

1 **Sex-based diverse plaque microbiota in children with severe caries**

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25 Total word count: 3,199

26 Number of figures and tables: 4 figures and 1 table

27 Number of references: 37

28 **ABSTRACT**

29 Severe early childhood caries (S-ECC) is a multifactorial disease that can lead to suffering and  
30 reduced oral health related quality of life in young children. The bacterial and fungal  
31 composition of dental plaque and how children`s sex is associated with S-ECC are largely  
32 unknown. In this study, V4-16S rRNA and ITS1 rRNA gene amplicon sequencing was used to  
33 compare the plaque bacteriome and mycobiome of children <72 months of age; 40 with S-ECC  
34 (15 males, 25 females) and 40 caries-free (19 males, 21 females). Health- and nutrition-related  
35 questionnaire data were also investigated. This study aimed to analyze potential sex-based  
36 differences in the supragingival plaque microbiota of young children with S-ECC and those  
37 caries-free. Behavioral and nutritional habit differences were observed between children with S-  
38 ECC and those caries-free and between male and female children. Overall, higher levels of  
39 *Veillonella dispar*, *Streptococcus mutans* and other bacterial species, were found in the S-ECC  
40 group compared to caries-free controls ( $p < 0.05$ ). A significant difference in the abundance of  
41 *Neisseria* was observed between males and females with S-ECC ( $p < 0.05$ ). Fungal taxonomic  
42 analysis showed significantly higher levels of *Candida dubliniensis* in the plaque of children  
43 with S-ECC compared to caries-free ( $p < 0.05$ ), but no differences were observed with *Ca.*  
44 *albicans* ( $p > 0.05$ ). Significant differences in the relative abundance of *Mycosphaerella*,  
45 *Cyberlindnera* and *Trichosporon* fungal species were also observed between the caries-free and  
46 S-ECC groups ( $p < 0.05$ ). Machine learning analysis revealed the most important bacterial and  
47 fungal species for classifying S-ECC versus caries-free. Different patterns of crosstalk between  
48 microbial species were observed between male and female children. Our work demonstrates that  
49 plaque microbiota and sex may be important determinants for S-ECC and could be factors to  
50 consider for inclusion in caries risk assessment tools.

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52 **Keywords:** Dental caries; child, preschool; human microbiome; fungi; bacteria; machine

53 learning

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55 Abstract word count: 293

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74 **INTRODUCTION**

75           The oral cavity is composed of unique niches colonized by a variety of microorganisms,  
76 including bacteria, fungi and viruses. More than 700 species of bacteria and 100 species of fungi  
77 have been identified (Dewhirst et al., 2010; Peters et al., 2017). Oral microbial communities are  
78 highly dynamic and, often, exist in a homeostatic equilibrium with the host. Under certain  
79 conditions, this equilibrium is disturbed. A dysbiotic interaction between oral polymicrobial  
80 communities (including bacteria and fungi) and the host immune system, involving multiple  
81 mechanisms not yet fully characterized, is crucial for oral disease onset and progression (Lamont  
82 et al., 2018).

83           Recent studies using a rodent model or dental plaque samples from children and *in-vitro*  
84 analyses have shown that inter-kingdom interactions involving *Candida albicans* and cariogenic  
85 bacteria were associated with dysbiosis and subsequent onset of oral diseases (Falsetta, 2014;  
86 Xiao et al., 2018). However, little is known about the role of other fungal species in oral  
87 infections. Thus, it is imperative to include mycobiome analysis when studying oral diseases.

88           Early childhood caries (ECC) is a common pediatric disease defined as any caries  
89 experience comprising the primary dentition in children <72 months of age. Severe early  
90 childhood caries (S-ECC) is a more aggressive presentation of ECC (American Academy of  
91 Pediatric Dentistry, 2017). It affects more than 530 million children worldwide, with higher  
92 prevalence rates in developing countries (GBD, 2018; Johansson et al., 2016)

93           Risk factors for S-ECC include poor oral hygiene, inadequate fluoride exposure, limited  
94 access to care, high abundance of cariogenic microbes and high-sugar diet, among others. S-ECC  
95 can lead to long-term complications and can negatively impact childhood health and quality of  
96 life (Grant et al., 2019; Kirthiga et al., 2019; Schroth et al., 2009).

97           Due to the extent of the lesions and dental treatment required, frequently, children have to  
98 undergo rehabilitative dental surgery under general anesthesia, which is not free of risks (Lee et  
99 al., 2013). This surgical approach does not address causative factors and are a huge burden to the  
100 health care systems (Schroth et al., 2016).

101           There is an imperative need to identify how risk factors for S-ECC, such as sex and  
102 plaque microbiome, interact to comprehend why some children are at greater risk. Currently, few  
103 studies have investigated the role of sex in ECC (Ribeiro et al., 2017; Watson MR, 1999).  
104 Whether the bacterial and fungal composition of the plaque samples from young male children  
105 differs from females and how these different factors interact to determine S-ECC susceptibility  
106 remain to be characterized. The purpose of this study was to analyze potential sex-based  
107 differences in the plaque microbiota (including the mycobiota) of young children with S-ECC  
108 and those caries-free.

109

## 110 **METHODS**

111

### 112 **Study Population, Design and Sample Collection**

113           The study protocol was approved by the University of Manitoba`s Health Research Ethics  
114 Board (HREB #HS20961–H2017:250) and by the Misericordia Health Centre (MHC),  
115 Winnipeg, MB, Canada. Written informed consent was provided by the parents or legal  
116 caregivers. Eighty children <72 months of age were recruited. Overall, 40 children had S-ECC  
117 and 40 were caries-free (dmft index equal to 0; no decayed, missing, or filled primary tooth  
118 surface) (Agnello et al., 2017). Dental examinations to confirm the caries-free status of controls  
119 were conducted by an experienced dentist (R.J.S.) using artificial light and no radiographs. None

120 of the caries-free children had incipient (non-cavitated) caries lesions. All children included in  
121 the S-ECC were recruited at the MHC, on the day of their dental rehabilitative surgery. The  
122 caries-free control subjects were recruited in the community and seen at the Children's Hospital  
123 Research Institute of Manitoba in Winnipeg, Canada.

