at 68 °C for 3 min., and a final extension at 68 °C for 5 min. The conditions were optimized for different primer combinations.

PCR products were purified with the Wizard SV Gel and PCR Clean-Up system (Promega, Madison, USA) according to the manufacturer's protocol. Approximately 100 ng of PCR product was mixed with 1.3 pmol of each primer and was sequenced using the BigDye Terminator v3.1 Cycle Sequencing Kit (Applied Biosystems, Foster City, CA, USA) following the manufacturer's instructions at the DNA Technologies Unit NRC Saskatoon (110 Gymnasium

Place, Saskatoon, SK S7N 0W9, Canada). Initially, primers used for the PCR amplification were also used for sequencing. Thereafter, primers were designed as needed based on newly generated sequences in order to “primer walk” and sequence across the entire *cytb*-based amplicons. Sequencing results were analyzed using GeneDoc (Nicholas et al., 1997) and sequence contigs were generated using CAP3 (Huang and Madan, 1999).

2.3.2. Data mining for *cytb* sequences

Using the *cytb* of *Ophiostoma novo-ulmi* subspecies *novo-ulmi* (CM001753.1) as a query sequence, additional *cytb* sequences were extracted from the National Center for Biotechnology Information (NCBI) using the Basic Local Alignment Search Tool for nucleotides (blastn) (Altschul et al., 1990). Genome sequences were reanalyzed (annotated) with the program MFannot and RNAweasel (<http://megasun.bch.umontreal.ca/cgi-bin/mfannot/mfannotInterface.pl> and <http://megasun.bch.umontreal.ca/cgi-bin/RNAweasel/RNAweaselInterface.pl>, respectively; Gautheret and Lambert, 2001; Lang et al., 2007) when required. The ORF Finder program (<https://www.ncbi.nlm.nih.gov/orffinder/>; Genetic code setting for molds #4; Sayers et al., 2010) was used to verify *cytb* exons and identify intron-encoded ORFs.

2.3.3. Sequence analysis

A total of 109 *cytb* sequences extracted from NCBI (GenBank; Benson et al., 2015) plus 20 from our own efforts were aligned using Kalign (Lassmann and Sonnhammer, 2005) using the program’s default settings. The FASTA-formatted alignment was further analyzed using GeneDoc, ClustalX (Larkin et al., 2007), MAFFT

(<http://mafft.cbrc.jp/alignment/server/index.html>; alignment strategy setting: E-INS-I; Katoh and Standley, 2013), and the alignments were manually modified as necessary using GeneDoc. The aligned *cytb* nucleotide data set was also utilized to generate a phylogenetic tree using Maximum Likelihood criteria as implemented in W-IQ-TREE (Trifinopoulos et al., 2016) using default settings. Only exon sequences were maintained and the bootstrap option (1000 iterations) was implemented to estimate node support values.

The RNAweasel program (Eddy and Durbin, 1994; Gautheret and Lambert, 2001; Lang et al., 2007; Smith et al., 1994) was used to predict intron types/categories and intron core structural elements. Introns were named according to their insertion sites within the *cytb* sequence. Intron insertion site designations were based on comparative sequence analysis with the coding sequence of the *Saccharomyces cerevisiae* (KP263414.1) *cytb* gene. For example: the intron inserted after nucleotide position 506 would be abbreviated as *cytb*-506, where “*cytb*” denotes the cytochrome b gene and the number denotes the nucleotide position where the intron is located relative to the *S. cerevisiae cytb* coding sequence.

2.3.4. Intron folding

Secondary structural elements of the group I introns within the *cytb* genes, including the P3, P4, P6, P7, and P8 helices, were predicted using RNAweasel. Other base-pairing interactions, namely the P1, P2, P5, P9 and P10 helices, were predicted in part through Mfold (Zuker, 2003) and manually by comparing the intron sequences against reference structures and alignments generated by Michel and Westhof (1990) as well as those provided within the Group I intron Sequence and Structure Database (GISSD) (Zhou et al., 2008). The final intron folds were manually drawn following the conventions proposed by Cech et al. (1994) using

CorelDRAW Graphics Suite X6 (Corel Corporation, Ottawa). Similarly, group II intron sequences were analyzed with RNAweasel and Mfold (using constraints based on previously published models) and RNA folds were generated based on multiple alignment comparative analysis and reference folds provided by Michel and Ferat (1995), Toor et al. (2001) and the group II intron data base (<http://webapps2.ucalgary.ca/~groupii/>; Candales et al., 2012).

2.4. Results

2.4.1. The *cytb* gene architecture among the Ascomycota: the *cytb* intron landscape

Based on comparative sequence analysis and RNAweasel predictions, a total of 362 introns were observed in the *cytb* data set containing our data from 12 members of *Leptographium* and 8 strains representing members of the *Ophiostoma ulmi* and *novo-ulmi* species complex plus 109 sequences extracted from GenBank. It consisted of 350 group I introns, 10 group II introns, and two undefined introns (see Table 2.1, summarized in Table 2.2 and visualized in Figure 2.1), and were distributed in a total of 21 different intron insertion sites within the *cytb* gene (see Figure 2.1, simplified in Figure 2.2). The largest *cytb* genes were observed in *Bipolaris maydis* (KB733493.1)/*Cochliobolus heterostrophus* (KB445594.1), *Guignardia bidwellii* (JF785545.1), *Monilia yunnanensis* (HQ908793.1), *Monilinia fructicola* (GQ304941.1), *Monilinia fructigena* (HM149254.1), *Monilinia laxa* (GU952817.1), and *Pyrenophora tritici-repentis* (DS231662.1), each containing seven introns, although not every intron was shared amongst these species. In general, it was noted that some introns are wide spread across different organisms whereas others were more unique; for example: *cytb*-393 can be found in 94 out of the 129 fungi examined, *cytb*-490 was found in 68 fungal species, and *cytb*-506 was observed in 39, whereas *cytb*-159 had only one representative. In addition, related fungi

Table 2.1. Summary of introns inserted in the *cytb* gene sampled from 129 Pezizomycotina fungi from the National Center for Biotechnology Information database.

	Intron Insertion Site																				
	159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase	0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																					
Saccharomycetales (39)																					
<i>Barnettozyma californica</i> (KC993183.1)	3		IB -							ID fL								IB fL			
<i>Candida albicans</i> (JQ864233.1)	2						ID fG			ID -											
<i>Candida bohiensis</i> (KF214631.1)	1																	?			
<i>Candida chaudiodes</i> (KF017574.1)	1																	IB (ei) fL			
<i>Candida corydali</i> (KC993198.1)	4						ID fG			ID fL		IA -						IB dL			
<i>Candida jiufoensis</i> (GU136397.1)	1						ID fG														
<i>Candida labiduridarum</i> (KC993196.1)	3						ID -					IA -						IB fL			
<i>Candida maltosa</i> (EU267175.1)	2						ID G					I (d, A) -									
<i>Candida neerlandica</i> (EU334437.1)	3						ID -					IA -						IB fL			
<i>Candida norvegica</i> (KF017573.1)	1						ID fG														
<i>Candida orthopsilosis</i> (AY962590.1)	1									ID fL											
<i>Candida oxycetoniae</i> (KC993187.1)	2			II fRT														II fRT			
<i>Candida parapsilosis</i> (JQ062883.1)	2						ID fG			ID fL											

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Candida pseudojiufengensis</i> (KC993179.1)	4						ID fG			ID fL		I (d, A) -						IB -				
<i>Candida sake</i> (KC993194.1)	1						ID fG															
<i>Candida santjacobensis</i> (KC993178.1)	1						IB hp															
<i>Candida saraburiensis</i> (KC993174.1)	1											IA -										
<i>Candida theae</i> (KC993195.1)	1								ID fL													
<i>Candida viswanathii</i> (EF536359.1)	1																	II fRT				
<i>Cyberlindnera jadinii</i> (KC993189.1)	4						ID fG			ID fL		I (d, A) fL						IB fL				
<i>Cyberlindnera suaveolens</i> (KC993193.1)	4						ID fG			ID fL								IB fL		IA fhp		
<i>Debaryomyces hansenii</i> (DQ508940.1)	3						ID dG			ID L		IA fL										
<i>Galactomyces candidum</i> (HG530139.1)	1						ID fG															
<i>Lachancea</i> sp. (HE983613.1)	1						ID dG															
<i>Magnusiomyces capitatus</i> (KJ459952.1)	2											IA dL						IB dL				
<i>Magnusiomyces ingens</i> (KJ459950.1)	1											IA fL										
<i>Magnusiomyces tetrasperma</i> (KJ459951.1)	2						ID fG			ID fL												

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Meyerozyma guilliermondii</i> (KC993176.1)	2									ID fL			IA -									
<i>Millerozyma farinosa</i> (FN356025.1)	5							ID G		ID fL			I (d, A) -	IB fL					IB fL			
<i>Ogataea philodendri</i> (KC993191.1)	1												I (d, A) -									
<i>Ogataea thermophila</i> (KC993173.1)	2							ID fG					IA fL									
<i>Pichia pastoris</i> (FR839632.1)	3							ID fG		ID fL									IB (ei) fL			
<i>Saccharomyces cerevisiae</i> (CP006539.1)	0																					
<i>Saccharomyces cerevisiae</i> (KP263414.1)	5								II -	ID fL				IB (3', p) fL					IB fL		IA -	
<i>Torulaspota franciscaae</i> (KM595069.1)	2							ID dG											IB dL			
<i>Torulaspota globosa</i> (KM595074.1)	3							ID fG						IB fL					IB fL			
<i>Torulaspota pretoriensis</i> (KM595067.1)	1							ID fG														
<i>Wickerhamomyces mucosus</i> (KC993197.1)	4									ID fL			IA fL						IB fL		IA fhp	
<i>Yarrowia lipolytica</i> (CM004385.1)	4									ID fL				IB fL					IB fL		IA fhp	
Pezizales (1)																						

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Pyronema omphalodes</i> (KU707476.1)	3							ID hp	IC2 dL			IB -										
Botryosphaeriales (2)																						
<i>Guignardia bidwellii</i> (JF785545.1)	7						ID fL	ID fG			ID dL, L		IA dL, dG	IB fL				II fRT		(cut off)		
<i>Guignardia citricarpa</i> (KC788404.1)	2						ID dG, L	IC2 -		ID dL, dL												
Capnodiales (1)																						
<i>Mycosphaerella fijiensis</i> (AF343069.1)	1													IB dL								
Pleosporales (6)																						
<i>Bipolaris maydis</i> (KB733493.1)	7		IB L				ID dL, fG	ID fG			ID 2x N	IB L	IA fL									IB L
<i>Cochliobolus heterostrophus</i> (KB445594.1)	7		IB L				ID dL, fG	ID fG			ID 2x N	IB L	IA fL									IB L
<i>Didymella pinodes</i> (KT946597.1)	3		IB -					ID fG					IA fL									
<i>Phaeosphaeria nodorum</i> (EU053989)	1													IB fL								
<i>Pyrenophora tritici-repentis</i> (DS231662.1)	7		IB dL, L				ID fL	ID fG, L				IB 2x dL	IA fL	IB dL								IB -
<i>Venturia inaequalis</i> (AF004559.1)	6	II fRT			IC1 dL				IC2 -					IB (3' p) fL						IC1 hp		IB dL
Eurotiales (6)																						

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Aspergillus clavatus</i> (JQ354999.1)	1							ID fG														
<i>Aspergillus flavus</i> (KP725058.1)	1							ID fG														
<i>Aspergillus nidulans</i> (JQ435097.1)	1												IB fL									
<i>Talaromyces marneffei</i> (AY347307.1)	1							ID fG														
<i>Talaromyces stipitatus</i> (JQ354994.1)	2							ID fG					IA fL									
<i>Xeromyces bisporus</i> (HG983520.1)	4							ID dG			ID -		IA dL, L									IB dL, G
Onygenales (3)																						
<i>Ajellomyces capsulatus</i> (GG663449.1)	1							ID fG														
<i>Epidermophyton floccosum</i> (AY916130.1)	1							ID fG														
<i>Paracoccidioides brasiliensis</i> (AY955840.1)	2		I (d, B1) -															II fRT				
Lecanorales (1)																						
<i>Lecanora strobilina</i> (KU308740.1)	1												IA fL									
Peltigerales (2)																						
<i>Peltigera malacea</i> (JN088164.1)	3							ID dG					IA dL									IB dL

		Intron Insertion Site																					
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820	
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1	
Total number of introns																							
<i>Peltigera membranacea</i> (JN088165.1)	3							ID fG					IA dL									IB dL	
Helotiales (8)																							
<i>Botryotinia fuckeliana</i> (FJ217742.1)	3		IB L					ID fG					IA fL										
<i>Botryotinia fuckeliana</i> (FJ217744.1)	4		IB L					ID fG			ID fL		IA fL										
<i>Monilia mumeicola</i> (JN204425.1)	6		IB dL, dG					ID fG			ID dL, L		I (d, A) fL	IB fL							I (d, A) hp		
<i>Monilia yunnanensis</i> (HQ908793.1)	7		IB L					ID fG			ID dL, L		I (d, A) fL	IB dL							I (d, A) hp		IB dL, G
<i>Monilinia fructicola</i> (GQ304941.1)	7		IB L					ID fG			ID fL		I (d, A) fL	IB fL							I (d, A) hp	I (d, A) fhp	
<i>Monilinia fructigena</i> (HM149254.1)	7		IB L		IB dL	II -		ID fG					IA dL, dG	IB dL							I (d, A) hp		
<i>Monilinia laxa</i> (GU952817.1)	7		IB dL, dG					ID fG			ID dL, dL		IA dL	IB dL								I (d, A) hp	IB dL, dG
<i>Sclerotinia borealis</i> (KJ434027.1)	6				IC1 dL, dL			ID fG					IB dL, dG						?	hp		IC1 dL	IA fhp
Hypocreales (26)																							
<i>Beauveria bassiana</i> (EU371503.2)	1							ID fG															
<i>Beauveria caledonica</i> (KT201150.1)	2							ID fG						IB fL									
<i>Beauveria malawiensis</i> (KT201147.1)	1							ID fG															

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Cordyceps bassiana</i> (EU100742.1)	1							ID G														
<i>Cordyceps brongniartii</i> (EU100743.1)	1							ID G														
<i>Cordyceps militaris</i> (KF432176.1)	1							ID fG														
<i>Fusarium avenaceum</i> (JPYM01000083.1)	1							ID fG														
<i>Fusarium circinatum</i> (JX910419.1)	4		IB dL					ID dG					IA fL									IB dL
<i>Fusarium culmorum</i> (KP827647.1)	6		IB L		IC1 fL			ID fG, hp					IA fL	IB fL							IC1 hp	
<i>Fusarium fujikuroi</i> (JX910420.1)	1												IA dL									
<i>Fusarium gerlachii</i> (KM486533.1)	5		IB fL		IC1 fL			ID fG, hp					IA fL	IB fL							IC1 hp	
<i>Fusarium graminearum</i> (DQ364632.1)	5				IC1 fL			ID fG, hp					IA dL, L	IB fL							IC1 hp	
<i>Fusarium graminearum</i> (KP966561.1)	5				IC1 fL			ID fG, hp					IA fL	IB fL							IC1 hp	
<i>Fusarium graminearum</i> (KR011238.1)	6		IB L		IC1 fL			ID fG, hp					IA dL, L	IB fL							IC1 hp	
<i>Fusarium oxysporum</i> (EU035603.1)	1							ID fG														
<i>Fusarium oxysporum</i> (EU035604.1)	2							ID fG					IA fL									
<i>Fusarium oxysporum</i> (KR952337.1)	1							ID fG														

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Fusarium temperatum</i> (KP742837.1)	1							ID G, hp														
<i>Gibberella zeae</i> (DQ364632.1)	5				IC1 fL			ID fG, hp					IA dL, L	IB fL							IC1 hp	
<i>Hirsutella minnesotensis</i> (KR139916.1)	2							ID fG					IA fL									
<i>Hirsutella rhossiliensis</i> (KU203675.1)	3							ID fG					IA fL									IB L
<i>Hypocrea jecorina</i> (AF447590.1)	2							ID fG						IB fL								
<i>Hypomyces aurantius</i> (KU666552.1)	3		IB (3' p) L					ID fG						IB (3' p) fL								
<i>Nectria cinnabarina</i> (KT731105.1)	4		IB L, dL					ID fG					IA fL									IB L
<i>Neotyphodium lolii</i> (KF906135.1)	4							ID fG					I (d, A) fL	IB -								IB L
<i>Ustilagoideia virens</i> (JN204426.1)	6		I (d, B1) dL					ID fG					IA fL	IB hp	IB L							IB L
Microascales (1)																						
<i>Ceratocystis cacaofunesta</i> (JX185564.1)	2		IB L										IA fL									
Diaporthales (4)																						
<i>Chrysoporthe austroafricana</i> (KT380883.1)	5		I (d, B1) fL			II L		ID fG					IA fL		IB L							
<i>Chrysoporthe cubensis</i> (KT380885.1)	3		I (d, B1) fL					ID fG					IA fL									

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Chrysosporthe deuterocubensis</i> (KT380884.1)	4		I (d, B1) fL					ID fG					IA fL									IB G, dL, G
<i>Cryphonectria parasitica</i> (KT428651.1)	3		I (d, B1) fL										IA dL, dG									IB dG, L, G
Ophiostomatales (21)																						
<i>Leptographium lundbergii</i> (KY082967.1)	0																					
<i>Leptographium lundbergii</i> (KY082962.1)	2												IA fL	IB fL								
<i>Leptographium procerum</i> (KY082964.1)	1							ID fG														
<i>Leptographium procerum</i> (KY082965.1)	1							ID fG														
<i>Leptographium procerum</i> (KY082966.1)	1							ID fG														
<i>Leptographium</i> sp. (KY082968.1)	1													IB fL								
<i>Leptographium truncatum</i> (KY082969.1)	1													IB fL								
<i>Leptographium truncatum</i> (KY082970.1)	1													IB fL								
<i>Leptographium truncatum</i> (KY082971.1)	1													IB fL								
<i>Leptographium truncatum</i> (KY082963.1)	2												IA fL	IB fL								

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Leptographium wingfieldii</i> (KY082972.1)	1													IB fL								
<i>Leptographium wingfieldii</i> (KY082973.1)	1													IB fL								
<i>Ophiostoma novo-ulmi</i> subsp. <i>americana</i> (KY084297.1)	4		IB L					ID fG				IB L	IA fL									
<i>Ophiostoma novo-ulmi</i> subsp. <i>americana</i> (KY084295.1)	3							ID fG				IB L	IA fL									
<i>Ophiostoma novo-ulmi</i> subsp. <i>americana</i> (KY084296.1)	4							ID fG				IB L	IA fL	IB fL								
<i>Ophiostoma novo-ulmi</i> subsp. <i>novo-ulmi</i> (CM001753.1)	3							ID fG				IB dL	IA fL									
<i>Ophiostoma novo-ulmi</i> subsp. <i>novo-ulmi</i> (KY084294.1)	3							ID fG				IB L	IA fL									
<i>Ophiostoma ulmi</i> (KY084298.1)	5		IB L					ID fG				IB L	IA fL	IB fL								
<i>Ophiostoma ulmi</i> (KY084299.1)	5		IB L					ID fG				IB L	IA fL	IB fL								
<i>Ophiostoma ulmi</i> (KY084300.1)	5		IB L					ID fG				IB L	IA fL	IB fL								
<i>Sporothrix pallida</i> CM003773.1	2							ID fG					IA fL									
Glomerellales (1)																						
<i>Colletotrichum lindemuthianum</i> (KF953885.1)	1												IA fL									
Sordariales (6)																						

		Intron Insertion Site																				
		159	201	212	278	289	378	393	405	415	429	437	490	506	562	616	677	684	756	776	806	820
Phase		0	0	2	2	1	0	0	0	1	0	2	1	2	1	1	2	0	0	2	2	1
Total number of introns																						
<i>Chaetomium thermophilum</i> (JN007486.1)	5						ID dG			ID fL	IB L	IA fL	IB, II dL									
<i>Neurospora crassa</i> (M37324.1)	2						ID dG					IA fL										
<i>Neurospora crassa</i> (KC683708.1)	2						ID fG					IA fL										
<i>Podospora anserina</i> (X51480.1)	2		I (d, B1) L, G				ID fG															
<i>Podospora anserina</i> (X55026.1)	3		I (d, B1) L, G				ID fG															IB L
<i>Sordaria macrospora</i> (NW_003542061.1)	4		I (d, B1) L				ID fG					IA dL										IB dL
Xylariales (1)																						
<i>Annulohypoxyton stygium</i> (KF545917.1)	6		IB (5', p) 2x L				ID fG			ID N	IB L	IA L			ID fL							

Note: d = degenerated open reading frame (ORF); f = fused ORF; G = GIY-YIG homing endonuclease; hp = hypothetical protein; L = LAGLIDADG homing endonuclease; N = N-acetyltransferase; RT = reverse transcriptase; ei = extra insertion (only applies to introns).