124         Supragingival plaque samples were collected by scrubbing a sterile interdental brush on  
125 all available tooth surfaces (Agnello et al., 2017). Samples were dislodged into 1 ml of  
126 RNAprotect Bacteria Reagent (Qiagen, Hilden, Germany) and immediately frozen at -80°C until  
127 further analysis. Children`s parents or legal caregivers completed an interviewed questionnaire  
128 on the child`s general and oral health, feeding practices, and oral hygiene habits.

129

### 130 **DNA extraction, library preparation, 16S and ITS1 rRNA amplicon sequencing and analysis**

131         Extracted DNA was sent on dry ice to McGill University - Génome Québec Innovation  
132 Center (Montreal, Canada) for library preparation and paired-end Illumina MiSeq PE250  
133 sequencing of the V4 region of bacterial 16S rRNA and Internal Transcribed Spacer 1 (ITS1)  
134 fungal rRNA genes. Sequencing data were analyzed using QIIME2 2018.11 (Quantitative  
135 Insights into Microbial Ecology) (Bolyen, 2018).

136

### 137 **Classification of caries status using machine learning approaches**

138         LASSO (least absolute shrinkage and selection operator model), was used for the  
139 classification of S-ECC and caries-free groups (Tibshirani, 1996). To investigate how the  
140 bacteria and the fungi associate with each other, we calculated the correlation scores between  
141 them in different subgroups (males with S-ECC, caries-free males, females with S-ECC, caries-  
142 free females).

143

## 144 **Statistical analysis**

145 Questionnaire data were analyzed with Number Cruncher Statistical Software 9  
146 (Kaysville, Utah) and Chi-square, Fisher`s exact, and T-tests were performed when appropriate.  
147 Differential abundance analyses were performed with linear discriminant analysis effect size  
148 (LEfSe) and DESeq2 negative binomial Wald test (Love et al., 2014; Segata et al., 2011).  
149 Adjusted  $p$ -value  $\leq 0.05$  was considered statistically significant.

150 Additional details about the methods used are described in the Appendix.

151

## 152 **RESULTS**

153 Eighty children with a mean age of  $45.9 \pm 12.8$  months participated. Among children  
154 with S-ECC ( $N = 40$ ), 25 (62.5%) were females. Whereas 21 (52.5%) of the caries-free children  
155 ( $N = 40$ ) were females. Overall, children with S-ECC were more likely to be from rural areas, be  
156 bottle-fed, go to bed with a bottle, frequently snack before bedtime and prefer to eat sweet food  
157 compared to caries-free controls ( $p < 0.05$ ). Additionally, they were less likely to be breastfed,  
158 have their teeth brushed twice a day, and use tooth paste than caries-free children ( $p < 0.05$ ;  
159 Table 1). When the groups were stratified by sex, caries-free females were significantly less  
160 likely to snack frequently than caries-free males ( $p = 0.05$ ). Whereas, male children with S-ECC  
161 had the first dental visit at an earlier age and had their mouths being cleaned at home at a  
162 younger age than females with S-ECC ( $p < 0.01$ ; Table 1).

163

## 164 **Supragingival plaque bacterial community analyses**

165 The Illumina sequencing generated 8,035,685 16S rRNA sequences for 80 samples, with  
166 an average of 100,446 sequences per sample. After trimming and filtering, 4,367 ASVs were  
167 assigned to 11 bacterial phyla, 99 genera and 233 species. A total of 61 genera and 135 species  
168 had non-zero counts in at least 5% of the samples and the sequences had an average of 295 bp.  
169 The alpha diversity analysis (within samples), showed higher Pielou's evenness ( $p = 0.02$ ) in the  
170 caries-free group compared to the S-ECC group. No differences were observed when stratifying  
171 the groups by sex ( $p > 0.05$ ; Fig. 1A.I) nor when comparing diversity with Shannon index  
172 (Appendix Figure 1A). The beta diversity analysis showed significant bacterial community  
173 differences for S-ECC status (pseudo- $F = 2.12$ ,  $R^2 = 0.025$ ,  $p = 0.004$ ; permutational multivariate  
174 analysis of variance (PERMANOVA)) but not for place of residence (pseudo- $F = 0.67$ ,  $R^2 =$   
175  $0.008$ ,  $p = 0.87$ ; PERMANOVA). Principal coordinates analysis (PCoA) of bacterial species also  
176 showed a separation of the S-ECC samples from the caries-free when the data from females were  
177 analyzed separately, adjusting for place of residence (Fig. 1B.I, pseudo- $F = 2.22$ ,  $R^2 = 0.045$ ,  $p =$   
178  $0.004$ ; PERMANOVA).

179 Taxonomic assignment showed that *Veillonella*, *Neisseria* and *Streptococcus* were the  
180 most abundant genera in the S-ECC group. *Actinomyces* was the most abundant genus in the  
181 caries-free group, followed by *Neisseria* and *Corynebacterium*, respectively. Among the 20%  
182 most abundant bacterial genera detected, higher relative abundance of *Streptococcus*, *Veillonella*,  
183 *Prevotella* and *Selenomonas* and lower relative abundance of *Actinomyces* and *Leptotrichia* were  
184 observed in the S-ECC group compared to the caries-free ( $p < 0.05$ , LEfSe, Fig. 1C.I).