Table 2.2. Summary of the number of introns and the intron/open reading frame configurations observed in the *cytb* gene, sampled from 129 Pezizomycotina fungi from the National Center for Biotechnology Information database.

IS	Total In	Total i	IA	IB	IC1	IC2	ID	II	UD	Total ORFs	fL	L	dL	fG	G	dG	fRT	RT	dRT	N	fhp	hp	ORF-minus
159	1	1						1		1							1						
201	33	33		33						37	5	22	6		2	2							3
212	1	1						1		1							1						
278	9	9		1	8					10	6		4										
289	2	2						2		1		1											1
378	4	4					4			6	2		2	2									
393	94	94		1			93			101		2		76	5	9						9	2
405	3	3				3				1			1										2
415	1	1						1		0													1
429	29	29					29			34	18	4	7							5			2
437	15	15		15						16		11	4			1							1
490	68	68	68							66	45	5	13			3							9
506	39	40		39				1		38	31		6									1	1
562	2	2		2						2		2											
616	1	1					1			1	1												
677	1	1							1	1												1	
684	4	4						4		4							4						
756	17	17		16					1	15	12		3										2
776	13	13	5		8					13			1									12	
806	6	6	6							5											5		1
820	18	18		18						24		8	9		5	2							1
Total	361	362	79	125	16	3	127	10	2	377	120	55	56	78	12	17	6	0	0	5	5	23	26

Note: IS = insertion site [numbering is with reference to homologous site in the *Saccharomyces cerevisiae* (KP263414.1) coding sequence], In = number of organisms with the insertion; i = number of intron (group I or group II) observed in the given insertion site; UD = undefined intron type; ORF = open reading frame; d = degenerated ORF; f = fused ORF; G = GIY-YIG homing endonuclease; hp = hypothetical protein; L = LAGLIDADG homing endonuclease; N = N-acetyltransferase; RT = reverse transcriptase.

Figure 2.1. Phylogenetic tree of *cytb* genes from fungi of the phylum Ascomycota.

A total of 129 cytochrome b (*cytb*) genes were sampled from GenBank (Benson et al., 2013).

The phylogenetic tree was generated using W-IQ-TREE (Trifinopoulos et al., 2016) using default settings. Bootstrap values, based on 1 000 iterations, are indicated on the nodes. The scale on the lower right of the figure indicates the number of base pairs along the *Saccharomyces cerevisiae* (KP263414.1) *cytb* gene (1 158 bp). Intron insertion site numbered above vertical lines. Taxonomic rank (Order) are abbreviated and placed by or at the node of the tree. Bot = Botryosphaerales; Cap = Capnodiales; Dia = Diaporthales; Eur = Eurotiales; Glo = Glomerellales; Hel = Helotiales; Hyp = Hypocreales; Lec = Lecanorales; Mic = Microascales; Ony = Onygenales; Oph = Ophiostomatales; Pel = Peltigerales; Pez = Pezizales; Ple = Pleosporales; Sac = Saccharomycetales; Sor = Sordariales; Xyl = Xylariales. Circles = group I intron; Triangle = group II intron; Filled circle/triangle = ORF-plus; Open circle-triangle = ORF-minus.

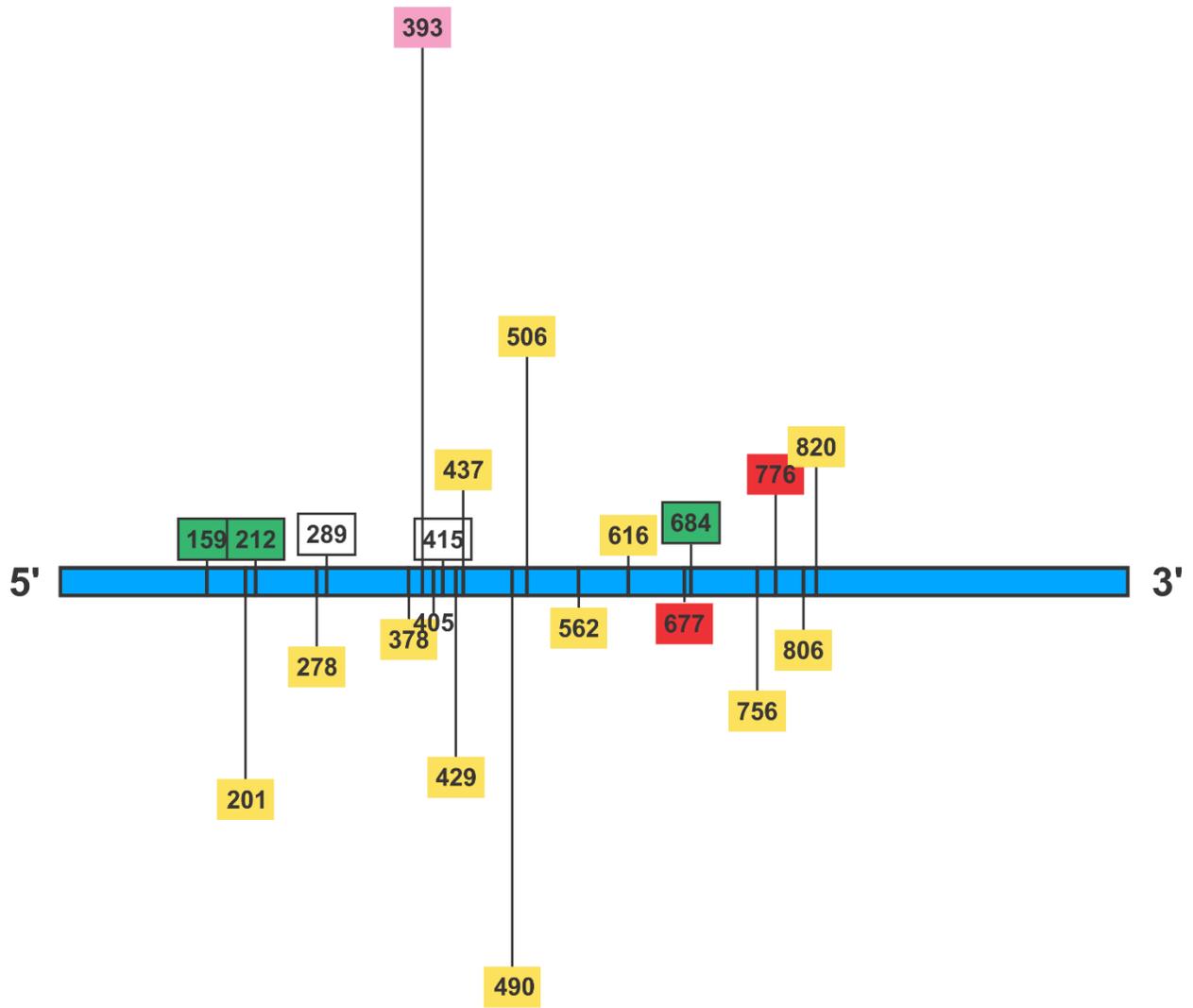


Figure 2.2. Intron landscape of the cytochrome b gene.

A total of 21 different intron insertion sites were observed among 129 fungal species of the phylum Ascomycota, sampled from the National Center for Biotechnology Information database. Intron insertion sites are numbered with respect to the homologous nucleotide (nt) position that is upstream to the intron insertion site with respect to the nt numbering in *Saccharomyces cerevisiae* (KP263414.1) coding sequence. Numbers within boxes = intron insertion site, vertical lines = relative number of representatives with intron, yellow box = introns typically encode for at least one LAGLIDADG homing endonuclease, pink box = introns typically encode for at least one GIY-YIG homing endonuclease, green box = introns typically encode for a reverse transcriptase, red box = introns typically encode for at least one hypothetical protein of unknown function, non-coloured box = unidentified intron, box without border = group I introns typically found at insertion site, boxes with border = group II introns typically found at insertion site.

can have different intron composition. These include species of *Leptographium*, which can have zero to two introns, some of which are shared among different species while others are unique to a particular strain. Variability with regards to intron distribution was observed with fungal strains of the same species, such as in *Botryotinia fuckeliana*, *Fusarium oxysporum*, and *Podospora anserina* (see Table 2.1, visualized in Figure 2.1).

Typically, it was recorded that at a specific *cytb* intron insertion site one would encounter the same type or subtype of intron encoding the same type of ORF (see Table 2.1, visualized in Figure 2.1). However, two exceptions were noted: *cytb*-393 can be a group ID or IB [in the case of *Candida santjacobensis* (KC993178.1)], and *cytb*-776 can either have a group IA (derived) or IC1 intron.

2.4.2. Group II introns and novel intron arrangements

A total of five group II intron insertion sites (excluding *cytb*-506 nested group II intron) were found during this study, namely *cytb*-159, *cytb*-212, *cytb*-289, *cytb*-415, and *cytb*-684. The group II introns correspond to *cytb*-159 of *Venturia inaequalis* (AF004559.1), *cytb*-212 of *Candida oxycetoniae* (KC993187.1), *cytb*-289 of *Chrysosporthe austroafricana* (KT380883.1) and *Monilinia fructigena*, *cytb*-415 of *S. cerevisiae*, *cytb*-684 of *Candida oxycetoniae*, *Candida viswanathii* (EF536359.1), *Guignardia bidwellii* (JF785545.1), and *Paracoccidioides brasiliensis* (AY955840.1) (see Table 2.1). Further analysis through ORF Finder failed to identify any ORFs in the group II introns of *M. fructigena* and *S. cerevisiae*. The group II intron of *V. inaequalis* contains an ORF that has been identified to encode for a reverse transcriptase-like protein (Zheng and Köller, 1997).

A unique intron configuration was uncovered in the *cytb*-289 intron of *Chrysosporthe austroafricana* (KT380883.1). *Monilinia fructigena* has a group IIB intron (*cytb*-289) encoding a degenerated LAGLIDADG type ORF. However, an intron located at a homologous site in *Chrysosporthe austroafricana* (Ch.aus.) is more complex (see Figures 2.3a, b). Embedded within the Ch.aus *cytb*-289 group IIB intron is a partial IIB intron consisting of domains IV (segment), V and VI. This nested arrangement has the potential to allow for alternative splicing. Utilizing the internal V and VI domains could upon splicing generate a transcript whereby the ORF contained within the terminal part of this complex intron is fused to the upstream exon and thus allowing for the efficient translation of the intron-encoded LAGLIDADG type ORF (see Figure 2.3a). Alternatively, the intron could also arrange in a way that connects the terminal segments (domains V and VI) of the intron with the anterior scaffold domain (DI) and the entire intron would be removed, and a functional mRNA could be generated for the host gene.

Another novel intron arrangement was noted for the *cytb*-506 of *Chaetomium thermophilum* (JN007486.1), is a nested intron composed of a group IIA1 intron inserted within the LAGLIDADG type ORF of the native group IB intron (see Figures 2.4a, b, c, d). The group II intron's exon binding sequence (EBS) appears to interact with IBS elements that are located upstream of the intron insertion site within the LAGLIDADG type ORF.

2.4.3. Group I introns and intron-encoded ORFs

Group I introns represent the most common type of intron found among the *cytb* genes, comprising 350 out of the 362 (~ 97%) introns examined. Group I introns were observed in sixteen different sites, namely at *cytb*-201, 278, 378, 393, 405, 415, 429, 437, 490, 506, 562, 616, 756, 776, 806, and 820 (see Table 2.1, summarized in Table 2.2). ORFs were frequently found

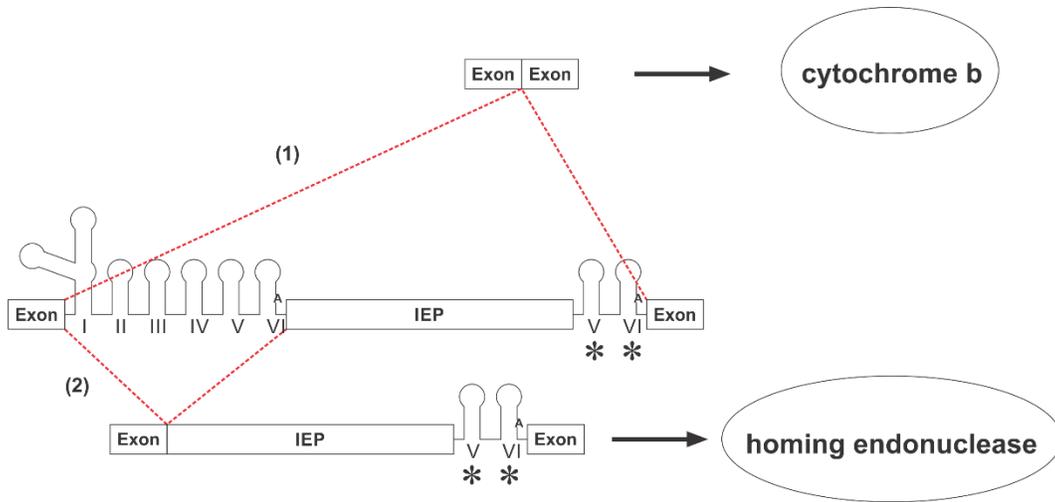
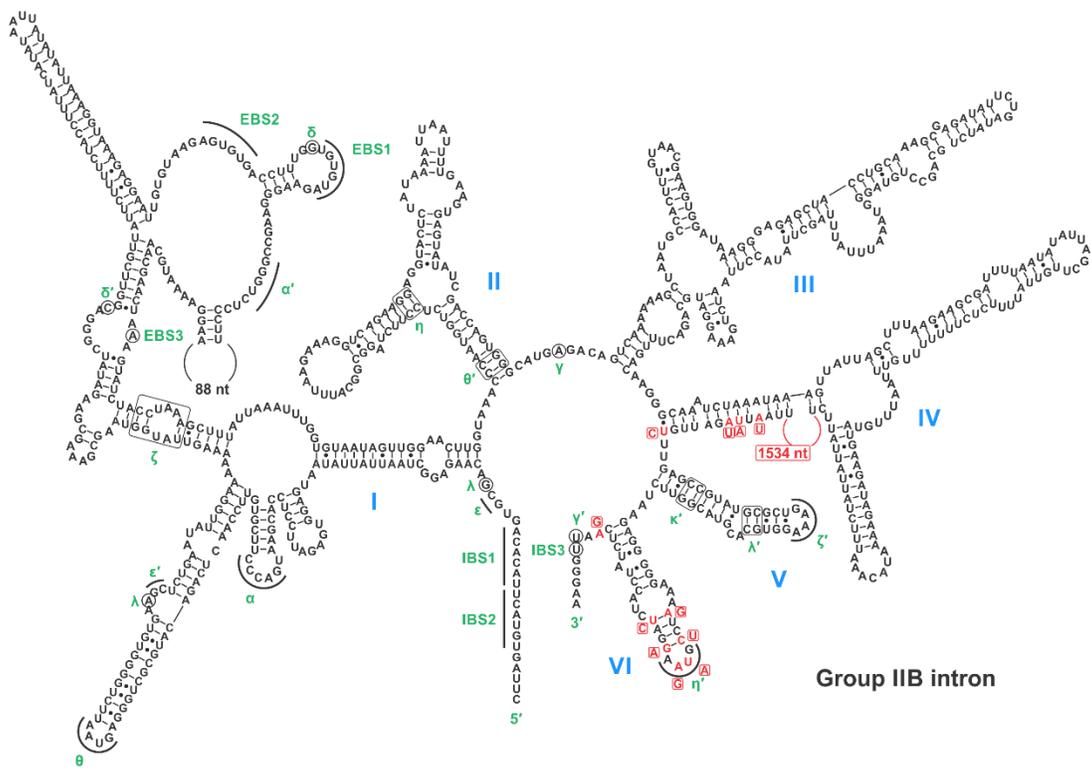
a**b**

Figure 2.3. The *cytb*-289 intron of *Chrysosporthe austroafricana* (KT380883.1).

(a) Schematic overview of potential splicing pathways employed by the group IIB intron. The intron sequence contains a partially duplicated 3' stem of domain IV, and duplicated domain V and VI flanking an intron-encoded protein (IEP), which encodes for a double-motif LAGLIDADG homing endonuclease. The duplicated sequences may allow for the intron to undergo alternative splicing. (1) Splicing using the 3' duplicated segments results in the formation of a full-length cytochrome b mRNA. (2) Splicing using the upstream duplicated segments results in fusion of the IEP with the 5' exon and potentially allowing for IEP expression. Differences are highlighted between the two duplicated sequences by red boxes and by denoting the nucleotide differences with *. **(b)** Proposed secondary structures of the *cytb*-289 group IIB intron. Note: 88 out of the 1534 nt in domain IV is part of the duplicated (upstream) group II intron sequence. EBS = exon binding site, IBS = intron binding site. Group II intron tertiary interactions are shown according to Michel and Ferat (1999).

Figure 2.4. The *cytb*-506 intron of *Chaetomium thermophilum* (JN007486.1).

(a) Configuration of the *cytb*-506 intron. The intron is composed of a group IB4 encoding a double-motif LAGLIDADG homing endonuclease interrupted by a group IIA1 intron. Intron insertions are shown with dashed lines. The nucleotide sequence flanking the group IIA1 insertion is shown, with the corresponding amino acid sequence shown below the nucleotide sequence. The group IIA1 intron is inserted after the second nucleotide of a codon that encodes for isoleucine (I). **(b)** Intron/exon interactions of the group IIA1 intron with its host (double-motif LAGLIDADG) exon sequence. **(c)** Proposed structure of the group IB4 intron. **(d)** Proposed structure of group IIA1 intron. Note: EBS = exon binding site, IBS = intron binding site. Group II intron tertiary interactions are shown according to Michel and Ferat (1999).

within the group I introns examined and typically encode LAGLIDADG or GIY-YIG type HEGs (see Table 2.1). Some GIY-YIG type ORFs were noted to be associated with or located within LAGLIDADG type ORFs and these were found in *cytb*-201 of *Monilia mumecola* (JN204425.1), *Monilinia laxa*, *Podospora anserina* (X51480.1), *P. anserina* (X55026.1), *cytb*-490 of *Monilinia fructigena*, and *cytb*-820 of *Monilia yunnanensis* and *Monilinia laxa* (see Table 2.1). In summary, among the 350 group I introns noted, 371 intron-encoded ORFs were predicted; 107 encoded GIY-YIG type ORFs, 231 encoded LAGLIDADG type ORFs, 5 encoded N-acetyltransferases, and 28 encoded hypothetical ORFs.