185 Among the 20% most abundant species, significantly higher levels of *Veillonella* sp. oral  
186 taxon 780, *V. dispar*, *S. mutans* and others were identified in the S-ECC group compared to  
187 caries-free control ( $p < 0.05$ ). However, higher levels of *Corynebacterium durum* and *Lautropia*



188 *mirabilis* were found in caries-free children ( $p < 0.05$ , LEfSe, Fig. 1D.I). Within the S-ECC  
189 group, *Neisseria* was identified as significantly more abundant in males than in females ( $p <$   
190  $0.05$ , LEfSe, Fig. 1C.I).

191

## 192 **Supragingival plaque fungal community analysis**

193 The Illumina sequencing generated 7,053,886 ITS1 rRNA sequences for 80 plaque samples, with  
194 an average of 88,174 sequences per sample. After filtering and trimming 1,091 ASVs were  
195 classified into 4 fungal phyla, 27 genera and 30 species. Eleven genera and ten species were  
196 present in at least 5% of the samples. Significant differences in alpha diversity were observed  
197 between the S-ECC and caries-free groups ( $p < 0.01$ ; Fig. 1A.II and Appendix Figure 1D). Beta  
198 diversity analysis revealed that plaque fungal communities between children with S-ECC vs  
199 caries-free significantly differed (pseudo- $F = 4.84$ ,  $R^2 = 0.055$ ,  $p = 0.002$ ; PERMANOVA). The  
200 same was not true for rural vs urban (pseudo- $F = 0.62$ ,  $R^2 = 0.007$ ,  $p = 0.62$ ; PERMANOVA). A  
201 separation of samples from caries-free and S-ECC groups were observed in the PCoA plot when  
202 only data from males were analyzed (Fig. 1B.II, pseudo- $F = 3.53$ ,  $R^2 = 0.09$ ,  $p = 0.02$ ;  
203 PERMANOVA).

204 No sex-based differences were observed with alpha and beta diversity analyses ( $p > 0.05$ ;  
205 Fig. 1A.II-B.II, Appendix Figure 1B). *Candida* was the most abundant fungal genus in the S-  
206 ECC group, regardless of sex. However, in the caries-free group, *Malassezia* was the most  
207 abundant genus identified in females with 6.5-fold higher counts compared to males (Fig. 1C.II).  
208 They also had 4.5-fold lower counts of *Candida* than males. Interestingly, *Ca. dubliniensis* was  
209 significantly more abundant in the dental plaque of children with S-ECC compared those caries-  
210 free ( $p < 0.05$ , LEfSe, Fig. 1D.II). Whereas, no significant differences in the abundance of *Ca.*

211 *albicans* was observed among S-ECC and caries-free groups. Within the S-ECC group, *Ca.*  
212 *dublinsiensis* and *Ca. albicans* had an average of 30,088 and 4,091 counts per sample,  
213 respectively. *Mycosphaerella*, *Cyberlindnera* and *Trichosporon* species were differentially  
214 abundant between the caries-free and S-ECC groups ( $p < 0.05$ , LefSe, Fig. 1C.II – D.II).

215 To evaluate whether place of residence and other variables described in Table 1 were  
216 responsible for all the differences observed between the plaque microbiota of caries-free and S-  
217 ECC groups, we performed additional tests using the LefSe and DESeq2 methods. The results  
218 confirmed that the composition of the plaque microbiota differed between caries-free and S-ECC  
219 groups (Appendix Figures 2 and 3).

220

## 221 **Classification of S-ECC status using machine learning approaches**

222 To evaluate the performance of the supragingival plaque bacterial and fungal species in  
223 the classification of S-ECC status, the LASSO-based classification models were used. Data from  
224 males and females were used separately to understand whether there were any sex-based  
225 differences. A 10-fold cross validation strategy was used to measure the performances of the  
226 LASSO models. Fig. 2A and Fig. 2B illustrate the area under the receiver operating  
227 characteristics (ROC) curves (AUC) of the classification model performance using bacterial and  
228 fungal microbiome data, respectively. From the AUC values, it was observed that females were  
229 better classified (AUC: 0.94) with bacterial data, whereas males were better classified (AUC:  
230 0.91) using fungal data. Combining data from males and females together resulted in relatively  
231 poorer classification performances (0.88 AUC for bacterial species and 0.77 AUC for fungal  
232 species) than treating them separately.

233           Among bacterial species, *R. aeria*, *L. mirabilis*, *Kingella oralis*, and *Co. durum* were  
234 found to be the most important features for classification in females, whereas *Treponema*  
235 *socranskii* and *S. mutans* were the most important in males. While considering all children,  
236 *Veillonella* sp., *S. mutans*, *Prevotella melaninogenica* and *K. oralis* were the most important  
237 species. Classifying using the fungal species information showed *Ca. dubliniensis* to be the most  
238 important feature in all three groups (males, females, and both). Besides, *Malassezia restricta*  
239 was also found to be important when both males and females were considered together (Fig. 2C-  
240 D).

241

#### 242 **Potential crosstalk between bacteria and fungi in the supragingival plaque**

243           Following the identification of the important species of bacteria and fungi, based on the  
244 LASSO model, we analyzed how they were correlated with each other. Fig. 3A-D shows the  
245 correlations in the four subgroups. The results demonstrate noticeable differences in the  
246 correlation between fungal and bacterial species among the subgroups. For example, the fungus  
247 *Ca. dubliniensis* showed a negative correlation with *Prevotella histicola* only in the “males with  
248 S-ECC” subgroup (Fig. 3A). Next, we analyzed the significant correlations from all groups (Fig.  
249 4). Interesting correlations were observed among fungal and bacterial species and are shown in  
250 Fig. 4.

251

#### 252 **DISCUSSION**

253           To our knowledge, this is the first study to investigate the differences between the fungal  
254 composition of the supragingival plaque mycobiome of preschool male and female children with  
255 S-ECC and those caries-free using current molecular techniques. We also evaluated whether sex

256 associates with the plaque microbiome to influence caries risk in young children using machine  
257 learning approaches.