Many examples of degenerating or eroding ORFs (73/377) were detected due to premature stop codons or other mutations leading to the fragmentation of the resident ORFs along with the insertion of GIY-YIG (13) or LAGLIDADG (12) type HEGs into the resident ORFs (see Table 2.1).

Many examples were noted where the intron-encoded ORF is fused (209/377) to the upstream exon of the host gene. However, freestanding ORFs within introns or “bi-orfic” introns where the posterior or both ORFs were not fused to upstream exons were noted (see Table 2.2). Among the 350 *cytb* group I introns noted in this study, 40 showed evidence of containing two or more ORFs. Finally, it was noted that in some versions of the *cytb*-429 intron, a group ID intron, ORFs could be identified that encode for a putative N-acetyltransferase. The *cytb*-429 intron usually encodes LAGLIDADG type ORFs.

2.5. Discussion

2.5.1. *cytb* intron landscape

A recent study performed by Yin et al. (2012) took a more general approach in examining the *cytb* gene, with various aspects being similar to this study. In their work, they examined 69 fungal intron-containing *cytb* genes and noted 172 introns (but the insertion site of only 171 introns were determined). They observed a “patchy” (sporadic) intron distribution and found 35 different intron insertion sites among members of the Mycota within *cytb*. The focus of their study was to document the gain and loss of introns and how transposition and horizontal transfer contribute towards intron distribution. Similar findings were observed in this study, but the focus was the Ascomycota. Here, 21 intron insertion sites were noted (see Figure 2.2, detailed in Figure 2.1). The current study focused on intron types and intron ORFs and intron folds for selected introns that appear to be unique compared to standard group I or group II introns (see Figures 2.3 and 2.4). For one, as expected for a particular *cytb* insertion site, typically the same category of intron is present; a reflection that intron mobility is usually facilitated by intron encoded homing endonuclease that target (i.e., cleave) specific homing sites (Dujon, 1989; Belfort et al., 2002). The 3'-end of the gene (~ 350 nt) appears to be devoid of introns (see Figure 2.2, detailed in Figure 2.1). The latter has been observed for other genes and intron content and it is usually speculated that intron loss might be due to replacing genes or parts of genes with reverse transcribed version of the mRNA (Sverdlov et al., 2004). As reverse transcription starts at the 3' end of the transcript and usually cDNAs are not completed for the entire mRNA due to low processivity of the RT, the 3' regions are more likely subjected to intron loss. Some introns were more frequently encountered, in particular *cytb*-393 (94 representatives), 490 (68), 506 (39), 201 (33), and 429 (29) (see Table 2.1, summarized in Table 2.2). These locations may be highly conserved and thus preferred templates for HE activity. Alternatively, maintaining a

potentially biased intron distribution might be indicative that some mobile elements can benefit the host gene (Novikova et al., 2016).

2.5.2. Homing endonuclease genes: From intron invasion, to intron/HEG mutualism, to drift, and decay

Collectively, the *cytb* introns and their associated ORFs may offer further insight into the so called: “intron and homing endonuclease life cycle” (see Figure 2.5). Emblem et al. (2014), based on the analysis of sea anemone *cox1* mtDNAs introns, distinguished five stages in the cycle of invasion of mitochondrial group I introns: (1) initially, what they referred to as a “young” intron, have the HEG-encoding component fused in-frame with the upstream exon; (2) in more “mature” introns, the intron-encoded HE ORF connection with the upstream exon is lost and; (3) eventually, the introns show evidence of ORF degeneration; (4) leading to the loss of the ORF; and finally (5) the introns are lost. This model is essentially an expansion of the model proposed by Goddard and Burt (1999) which was based on the yeast omega system (*rnl* group IA intron and its LAGLIDADG type ORF), which appears to go through a cycle of invasion of the mL2449 site [insertion site nomenclature proposed by Johansen and Haugen (2001)], followed by deterioration (decay) of the ORF and eventual loss of the intron. Essentially, the lack of selection causes the ORF sequences to drift and accumulate mutations. Thus, survival is based on the composite intron to invade new sites (in cognate alleles or ectopic sites) in order to outpace its decay. For both models described above, a site that lost the intron is a site that can be reinvaded by an intron.

Emblem’s model is compatible with the concept of core creep proposed by Edgell et al. (2011); here, the argument is presented that intron ORFs, over time, expand and the ORF

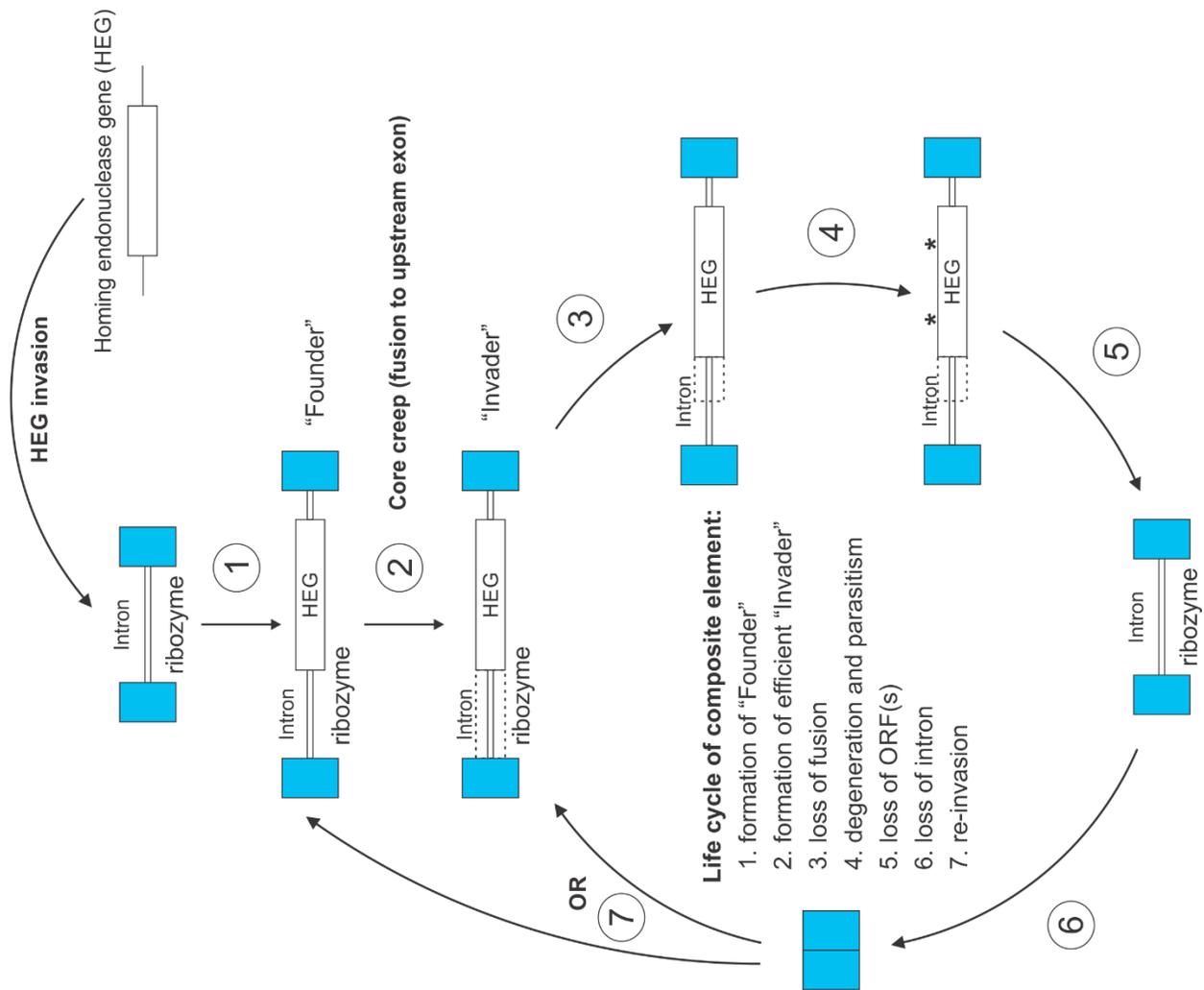
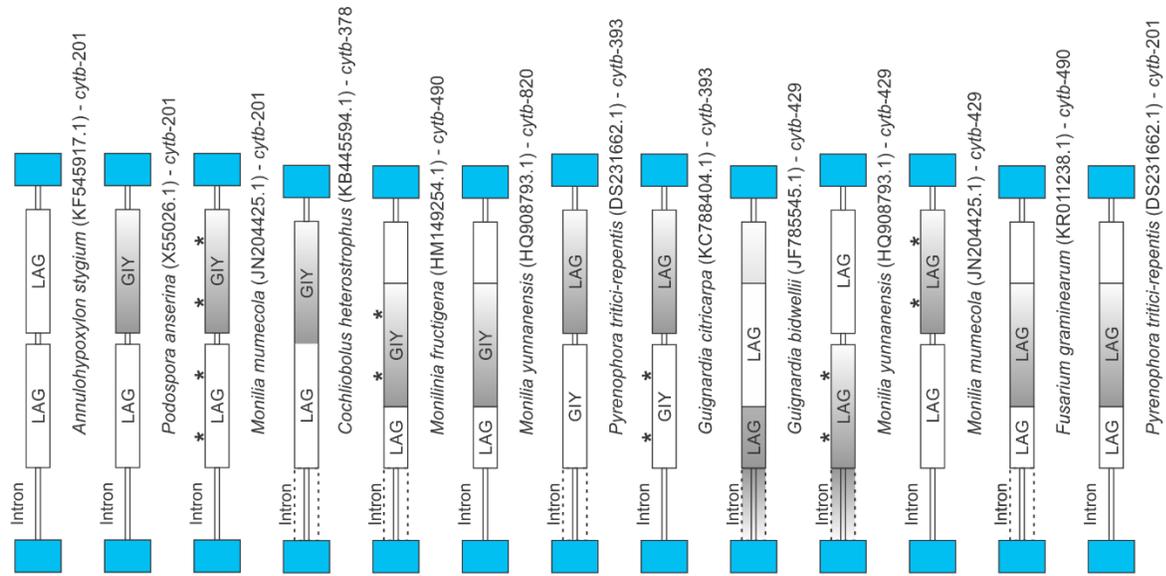


Figure 2.5. Proposed intron and homing endonuclease life cycle.

Overview of the various intron open reading frame (ORF) configurations observed among the surveyed cytochrome b (*cytb*) introns and a possible model depicting the possible evolutionary history of composite introns invading the *cytb* gene (see text for details). Illustrated is a possible route for the formation of a “founder” composite element evolving via core creep to a more efficient “invader” element that once fixed within a certain location will due to drift eventually decay. Examples of *cytb* intron ORF arrangements illustrate intronic ORFs extending toward the upstream exon (core creep) or mutating by losing the connection to the upstream exon, in addition some introns gain multiple ORFs (either LAGLIDADG or GIY-YIG type ORFs or both) and some ORFs are starting to erode by accumulating mutations (*). Instances where intronic ORFs appear to occur in tandem arrangements or have inserted into other intronic ORFs are also illustrated. Intronic ORFs illustrated in gray are assumed to be secondary invaders, based on comparative analysis of introns located at homologous sites. GIY = GIY-YIG type ORFs; LAG = LAGLIDADG type ORFs.

includes intron core sequences and “creeps” towards the upstream exon. The result is fusion of the intron ORF to the upstream exon that can now be potentially expressed as a fusion protein, which can be resolved post translation. This would allow the intron ORF to be expressed more efficiently as it gains the regulatory sequences of the host gene that optimize translation.

One would have to assume that the initial event leading to the formation of the composite mobile intron involved a HEG invading an intron (Loizos et al., 1994; Derbyshire and Belfort, 1998; Bonocora and Shub, 2009; Zeng et al., 2009; Barzel et al., 2011) and, here most likely, the HEG would reside within the intron probably in a loop region that would not interfere with ribozyme activity (Schäfer, 2003). We refer to this element as the “founder” mobile intron. Once this combination has invaded a site, core creep could increase the efficiency of the intron ORF expression (translation). Some intron ORFs have been shown to have maturase activity (Bolduc et al., 2003; Belfort, 2003; Caprara and Waring, 2005). Thus, efficient expression of the intron-encoded protein would increase the splicing efficiency of the intron favouring the expression of the host gene. This phase could be defined the “invader” stage, as now the intron/HEG combination has established a configuration that is mutualistic, (i.e., minimizes the impact on the host gene and favours the expression of the IEP, which would increase the probability of intron mobility and efficient intron splicing). This would be expected to be the most common form of an active composite element. As suggested by Goddard and Burt (1999), once a mobile intron has exhausted its target site (fixation), drift (i.e., a lack of selection) would result in the accumulation of mutations. The first sign of this may be the loss of the intron ORF being fused to the upstream exon. Eventually, this loss of fusion can either be compensated for by alternative splicing or an intron-encoded cryptic ribosome binding site permitting the expression of the IEP. This phase would correspond to Emblem’s “mature intron stage”.

What complicates defining homing endonucleases and their host intron “life cycles” is the promiscuous nature of HEGs; as noted (see Table 2.1), numerous examples of introns with two ORFs or introns with ORFs that were disrupted by HEGs. In addition, examples of LAGLIDADG type ORFs invading either LAGLIDADG type ORFs or positioning themselves into a new and different location within a previously colonized intron, or GIY-YIG type ORFs invading (disrupting) LAGLIDADG type ORFs or inserting into a previously colonized intron (examples *cytb-201* and *cytb-820*) were noted. At this stage, it is difficult to evaluate if the extra IEP contributes towards mobility or provides maturase activity for the host intron. The invasion of a GIY-YIG HEG into a pre-existing LAGLIDADG HEG has been observed previously in the *cytb-i2* of *Podospira curvicolla* (Saquez et al., 2000). The HEG inserted after the first motif of a double-motif LAGLIDADG type ORF in this case (Saquez et al., 2000). GIY-YIG HEGs may benefit from being fused to the upstream LAGLIDADG coding component as it gains access to the *cis*-acting regulatory sequences required for expression. These GIY-YIG type ORFs are therefore “parasitic” and may eventually replace the original resident ORF. Saquez et al. (2000) proposed that invading pre-existing HE ORFs might be a strategy to keep to the total numbers of active HEs the same, thus minimizing the impact of HE activity on the host genome.

In addition, it was also noted that many introns encode ORFs that are starting to degrade/degenerate. With bi-orfic examples, various combinations were noted where either one or both HE ORFs were at various stages of degeneration due to the accumulation of mutations (see Figure 2.5, summarized in Table 2.1 and Table 2.2). Overall, a phase of “parasitism” by other HEGs along with ORF degeneration appears to ultimately lead to ORFs being lost. One would assume that the lack of an active HE along with splicing deficiencies will eventually cause the introns to be lost, as predicted by the Goddard and Burt model (1999).

2.5.3. Evolutionary strategies for optimizing or regulating expression of intron ORFs

It is worth noting that in some instances of “bi-orfic introns” (*Podospora anserina nad1-i4* and *cox1-i7*) or single freestanding intron ORFs (*S. cerevisiae cox1-i1* referred to as aI5 β), alternative splicing can generate transcripts where the internal ORF sequence gets fused to the upstream exon sequence (Sellem and Belcour, 1994, 1997; Sellem et al., 1996; Turk et al., 2013; Sulo et al., 2017). Thus, core creep is not the only mechanism that may enhance the expression of the intron-encoded protein. During this study, an instance where coopting components of an intron could allow for alternative splicing was also noted. Alternative splicing may enhance or facilitate the long-term survival of the HEG. The *Chrysosporthe austroafricana cytb-289* group IIB intron contains a partially duplicated group IIB intron sequence making up domains V and VI, which could provide a platform for alternative splicing analogous to a bacterial group IIC introns described recently by McNeil et al. (2014).

Coopting components of introns, such as the partial duplication of group II intron core elements seen in the *Chrysosporthe austroafricana cytb-289* group IIB intron or the in-frame insertion of a group IIA1 intron in the *Chaetomium thermophilum cytb-506* intron-encoded LAGLIDADG type ORF, would allow for improved expression and or regulation of intron ORFs (Guha and Hausner, 2014, 2016). It has been suggested that in some instances mobile introns could be environmental sensors (Belfort, 2017) these types of intron arrangements that includes potential platforms for alternative splicing or regulation of intron encoded ORFs with introns could be such sensors that deserves future investigations (Guha et al., 2017a).

2.6. Conclusions

The large compilation of introns and intron ORFs presented in this study provides a broader picture as to the evolutionary dynamics of introns and their encoded ORFs. Although many intron ORFs are prone to degeneration, introns and their ORFs also display evolutionary versatility. These include core creep of intron ORFs to generate efficient mobile units, or components of introns serving as regulatory elements to facilitate ORF expression. Some HEGs appear to be parasitizing HEGs to gain a host intron or invade new locations without increasing the intron or HEG load on the host genome. The evolutionary versatility of mobile introns has made them successful in spreading among the fungi and in comprising a significant component of the mitochondrial genome in many fungi.

Chapter 3: Intron-encoded ribosomal proteins and N-acetyltransferases within the mitochondrial genomes of fungi: Here today, gone tomorrow?

Chapter 3 is part of a manuscript that is (at the time of writing of the thesis) in progress and was reformatted to be consistent with the format used in the thesis. Text, tables, and figures were modified (added or removed) where the author felt was appropriate.

Authors and contributions

Author list: Alvan Wai, Chen Shen, Andrell Carta, Alexandra Dansen, Pedro Crous, Georg Hausner

Shen, Carta, and Dansen contributed either some original data or helped with regards to data mining. Dr. Crous allowed access to their genomic data posted within MycoCosm. Dr. Hausner helped with regards to the design of the experiment and editing of the manuscript. Wai did all the data compilation and data analysis. All tables and figures were prepared by Wai along with the first draft of the manuscript.

3.1. Abstract

In the mitochondrial genomes of the filamentous Ascomycota, aside from the usual “core” set of genes, one can sometimes encounter genes encoding for ribosomal protein S3 (*rps3*), N-acetyltransferase, and in a few instances aminotransferases. Based on a survey using sequence data from various databases, it was observed that these genes can be located within introns or exist as freestanding genes in intergenic regions. Furthermore, they can also be absent from fungal mitochondrial genomes. Overall, the *rps3* gene is highly conserved among fungal mitochondrial genomes. However, the N-acetyltransferase gene was less frequently encountered and may be a more recent import from the nuclear genome. Both genes serve as examples of genetic elements that appear to be capable of “cycling” or mobilizing between introns and intergenic regions. This “cycling” mechanism is currently not understood but may involve recombination events and/or movement via RNA intermediates.

3.2. Introduction

Genes encoded by fungal mitochondrial genomes (mtDNAs) can be classified as: (1) RNA-encoding genes involved in translation, which include the small and large ribosomal subunits (*rns* and *rnl*, respectively), and the 20 essential tRNAs (*trnA-W*); (2) genes encoding for components of the respiratory chain, which include the cytochrome oxidase subunits (*cox1*, *cox2*, and *cox3*), the cytochrome b (*cytb*), subunits of NADH dehydrogenase (*nad1* to *nad6*, including *nad4L*; not encoded in the mtDNAs of members of the Taphrinomycotina and some members of the Saccharomycetales), and components of ATP synthase (*atp6*, *atp8*, and *atp9*); and (3) in some instances, a gene for a ribosomal protein, referred to as *var1*, *S5*, or *rps3* (Wolf and Del Giudice, 1988; Bullerwell et al., 2003; Kennell and Cohen, 2004; Jung et al., 2009, 2012;

Procházka et al., 2010; Solieri, 2010; Eldarov et al., 2011; Mardanov et al., 2014; Freel et al., 2015). With regards to *var1* and *S5*, which are gene designations used in the literature for fungal mtDNAs, Bullerwell et al. (2003) showed that these genes are all homologs of the *rps3* gene.