258         The significant differences in dietary and oral hygiene habits observed between children  
259 with S-ECC and those caries-free has been reported in previous studies (Agnello et al., 2017).  
260 Within these two groups, sex-based differences were also observed. Our findings indicate no  
261 differences in tooth brushing frequency between the sexes. However, males with S-ECC had  
262 their first dental visit at a younger age and were younger when their teeth started to be cleaned.  
263 These findings could indicate that parents may give more attention to the oral health of males  
264 than females. Nonetheless, a cross-sectional study from China reported the opposite; female  
265 children possibly receive more attention from caregivers than males, which suggests that in  
266 addition to other factors such as gender, cultural differences might also play a role in oral-health  
267 related behavioural differences between males and females (Qiu et al., 2016). Other possible  
268 factors might include genetic variations, social factors, chronology of tooth eruption, and  
269 hormonal differences, which could affect the composition of their plaque microbiome,  
270 explaining the differences observed in our study (Ferraro and Vieira, 2010; Shaffer et al., 2015).

271         Alpha diversity analyses of the bacterial and fungal data showed significant differences  
272 between S-ECC and caries-free groups but not between males and females. Higher alpha  
273 diversity in the caries-free group has been reported in previous studies, demonstrating that higher  
274 alpha diversity is related to health (Gross et al., 2012; Xiao et al., 2016). Overall, the beta  
275 diversity analysis showed that both the plaque bacterial and fungal communities significantly  
276 differed between S-ECC and caries-free children. Interestingly, when stratifying the data by sex,  
277 a statistically significant separation between the bacterial and fungal microbiomes by S-ECC  
278 status was observed only in females or males, respectively. This agrees with the machine

279 learning results, as the LASSO models using data from females performed better with 16S data  
280 for the classification of caries-free vs S-ECC, whereas, the models using data from males  
281 performed better with ITS data.

282 We observed higher relative abundance of *Veillonella* species and *S. mutans* in the S-  
283 ECC group compared to the caries-free controls. A possible explanation is the mutual  
284 relationship that has been proposed between *Veillonella* species and acidogenic *Streptococcus*.  
285 The former facilitates the growth of the latter, while benefiting from their production of lactate  
286 (Becker et al., 2002; Distler and Kroncke, 1980). For example, *V. parvula* has been shown to  
287 stimulate glucose fermentation by *Streptococcus salivarius* by decreasing the external  
288 concentrations of lactate, which is an inhibitor of glycolysis. However, the consumption of  
289 lactate by *Veillonella* may lead to a less acidic or non-cariogenic environment, suggesting its  
290 dual nature (Hamilton and Ng, 1983; Kara et al., 2006). Our machine learning analysis suggested  
291 that *Veillonella* species was important for S-ECC classification.

292 Bacteria found to be more abundant in caries-free groups compared to S-ECC groups,  
293 such as *Leptotrichia*, *Actinomyces* and *Corynebacterium* species, have been reported as  
294 positively associated with oral health (Xiao et al., 2016; Xu et al., 2014). Similar findings were  
295 described in our previous study with Canadian First Nations children (Agnello et al., 2017).  
296 Significant differences were also observed in the plaque microbiota of males and females within  
297 the S-ECC group, demonstrating that sex may also influence the composition of the plaque  
298 microbiota.

299 *Ca. dubliniensis* was surprisingly the most abundant fungal species detected in the S-ECC  
300 group. Previously, *Ca. dubliniensis* has been detected in plaque samples of children with caries  
301 using culture-based approaches (Al-Ahmad et al., 2016). Another study also showed isolation of

302 *Ca. dubliniensis* in carious dentine samples (Kneist et al., 2015). The high similarity between *Ca.*  
303 *albicans* and *Ca. dubliniensis*, the dearth of efficient techniques for distinguishing them and very  
304 few studies using NGS sequencing of the oral mycobiome, may be the reasons for the poor  
305 characterization of *Ca. dubliniensis* until now (Al-Ahmad et al., 2016). *Ca. albicans* is the most  
306 studied and well characterized oral fungal species. Other *Candida* species, however, are  
307 consistently isolated in oral samples (Peters et al., 2017). *Malassezia*, which was mostly  
308 identified in our caries-free group, has been reported to be a prominent commensal in the oral  
309 cavity (Diaz et al., 2017; Dupuy et al., 2014; Ward et al., 2018). Further studies are required to  
310 elucidate whether the detected fungal species are resident or transient members of the oral  
311 mycobiota.

312         The use of machine learning methods for biological data analysis is an emerging field and  
313 has the advantage of extracting important features in a high-dimensional and sparse setting.  
314 LASSO-based model is one of these methods which offers feature selection with both higher  
315 prediction accuracy and simpler interpretation (Ma and Huang, 2008). Our results showed unique  
316 characteristics for each subgroup (males with S-ECC, caries-free males, females with S-ECC and  
317 caries-free females), demonstrating that sex-related factors may play a role in microbial  
318 interactions.

319         The strength of this study is that it provides a holistic view of S-ECC using a trans-  
320 disciplinary approach. It has, however, some limitations. The presence of retrospective questions  
321 in the questionnaire might have introduced recall bias and response bias is also possible on the  
322 part of parents. It also lacked the individual dmft/dmfs scores for the S-ECC group. Convenient  
323 sampling was used for recruitment, meaning that the groups were not matched by sex, age, and  
324 socioeconomic status, during recruitment. Other confounding factors for the association between

325 S-ECC and the plaque microbiota could be place of residence, oral hygiene and feeding habits  
326 (Agnello et al., 2017; Willis et al., 2018). However, these variables were evenly distributed  
327 among the subgroups ( $p > 0.05$ , males vs females within S-ECC and caries-free groups). We also  
328 demonstrated that after adjusting for possible confounding effects, the composition of the plaque  
329 microbiota of S-ECC children still differed from those caries-free. Future studies with larger  
330 cohorts will be performed to confirm our findings.