Mitochondrial genomes can also contain introns and intron-encoded open reading frames (ORFs), along with unknown (or undefined) reading frames (uORFs) encoding for hypothetical proteins. Mitochondrial introns can be assigned to either group I or group II, based on secondary structures and splicing mechanism. Fungal mitochondrial introns are potential mobile elements, composed of a ribozyme component capable of facilitating RNA splicing and in some instances a protein-coding component. These intron-encoded proteins (IEPs) can catalyze intron mobility and, in some cases, can act as maturases to aid splicing (Hausner, 2003, 2012; Lang et al., 2007). Group I introns typically encode homing endonuclease genes (HEGs) whereas group II introns tend to encode reverse transcriptases (RTs). With regards to the latter, cases of group II introns encoding HEGs, probably derived from group I introns, has been observed (Belfort et al., 2002; Toor and Zimmerly, 2002; Sethuraman et al., 2013; Lambowitz and Belfort, 2015). Unusual group I intron arrangements have been noted within the mtDNA of metazoans. For example, within the phylum Cnidaria, the *nad5* group I intron can encode essential mitochondrial protein-coding genes such as *nad1*, *nad3*, etc. (Beagley et al., 1996, 1998; Emblem et al., 2011, 2014; Arrigoni et al., 2016; Chi and Johansen, 2017). Among the fungi, these types of complex introns have so far only been observed in the white-rot fungus, *Flammulina velutipes* (Agaricales) where the *nad5* group I intron (subgroup IB) encodes the *cox3* gene (Yoon et al., 2012).

N-acetyltransferases (NATs) are a large superfamily of enzymes that are universal in their distribution. These use acyl-CoAs as acetyl group donors in order to acetylate their cognate substrates (reviewed by Plevoda and Sherman, 2002, 2003; Vetting et al., 2005; Plevoda et al.,

2009; Arnesen et al., 2009; Salah Ud-Din et al., 2016). NATs (of the RimJ/RimL family) have been associated with a variety of functions such as translation, ribosome structure and biogenesis, post-translational modification, protein turnover, histone modification, antibiotic resistance in bacteria, and as chaperones (reviewed by Vetting et al., 2005; Marchler-Bauer et al., 2015; Salah Ud-Din et al., 2016). Acetylation is one of the most common protein modifications among Eukaryotes but there has also been reports of NAT activity in Bacteria (Tanaka et al., 1989; Vetting et al., 2008). In a study conducted by Duò et al. (2012), an ORF encoding a putative NAT was noted in the mtDNA of *Phialocephala subalpina*. In order to evaluate how prevalent NAT-encoding genes are among fungal mtDNAs, a survey was conducted on the currently available fungal mtDNA sequences.

The fungal mtDNA *rps3* gene poses an interesting enigma. Among many filamentous fungi of the Ascomycota, it appears to be frequently encoded within a group IA intron, referred to as mL2449, located in the U11 region of the *rnl* gene (Burke and RajBhandary, 1982; Bullerwell et al., 2003). It can also be found in intergenic regions as a freestanding gene or absent (reviewed by Sethuraman et al., 2009a, b; Jung et al., 2012; Freel et al., 2014; Mardanov et al., 2014; Goodwin et al., 2016). In addition, the nuclear-encoded (cytoplasmic) version of RPS3 has been shown to have multiple functions (i.e. it is a moonlighting protein), including DNA repair and host defense (Wool, 1996). In *Schizosaccharomyces pombe*, the mitochondrial-encoded version of this protein is associated with a mutator phenotype presumably implicating its role in DNA repair (Zimmer et al., 1991). Across the fungi, RPS3 is extremely variable with regards to arrangement and size, ranging from 227 to 1453 amino acids (Bullerwell et al., 2000; Sethuraman et al., 2009b). With regards to *Saccharomyces cerevisiae*, due to its high variability and AT-rich nature, the *var1* (*rps3*) gene has been speculated to be an example of a gene that

evolved by endogenous mechanisms whereby non-coding AT-rich spacer sequences eventually evolved into the *var1* ORF (reviewed by Bernardi, 2005). The objective was to survey the mtDNAs of fungi that belong to the Pezizomycotina (which includes the filamentous ascomycetes) for the presence of novel intron-encoded ORFs in order to gain a better understanding of factors that may influence the mtDNA architecture.

3.3. Material and Methods

3.3.1. Sequence analysis

Fungal mtDNAs contained within the NCBI organellar resource database (<http://www.ncbi.nlm.nih.gov/genome/organelle/>) and Joint Genome Institute (JGI) Genome Portal (MycCosm; <http://genome.jgi.doe.gov/>; Nordberg et al., 2014) were examined. In order to distinguish between sequences from two the two databases, the source will be indicated within parenthesis. When referring to data from NCBI, GenBank accession numbers (specified in: <https://www.ncbi.nlm.nih.gov/Sequin/acc.html>) will be used. For data from JGI, the source will be explicitly stated along with an identifier in order to distinguish between different strains. In some instances, mtDNAs were reanalyzed (annotated) with the programs, MFannot and RNAweasel (<http://megasun.bch.umontreal.ca/cgi-bin/mfannot/mfannotInterface.pl> and <http://megasun.bch.umontreal.ca/cgi-bin/RNAweasel/RNAweaselInterface.pl>, respectively; Gautheret and Lambert, 2001; Lang et al., 2007). Intron/exon junctions were confirmed by blastn analysis; comparing intron-containing alleles with cognate alleles that lack introns/insertions. The ORF Finder program (<http://www.ncbi.nlm.nih.gov/gorf/gorf.html>; Genetic code setting for molds #4) was used to search for potential ORFs within introns and intergenic regions. The online resource Basic Local Alignment Search Tool (BLAST;

<http://blast.ncbi.nlm.nih.gov/Blast.cgi>; Altschul et al., 1990) was used to retrieve amino acid from GenBank, which shared similarities to the mtDNA NAT sequence of *Annulohyphoxylon stygium* (AHB33527.1) or RPS3 sequence of *Ophiostoma novo-ulmi* (CM001753.1). In addition, the NAT and RPS3 sequences were also collected from the JGI Genome Portal by blastn using the NAT nucleotide sequence from *Stemphylium lycopersici* (KX453765.1) as the query. The recovered NAT or RPS3 sequences were translated using ORF Finder (<https://www.ncbi.nlm.nih.gov/orffinder/>) and compiled within GeneDoc (Nicholas et al., 1997). Both datasets were aligned with the online program MAFFT (<http://mafft.cbrc.jp/alignment/server/index.html>; alignment strategy setting: E-INS-I; Katoh and Standley, 2013). The alignments were adjusted in GeneDoc, where necessary.

3.3.2. Intron folding

The fifth intron of the *nad5* gene [*nad5-i5* or *nad5-710*; latter nomenclature based on the convention proposed by Johansen and Haugen (2001) for ribosomal genes] of *Phyllosticta citriasiana* (JGI) was predicted by the RNAweasel program (Lang et al., 2007) to be a group ID intron. This was confirmed and folded based on a personal dataset of group ID introns. The intron and flanking exon sequences (~ 10 nt) were aligned by the Multiple Alignment using Fast Fourier Transform program, MAFFT (alignment strategy setting: E-INS-I). P1, P2, P5, and P9 helices, were predicted by Mfold (Zuker, 2003) and supported by comparative sequence analysis. Introns were drawn using CorelDRAW Graphics Suite X6 (Corel Corporation, Ottawa).

3.3.3. Phylogenetic analysis of NAT and RPS3 amino acid sequences

The phylogenetic tree for the NAT and RPS3 sequences were inferred based on Bayesian analyses (MrBayes, version 3.2.6; Ronquist and Huelsenbeck, 2003; Ronquist, 2004; Ronquist et al., 2012). The best substitution models to use for the analyses were determined in MEGA7 (Kumar et al., 2016). The Whelan and Goldman (WAG; Whelan and Goldman, 2001) model was selected for the NAT dataset and the cpREV (Adachi et al., 2000) model was applied for the RPS3 dataset in Bayesian analyses. In MrBayes, the following parameters were selected: four chains were run simultaneously for 5 000 000 generations and trees were sampled every 100 generations. The first 40% of the trees generated were discarded ("burn-in"). Remaining trees were used to compute the majority rule consensus tree. A tree (see Figure 3.1) was also generated based on the RPS3 dataset using FastTree (Price et al., 2010) with default settings.

3.4. Results

3.4.1. Among the Pezizomycotina, the *rps3* gene can be intron-encoded, freestanding, or missing from the mitochondrial genome

A schematic phylogenetic tree was generated based on currently available phylogenies for the Ascomycota constructed on the basis of multigene analyses (see Figure 3.1; Hibbett et al., 2007; Schoch et al., 2009). The absence/presence and position of the *rps3* gene was indicated within the context of the phylogenetic position among various members of the Ascomycota (see Figure 3.1, additional information in Table 3.1).

3.4.2. Encoding pattern of the *rps3* gene within the Pezizomycetes

The Pezizales are currently viewed to be the earliest branching members among the

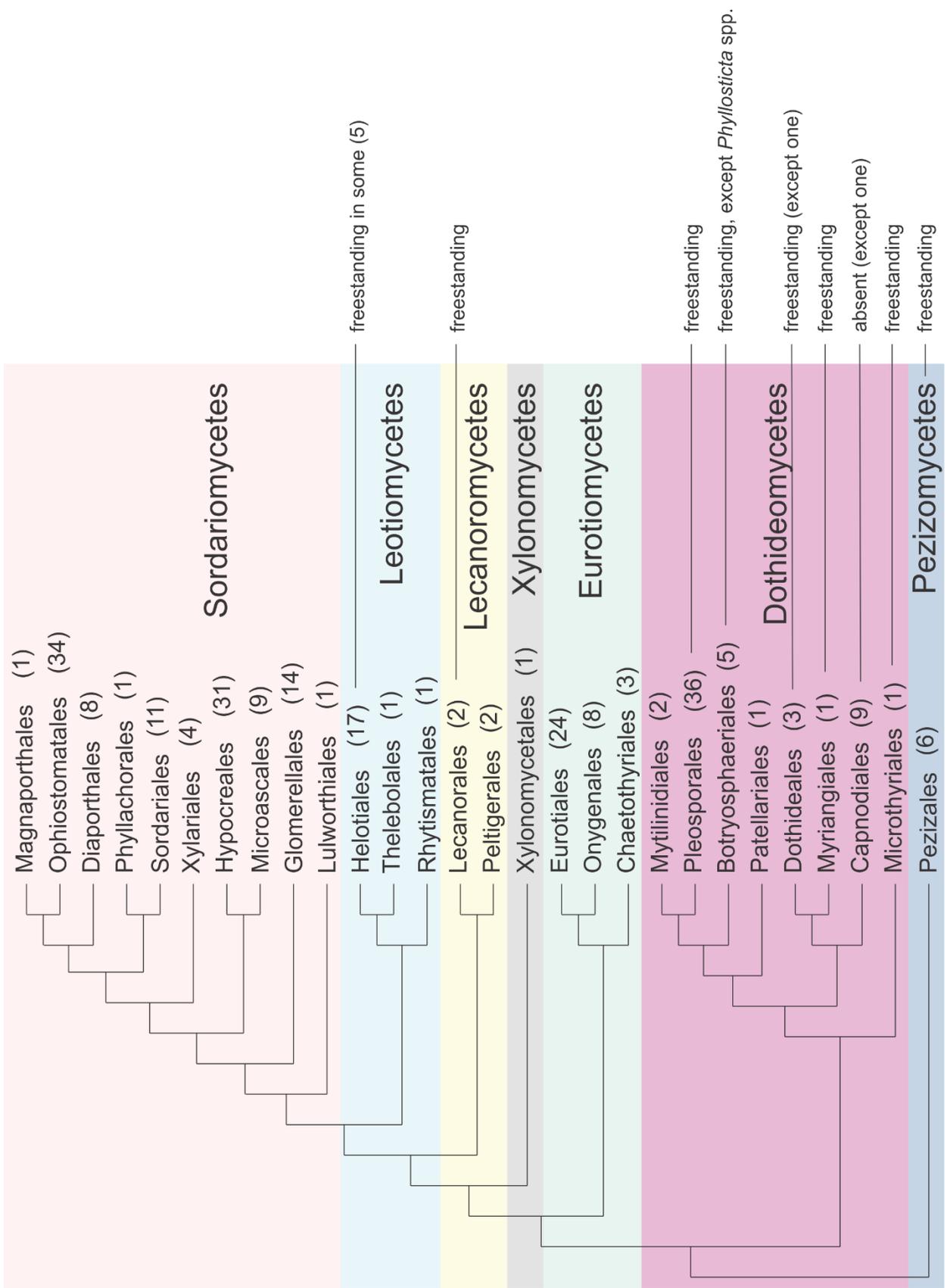


Figure 3.1. Schematic phylogenetic tree based on current phylogeny of the Ascomycota.

Orders are labeled on the leaves of the tree. Numbers within parenthesis beside the order names represent the number of fungi sampled from the respective order. Orders with members encoding freestanding *rps3* genes or do not encode a mitochondrial *rps3* gene (i.e. absent) are indicated. Orders not indicated as freestanding or absent have *rps3* genes encoded within the large ribosomal subunit-encoded group IA intron located at position mL2449 (nomenclature based on Johansen and Haugen, 2001). Fungi (seven in total) that have not been resolved at the order level are not included in the tree. See Table 3.1 for more details. In brief, this includes three species from the Dothideomycetes, two from the Leotiomycetes, two from the Sordariomycetes.

Table 3.1. The encoding pattern of the *rps3* gene among Pezizomycotina fungi surveyed from the Joint Genome Institute MycoCosm web portal and the National Center for Biotechnology Information.