331

## 332 **CONCLUSION**

333 Overall, our findings indicate that sex may be a differentiating factor in the microbial  
334 composition of the supragingival plaque of caries-free children and those with S-ECC. This  
335 supports the idea that there are biomarkers for S-ECC that are unique for male and female  
336 children. We are, however, still far from fully understanding the role of sex and microbiota in S-  
337 ECC risk. Our results could guide further trans-disciplinary studies aiming to create better tools  
338 for determining S-ECC risk, which will allow a more personalized dental diagnosis, treatment  
339 and prognosis for young males and females. Oral microbiome and sex may be important  
340 determinants for S-ECC and could be factors to consider for inclusion in caries risk assessment  
341 tools.

342

## 343 **ACKNOWLEDGEMENTS**

344 The authors thank the Misericordia Health Centre (Winnipeg, MB, Canada), the  
345 parents/caregivers and children. Additional thanks to Dr. Anjali Yadav for comments on the  
346 manuscript and Melina Sturym for assisting with the recruitment of participants.

347

348 This study was funded by operating grants from the Children’s Hospital Research Institute of  
349 Manitoba (CHRIM) and the Canadian Institute of Health Research (CIHR). V.C.J. is supported  
350 by a Doctoral Fellowship from Research Manitoba/CHRIM and the University of Manitoba  
351 Graduate Fellowship. R.J.S. holds a CIHR Embedded Clinician Researcher salary award in  
352 “Improving access to oral health care and oral health care delivery for at-risk young children in  
353 Manitoba”.

354

355 The authors declare no potential conflicts of interest with respect to the authorship and/or  
356 publication of this article.

357

#### 358 **LIST OF ABBREVIATIONS**

359 ECC: Early childhood caries; S-ECC: Severe early childhood caries; dmft: decayed, caries-  
360 related missing, or filled primary teeth; NGS: Next generation sequencing; GA: General  
361 anesthesia; ITS1: Internal Transcribe Spacer 1; QIIME2: Quantitative insights into microbial  
362 ecology; ASV: amplicon sequence variants; PCoA: Principle coordinate analysis; LASSO: Least  
363 absolute shrinkage and selection operator; AUC: Area under the receiver operating  
364 characteristics curve; ROC: Receiver operating characteristics; SVM: Support vector machine;  
365 RF: Random forest; NCSS: Number cruncher statistical software; FDR: False discovery rate;  
366 PERMANOVA, permutational analysis of variance; LEfSe, linear discriminant analysis effect  
367 size.

368

#### 369 **AUTHOR CONTRIBUTIONS**



370 P.C. and R.J.S. designed and directed the study; R.J.S., K.M, D.O. and B.A.M. contributed to  
371 participant recruitment and sample collection; V.C.J. and A.A. designed and conducted the sample  
372 processing for sequencing; V.C.J., D.O. and R.J.S. contributed to the analysis of the questionnaire  
373 data; V.C.J. conducted the 16S and ITS1 rRNA sequencing data analysis and interpretation; R.S.  
374 and P.H. designed and performed the machine learning classifications; R.S., K.D. and P.H.  
375 contributed to data interpretation and critically revised the manuscript; V.C.J., R.S., B.A.M. R.J.S.  
376 and P.C. drafted and critically revised the manuscript. All authors gave their final approval and  
377 agree to be accountable for all aspects of the work.

378

#### 379 **AVAILABILITY OF DATA**

380 The datasets supporting the conclusions based on the microbial community analyses were  
381 submitted to the NCBI Sequencing Read Archive Repository [PRJNA555320].

382

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512 **FIGURES**

513

514 **Figure 1. Bacterial and fungal diversity and taxonomic profile of supragingival plaque of**

515 **children with S-ECC and those caries-free.** Alpha diversity analysis. Boxplot of Pielou's

516 evenness index for (A.I) bacterial taxa and (A.II) fungal taxa in S-ECC and caries-free groups,

517 and subgroup analysis by sex. The line inside the box represents the median. Whiskers represent

518 the lowest and highest values within the 1.5 interquartile range. Beta diversity analysis. Principal

519 coordinates analysis (PCoA) plots of Bray-Curtis dissimilarities for (B.I) bacterial and (B.II)

520 fungal species, according to caries status and sex. A separation of samples by caries-status was

521 observed among the females 16S data and males ITS1 data when stratifying by sex ( $p < 0.05$ ,

522 permutational multivariate analysis of variance ). Taxonomic profiles of children's dental plaque

523 according to caries status and sex at bacterial (C.I) genus and (D.I) species level and fungal

524 (C.II) genus and (D.II) species level. (C.I and D.I) Colours were assigned only to the 20% most

525 abundant taxa. Taxonomic profiles include only taxa assigned up to genus or species level. S-

526 ECC, severe early childhood caries. \* $p < 0.05$  between caries-free and S-ECC; # $p < 0.05$  between

527 males and females with S-ECC, according to LefSE analysis.

528

529 **Figure 2. Classification performance and relative feature importance of bacteria and fungi.**

530 (A) Receiver operating characteristics (ROC) curves of classification using bacterial data only.

531 (B) ROC curves of classification using fungal data only. Lines of different colors represent ROC

532 curves of different groups: males, females, and both (all children). The area under the curve

533 (AUC) values are shown in the legend. The x-axis represents the false-positive rate, and the y-

534 axis represents the true-positive rate. (C) Heatmap of relative feature importance of the top most

535 important bacteria for the three groups (both or all children, males and females) while classifying  
536 S-ECC and caries-free groups. (D) Heatmap of relative feature importance of the top most  
537 important fungi for the three groups (both or all children, males and females) while classifying S-  
538 ECC and caries-free groups. The color with the highest intensity represents the most important  
539 bacteria or fungi. Bacterial and fungal species that are important in at least one of the three  
540 groups have been included in the figures. S-ECC, severe early childhood caries.

541

542 **Figure 3. Correlation plots of the most important bacteria and fungi in different groups.** A  
543 prefix “B\_” denotes bacterium, whereas a prefix “F\_” denotes fungus. Blue and red color shades  
544 represent positive and negative correlations, respectively. Color intensity and area of the circles  
545 are proportionate to the absolute value of the correlations. White color represents that there is no  
546 correlation. (A) males with S-ECC. (B) caries-free males. (C) females with S-ECC. (D) caries-  
547 free females. S-ECC, severe early childhood caries.

548

549 **Figure 4. Correlation network of the significantly correlated bacterial and fungal species**  
550 **among different groups.** Different colors represent different groups (males with S-ECC, caries-  
551 free males, females with S-ECC, and caries-free females). Groups are also shaded with the same  
552 color for visual clarity. Solid and dashed lines denote positive and negative correlations,  
553 respectively. The thickness of the lines is proportional to the absolute values of the correlations  
554 which ranges from 0.31 to 0.77. S-ECC, severe early childhood caries.

555

556 **TABLE**

557

**Table 1.** Demographics and behavioral characteristics of study participants.

Variable	Caries Status		Caries Status and Sex			
	Caries-Free (n = 40)	S-ECC (n = 40)	Caries-Free		S-ECC	
			Females (n = 21)	Males (n = 19)	Females (n = 25)	Males (n = 15)
Age (months)	46.2 ± 14.2	45.6 ± 11.4 <sup>a</sup>	47.9 ± 15.1	44.3 ± 13.2 <sup>a</sup>	45.7 ± 11.5	45.4 ± 11.6 <sup>a</sup>
Place of residence						
Urban	39 (97.5)	8 (20.0) <sup>b**</sup>	20 (95.2)	19 (100.0) <sup>b</sup>	4 (16.0)	4 (26.7) <sup>b</sup>
Rural	1 (2.5)	32 (80.0)	1 (4.8)	0 (0.0)	21 (84.0)	11 (73.3)
Overall health						
Very good	32 (80.0)	24 (60.0) <sup>c*</sup>	16 (76.2)	16 (84.2) <sup>b</sup>	14 (56.0)	10 (66.7) <sup>c</sup>
Good/Fair	8 (20)	16 (40.0)	5 (23.8)	3 (15.8)	11 (44.0)	5 (33.3)
Ever breast-fed						
Yes	33 (82.5)	21 (52.5) <sup>c**</sup>	18 (85.7)	15 (79.0) <sup>b</sup>	14 (56.0)	7 (46.7) <sup>c</sup>
No	7 (17.5)	19 (47.5)	3 (14.3)	4 (21.0)	11 (44.0)	8 (53.3)
Ever bottle-fed						
Yes	30 (75.0)	40 (100.0) <sup>b**</sup>	14 (66.7)	16 (84.2) <sup>b</sup>	25 (100.0)	15 (100) <sup>b</sup>
No	10 (25.0)	0 (0.0)	7 (33.3)	3 (15.8)	0 (0.0)	0 (0.0)
Put to bed with bottle						
Yes	7 (17.5)	25 (62.5) <sup>c**</sup>	2 (9.5)	5 (26.3) <sup>b</sup>	17 (68.0)	8 (53.3) <sup>c</sup>
No	33 (82.5)	15 (37.5)	19 (90.5)	14 (73.7)	8 (32.0)	7 (46.7)
Snacks before bedtime						
Yes	16 (40.0)	30 (75.0) <sup>c**</sup>	8 (38.1)	8 (42.1) <sup>c</sup>	17 (68.0)	13 (86.7) <sup>b</sup>
No	24 (60.0)	10 (10.0)	13 (61.9)	11 (57.9)	8 (32.0)	2 (13.3)
Sweet preference						
Do not prefer	12 (30.0)	3 (7.5) <sup>b*</sup>	5 (23.8)	7 (36.8) <sup>b</sup>	2 (8.0)	1 (6.7) <sup>b</sup>
Prefers occasionally <sup>1</sup>	24 (60.0)	28 (70.0)	14 (41.7)	10 (52.6)	18 (72.0)	10 (66.7)
Prefers frequently <sup>2</sup>	4 (10.0)	9 (22.5)	2 (9.52)	2 (10.5)	5 (20.0)	4 (26.7)
Snacking frequency (times per day)	3.3 ± 1.9	3.9 ± 1.9 <sup>a</sup>	3.05 ± 1.4	4.11 ± 1.8 <sup>a*</sup>	3.99 ± 1.9	3.53 ± 2.4 <sup>a</sup>
Uses toothpaste						
Yes	39 (97.5)	32 (80.0) <sup>b*</sup>	21 (100.0)	18 (94.7) <sup>b</sup>	21 (84.0)	11 (73.3) <sup>b</sup>
No	1 (2.5)	8 (20.0)	0 (0.0)	1 (5.3)	4 (16.0)	4 (26.7)
Oral health						
Very good/Good	39 (97.5)	10 (25.0) <sup>b**</sup>	20 (95.2)	19 (100) <sup>b</sup>	6 (24.0)	4 (26.7) <sup>b</sup>
Fair/Poor/Very poor	1 (2.5)	30 (75.0)	1 (4.8)	0 (0.0)	19 (76.0)	11 (73.3)
Use of fluoridated toothpaste						
Yes	30 (75.0)	31 (77.5) <sup>c</sup>	17 (81.0)	13 (68.4) <sup>b</sup>	21 (84.0)	10 (66.7) <sup>b</sup>
No	10 (25.0)	9 (22.5)	4 (19.0)	6 (31.6)	4 (16.0)	5 (33.3)
Tooth brushing frequency						
≥ twice/day	27 (67.5)	11 (27.5) <sup>c**</sup>	14 (66.7)	11 (57.9) <sup>c</sup>	7 (28.0)	4 (26.7) <sup>b</sup>
< twice/day	13 (32.5)	29 (72.5)	7 (33.3)	8 (42.1)	18 (72.0)	11 (73.3)
Age at the first dental visit <sup>3</sup>	20.5 ± 10.4	21.4 ± 13.2 <sup>a</sup>	21.8 ± 11.7	19 ± 8.8 <sup>a</sup>	26.3 ± 14.4	13.5 ± 4.9 <sup>a**</sup>
Age when mouth started to be cleaned <sup>3</sup>	10.7 ± 9.9	13.3 ± 7.2 <sup>a</sup>	12.7 ± 8.17	10.7 ± 5.4 <sup>a</sup>	13.26 ± 7.3	6.86 ± 3.7 <sup>a**</sup>