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
Pezizomycetes			
<i>Caloscypha fulgens</i> (ATCC 42695; JGI) - v1.0	<i>trnR-trnQ</i>	> 3	Pezizales
<i>Choiromyces venosus</i> (120613-1; JGI) - v1.0	<i>trnW-trnR</i>	> 3	Pezizales
<i>Gyromitra esculenta</i> (CBS 101906; JGI) - v1.0	<i>trnR-trnQ</i>	> 3	Pezizales
<i>Morchella importuna</i> (SCYDJ1-A1; JGI) - v1.0	<i>trnR-trnQ</i>	> 3	Pezizales
<i>Pyronema omphalodes</i> (AMO66548.1)	<i>trnL-Rnrt</i>	3	Pezizales
<i>Tuber borchii</i> (Tbo3840; JGI) - v1.0	<i>trnW-trnR</i>	> 3	Pezizales
Eurotiomycetes			
<i>Cladophialophora bantiana</i> (ANK79138.1)	<i>rnl</i>	I?(ORF?); IA(<i>rps3</i>)	Chaetothyriales
<i>Coccodinium bartschii</i> (CBS 121709; JGI) - v1.0	<i>rnl</i>	I?(-); IB(GIY); IA(<i>rps3</i>)	Chaetothyriales
<i>Exophiala dermatitidis</i> (EHY51767.1)	<i>rnl</i>		Chaetothyriales
<i>Aspergillus clavatus</i> (AFD96021.1)	<i>rnl</i>		Eurotiales
<i>Aspergillus fumigatus</i> (AFE02838.1)	<i>rnl</i>		Eurotiales
<i>Aspergillus glaucus</i> (JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Aspergillus luchuensis</i> (CBS 106.47; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Aspergillus nidulans</i> (AFC69019.1)	<i>rnl</i>		Eurotiales
<i>Aspergillus oryzae</i> (AFM82517.1)	<i>rnl</i>		Eurotiales
<i>Aspergillus ruber</i> (EYE89930.1)	<i>rnl</i>		Eurotiales
<i>Aspergillus terreus</i> (AFD96057.1)	<i>rnl</i>		Eurotiales
<i>Neosartorya fischeri</i> (AFD95940.1)	<i>rnl</i>		Eurotiales
<i>Penicillium bilaiae</i> (ATCC 20851; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium brevicompactum</i> (JGI) - v2.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium chrysogenum</i> (BAA02977.1)	<i>rnl</i>		Eurotiales
<i>Penicillium digitatum</i> (ADU57311.1)	<i>rnl</i>		Eurotiales
<i>Penicillium glabrum</i> (DAOM 239074; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium janthinellum</i> (ATCC 10455; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium lanosocoeruleum</i> (ATCC 48919; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium raistrickii</i> (ATCC 10490; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium roqueforti</i> (KR952335.1)	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium solitum</i> (JN696111.1)	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Penicillium urticae</i> (BAA03421.1)	<i>rnl</i>		Eurotiales
<i>Talaromyces aculeatus</i> (ATCC 10409; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Eurotiales
<i>Talaromyces marneffeii</i> (AAQ54923.1)	<i>rnl</i>		Eurotiales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Talaromyces stipitatus</i> (AFD95916.1)	<i>rnl</i>		Eurotiales
<i>Xeromyces bisporus</i> (HG983520.1)	<i>rnl</i>		Eurotiales
<i>Arthroderma obtusum</i> (ACR19608.1)	<i>rnl</i>		Onygenales
<i>Arthroderma otae</i> (ACR19622.1)	<i>rnl</i>	IA(<i>rps3</i>)	Onygenales
<i>Arthroderma uncinatum</i> (ACR19605.1)	<i>rnl</i>		Onygenales
<i>Epidermophyton floccosum</i> (AAW78226.1)	<i>rnl</i>		Onygenales
<i>Histoplasma capsulatum</i> (EER36345.1)	<i>rnl</i>		Onygenales
<i>Paracoccidioides brasiliensis</i> (AAV30338.1)	<i>rnl</i>		Onygenales
<i>Trichophyton mentagrophytes</i> (ACR19584.1)	<i>rnl</i>		Onygenales
<i>Trichophyton rubrum</i> (ACR19560.1)	<i>rnl</i>		Onygenales
Dothideomycetes			
<i>Dothidotthia symphoricarpi</i> (JGI) - v1.0	<i>Rnrt-trnC</i>	?	Botryosphaerales
<i>Phyllosticta capitalensis</i> (CBS 128856; JGI) - v1.0	<i>nad5</i>		Botryosphaerales
<i>Phyllosticta citriasiana</i> (JGI) - v1.0	<i>nad5</i>	> 3	Botryosphaerales
<i>Phyllosticta citribraziliensis</i> (CBS 100098; JGI) - v1.0	<i>nad5</i>	> 3	Botryosphaerales
<i>Saccharata proteae</i> (CBS 121410; JGI) - v1.0	<i>nad2-atp6</i>	intronless	Botryosphaerales
<i>Baudoinia panamericana</i> (EMC96256.1)	nuclear	?	Capnodiales
<i>Cercospora zaeae-maydis</i> (JGI) - v1.0	nuclear	IA(-?)	Capnodiales
<i>Dissoconium aciculare</i> (JGI) - v1.0	nuclear	intronless	Capnodiales
<i>Dothistroma septosporum</i> (EME43844.1)	nuclear		Capnodiales
<i>Mycosphaerella fijiensis</i> (JGI) - v2.0	nuclear	intronless	Capnodiales
<i>Pseudocercospora fijiensis</i> (EME79251.1)	nuclear		Capnodiales
<i>Pseudovirgaria hyperparasitica</i> (CBS 121739; JGI) - v1.0	<i>rnl</i>	IB(?); IA(<i>rps3</i>); LAG?	Capnodiales
<i>Septoria musiva</i> (SO2202; JGI) - v1.0	nuclear	IA(LAG1)	Capnodiales
<i>Sphaerulina musiva</i> (EMF13745.1)	nuclear		Capnodiales
<i>Delphinella strobiligena</i> (CBS 735.71; JGI) - v1.0	<i>nad6-cox3</i>	> 3	Dothideales
<i>Lineolata rhizophorae</i> (ATCC 16933; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Dothideales
<i>Setomelanomma holmii</i> (CBS 110217; JGI) - v1.0	<i>nad3-1dan</i>	intronless	Dothideales
<i>Tothia fuscella</i> (CBS 130266; JGI) - v1.0	<i>rns-trnK</i>	intronless	Microthyriales
<i>Elsinoë ampelina</i> (CECT 20119; JGI) - v1.0	<i>trnR-rns</i>	IA(-?)	Myriangiales
<i>Lophium mytilinum</i> (CBS 269.34; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Mytilinidiales
<i>Mytilinidion resinicola</i> (CBS 304.34; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Mytilinidiales
<i>Patellaria atrata</i> (JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Patellariales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Aaosphaeria arxii</i> (CBS 175.79; JGI) - v1.0	<i>nad4-nad1</i>	intronless	Pleosporales
<i>Alternaria alternata</i> (SRC11rK2f; JGI) - v1.0	<i>trnI-1dan</i>	intronless?	Pleosporales
<i>Alternaria brassicicola</i> (JGI)	<i>trnV-1dan</i>		Pleosporales
<i>Amniculicola lignicola</i> (CBS 123094; JGI) - v1.0	<i>trnG-cox3</i>	IC1(-); IC1(GIY)	Pleosporales
<i>Bimuria novae-zelandiae</i> (CBS 107.79; JGI) - v1.0	<i>trnF-atp6?</i>	intronless	Pleosporales
<i>Bipolaris cookei</i> (MF784482.1)	<i>cox3-1dan</i>	IC1(GIY)	Pleosporales
<i>Bipolaris maydis</i> (ENH99013.1)	<i>cox3-1dan</i>	intronless	Pleosporales
<i>Bipolaris oryzae</i> (KI964241.1)	<i>cox3-1dan</i>		Pleosporales
<i>Byssothecium circinans</i> (CBS 675.92; JGI) - v1.0	<i>nad4L-cytb</i>	intronless	Pleosporales
<i>Clathrospora elyanae</i> (CBS 161.51; JGI) - v1.0	<i>cox3-1dan</i>	intronless	Pleosporales
<i>Clohesyomyces aquaticus</i> (JGI) - v1.0	<i>trnD-nad4L</i>	IC1(GIY)	Pleosporales
<i>Cochliobolus lunatus</i> (m118; JGI) - v2.0	<i>cox3-1dan</i>	intronless	Pleosporales
<i>Corynespora cassiicola</i> (CCP; JGI) - v1.0	<i>trnC-trnI</i>	intronless	Pleosporales
<i>Delitschia confertaspora</i> (ATCC 74209; JGI) - v1.0	<i>cox2-cox3</i>	intronless	Pleosporales
<i>Didymella pinodes</i> (KT946597.1)	<i>cytb-nad1</i>	intronless	Pleosporales
<i>Karstenula rhodostoma</i> (CBS 690.94; JGI) - v1.0	<i>nad5-atp6</i>	intronless	Pleosporales
<i>Leptosphaeria biglobosa</i> (FO905608.1)	<i>Wnrt-trnL</i>	intronless	Pleosporales
<i>Leptosphaeria maculans</i> (FP929115.1)	<i>nad2-1dan</i>	> 3	Pleosporales
<i>Lindgomyces ingoldianus</i> (ATCC 200398; JGI) - v1.0	<i>cytb-5dan</i>	IC1(GIY)	Pleosporales
<i>Lophiostoma macrostomum</i> (JGI) - v1.0	<i>cox2-nad4</i>	intronless	Pleosporales
<i>Lophiotrema nucula</i> (CBS 627.86; JGI) - v1.0	<i>trnC-trnC</i>	intronless	Pleosporales
<i>Melanomma pulvis-pyrius</i> (JGI) - v1.0	<i>trnC-nad4</i>	intronless	Pleosporales
<i>Ophiobolus disseminans</i> (CBS 113818; JGI) - v1.0	<i>cytb-nad4L</i>	intronless	Pleosporales
<i>Paraconiothyrium sporulosum</i> (AP3s5-JAC2a; JGI) - v1.0	<i>nad5-atp6</i>	intronless	Pleosporales
<i>Parastagonospora nodorum</i> (ABU49441.1)	<i>cox2-nad4</i>	intronless	Pleosporales
<i>Phaeosphaeriaceae</i> sp. (PMI 808; JGI) - v1.0	<i>trnV-nad1</i>	IC1(-)	Pleosporales
<i>Polypliosphaeria fusca</i> (CBS 125425; JGI) - v1.0	<i>trnC-cox3</i>	intronless	Pleosporales
<i>Pyrenochaeta</i> sp. (DS3sAY3a; JGI) - v1.0	<i>cox2-trnV</i>	intronless	Pleosporales
<i>Setosphaeria turcica</i> (NY001; JGI) - v1.0	<i>atp6-nad1</i>	> 3	Pleosporales
<i>Shiraia bambusicola</i> (AJI44518.1)	<i>trnL-cox3</i>	intronless	Pleosporales
<i>Sporormia fimetaria</i> (JGI) - v1.0	<i>nad5-nad1</i>	IB(-)	Pleosporales
<i>Stagonospora</i> sp. (SRC11sM3a; JGI) - v1.0	<i>cytb-1dan</i>	intronless	Pleosporales
<i>Trematosphaeria pertusa</i> (CBS 122368; JGI) - v1.0	<i>nad2-atp6</i>	intronless	Pleosporales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Trichodelitschia bisporula</i> (CBS 262.69; JGI) - v1.0	<i>rnpB-trn?</i>	intronless	Pleosporales
<i>Verruculina enalia</i> (CBS 304.66; JGI) - v1.0	<i>cox3-nad4</i>	intronless	Pleosporales
<i>Westerdykella ornate</i> (CBS 379.55; JGI) - v1.0	<i>trnV-cytb</i>	intronless	Pleosporales
<i>Lepidopterella palustris</i> (JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	<i>incertae sedis</i>
<i>Lizonia empirigonia</i> (CBS 542.76; JGI) - v1.0	<i>cytb-nad4L</i>	intronless	<i>incertae sedis</i>
<i>Macroventuria anomochaeta</i> (CBS 525.71; JGI) - v1.0	<i>trnC-nad1</i>	intronless	<i>incertae sedis</i>
Lecanoromycetes			
<i>Lecanora strobilina</i> (AMZ84236.1)	<i>nad4-cytb</i>	intronless	Lecanorales
<i>Usnea florida</i> (ATCC 18376; JGI) - v1.0	<i>nad5-trnV</i>	> 3	Lecanorales
<i>Peltigera malacea</i> (AEK48294.1)	<i>rnl</i>	IC1(-);IC1(GIY);IA(<i>rps3</i>)	Peltigerales
<i>Peltigera membranacea</i> (AEK48323.1)	<i>rnl</i>	> 3	Peltigerales
Leotiomycetes			
<i>Acephala macrosclerotiorum</i> (EW76-UTF0540; JGI) - v1.0	<i>cox2-nad4L</i>	intronless	Helotiales
<i>Botrytis cinerea</i> (AGN49003.1)	<i>rnl</i>		Helotiales
<i>Bulgaria inquinans</i> (CBS 118.31; JGI) - v1.0	<i>rnl</i>	> 3	Helotiales
<i>Cadophora</i> sp. (DSE1049; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Helotiales
<i>Cairneyella variabilis</i> (KU168424.1)	<i>rnl</i>	IA(<i>rps3</i>)	Helotiales
<i>Glarea lozoyensis</i> (AGN74485.1)	<i>rnl</i>		Helotiales
<i>Leptodontium</i> sp. (PMI 412; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Helotiales
<i>Loramycetes macrosporus</i> (CBS 235.53; JGI) - v1.0	<i>cox2-nad4L</i>	intronless	Helotiales
<i>Marssonina brunnea</i> (JN204424.1)	<i>cox2-nad4L</i>	IC1(GIY)	Helotiales
<i>Phialocephala scopiformis</i> (CBS 120377; JGI) - v1.0	<i>cox2-nad4L</i>	intronless	Helotiales
<i>Phialocephala subalpina</i> (AEI52989.1)	<i>cox2-nad4L</i>	intronless	Helotiales
<i>Rhynchosporium agropyri</i> (AHC02296.1)	<i>rnl</i>		Helotiales
<i>Rhynchosporium orthosporum</i> (AHC02389.1)	<i>rnl</i>		Helotiales
<i>Rhynchosporium secalis</i> (AHC02417.1)	<i>rnl</i>		Helotiales
<i>Rutstroemia firma</i> (CBS 115.86; JGI) - v1.0	<i>rnl</i>	> 3	Helotiales
<i>Sarcotrichila macrospora</i> (ACZ97563.1)	<i>rnl</i>		Helotiales
<i>Sclerotinia borealis</i> (AHX82983.1)	<i>rnl</i>	> 3	Helotiales
<i>Coccomyces strobi</i> (CBS 202.91; JGI) - v1.0	<i>rnl</i>	> 3	Rhytismatales
<i>Thelebolus microsporus</i> (ATCC 90970; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Thelebolales
<i>Pseudogymnoascus destructans</i> (AEFC01003580.1)	<i>rnl</i>		<i>incertae sedis</i>
<i>Pseudogymnoascus pannorum</i> (KR055655.1)	<i>rnl</i>	IA(<i>rps3</i>)	<i>incertae sedis</i>

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
Sordariomycetes			
<i>Chrysosporthe austroafricana</i> (AMX22137.1)	<i>rnl</i>	> 3	Diaporthales
<i>Chrysosporthe cubensis</i> (AMX22244.1)	<i>rnl</i>	I?(LAG?); IA(<i>rps3</i>)	Diaporthales
<i>Chrysosporthe deuterocubensis</i> (AMX22200.1)	<i>rnl</i>	> 3	Diaporthales
<i>Coniella</i> sp. (B22-T-1; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>); IA(LAG)	Diaporthales
<i>Cryphonectria parasitica</i> (KT428651.1)	<i>rnl</i>	> 3	Diaporthales
<i>Cryphonectria parasitica</i> (EP155; JGI) - v2.0	<i>rnl</i>	> 3	Diaporthales
<i>Diaporthe longicolla</i> (KP137411.1)	<i>rnl</i>	IA(<i>rps3</i>)	Diaporthales
<i>Lollipopaia minuta</i> P26 (CBS 116597; JGI) - v1.0	<i>rnl</i>	IC1(-); IA(<i>rps3</i>)	Diaporthales
<i>Colletotrichum acutatum</i> (AKJ86848.1)	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum caudatum</i> (CBS 131602; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum cereale</i> (CBS 129662; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum eremochloae</i> (CBS 129661; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum falcatum</i> (MAFF306170; JGI)	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum godetiae</i> (CBS 193.32; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum graminicola</i> (EFQ24852.1)	<i>rnl</i>		Glomerellales
<i>Colletotrichum lindemuthianum</i> (AHI96224.1)	<i>rnl</i>		Glomerellales
<i>Colletotrichum lupini</i> (CBS 109225; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum phormii</i> (CBS 102054; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum somersetensis</i> (CBS 131599; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Colletotrichum zoysiae</i> (MAFF235873; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Glomerella graminicola</i> (CM001021.1)	<i>rnl</i>	IA(<i>rps3</i>)	Glomerellales
<i>Verticillium dahliae</i> (ABC60419.1)	<i>rnl</i>		Glomerellales
<i>Acremonium alcalophilum</i> (JGI) - v2.0	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Acremonium chrysogenum</i> (KF757229.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Acremonium implicatum</i> (AJI44519.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Beauveria bassiana</i> (ABY61762.1)	<i>rnl</i>		Hypocreales
<i>Beauveria pseudobassiana</i> (AGW46921.1)	<i>rnl</i>		Hypocreales
<i>Cordyceps brongniartii</i> (ABU50166.1)	<i>rnl</i>		Hypocreales
<i>Cordyceps militaris</i> (AGY61382.1)	<i>rnl</i>		Hypocreales
<i>Claviceps purpurea</i> (CCE35431.1)	<i>rnl</i>		Hypocreales
<i>Clonostachys rosea</i> (CBS 125111; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Fusarium acuminatum</i> (CDL73441.1)	<i>rnl</i>		Hypocreales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Fusarium avenaceum</i> (JQGE01000002.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Fusarium circinatum</i> (AFX93769.1)	<i>rnl</i>		Hypocreales
<i>Fusarium culmorum</i> (AKE07416.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Fusarium fujikuroi</i> (AFX93798.1)	<i>rnl</i>		Hypocreales
<i>Fusarium graminearum</i> (ABC86618.1)	<i>rnl</i>		Hypocreales
<i>Fusarium oxysporum</i> (AAW67496.1)	<i>rnl</i>		Hypocreales
<i>Fusarium oxysporum</i> (AAZ21823.1)	<i>rnl</i>		Hypocreales
<i>Fusarium oxysporum</i> f. sp. <i>lycopersici</i> (4287; JGI) - v2	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Fusarium solani</i> (AEJ72888.1)	<i>rnl</i>		Hypocreales
<i>Hypomyces aurantius</i> (ANC62706.1)	<i>rnl</i>	> 3	Hypocreales
<i>Lecanicillium muscarium</i> (AAO14657.1)	<i>rnl</i>		Hypocreales
<i>Metarhizium anisopliae</i> (AAW58815.1)	<i>rnl</i>		Hypocreales
<i>Metarhizium robertsii</i> (EXU94425.1)	<i>rnl</i>		Hypocreales
<i>Nectria cinnabarina</i> (AND76626.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Neotyphodium lolii</i> (AHI96208.1)	<i>rnl</i>	3	Hypocreales
<i>Pochonia chlamydosporia</i> (AGY95315.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Purpureocillium</i> sp. (UdeA0106; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Trichoderma asperellum</i> (AKK32420.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Trichoderma gamsii</i> (ANC73538.1)	<i>rnl</i>	IA(<i>rps3</i>)	Hypocreales
<i>Trichoderma harzianum</i> (KR952346.1)	<i>rnl</i>		Hypocreales
<i>Trichoderma reesei</i> (AAL74166.1)	<i>rnl</i>		Hypocreales
<i>Lindra thalassiae</i> (JK4322; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Lulworthiales
<i>Magnaporthiopsis poae</i> (ATCC 64411; JGI)	<i>rnl</i>	> 3	Magnaporthales
<i>Ceratocystis autographa</i> (UAMH 4934; our own sequence)	<i>rnl</i>		Microascales
<i>Ceratocystis cacaofunesta</i> (AFO38088.1)	<i>rnl</i>		Microascales
<i>Ceratocystis coerulescens</i> (ACZ97560.1)	<i>rnl</i>		Microascales
<i>Ceratocystis curvicollis</i> (ACV41157.1)	<i>rnl</i>		Microascales
<i>Ceratocystis fimbriata</i> (ACZ97568.1)	<i>rnl</i>		Microascales
<i>Gondwanamyces proteae</i> (ACZ97562.1)	<i>rnl</i>		Microascales
<i>Kernia pachypleura</i> (ACZ97564.1)	<i>rnl</i>		Microascales
<i>Sphaeronaemella fimicola</i> (ACZ97566.1)	<i>rnl</i>		Microascales
<i>Sphaeronaemella fimicola</i> (ACZ97567.1)	<i>rnl</i>		Microascales
<i>Ceratocystiopsis brevicomis</i> (ACV41155.1)	<i>rnl</i>		Ophiostomatales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Ceratocystiopsis minuta-bicolor</i> (ACV41170.1)	<i>rnl</i>		Ophiostomatales
<i>Ceratocystiopsis parva</i> (ACV41169.1)	<i>rnl</i>		Ophiostomatales
<i>Ceratocystiopsis rollhanseniana</i> (ACV41168.1)	<i>rnl</i>		Ophiostomatales
<i>Cornuvesica falcata</i> (ACZ97561.1)	<i>rnl</i>		Ophiostomatales
<i>Graphilbum curvicolle</i> (ACV41157.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia aurea</i> (ACV41162.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia europhioides</i> (ACV41151.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia europhioides</i> (ACV41156.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia laricis</i> (ACV41166.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia penicillata</i> (ACV41146.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia penicillata</i> (ACV41148.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia piceaperda</i> (ACV41152.1)	<i>rnl</i>		Ophiostomatales
<i>Grosmannia pseudoeurophioides</i> (ACV41163.1)	<i>rnl</i>		Ophiostomatales
<i>Leptographium pityophilum</i> (ACV41147.1)	<i>rnl</i>		Ophiostomatales
<i>Leptographium procerum</i> (ACV41165.1)	<i>rnl</i>		Ophiostomatales
<i>Leptographium truncatum</i> (ACV41150.1)	<i>rnl</i>		Ophiostomatales
<i>Leptographium truncatum</i> (ACV41164.1)	<i>rnl</i>		Ophiostomatales
<i>Leptographium truncatum</i> (ACV41167.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma distortum</i> (ACV41160.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma himal-ulmi</i> (ACV41177.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma ips</i> (ACV41172.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma ips</i> (ACV41173.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma megalobrunneum</i> (ACV41171.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma minus</i> (ACV41174.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma minus</i> (ACV41175.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma nigrum</i> (ACV41161.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma novo-ulmi</i> subsp. <i>americana</i> (AAV59060.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma novo-ulmi</i> subsp. <i>americana</i> (AAV59061.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma tetropii</i> (ACV41158.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma tetropii</i> (ACV41159.1)	<i>rnl</i>		Ophiostomatales
<i>Ophiostoma ulmi</i> (ACV41153.1)	<i>rnl</i>		Ophiostomatales
<i>Sporothrix schenckii</i> (AB568599.1)	<i>rnl</i>		Ophiostomatales
<i>Sporothrix</i> sp. (ACV41149.1)	<i>rnl</i>		Ophiostomatales

	Location of <i>rps3</i> [^]	<i>rnl</i> intron(s)*	Order
<i>Plectosphaerella cucumerina</i> (DS2psM2a2; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Phyllachorales
<i>Chaetomium thermophilum</i> (AEI26005.1)	<i>rnl</i>		Sordariales
<i>Gelasinospora tetrasperma</i> (ACZ97559.1)	<i>rnl</i>		Sordariales
<i>Madurella mycetomatis</i> (AEY94393.1)	<i>rnl</i>		Sordariales
<i>Neurospora crassa</i> (AGG15994.1)	<i>rnl</i>		Sordariales
<i>Neurospora tetrasperma</i> (FGSC 2509; JGI) mat_a v2.0	<i>rnl</i>	IA(<i>rps3</i>)	Sordariales
<i>Podospora anserina</i> (CAA32860.1)	<i>rnl</i>		Sordariales
<i>Podospora curvicolle</i> (TEP21a; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Sordariales
<i>Sordaria fimicola</i> (FJ717863.1)	<i>rnl</i>		Sordariales
<i>Thielavia antarctica</i> (CBS 123565; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Sordariales
<i>Thielavia appendiculata</i> (CBS 731.68; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Sordariales
<i>Thielavia terrestris</i> (JGI) - v2.0	<i>rnl</i>	IA(<i>rps3</i>)	Sordariales
<i>Annulohypoxyylon stygium</i> (AHB33506.1)	<i>rnl</i>	> 3	Xylariales
<i>Anthostoma avocetta</i> (NRRL 3190; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Xylariales
<i>Microdochium bolleyi</i> (J235TASD1; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Xylariales
<i>Xylaria hypoxyylon</i> (OSC100004; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Xylariales
<i>Acidothrix acidophila</i> (CBS 136259; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	<i>incertae sedis</i>
<i>Sodiomyces alkalinus</i> (JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	<i>incertae sedis</i>
Xylonomycetes			
<i>Xylona heveae</i> (TC161; JGI) - v1.0	<i>rnl</i>	IA(<i>rps3</i>)	Xylonomycetales

[^] some genes are written reverse indicating they are encoded on the opposite DNA strand relative to the *rps3* gene

* empty cells represent *rnl* genes that were not examined in greater beyond noting that it at least encodes for the mL2449 intron containing the *rps3* gene

Abbreviations used for genes and introns: *cox* = cytochrome oxidase subunit, *cytb* = cytochrome b; *nad* = NADH dehydrogenase subunit; *rnl* = large ribosomal subunit; *rps3* = ribosomal protein S3; *trn* = transfer ribonucleic acid (tRNA); letter beside “*trn*” = amino acid carried by the tRNA; IA, IB, IC1 = group I intron subtype; The open reading frame (ORF) encoded by an intron is denoted within parenthesis. GIY = GIY-YIG type homing endonuclease; LAG = LAGLIDADG type homing endonuclease; v = version; ? = status of component could not be determined; - = absence of ORF within intron; > 3 = more than three introns (ORF or ORF-minus)

filamentous Ascomycota (Hibbett et al., 2007; Lücking et al., 2009). Six sequences from the Pezizomycetes were surveyed. In all cases, the *rps3* gene is freestanding and the *rnl* gene appears to have an intron inserted at mL2449 encoding for a double-motif LAGLIDADG type ORF. A similar arrangement is seen in some members of the Saccharomycetales. In these fungi, *var1* (*rps3*), when present, is a freestanding gene and the *rnl* gene may contain an intron (mL2449) that encodes for a LAGLIDADG homing endonuclease. A well-known example is the omega (ω) system of *Saccharomyces cerevisiae* (reviewed by Dujon, 1989; Goddard and Burt, 1999).