Values are presented as mean ± SD or n (%). S-ECC, severe early childhood caries.

<sup>a</sup>T-test; <sup>b</sup>Fisher's exact test; <sup>c</sup>Chi-square analysis; \**p* ≤ 0.05; \*\**p* ≤ 0.01; <sup>1</sup>Weekly; <sup>2</sup>Daily; <sup>3</sup>In months.



FIGURE 1.

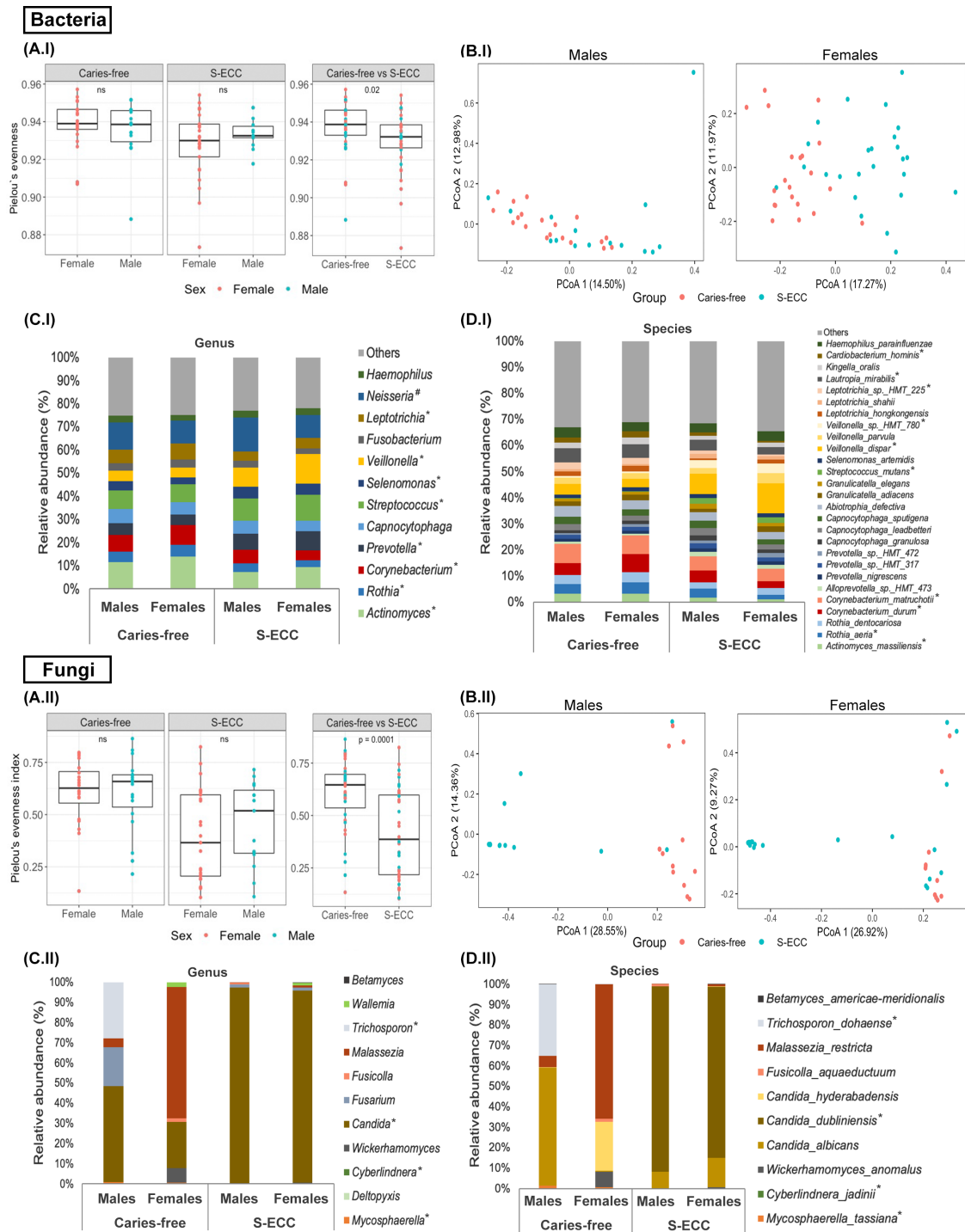


FIGURE 2.

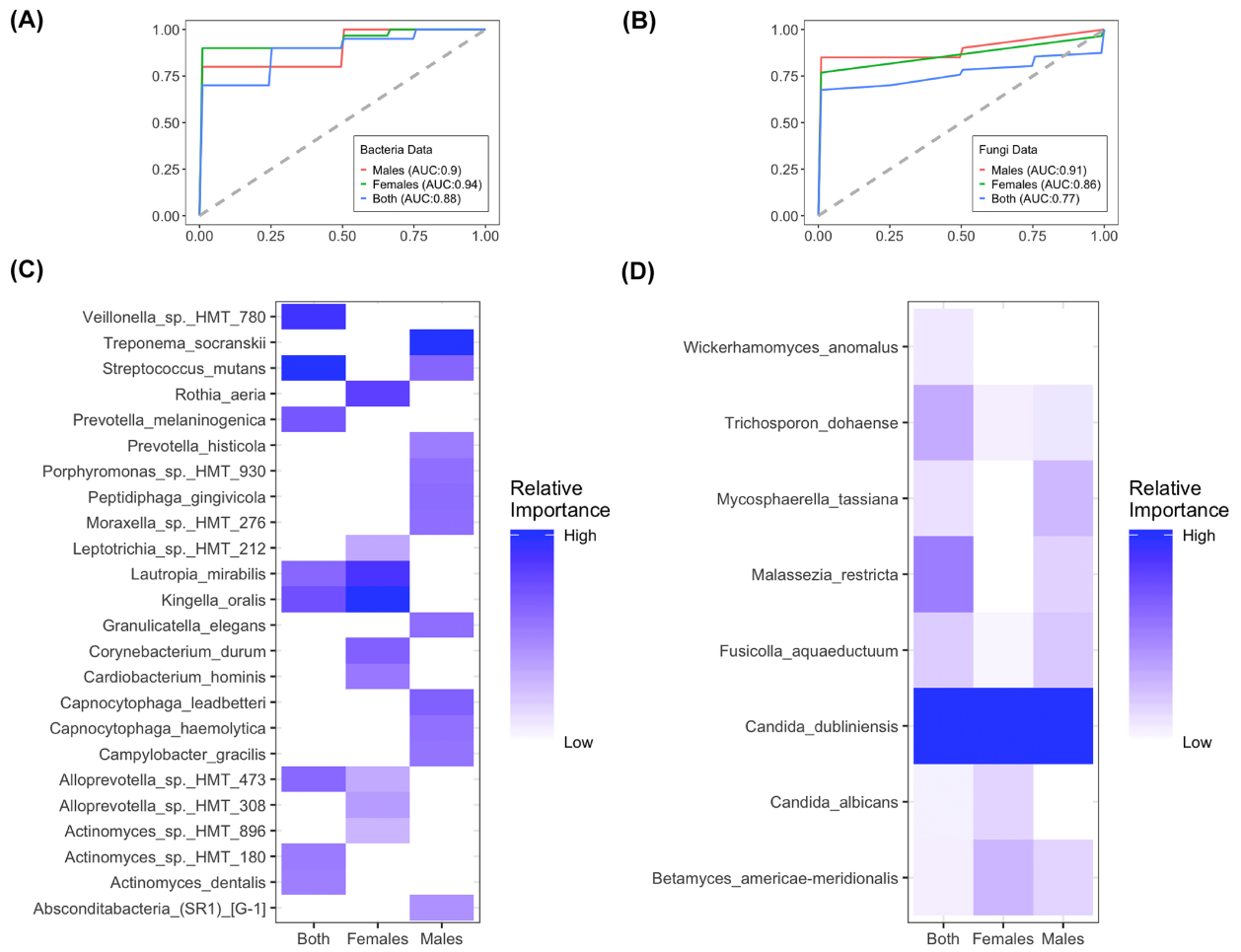
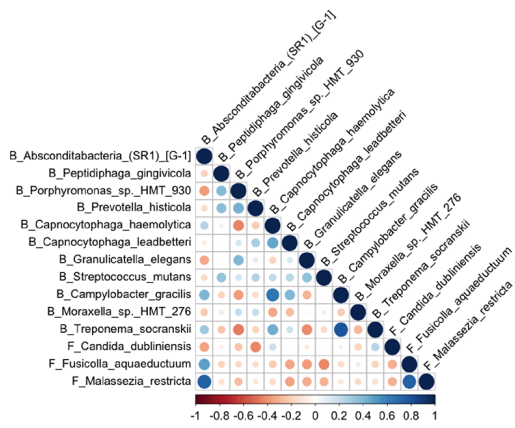
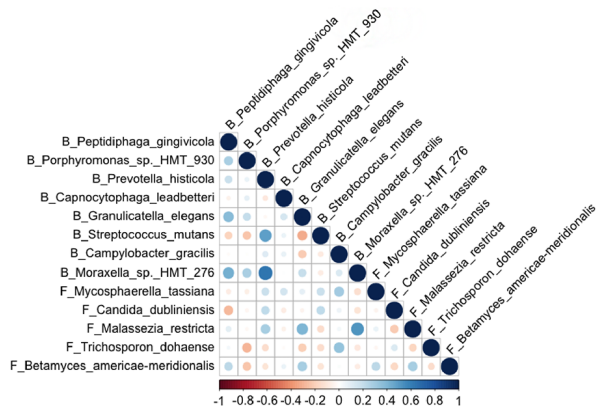


FIGURE 3.

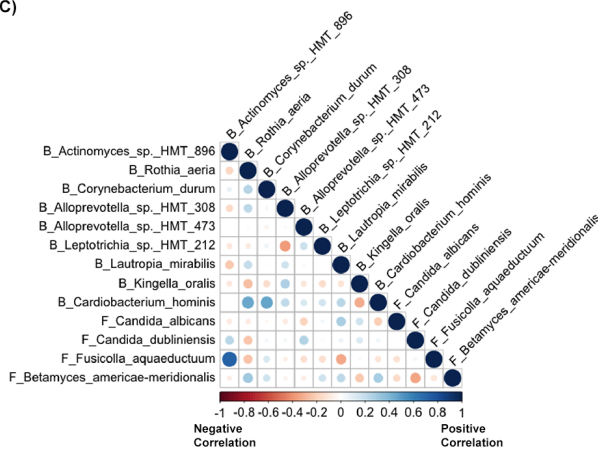
(A)



(B)



(C)



(D)