The next few sections (3.4.3 to 3.4.6) will be covering the various arrangements/encoding patterns of the *rps3* gene. The reader is directed to Figure 3.1 and Table 3.1 for additional information.

3.4.3. Encoding pattern of the *rps3* gene within the Dothideomycetes

Among members of the Dothideomycetes, the *rps3* gene was noted to have a variety of arrangements. For the only member of the Patellariales [*Patellaria atrata* (JGI)] and the two Mytilinidiales surveyed [*Lophium mytilinum* (CBS 269.34; JGI) and *Mytilinidion resinicola* (CBS 304.34; JGI)], the *rps3* gene is encoded within the mL2449 intron, an arrangement that is frequently observed among most members of the Pezizomycotina. For members of the Dothideales, two examples of freestanding *rps3* genes [*Delphinella strobiligena* (CBS 735.71; JGI) and *Setomelanomma holmii* (CBS 110217; JGI)] and one example of a *rnl* intron-encoded (mL2449) version [*Lineolata rhizophorae* (ATCC 16933; JGI)] was noted. Freestanding *rps3* genes were found among all surveyed members (36) of the Pleosporales. With regards to the Microthyriales and Myriangiales, only one representative mtDNA sequence (where the location

of the *rps3* gene could be determined) was available for each [*Tothia fuscella* (CBS 130266; JGI) and *Elsinoë ampelina* (CECT 20119; JGI), respectively]. In the both instances, the *rps3* gene appears to be freestanding. Three fungi that were not phylogenetically resolved at the order level were noted. The *rps3* gene is encoded in the mL2449 intron for *Lepidopterella palustris* (JGI), and freestanding in *Lizonia empirigonia* (CBS 542.76; JGI) and *Lizonia empirigonia* (CBS 542.76; JGI). A novel arrangement was observed in *Phyllosticta citriasiana* where the *rps3* gene was located within the fifth intron of *nad5* (*nad5-i5* or *nad5-710*). The intron was a large (6372 nt) group ID intron that appears to encode two identifiable ORFs: *rps3* (1191 or 1716 nt, depending on interpretation) and a large ORF (2389 or 2574 nt, depending on interpretation) with unknown function. The group ID intron appears to still adopt the conserved secondary structure of group I introns even though it encodes for a large insertion. The two ORFs were located within the terminal loop segment of P2.1b of the group ID intron (see Figure 3.2).

3.4.3.1. The *rps3* gene is absent from mitochondrial genomes of the Capnodiales (Dothideomycetes)

The *rps3* gene appears to be absent from the mtDNA of eight (out of the nine; note: *Baudoinia panamericana* (JGI) mtDNA could not be found and thus should probably be removed from the analysis) sampled members of the Capnodiales but present in *Pseudovirgaria hyperparasitica* (CBS 121739; JGI). In the latter case, it is encoded within the mL2449 intron.

In the nuclear genome of members of the Capnodiales (where nuclear data was available), two forms of the *rps3* gene was noted; one that appears to be bacterial-like (similar to the mitochondrial-encoded version) and the other was a cytoplasmic version (typically encoded

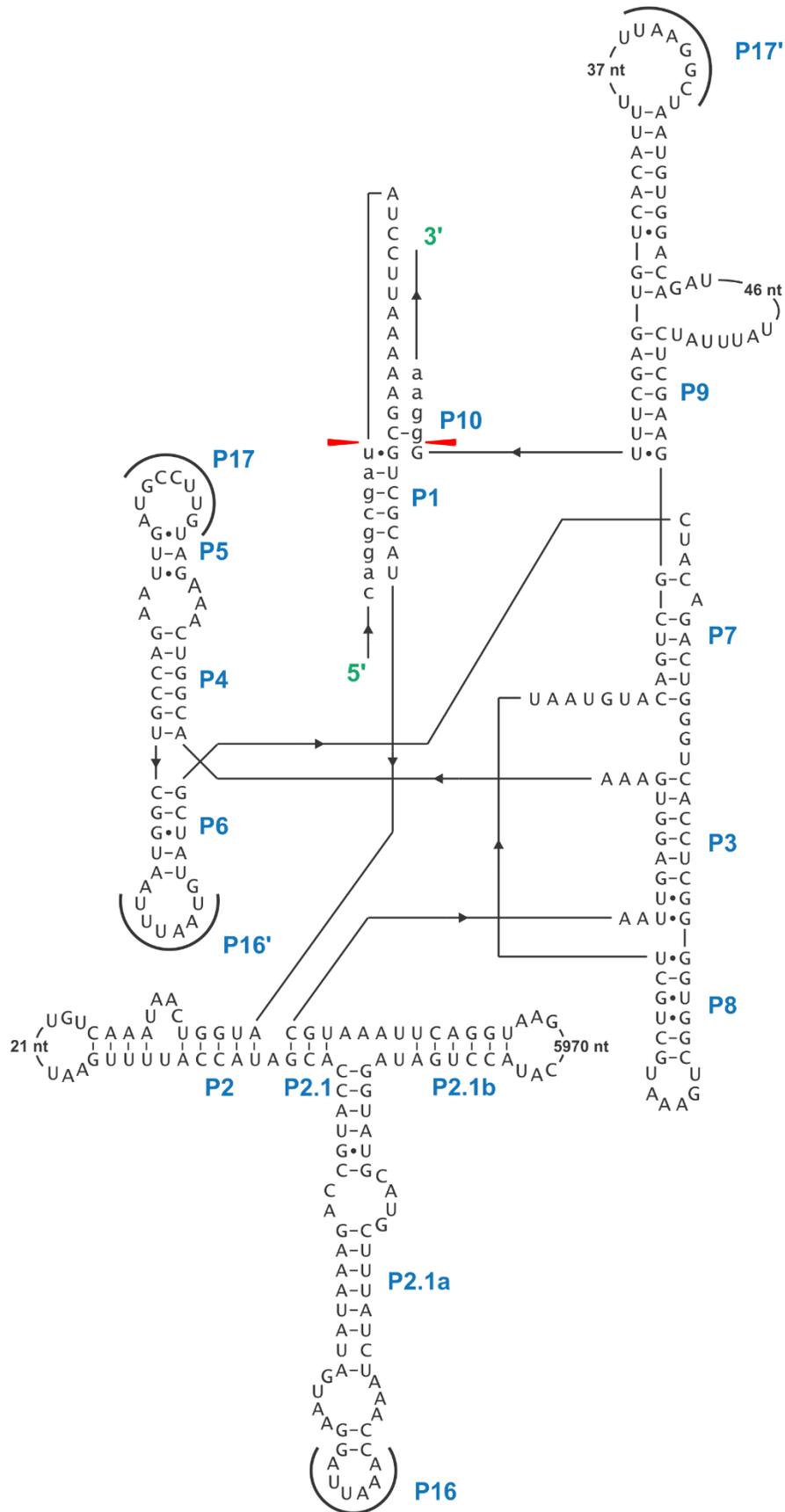


Figure 3.2. The proposed secondary structure of the *nad5-710* intron of *Phyllosticta citriasiana* (JGI).

The 5'- and 3'-ends, and pairing interactions (P1-P10, plus P16/P16' and P17/P17') are indicated in green and blue, respectively. A large insertion is found within the terminal loop of P2.1b. The large insertion encodes for a *rps3* gene and an open reading frame of unknown function. Exon sequences are represented as lowercase letters. Intron sequences are represented as uppercase letters. Red arrows indicate 5' and 3' splice sites. Structure was manually drawn using CorelDRAW Graphics Suite X6 (Corel Corporation, Ottawa).

within the nuclear genome). Based on phylogenetic analysis, the bacterial-like *rps3* gene grouped with mitochondrial-encoded RPS3 sequences and were separate from the cytoplasmic versions (see Figure 3.3). This seems to suggest that nuclear-encoded bacterial-like *rps3* genes are more similar to mitochondrial-encoded *rps3* genes than to nuclear-encoded cytoplasmic *rps3* genes.

3.4.4. Encoding pattern of the *rps3* gene within the Leotiomycetes

Within the Leotiomycetes, freestanding *rps3* genes were noted for some members (5/17) of the Helotiales, including *Marssonina brunnea* (JN204424.1), *Phialocephala subalpina* (Duò et al., 2012; AEI52989.1), *Acephala macrosclerotiorum* (JGI), *Loramyces macrosporus* (JGI), and *Phialocephala scopiformis* (JGI). In all cases, the *rnl* genes lacked the mL2449 intron. Other members (12/17) of this class possessed a *rnl* intron-encoded *rps3* gene.

3.4.5. Encoding pattern of the *rps3* gene within the Lecanoromycetes

Within the Lecanoromycetes, all members of the Lecanorales examined were noted to contain a freestanding version of the *rps3* gene, but for the Peltigerales, only *rnl* intron-encoded versions were noted.

3.4.6. Encoding pattern of the *rps3* gene within the Eurotiomycetes, Sordariomycetes, and Xylonomycetes

For all surveyed sequences for members of the Eurotiomycetes, Sordariomycetes, and Xylonomycetes, the *rps3* gene was noted to be encoded within mL2449 group I intron. Although it has been reported that *Aspergillus nidulans* and *Penicillium solitum* lack the *rps3* gene (Goodwin et al., 2016), our analysis agrees with Joardar et al. (2012); it appears that the *rnl* gene

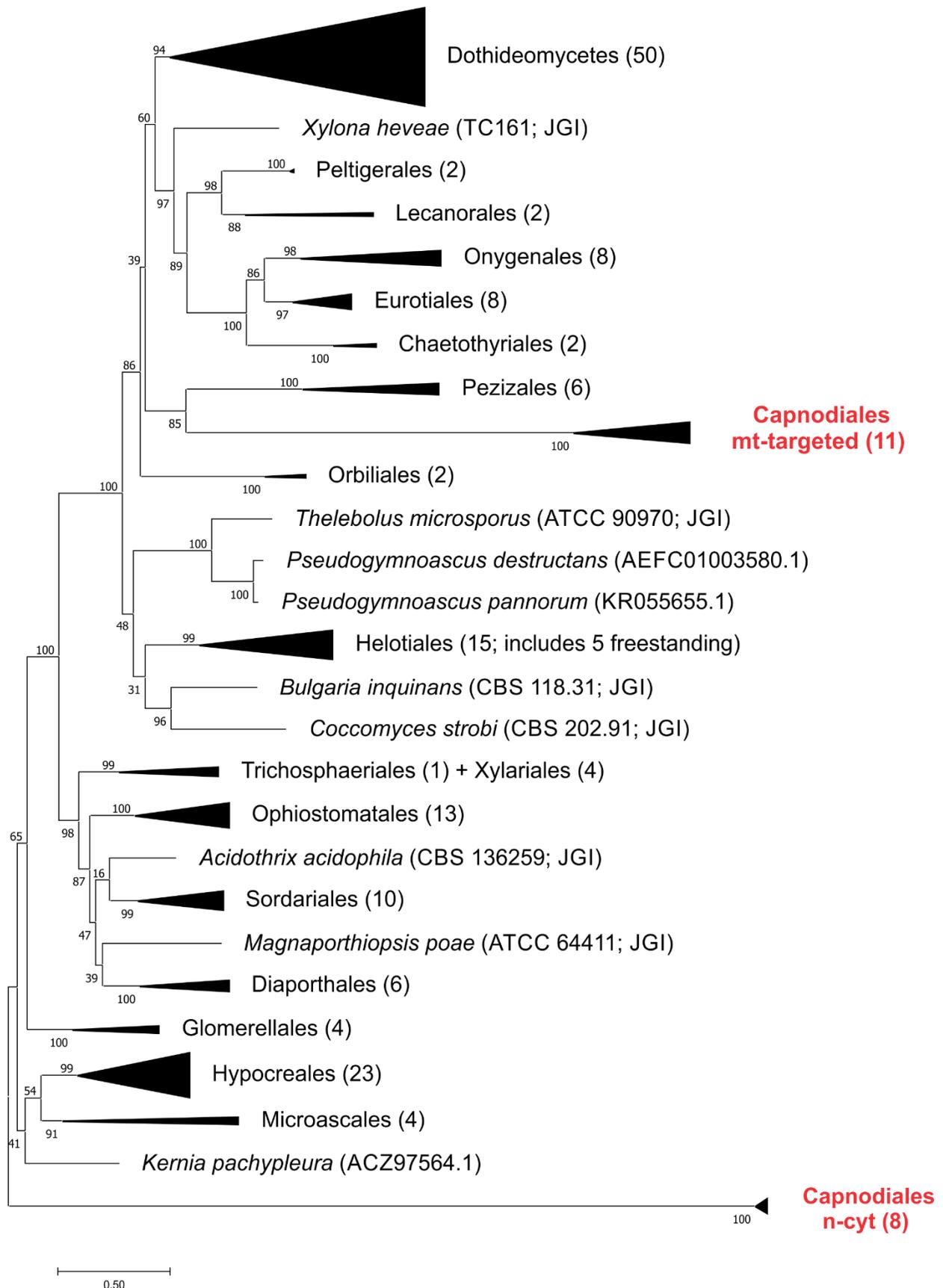


Figure 3.3. Phylogenetic analysis of mitochondrial- and nuclear-encoded RPS3 amino acid sequences from fungi of the order Capnodiales.

The phylogenetic tree was generated using 188 ribosomal protein S3 (RPS3) amino acid sequences by FastTree (Price et al., 2010). The order Capnodiales, is indicated in red. Nodes were collapsed based on class or order, where possible. The class or order name is indicated at the leaves of the tree. The number of representatives of each class or order are indicated within parenthesis. Fungi could not be grouped into a single collapsed node are indicated with their genus species name. The strain information, when available, is indicated within parenthesis. JGI = Joint Genome Institute (MycoCosm; source of mitochondrial sequence); mt-targeted = nuclear-encoded mitochondrial RPS3; n-cyt = nuclear cytoplasmic RPS3; Node support values = bootstrap values based on 1 000 pseudoreplicates. Scale bar = 0.5 amino acid substitutions per site.

in both cases has been misannotated. In *Aspergillus nidulans* (AFC69019.1), the *rps3* gene is intron-encoded. For *Podospora solitum* (JN696111.1), the mL2449 intron is also present but the *rps3* gene appears to be degenerated as the ORF can only be assembled by correcting frameshift mutations.

3.4.7. “Hitchhiking” *rps3* genes

Several versions of the mL2449 encoded *rps3* gene fused to double-motif LAGLIDADG type homing endonuclease sequences have been observed previously in *Cryphonectria parasitica* and in members of the Ophiostomatales (Hausner et al., 1999; Gibb and Hausner, 2005; Sethuraman et al., 2009a; Kanzi et al., 2016). In this survey, an example in *Monacrosporium haptotylum* of a double-motif LAGLIDADG coding region fused to the C-terminus coding region of a freestanding version of the *rps3* gene was noted. Freestanding versions of the *rps3* gene were also noted in *Gyromitra esculenta* (JGI) and *Morchella importuna* (JGI). With regards to the latter, the *rps3* gene appears to exist as a fusion ORF. The N-terminal component encodes a GIY-YIG type homing endonuclease gene and the C-terminal component encodes the *rps3* gene.

3.4.8. In fungal mitochondrial genomes, NAT open reading frames can be intron-encoded or freestanding

While investigating the fungal mtDNA *cytb* gene for the presence of introns and homing endonucleases (Guha et al., 2017b), a few instances (see Table 3.2) of some unusual ORFs were noted in an intron that is located at position 429 (between nucleotide 429 and 430; the numbering is with respect to the homologous position in the *Saccharomyces cerevisiae cytb* nucleotide

sequence; CP006539.1). In the mtDNA of *Annulohyphoxylon stygium* (KF545917.1), two NAT ORFs were annotated by the authors; one located within the *cytb*-429 intron (AHB33527.1) and the other was freestanding (AHB33515.1) in the following gene arrangement: *cox2*-NAT-*tRNA*-*Arg*-*nad4L* genes. Previously, it was reported for the mtDNA of *Phialocephala subalpina* (JN031566.1; Duò et al., 2012), where a NAT gene was part of a somewhat similar gene arrangement: *cox2-rps3*-NAT-*tRNA**sup*-*nad4L*. In this work, while searching GenBank, additional examples of mtDNA-encoded NAT sequences were noted. Mitochondrial sequences from *Cochliobolus heterostrophus* and related species were recovered that contained two NAT ORFs within the *cytb*-429 intron. Only one *cytb*-429 intron-encoded NAT ORF was observed in *Pyrenophora teres* (EFQ96341.1). A non-intron-encoded version of a NAT ORF was recovered from *Fusarium avenaceum* (KIL83566.1). The NAT ORF was situated between the *nad5* and *cytb* genes but encoded on the opposite reading frame relative to the flanking genes. In summary, various mtDNA NAT arrangements among the fungi were observed including freestanding, two copies with one encoded by the *cytb*-429 intron and the other being freestanding, and within the *cytb*-429 intron with either one or two copies of the NAT ORF. All *cytb* intron-versions NAT ORFs observed were encoded in the opposite DNA strand relative to the host *cytb* gene. Based on NCBI (blastp), the mtDNA NAT amino acid sequences contain an acetyltransferase (GNAT) domain that catalyses N-acetyltransferase reactions. They can be assigned to pfam13301 or COG1670 (RimL) protein domains (Marchler-Bauer et al., 2015).

3.4.9. Phylogenetic analysis of mitochondrial- and nuclear-encoded NATs

A phylogenetic analysis of NAT amino acid revealed that all mitochondrial-encoded NAT [with the exception a nuclear-encoded NAT from *Pestalotiopsis fici* (ETS85844.1)] formed

Table 3.2. The encoding pattern of the *NAT* gene among Pezizomycotina fungi surveyed from the National Center for Biotechnology Information.

	Location	Insertion ^{^*}	Order
<i>Acremonium chrysogenum</i> (KFH46433.1)	n		Hypocreales
<i>Alternaria brassicicola</i> (PHFN01000012.1)	mt	<i>Ixoc-trnR</i>	Pleosporales
<i>Alternaria solani</i> (DQ209284.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Annulohyphoxylon stygium</i> (AHB33515.1)	mt	<i>cox2-nad4L</i>	Xylariales
<i>Annulohyphoxylon stygium</i> (AHB33527.1)	mt	<i>cytb-429</i>	Xylariales
<i>Aschersonia aleyrodidis</i> (KZZ90792.1)	n		Hypocreales
<i>Aspergillus terreus</i> (EAU30554.1)	n		Eurotiales
<i>Beauveria bassiana</i> (EJP70772.1)	n		Hypocreales
<i>Ceratocystis fimbriata</i> (PHH55288.1)	n		Microascales
<i>Cladophialophora bantiana</i> (KIW95554.1)	n		Chaetothyriales
<i>Cladophialophora carrionii</i> (ETI22638.1)	n		Chaetothyriales
<i>Cochliobolus carbonum</i> (EUC26919.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Cochliobolus carbonum</i> (EUC26921.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Cochliobolus heterostrophus</i> (EMD85037.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Cochliobolus heterostrophus</i> (EMD85039.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Cochliobolus miyabeanus</i> (EUC39512.1) #	mt	<i>cytb-429?</i>	Pleosporales
<i>Cochliobolus miyabeanus</i> (EUC39514.1) #	mt	<i>cytb-429?</i>	Pleosporales
<i>Cochliobolus victoriae</i> (EUN20374.1) #	mt	<i>cytb-429?</i>	Pleosporales
<i>Colletotrichum gloeosporioides</i> (EQB58383.1)	n		Glomerellales
<i>Colletotrichum graminicola</i> (EFQ25412.1)	n		Glomerellales
<i>Colletotrichum higginsianum</i> (CCF36591.1)	n		Glomerellales
<i>Colletotrichum sublineola</i> (KDN64323.1)	n		Glomerellales
<i>Coniochaeta ligniaria</i> (OIW31834.1)	n		Sordariales
<i>Cordyceps militaris</i> (EGX96378.1)	n		Hypocreales
<i>Daldinia</i> sp. (OTB17272.1)	n		Xylariales
<i>Diaporthe helianthi</i> (POS76385.1)	n		Diaporthales
<i>Drechmeria coniospora</i> (ODA81274.1)	n		Hypocreales
<i>Emmonsia crescens</i> (KKZ60448.1)	n		Onygenales
<i>Eutypa lata</i> (EMR66796.1)	n		Xylariales
<i>Fusarium avenaceum</i> (KIL83566.1)	mt	<i>btyc-5dan</i>	Hypocreales
<i>Fusarium fujikuroi</i> (KLO86718.1)	n		Hypocreales
<i>Fusarium oxysporum</i> (EWY89876.1)	n		Hypocreales
<i>Fusarium oxysporum</i> (EWY89877.1)	n		Hypocreales

	Location	Insertion ^{^*}	Order
<i>Fusarium verticillioides</i> (EWG50349.1)	n		Hypocreales
<i>Magnaporthe oryzae</i> (EHA49915.1)	n		Magnaporthales
<i>Metarhizium acridum</i> (EFY86333.1)	n		Hypocreales
<i>Metarhizium album</i> (KHN96892.1)	n		Hypocreales
<i>Metarhizium anisopliae</i> (KFG82641.1)	n		Hypocreales
<i>Metarhizium brunneum</i> (KID75149.1)	n		Hypocreales
<i>Metarhizium majus</i> (KID99091.1)	n		Hypocreales
<i>Microdochium bolleyi</i> (KXJ86251.1)	n		Xylariales
<i>Nectria haematococca</i> (EEU44146.1)	n		Hypocreales
<i>Neonectria ditissima</i> (KPM43351.1)	n		Hypocreales
<i>Neurospora crassa</i> (KHE88515.1)	n		Sordariales
<i>Neurospora tetrasperma</i> (EGZ77264.1)	n		Sordariales
<i>Ophiostoma piceae</i> (EPE10432.1)	n		Ophiostomatales
<i>Penicillium digitatum</i> (EKV07681.1)	n		Eurotiales
<i>Penicillium rubens</i> (CAP94545.1)	n		Eurotiales
<i>Pestalotiopsis fici</i> (ETS85844.1)	n		Xylariales
<i>Pestalotiopsis fici</i> (ETS81215.1)	n		Xylariales
<i>Pestalotiopsis fici</i> (AOW71168.1)	mt	<i>Cnrt-Cnrt</i>	Xylariales
<i>Phialocephala subalpina</i> (AEI52990.1)	mt	<i>cox2-nad4L</i>	Helotiales
<i>Podospora anserina</i> (CDP22703.1)	n		Sordariales
<i>Pseudogymnoascus pannorum</i> (KFY46591.1)	n		Leotiomycetes
<i>Purpureocillium lilacinum</i> (OAQ83156.1)	n		Hypocreales
<i>Pyrenophora teres</i> (EFQ96341.1)	mt	<i>cytb-429</i>	Pleosporales
<i>Rasamsonia emersonii</i> (KKA25341.1)	n		Eurotiales
<i>Rosellinia necatrix</i> (GAP86717.2)	n		Xylariales
<i>Sporothrix brasiliensis</i> (KIH90051.1)	n		Ophiostomatales
<i>Sporothrix schenckii</i> (ERS97658.1)	n		Ophiostomatales
<i>Stachybotrys chartarum</i> (KFA74112.1)	n		Hypocreales
<i>Stemphylium lycopersici</i> (AOS52859.1)	mt	<i>btyc-2xoc</i>	Pleosporales
<i>Thielaviopsis punctulata</i> (KKA26070.1)	n		Microascales
<i>Togninia minima</i> (EOO00824.1)	n		Calosphaeriales
<i>Torrubiella hemipterigena</i> (CEJ88750.1)	n		Hypocreales
<i>Torrubiella hemipterigena</i> (CEJ91063.1)	n		Hypocreales

	Location	Insertion ^{^*}	Order
<i>Trichoderma atroviride</i> (EHK39837.1)	n		Hypocreales
<i>Trichoderma reesei</i> (ETS00603.1)	n		Hypocreales
<i>Trichoderma virens</i> (EHK22913.1)	n		Hypocreales
<i>Ustilaginoidea virens</i> (KDB16562.1)	n		Hypocreales
<i>Verticillium dahliae</i> (EGY17399.1)	n		Glomerellales

[^] some genes are written reverse indicating they are encoded on the opposite DNA strand relative to the *rps3* gene

^{*} only applies to mitochondrial-encoded N-acetyltransferases

[#] only intron sequences were found but were highly similar to related sequences in other *Cochliobolus* spp.

Abbreviations used for genes: mt = mitochondrial-encoded; n = nuclear-encoded; *cox* = cytochrome oxidase subunit, *cytb* = cytochrome b; *nad* = NADH dehydrogenase subunit; *trn* = transfer ribonucleic acid (tRNA); letter beside “*trn*” = amino acid carried by the tRNA; ? = status of component could not be determined.

a single clade (see Figure 3.4, Table 3.2). The tree topology suggests that the mitochondrial versions are derived from a nuclear-encoded version. Furthermore, the *NAT* gene was transferred from the nuclear genome to the mtDNA on at least one occasion. The phylogenetic tree also suggests that in instances where two *NAT* ORFs were located within one intron (*cytb*-429), the two *NAT* copies are paralogs; *NAT* sequences encoded towards the 5'-end and 3'-end form their own respective clades but are connected to a common node suggesting they share a common ancestor. The freestanding and the intron-encoded versions of the *Annulohyphoxylon stygium* *NAT* amino acid sequences failed to group together, suggesting that they may have diverged significantly since the ancestral gene sequence entered the mtDNA. In summary, intergenic versions of the *NAT* gene were recorded for members of the Helotiales (1), Hypocreales (1), Pleosporales (2), and Xylariales (2). The remaining versions of the *NAT* gene appear to be found within members of the Pleosporales and Hypocreales. These appear to be encoded within an intron of the *cytb* gene (see Table 3.2).

3.4.10. More additions to mitochondrial genomes: members of the aminotransferase superfamily

Recent reports and mtDNA sequence data have revealed another novel intron-encoded ORF that appears to be a potential nuclear transfer or horizontal transfer into the mtDNA by some yet unknown mechanism. In *Juglanconis oblonga*, a member of the Diaporthales, the *coxI*-i12 intron (group IB intron) encodes a putative aminotransferase (AAT) (KY575054.1). Another example of a freestanding version of the *AAT* gene was noted in a member of the Xylariales, *Pestalotiopsis fici* (KX870077.1; Zhang et al., 2017). Here, the putative *AAT* is located upstream of the *nad4L* gene.

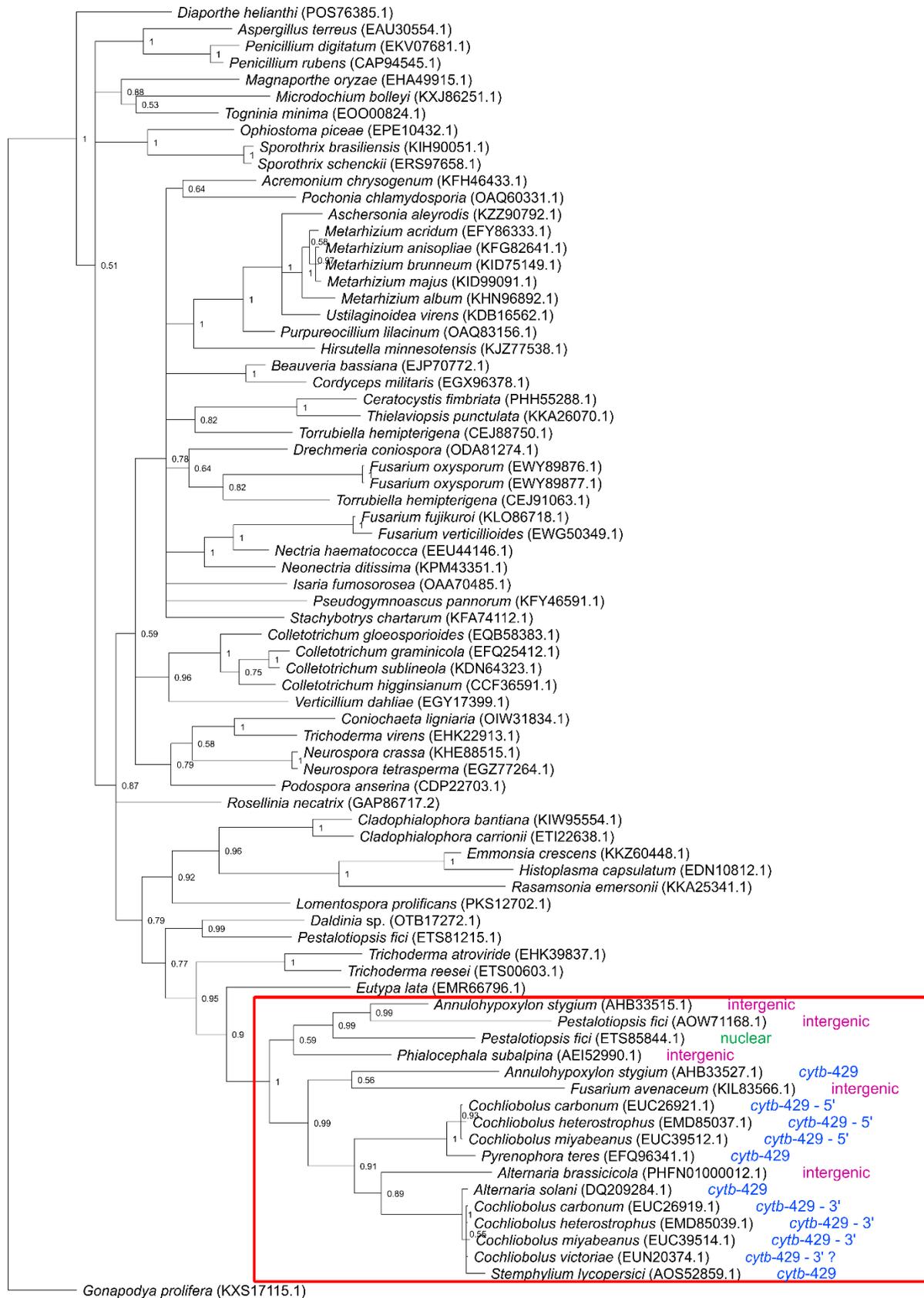


Figure 3.4. Phylogenetic analysis of mitochondrial-encoded NAT amino acid sequences from fungi of the subphylum Pezizomycotina.

The phylogenetic tree was generated using 77 N-acetyltransferase (NAT) amino acid sequences by Bayesian inference (MrBayes 3.2.6; Ronquist et al., 2012). The clade formed by mitochondrial-encoded NATs [excluding *Pestalotiopsis fici* (ETS85844.1)] is outlined with a red box. The location where the NAT open reading frame is encoded is indicated after the organism name (and after the identifier). intergenic = *NAT* gene is encoded within an intergenic region; nuclear = *NAT* gene is encoded within the nuclear genome; *cytb*-429 = *NAT* gene is encoded within a group ID intron of the cytochrome b gene (*cytb*) at position 429 (nomenclature based on Johansen and Haugen, 2001); 5' and 3' = (only applies to *cytb*-429 introns that encode two *NAT* genes) indicates the relative position of the NAT ORF (i.e. closer to the 5'- or 3'-end of the *cytb* gene); node support values = posterior probability based on 5 000 000 generations; scale bar = 0.2 amino acid substitutions per site.

3.5. Discussion

3.5.1. The position of the *rps3* gene among the Pezizomycotina

Based on previous studies (Sethuraman et al., 2009b), the following conclusion could be drawn. The *rps3* gene is freestanding among members of the Saccharomycotina and Taphrinomycotina, but among most members of the Pezizomycotina, (filamentous ascomycetes) the *rps3* gene tends to be encoded within a group IA intron located at position mL2449 in the large ribosomal subunit (*rnl*) gene (Bullerwell et al., 2003). Previously, a freestanding mtDNA-encoded *rps3* gene was noted in *Phaeosphaeria nodorum*, suggesting a rare event whereby the *rps3* gene was relocated into an intergenic region (Sethuraman et al., 2009b). In this study, all members of the Pleosporales that were surveyed also have freestanding *rps3* genes. It was also noted that the Pezizales, which are considered to be early branching filamentous Ascomycota fungi, also encode for freestanding versions.

In general, the greatest diversity of *rps3* arrangements was observed in members of the Dothideomycetes (see Figure 3.1). It can be intron-encoded (within intron mL2449), freestanding (i.e. encoded within intergenic regions), or absent from the mtDNA. Furthermore, among the Botryosphaeriales, two different *rps3* gene encoding patterns were observed. The first was in a group IA intron of the mitochondrial *rnl* gene (i.e. within intron mL2449). The other encoding pattern was within a group ID intron of the *nad5* gene (*nad5*-710). The latter configuration was observed in the *Phyllosticta* spp. surveyed (see Table 3.1). The mechanism of how a group ID intron in a *nad5* gene could have acquired a *rps3* gene is still unclear.

This study also uncovered additional examples that suggest that the *rps3* gene relocated from the mL2449 intron to become freestanding in some members of the Helotiales and

Lecanorales. In some instances, the relocation of the *rps3* gene appears to be followed by the loss of the mL2449 intron that houses this gene in other members of the Ascomycota (see Table 3.1).

It was previously shown that the intron-encoded RPS3 is an essential protein in *Neurospora crassa* and it is incorporated in to the small subunit of the ribosome (LaPolla and Lambowitz, 1981). It is noteworthy that the *Neurospora crassa* mL2449 intron has been extensively characterized by various groups. It does not appear to readily splice and requires various nuclear-encoded factors in order to be efficiently removed from the rRNA precursor (Akins and Lambowitz, 1987; Guo et al., 1991; reviewed by Hausner, 2012). This suggests that maintaining the mL2449 intron comes at a cost to the host cell. Thus, loss of the *rps3* gene would not warrant the maintenance of the intron, which itself will be lost due to lack of selection.

3.5.2. The case of the lost mtDNA *rps3* gene in some members of the Capnodiales

Fungi of the Capnodiales do not encode for a *rps3* gene within the mtDNA. Instead, they appear to encode two different copies within the nuclear genome; one that is bacterial like (and similar to the mitochondrial-encoded version) and a cytoplasmic version (typically nuclear-encoded). At this stage, it can only be speculated that these bacterial-like *rps3* genes are nuclear counterparts that could substitute for “lost” mtDNA *rps3* genes. There are several possible scenarios of how the bacterial-like *rps3* genes may have arisen: 1) these genes represent the mtDNA *rps3* gene that was transferred from the organellar genome to the nuclear genome or 2) the mitochondrial version of *rps3* was lost but a nuclear-encoded *rps3*-like gene was coopted to express the mitochondrial RPS3 protein.

3.5.3. Speculations on the formation of freestanding *rps3* genes

The question remains: How did freestanding versions of the *rps3* gene get established? Usually, to explain relocation of genes or rearrangements within a genome, one invokes homologous or non-homologous recombination along with gene conversion events, usually stimulated by the presence of repeats or regions prone to single- or double-stranded breaks (Gross et al., 1984; Zinn et al., 1988; Aguilera et al., 2014; Wu and Hao, 2014; Wu et al., 2015). It is known that fungal mtDNAs are AT-rich (Wolf and Del Giudice, 1988). It was noted that both *NAT* and *rps3* gene sequences are flanked by AT-rich sequences. These may have the same effect as repeats increasing the probability of illegitimate recombination events. Reverse transcriptase (RT) activity may be another explanation for a mechanism that could mediate the relocation of the *rps3* gene. Sources for mitochondrial RT activity could be group II introns or retroplasmids (Hausner, 2012). However, this would require ectopic retrohoming, which one would assume is a rare event. Retrohoming is a phenomenon where a RT binds to a non-cognate transcript and generates a cDNA that is inserted into a new location.

It is also worth noting that RTs can transfer from one transcript to another during cDNA synthesis, a phenomenon referred to as template switching (Chiang et al., 1994; Mohr et al., 2013b). Template switching can lead to the fusion of different transcripts or transcript segments, forming chimeric RNAs (fusion transcripts). RT activity and template switching may explain the freestanding *Phaeosphaeria nodorum rps3* gene that is fused with a short *cox1* segment (Sethuraman et al., 2009b). In this case, one could propose a scenario during reverse transcription of a *rps3* transcript, where the RT switched onto a *cox1* transcript. The result was the formation of a *cox1-rps3* chimeric cDNA, which could then insert into a new location.

Another possibility is based on the observation that in some instances, an intron-encoded RPS3 ORF is fused with a LAGLIDADG type homing endonuclease (Hausner et al., 1999;

Sethuraman et al., 2009b). A scenario that could generate such fusion may be that the RPS3 ORF “hitchhiked” during a HEG ectopic integration event. Following integration into a new site, the mL2449 intron was lost and the HEG that was attached to the *rps3* gene would degrade over time due to lack of selective pressures (Goddard and Burt, 1999).

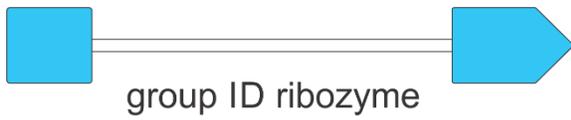
3.5.4. The *NAT* gene is a recent arrival from the nuclear genome

Among the filamentous fungi (Pezizomycotina), the presence of *NAT* genes in the mtDNA was only noted in a few instances (twelve, excluding fungi encoding two copies in the mtDNA). Furthermore, the distribution appears to be sporadic (i.e. it can be found in members of the Pleosporales, Helotiales, Hypocreales, and Xylariales). The uncommon occurrence and sporadic distribution of *NAT* genes among the Pezizomycotina suggests that they transferred into fungal mtDNAs potentially several times among various lineages.

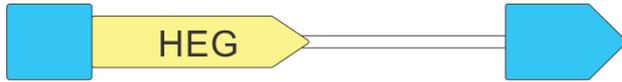
Transfer of DNA from the mitochondrial into the nuclear genome is a process that has been assumed to have occurred since the beginning of the origin of mitochondria (Lang et al., 1999; Gray, 2015). Among plants, there is evidence of DNA transfer between mitochondria and chloroplast, and potentially even nuclear DNA (Thorsness and Fox, 1990; Thorsness and Weber, 1996; Hazkani-Covo et al., 2010). However, with regards to functional gene transfer, evidence points toward a unidirectional transfer [i.e. from organelle to nucleus (Thorsness and Fox, 1990; Palmer et al., 2000) or from endosymbiont to organelles (Bilewitch and Degan, 2011)]. Recent evidence suggests that mitochondria have the ability to take up DNA and RNA from the cytoplasm but the translocation pathways through the mitochondrial outer and inner membranes are still elusive (Koulintchenko et al., 2003; Niazi et al., 2013; Weber-Lofi et al., 2015). It has been hypothesized that genes may be translocated from one compartment into another via RNA

intermediates. These would provide an avenue of removing introns and promoter sequences that may not be operational in the organellar genomic context (Palmer et al., 2000; Niazi et al., 2013). This pathway would require a RT step and recombination into the genome. Moreover, if the gene was to gain a function, it would need to acquire compatible codon usage, promoter, ribosome binding site, etc. Group II intron-encoded RTs could be potential agents that can promote the incorporation of foreign DNA into the mtDNA. It is currently not known if the mitochondrial-encoded NAT proteins are functional but the open reading frames appear to be preserved based on homology to the nuclear-encoded counterparts. With regards to expression, as mtDNAs tend to encode polycistronic messages (Kennell and Lambowitz, 1989), the freestanding *NAT* genes could be transcribed as part of a larger transcript unit. Intron-encoded *NAT* genes, on the other hand, would have to be transcribed from a different promoter than the host gene because they are encoded on opposite strands.

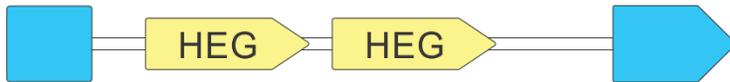
Group I intron-encoded *NAT* genes might benefit from being in this position as they are part of a potential mobile element that could spread vertically or horizontally within and between populations, and potentially across species boundaries (Hausner, 2012; Wu et al., 2015). If these *NAT* genes are functional, they may benefit the intron (host) as natural selection may now preserve them. This is in contrast to introns that carry no ORFs that may benefit the host (ex. promote splicing or dispersal of the intron) and thus may be more susceptible to loss. Usually, the *cytb-429* group ID intron, when present, encodes a LAGLIDADG type ORF (Yin et al., 2012). See Figure 3.5 for intron configurations that have been noted within the Pezizomycotina. Maintenance of the *cytb-429* intron is of some relevance with regards to plant pathogenic fungi. A mutation upstream of the potential intron insertion site for the *cytb-429* intron that results in a glycine to alanine substitution at the amino acid position 143 (G143A) appears to be linked to



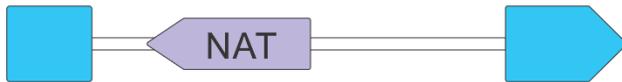
Xeromyces bisporus
(HG983520.1)



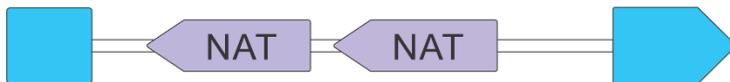
Chaetomium thermophilum
(JN007486.1)



Monilia yunnanensis
(HQ908793.1)



Annulohypoxyton stygium
(KF545917.1)



Cochliobolus heterostrophus
(KB445594.1)

Figure 3.5. Encoding patterns noted in the *cytb*-429 intron of fungi of the Pezizomycotina.

Schematic representation of the encoding patterns of the intron inserted at position 429 of the cytochrome b gene (*cytb*-429; nomenclature based on Johansen and Haugen, 2001). When present, the intron encoded at *cytb*-429 is typically a group ID intron. The intron may encode a homing endonuclease gene (HEG), the product of which is typically a double-motif LAGLIDADG type homing endonuclease. In a few instances, the intron may encode for two HEGs. In this survey, additional configurations were observed, namely, an intron which encodes for either one or two copies of an N-acetyltransferase (*NAT*) open reading frame (ORF). When present, the *NAT* ORF is encoded on the opposite strand of the host gene. Genes are depicted as arrows to indicate the direction of the reading frame, from 5' to 3'. A fungus with an example of each configuration is indicated next to their respective configuration.

resistance against QoI fungicides (Grasso et al., 2006). The presence of the group ID intron appears to prevent the occurrence of the mutation (and thus QoI fungicide resistance). It was suggested that the mutation interferes with proper splicing of the intron (Perea and Jacq, 1985).

3.6. Conclusions

The *NAT*, *rps3*, and the recently described mtDNA-encoded *AAT* genes may offer some insights into genes that are not necessarily part of the “core” set of fungal mtDNA genes. *NAT*, *rps3*, and *AAT* can be either freestanding, intron-encoded, or absent from the mtDNA. *NAT* and *AAT* are most likely “foreign” sequences that were imported from the nuclear genome. The *rps3* gene is most likely native to the mtDNA but can be lost, likely due to the presence of a nuclear-encoded analog or homolog that can replace the mitochondrial-encode *rps3* gene. Mechanisms that underlie the relocation of genes between introns and intergenic regions, or between different compartments are at this point elusive. They could involve RNA intermediates and the activity of reverse transcriptases, or recombination events driven by the AT-rich nature of the mtDNA (and thus, generating many small segments of similar, short AT-rich sequences). Most mtDNA annotations focus on the “core” set of genes, but genes such as *NAT*, *rps3*, and *AAT* may potentially have important roles, including contributions to the fitness of the fungus. Thus, these genes deserve to be carefully annotated and characterized.

Chapter 4: Conclusions and future directions

The mitochondrial genome (mtDNA) of fungi is highly variable in size. Currently (as of August 2018), the smallest mtDNA (excluding obligate parasitic fungi, ex. *Rozella allomyces*, which is 12 055 bp; GenBank accession number: KC702881.1; James et al., 2013) thus far belongs to the wine yeast, *Hanseniaspora uvarum* (GenBank accession number: DQ058142.1; Pramateftaki et al., 2006), sized at 18 844 bp, and the largest belongs to the potato pathogen, *Rhizoctonia solani* (GenBank accession number: KC352446.1; Losada et al., 2014), at 235 849 bp. One explanation for the cause of such size variation can be attributed to the presence of a large number of introns (mobile elements), which is observed in some of the largest fungal mtDNAs sequenced to date (Férandon et al., 2013; Mardanov et al., 2014; Salavirta et al., 2014; Li et al., 2015; Kanzi et al., 2016; Nadimi et al., 2016). Polymorphism of mtDNA size as a result of introns can be observed down to the species level (Al-Reedy et al., 2012; Joardar et al., 2012; Beaudet et al., 2013; Kanzi et al., 2016; Liang et al., 2017; Ruan et al., 2017; de Queiroz et al., 2018) and strain, as seen in *Saccharomyces cerevisiae* (Wolters et al., 2015). Another potential explanation is due to variability in gene content. In some species of yeast, the set of NADH dehydrogenase subunit genes (*nad1-6*, including *nad4L*) typically encoded within the mtDNA is absent (reviewed by de Zamaroczy and Bernardi, 1986; Sankoff et al., 1992; Zivanovic et al., 2005). Members of the Pleosporales appear to lack two ATP synthase subunit genes (namely, *atp8* and *atp9*) within their genome [*Didymella pinodes* (GenBank accession number: KT946597.1); *Parastagonospora nodorum* (GenBank accession number: EU053989.1; Hane et al., 2007); *Shiraia bambusicola* (GenBank accession number: KM382246.1; Shen et al., 2015)]. *In silico* analysis suggests that they may potentially be nuclear-encoded and imported into the

mitochondria (Franco et al., 2017). Similar observations were noted when only one ATP synthase subunit gene (*atp9*) was missing from the mtDNA (Lavín et al., 2008; Déquard-Chablat et al., 2011).

The diversity of mobile elements (intron composition varies even among closely related fungi) and the presence/absence of several, what are regarded as conserved genes, makes these two aspects of fungal mtDNAs interesting candidates to examine in order to study their overall effects on mtDNA variability and dynamics.

4.1. The intron landscape of the cytochrome b gene

In Chapter 2, potential sites of intron insertion within the cytochrome b (*cytb*) gene were examined. The result was an overview of intron distribution along the gene, which we referred to as an “intron landscape”. Intron landscapes have been examined previously, for example with the mitochondrial-encoded small ribosomal subunit gene (Hafez et al., 2013), cytochrome c oxidase subunit 1 gene (not extensively; Férandon et al., 2010), and the *cytb* gene (Yin et al., 2012).

The *cytb* gene was further explored with an emphasis on members of the subphylum Pezizomycotina (phylum Ascomycota). The study by Yin et al. (2012) examined a total of 172 introns from 69 different fungal species spread across the subkingdom Dikarya (includes the phyla Ascomycota and Basidiomycota), whereas our study examined 362 introns from 129 fungi, all of which are members of the Pezizomycotina. Yin et al. (2012) noted 35 intron insertion sites whereas we noted 21.

Although there were differences among the two studies, both seem to suggest that intron insertion appears, in some aspects, to be sporadic or “patchy”. Intron insertions at certain positions appear to be rare whereas a few were noted to be highly conserved. There were no

notable trends to suggest a potential cause of this observation. One may speculate that certain insertions may be beneficial or the mobile composite element may be highly efficient at insertion/invasion. Group I introns were most frequently noted (96.69% of all introns observed). Group II introns were much less frequently observed (2.76%). Some introns were unidentified in this study. Typically, the most encountered intron-encoded open reading frame (ORF) for group I introns were of the LAGLIDADG homing endonuclease family (66%). GIY-YIG homing endonucleases (encountered in 30.57% of group I introns) were typically noted at one insertion site (*cytb-393*) although they may appear in other insertion sites and in a configuration that suggests it may be interrupting the “resident” ORF. The term “resident” was based on a majority rules criterion, where the most common ORF/intron is presumed to have populated the insertion site initially. Group II introns typically encoded reverse transcriptases although instances of group II introns without an associated ORF were also noted.

Aside from the typical one intron, one ORF configuration, we also noted intron configurations from introns with no ORFs to introns showing complex arrangements (ex. the *cytb-506* intron of *Chaetomium thermophilum*). Based on our compiled results, we generated a model that builds upon the preexisting model by Goddard and Burt (1999), mainly incorporating the concept of core creep (Edgell et al., 2011) and extension of the intron-encoded ORF such that it becomes in-phase with the upstream exon. The fusion can potentially allow for more efficient expression of the intron-encoded ORF as it can use the start codon (and promoter elements) of its host gene.

Two novel intron arrangements were noted in this study namely, the *cytb-289* intron of *Chrysosporthe austroafricana* and the *cytb-429* intron of *Annulohypoxylon stygium* (expanded upon in Chapter 3). The former was speculated to undergo alternative splicing to permit

expression of the host gene and the intron-encoded ORF. The latter was a group I intron that encodes an N-acetyltransferase, a gene that is typically nuclear-encoded. This anomaly, in part, led to some of the work performed in Chapter 3.

Overall, by taking a single gene approach (using currently available bioinformatics resources), we can gain insight into the evolutionary dynamics of introns and intron-encoded ORFs and discover novel intron configurations. Introns and their associated ORFs appear to be highly adaptable allowing them to spread and persist within the mtDNA. Their capacity to mobilize within and potentially between genomes may explain the high variability in mtDNA sizes observed in fungi. Intron landscapes can serve as a resource for genome annotation, which can be difficult, especially with regards to large/complex genomes. Annotation programs are available but still requires manual curation and can be fairly involved, requiring time and resources. See Haridas et al. (2018) for an example of the genome annotation process.

4.2. Mitochondrial-encoded N-acetyltransferase and ribosomal protein S3

In Chapter 3, both the encoding patterns of N-acetyltransferase and ribosomal protein S3 were examined. Initial studies (Duò et al., 2012, Zhang et al., 2017) found that fungal mtDNAs can encode for N-acetyltransferases (*NAT*), which are typically nuclear-encoded. Furthermore, the ribosomal protein S3 (*rps3*) gene, a highly conserved gene within fungal mtDNAs, appears to be missing in members of the Capnodiales (Torriani et al., 2008; Goodwin et al., 2016). Thus, the objective of Chapter 3 was to examine the presence/absence of these two genes within the mtDNAs of members of the Pezizomycotina in order to gain insight on their effects with regards to genome architecture.

The *NAT* gene is typically not mitochondrial-encoded which made its first documentations (Duò et al., 2012) unique and interesting. In order to determine its prevalence within fungal (subphylum Pezizomycotina) mtDNAs and its relationship with nuclear-encoded *NAT* genes, a comparative approach was taken, involving the use of data mining techniques and phylogenetic analysis. A search was conducted using BLAST against the non-redundant protein sequences database on National Center for Biotechnology Information (NCBI) in order to uncover additional examples of mitochondrial-encoded *NAT* genes. Only a few sequences (16) were found, of which, many (7) were noted in *Cochliobolus* spp. Interestingly, there appears to be two copies of the *NAT* gene encoded within a *cytb* gene intron in *Cochliobolus* spp. Additionally, all other instances (3) of intron-encoded *NAT* genes were encoded within the same *cytb* gene intron, namely *cytb*-429, on the opposite strand to the host gene. Furthermore, the intron insertion site (*cytb*-429) is commonly associated with antibiotic resistance (ex. Grasso et al., 2006; Banno et al., 2009; Luo et al., 2010). In other instances (6), the *NAT* gene was noted to be freestanding within the mtDNA. Phylogenetic analysis of mitochondrial- and nuclear-encoded *NAT* protein sequences suggests that the two genes are different from each other. The mitochondrial-encoded *NAT* gene was noted at a much lower frequency. Thus, one scenario may be that the mitochondrial-encoded *NAT* gene may have arisen from a transfer event from the nuclear genome and has evolved separate from nuclear-encoded *NAT* genes.

A survey of currently available mtDNAs of members of the Pezizomycotina suggests that the *rps3* gene is typically intron-encoded among the later-branching members (class Eurotiomycetes and later) but freestanding in early-branching members (includes the classes Pezizomycetes and Dothideomycetes). In the order Capnodiales (within the class Dothideomycetes), the *rps3* gene appeared to be absent from the mtDNA. Searching nuclear

scaffolds of members of the Capnodiales, two versions of the *rps3* gene could be identified. Phylogenetic analysis of the two versions, together with mitochondrial-encoded RPS3's suggest that one version may be the mitochondrial counterpart but was transferred to the nucleus for this order of fungi.

4.3. Mitochondrial genomes of fungi are diverse: contributions by introns and genes

Based on work performed in Chapters 2 and 3, introns and genes can be major contributors to mtDNA diversity. In particular presence/absence of introns can cause significant size variability of mtDNAs. This is observed to a lesser degree with regards to presence/absence of *NAT* and *rps3* gene. Introns can be versatile accommodating various intron configurations. Two novel intron configurations observed in the Master's work include an intron capable of alternative splicing (i.e. *cytb-289* intron of *Chrysosporthe austroafricana*) and an intron that can encode for an *NAT* gene (i.e. *cytb-429*). Both *NAT* and *rps3* genes can be either mitochondrial- or nuclear-encoded. Mitochondrial-encoded *NAT* and *rps3* genes can be either freestanding or intron-encoded. Overall, it appears that the mtDNA is dynamic, capable of undergoing expansion and contraction from intron mobility and transfer of genes between itself and the nuclear genome.

4.4. Potential future work to consider

Much of the work presented was performed *in silico*. Thus, additional *in silico* analysis and experimental work is required to further support/confirm some of the observations noted. The following is a list of potential work/example questions to pursue in the future.

In Chapter 2, the *cytb*-289 intron of *Chrysosporthe austroafricana* was speculated to undergo alternative splicing. Can an alternative splicing assay be performed to confirm this? If the intron does undergo alternative splicing what are the products and at what ratio do they occur at?

In Chapter 2, the *cytb*-506 intron of *Chaetomium thermophilum* was modeled to be a nested intron, whereby a double-motif LAGLIDADG homing endonuclease ORF encoded within a group IB4 intron is interrupted by a group IIA1 intron. Is the removal of the group IIA1 intron required prior to splicing of the group IB4 intron (i.e. it is a twintron)? Is expression of the intron-encoded ORF (i.e. LAGLIDADG homing endonuclease) required for intron splicing?

In Chapter 3, a number of *NAT* genes were noted to be mitochondrial-encoded but whether they are expressed or active/functional has yet been elucidated. Thus, some potential follow-ups to this work is to examine if the genes are expressed. If they are, what are the levels of expression and are they active? If they are active, what are their target(s)? By examining these aspects, we may gain some insight as to the reason why some *NAT* genes are mitochondrial-encoded.

In Chapter 3, members of the Capnodiales are missing a mitochondrial-encoded *rps3* gene but were noted to possess two nuclear-encoded versions of the gene. Additional *in silico* analyses can be performed to examine whether the mitochondrial-related nuclear-encoded version have any features that suggest it may be targeted to the mitochondria, which one would speculate is the case given the essential role(s) of the RPS3 protein. This can be further supported by experimental work (potentially by adding a fluorescent tag to the protein and observing if it localizes to the mitochondria; although a potential problem with this approach is that the fluorescent tag may interfere with mitochondrial import).

In general, it is not well understood as to the expression levels of intron-encoded ORFs relative to each other. Transcriptomics/proteomics may be methods that can provide insight with regards to this aspect. Some follow-up questions that may be considered include: How do expression levels of intron-encoded ORFs inserted at the same insertion sites compare? Does the total number of introns-encoded ORFs affect their expression? In other words, if a mtDNA contains numerous intron-encoded ORFs, does this affect the expression of each ORF (as one would presume that expression of each ORF would be energy/resource demanding)? Transcriptomics and proteomics may also provide insight as to whether some intron-encoded ORFs are transcribed as fusion transcripts and whether these fusion transcripts are processed or are expressed as fusion proteins. This can help in supporting/refining the model of the lifecycle of composite elements presented in Chapter 2. One would speculate that at least some of the intron-encoded ORFs are transcribed as a fusion transcript. This is based on observations within many *cytb* introns where the intron-encoded ORF was in phase with the upstream exon.

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